



REQUEST FOR PERSISTENT ORGANIC POLLUTANTS ENABLING ACTIVITY

PROPOSAL FOR FUNDING UNDER THE GEF Trust Fund

PART I: PROJECT IDENTIFIERS

EA Title:	Enabling activities to review and update the national implementation plan for the Stockholm Convention on Persistent Organic Pollutants (POPs)		
Country(ies):	Mexico	GEF Project ID: ¹	5127
GEF Agency(ies):	UNIDO (select)	GEF Agency Project ID:	120185
Other Executing Partner(s):	Ministry of Environment and Natural Resources (Secretaria de medio ambiente y recursos naturales) of Mexico	Submission Date:	2012-09-06
GEF Focal Area (s):	Persistent Organic Pollutants	Project Duration (Months)	12
Check if applicable:	NCSA <input type="checkbox"/> NAPA <input type="checkbox"/>	Agency Fee (\$):	21,375

A. EA FRAMEWORK*

EA Objective: The overall objective of the proposed Enabling Activities (EA) is to review and update the National Implementation Plan (NIP), and have it endorsed and submitted by the Government to the Conference of the Parties of the Stockholm Convention (COP). Participating stakeholders will be able to manage the additional POPs with newly developed technical skills, expertise and awareness.

EA Component	Grant Type	Expected Outcomes	Expected Outputs	Grant Amount (\$)	Confirmed Co-financing (\$)
1. Coordination mechanism and awareness raising	TA	Coordination mechanism in place with stakeholders aware of the risk of new POPs	1.1. Project coordination mechanism re-established and working groups formed and contracted (co-financing and PMC); 1.2. Stakeholders and public informed, consulted and aware of new POPs risks and policy implications, awareness raising and inception workshop held.	8,000	14,000
2. Inventories of new POPs and NIP review	TA	Validation of inventories of new POPs (and updating of initial 12 POPs) by relevant stakeholders	2.1. Inventories of initial 12 POPs updated and validated by stakeholders; 2.2. Inventories of new POPs	129,130	132,000

¹ Project ID number will be assigned by GEFSEC.

			conducted and validated by stakeholders.		
3. National capacities assessment and priority setting for management of new POPs	TA	Identification of national capacities for new POPs management and priority setting of new POPs risk reduction options	3.1. National regulatory and policy framework and institutional capacities to manage new POPs assessed; 3.2. Prioritization of new POPs risk reduction options based on criteria, cost and benefit and inventory results completed.	38,052	39,000
4. NIP formulation, endorsement and submission	TA	Government endorsement and submission of updated NIP to the Conference of the Parties to the SC	4.1. Updated and reviewed NIP drafted; 4.2. NIP endorsed by the Government and submitted to the Conference of Parties to the SC	19,818	20,000
	(select)				
	(select)				
	(select)				
	(select)				
	(select)				
5. Impact monitoring and evaluation	TA	Periodic Monitoring and terminal evaluation of project implementation	5.1. Periodic monitoring reports 5.2. Terminal evaluation report	10,000	5,000
Subtotal				205,000	210,000
EA Management Cost²				20,000	25,000
Total EA Cost				225000	235000

^a List the \$ by EA components. Please attach a detailed project budget table that supports all the EA components in this table.

B. CO-FINANCING FOR THE EA BY SOURCE AND BY NAME

Sources of Co-financing	Name of Co-financier	Type of Cofinancing	Amount (\$)
National Government	The Ministry of Environment and Natural Resources of Mexico	In-kind	225,000
Others	UNIDO	Grant	10,000
(select)		(select)	
(select)		(select)	
(select)		(select)	
(select)		(select)	

² This is the cost associated with the unit executing the project on the ground and could be financed out of trust fund or co-financing sources.

Others		(select)	
(select)		(select)	
Total Co-financing			235,000

C. GRANT RESOURCES REQUESTED BY AGENCY, FOCAL AREA AND COUNTRY

GEF Agency	Type of Trust Fund	Focal Area	Country Name/Global	EA Amount (a)	Agency Fee (b) ²	Total (c)=(a)+(b)
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
Total Grant Resources				0	0	0

D. EA MANAGEMENT COST

Cost Items	Total Estimated Person Weeks/Months	Grant Amount (\$)	Co-financing (\$)	EA Total (\$)
Local consultants*	18.00	17,280	20,000	37,280
International consultants*				0
Office facilities, equipment, vehicles and communications*		1,220	3,000	4,220
Travel*		1,500	2,000	3,500
Others**	Specify "Others" (1)			0
	Specify "Others" (2)			0
	Specify "Others" (3)			0
Total		20,000	25,000	45,000

* Details to be provided in Annex A. **For Others, to be clearly specified by overwriting fields (1)-(3)

***Local consultants: 44 weeks of national consultants refer to Annex A EA management costs, which will be covered by the GEF grant only. The additional co-financing will be used for additional national experts to be hired by the executing partner. Please refer to Annex D for a total estimation of GEF grant and co-financing.

