



## GEF SECRETARIAT REVIEW FOR FULL/MEDIUM-SIZED PROJECTS\* THE GEF/LDCF/SCCF TRUST FUNDS

GEF ID:	<b>5216</b>		
Country/Region:	<b>Philippines</b>		
Project Title:	<b>Improve the Health and Environment of Artisanal Gold Mining Communities in the Philippines by Reducing Mercury Emissions</b>		
GEF Agency:	<b>UNIDO</b>	GEF Agency Project ID:	
Type of Trust Fund:	<b>GEF Trust Fund</b>	GEF Focal Area (s):	<b>POPs</b>
GEF-5 Focal Area/ LDCF/SCCF Objective (s):	<b>CHEM-3; Project Mana;</b>		
Anticipated Financing PPG:	<b>\$0</b>	Project Grant:	<b>\$550,000</b>
Co-financing:	<b>\$1,081,070</b>	Total Project Cost:	<b>\$1,631,070</b>
PIF Approval:		Council Approval/Expected:	
CEO Endorsement/Approval		Expected Project Start Date:	
Program Manager:	<b>Ibrahima Sow</b>	Agency Contact Person:	<b>Ludovic Bernaudat</b>

Review Criteria	Questions	Secretariat Comment at PIF (PFD)/Work Program Inclusion <sup>1</sup>	Secretariat Comment At CEO Endorsement(FSP)/Approval (MSP)
Eligibility	1. Is the participating country eligible?	Yes, Philippines is a member of the convention.	
	2. Has the operational focal point endorsed the project?	Yes, there is an endorsement letter from the OFP.	
Agency's Comparative Advantage	3. Is the Agency's comparative advantage for this project clearly described and supported?	Yes, UNIDO has comparative advantage for artisanal gold mining projects.	
	4. If there is a non-grant instrument in the project, is the GEF Agency capable of managing it?	NA	
	5. Does the project fit into the Agency's program and staff capacity in the country?	Yes, this projects fits UNIDO's capacity in the country.	

\*Some questions here are to be answered only at PIF or CEO endorsement. No need to provide response in gray cells.

<sup>1</sup> Work Program Inclusion (WPI) applies to FSPs only. Submission of FSP PIFs will simultaneously be considered for WPI.

FSP/MSP review template: updated 11-22-2010

Review Criteria	Questions	Secretariat Comment at PIF (PFD)/Work Program Inclusion <sup>1</sup>	Secretariat Comment At CEO Endorsement(FSP)/Approval (MSP)
Resource Availability	6. Is the proposed Grant (including the Agency fee) within the resources available from (mark all that apply):		
	• the STAR allocation?	NA	
	• the focal area allocation?	Yes.	
	• the LDCF under the principle of equitable access	NA	
	• the SCCF (Adaptation or Technology Transfer)?	NA	
	• Nagoya Protocol Investment Fund	NA	
Project Consistency	• focal area set-aside?	NA	
	7. Is the project aligned with the focal /multifocal areas/ LDCF/SCCF/NPIF results framework?	Yes.	
	8. Are the relevant GEF 5 focal/ multifocal areas/LDCF/SCCF/NPIF objectives identified?	Yes, this project is aligned with Chem-3.	
	9. Is the project consistent with the recipient country's national strategies and plans or reports and assessments under relevant conventions, including NPFE, NAPA, NCSA, or NAP?	Yes, the project is consistent with national strategies for Hg.	
	10. Does the proposal clearly articulate how the capacities developed, if any, will contribute to the sustainability of project outcomes?	Yes, this project will demonstrate technologies at pilot sites and will determine the feasibility of scaling up such technologies nationwide.	
	11. Is (are) the baseline project(s), including problem (s) that the baseline project(s) seek/s to address, sufficiently described and based on sound data and assumptions?	Please clarify the baseline project. The baseline project should describe the project that would exist with only the project co-financing.	