ADDITIONAL INFORMATION FOR TABLE D, IF APPLICABLE:

If costs for office facilities, equipment, vehicles and communications, travels are requesting for GEF financing, please provide justification here:

The costs for communications/ printing/ translation/ reporting costs are shared between GEF financing and the governmental co-financing contribution. It is foreseen that communications and translation activities are to be provided by the GEF financing, and purchase of office equipment (paper, toner, etc.) and equipment maintenance to be provided by the national co-financing. Regarding the travel expenses, it is planned that the local travel will be covered by both GEF grant and national co-financing contribution. It should be stressed that some of the institutions and companies that will be engaged with the new POPs management are not located in Mexico City.

A. ENABLING ACTIVITY BACKGROUND AND CONTEXT
(Provide brief information about projects implemented since a country became party to the convention and results achieved):

The Stockholm Convention (SC) on Persistent Organic Pollutants (POPs) was adopted in May 2001 with the objective of protecting human health and the environment from toxic and hazardous POPs. It entered into force on 17 May 2004 initially listing twelve chemicals as POPs. In May 2009, the Conference of the Parties (COP) at its fourth meeting amended the Stockholm Convention to include nine new POPs as follows: in Annex A (alpha hexachlorocyclohexane, beta hexachlorocyclohexane, chloredecone, hexabromobiphenyl, hexabromodiphenyl ether and heptabromodiphenyl ether, lindane, pentachlorobenzene (also listed in Annex C), tetrabromodiphenyl ether and pentabromodiphenyl ether) and Annex B (perfluorooctane sulfonic acid (PFOS), its salts and perfluorooctane sulfonyl fluoride). The amendments entered into force for most of the SC Parties on August 2, 2010.

According to Article 7 of the Convention, Parties are required to develop a National Implementation Plan (NIP) to demonstrate how the country will implement the obligations under the SC. The Party is requested to submit the NIP to the COP within two years of the date on which the SC enters into force for the Party. In compliance with this, Mexico signed the SC on POPs on May 23, 2001, and it was ratified by Congress on October 17, 2002. With funding from the Global Environmental Facility (GEF) and assistance from the World Bank, the original NIP of Mexico was developed and transmitted to the COP on the 2nd of December, 2008. The Ministry of Environment and Natural Resources (MoENR) was appointed as the National Coordination Entity for Information Exchange regarding the reduction or elimination of production, usage and releases of POPs. The Ministry of Environment and Natural Resources will also serve as Focal Point for the NIP update.

Mexico signed the SC on May 23, 2001 and was ratified by Congress on October 17, 2002.

Parties have been further requested to review and update their NIPs, as specified by COP decisions SC-1/12 during COP-1, and SC-4/10 to SC-4/18 at the fourth meeting of the COP (4 to 8 May, 2009). The COP considered and decided to list nine new POPs to Annexes A, B and C of the Convention, as recommended by the POPs Review Committee (POPRC). Most Parties to the SC are required to review, update and re-submit their NIPs by August 2012. The SC was further amended at the 5th meeting of the COP (April 2011) to include endosulfan in Annex A, with specific exemptions. Hence, endosulfan will be also covered by the present EA project.

The NIP update process will enable Mexico to establish inventories of products and articles containing the 10 newly listed POPs and identify industrial processes where these POPs are still employed or unintentionally produced. The NIP update will build on the existing national coordination mechanism and capacities established during the original NIP development, which included a National Steering Committee (NSC) involving relevant ministries and stakeholders dealing with POPs management. The NSC will be expanded to involve relevant stakeholders and experts for the management of the 10 newly listed POPs (e.g. on electronic appliances). The NIP update execution process will be implemented through a sub-contract where detailed Terms of References (ToR) will be prepared after project approval. Subcontracts will be signed by an authorized official from the Ministry and UNIDO. UNIDO will oversee the implementation of the project through an assigned project manager. The execution partner will nominate a high-level official National Project Director (NDP) to chair the National Project Director (NPD) to the chair the National Steering Committee (NSC). A National Project Coordinator shall be recruited by the national executing organization under the subcontract to perform the administration of the project on the national level.

As Mexico already gained some experience in conducting inventories and drafting action plans for elimination of pesticides, PCBs, DDT, and unintentionally produced POPs (U-POPs), the elimination of new POPs pesticides may, to a large extent, be managed similar to the initial POPs pesticides. However, new approaches are required to manage

the industrial POPs chemicals such as brominated diphenyl ethers (BDE) and PFOS, due to their global use in industrial processes, products (especially electronic appliances) and recycling streams. For these chemicals, new inventory analyses in terms of supply chain, material flow and stakeholder analyses are required to meet the challenges of mitigating / eliminating the hazards and risks associated with the new POPs consumer products and on the market.