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Project Design	12. Has the cost-effectiveness been sufficiently demonstrated, including the cost-effectiveness of the project design approach as compared to alternative approaches to achieve similar benefits?		
	13. Are the activities that will be financed using GEF/LDCF/SCCF funding based on incremental/additional reasoning?	Incremental reasoning is not clear. B.2. para 2 describes the "GEF co-funding benefits, but the benefits listed seem to be the benefits from the GEF funding portion of the project. Please clarify if the benefits listed in a, b, and c under para 2 are related to GEF funding or co-funding.	
	14. Is the project framework sound and sufficiently clear?	Yes, the framework is clear.	
	15. Are the applied methodology and assumptions for the description of the incremental/additional benefits sound and appropriate?	Yes, this project aims to reduce at least 50% of Hg use and emission at pilot sites.	
	16. Is there a clear description of: a) the socio-economic benefits, including gender dimensions, to be delivered by the project, and b) how will the delivery of such benefits support the achievement of incremental/additional benefits?	Yes, these benefits are clear. Women and children will benefit from this project.	
	17. Is public participation, including CSOs and indigeneous people, taken into consideration, their role identified and addressed properly?	Yes, these stakeholders are addressed.	
	18. Does the project take into account potential major risks, including the consequences of climate change and provides sufficient risk mitigation measures? (i.e., climate resilience)	Climate risks are not identified. If there are climate risks please list them.	

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	19. Is the project consistent and properly coordinated with other related initiatives in the country or in the region?	Yes, the project is consistent with other related activities.	
	20. Is the project implementation/ execution arrangement adequate?	Yes, Department of Environment and Natural Resources and Ban Toxics will execute the project.	
	21. Is the project structure sufficiently close to what was presented at PIF, with clear justifications for changes?		
	22. If there is a non-grant instrument in the project, is there a reasonable calendar of reflows included?		
Project Financing	23. Is funding level for project management cost appropriate?	Yes, PMC is 10%	
	24. Is the funding and co-financing per objective appropriate and adequate to achieve the expected outcomes and outputs?	Several additional co-financing possibilities are listed.	
	25. At PIF: comment on the indicated cofinancing; At CEO endorsement: indicate if confirmed co-financing is provided.	The majority of co-financing is in cash.	
	26. Is the co-financing amount that the Agency is bringing to the project in line with its role?		
Project Monitoring and Evaluation	27. Have the appropriate Tracking Tools been included with information for all relevant indicators, as applicable?		
	28. Does the proposal include a budgeted M&E Plan that monitors and measures results with indicators and targets?		
Agency Responses	29. Has the Agency responded adequately to comments from:		

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	• STAP?	none	
	• Convention Secretariat?	none	
	• Council comments?		
	• Other GEF Agencies?	none	
<b>Secretariat Recommendation</b>			
Recommendation at PIF Stage	<b>30. Is PIF clearance/approval being recommended?</b>	Pending clarifications on the baseline project and incremental costs.	
	31. Items to consider at CEO endorsement/approval.		
Recommendation at CEO Endorsement/ Approval	32. At endorsement/approval, did Agency include the progress of PPG with clear information of commitment status of the PPG?		
	<b>33. Is CEO endorsement/approval being recommended?</b>		
Review Date (s)	First review*	December 06, 2012	
	Additional review (as necessary)		
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\* **This is the first time the Program Manager provides full comments for the project. Subsequent follow-up reviews should be recorded. For specific comments for each section, please insert a date after comments. Greyed areas in each section do not need comments.**

#### REQUEST FOR PPG APPROVAL

Review Criteria	Decision Points	Program Manager Comments
PPG Budget	1. Are the proposed activities for project preparation appropriate?	
	2. Is itemized budget justified?	
Secretariat Recommendation	<b>3. Is PPG approval being recommended?</b>	
	4. Other comments	

Review Date (s)	First review*	
	Additional review (as necessary)	

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