As post-NIP activities, the project “*Environmentally Sound Management and Destruction of PCBs*” with the United Nations Development Organization (UNDP) as GEF Agency and the Ministry of Environment and Natural Resources as executing agency has been approved on the 16th of November 2007 and the CEO was endorsed on the 15th of January 2009 by GEF. The aim of this Full-sized project (FSP) is to minimize risks of PCB exposure to Mexicans, including vulnerable populations (e.g. school children and workers), and to the environment, while promoting Mexico’s timely compliance with the SC requirements for PCB management, including convention decommissioning, and destruction provisions. This project will, wherever possible, provide information and PCB data for the review and update of the NIP, and inventory update activity will build on the experience learned from conducting PBC inventories.

UNIDO with support of GEF is also currently preparing the project entitled “*Introduction of BAT/BEP and ESM strategies in priority to Annex C industrial source categories in Mexico in response to the requirement of the SC*”. This project will follow the main objective to introduce BAT and BEP strategies to the priority industrial source categories of unintentionally produced POPs (uPOPs) identified in the NIP. Synergies with this projects, especially with respect to relevant stakeholders involved in uPOPs

This GEF grant for this Enabling Activity (EA) shall equip the MoENR to fulfill its specific obligations under the SC to review, update and submit the NIP to the COP. It is envisaged that the created national awareness, inventory and technical capacity will lead to a sustainable administration of new POPs through strengthening the POPs management structure and supporting the necessary policies. GEF’s encouragement of global synergy for this NIP update proposal is also envisaged within the context of the proposed outcomes. While efforts are made to address specific country needs, the global coherence of these activities are considered. UNIDO will disseminate lessons learned from various NIP update projects, especially practical experiences gained from the new POPs inventories and recommendations on inventory procedures that will be shared with counterparts, relevant stakeholders and consultants working on the global NIP update portfolio.

<p>B. ENABLING ACTIVITY GOALS, OBJECTIVES, AND ACTIVITIES (The proposal should briefly justify and describe the project framework. Identify also key stakeholders involved in the project including the private sector, civil society organizations, local and indigenous communities, and their respective roles, as applicable. Describe also how the gender dimensions are considered in project design and implementation.)</p>	<p>The overall goal of the EA is to fulfill the country’s obligation under Article 7 of the SC, which is to review and update the NIP and submit it to the COP within two years after amendments enter into force.</p> <p>The tasks of the proposed EA will fill the gaps required to review and update the NIP. This will include strengthening the national coordination mechanism by involving additional stakeholders on new POPs, establishing working groups with expertise on new POPs issues, updating and reviewing the inventories of the original twelve POPs, conducting a basic inventory of new POPs, assessing the regulatory and policy framework and institutional capacities to manage new POPs, prioritizing and drafting relevant objectives and action plans for reducing and phasing out new POPs. Relevant stakeholders will be consulted and involved throughout the project implementation process. The updated, endorsed and submitted NIP will provide a basis to implement post-NIP projects in accordance with the requirements of the SC.</p> <p>The EA project will focus on the attainment of the following outcomes:</p> <ul style="list-style-type: none"> • Reviewed and updated National Implementation Plan (NIP) endorsed and submitted by the Government to the Conference of the Parties (COP) to the SC; • Participating stakeholders able to manage the additional POPs with newly developed technical skills, expertise and awareness.
<p>C. DESCRIBE THE ENABLING ACTIVITY AND INSTITUTIONAL FRAMEWORK FOR PROJECT IMPLEMENTATION (discuss the work intended to be undertaken and the output expected from each activity as outlined in Table A).</p>	<p>EA Outcome 1. Coordination mechanism in place with stakeholders aware of new POPs risks</p> <p>Output 1.1. Project coordination mechanism re-established and working groups formed and contracted</p> <p><i>Activity 1.1.1. Strengthen national coordination mechanism (to be covered under project management costs and co-financing)</i></p> <p>The already existing institutional base and national capacity to manage POPs in the MoENR will be maintained and strengthened, if necessary. The Project Coordination Unit (PCU) will consists of the National Project Director (NPD), National Project Coordinator (NPC), Project Technical Specialist (PTS) and additional national experts hired by the MoENR in support of project implementation. The main responsibilities of the PCU will be to draft the project work plan including assigned responsibilities amongst government and other stakeholders, the management of the project execution, initiation, final planning and budget. Strong emphasis will be placed on the participation of the private sector and civil society to ensure their active involvement in the execution of the project. The NPD will lead the work of the NSC and will provide guidance on policy, institutional and legal issues, wherer needed. All responsibilities, timelines and budget will be spelled out to guarantee the fast, safe and accurate execution of the project. Parallel executable activities will be underlined for timely effective implementation of the project.</p> <p>The PCU will also monitor technical aspects of the project, organize the inception and other workshops, establish and contract the working groups and consult stakeholders throughout the project life.</p>

Activity 1.1.2. Establish the National Steering Committee (NSC) (to be covered by PMC and co-financing)

The National Steering Committee (NSC) consisting of relevant ministerial bodies, representatives from NGO's and Universities is already in place and the governmental and nongovernmental organizations that participated in the original NIP development will also be involved in the NIP review and update process. However, additional stakeholders, representatives and ministries dealing with new POPs, especially stakeholders involved in the import and export of articles (e.g. electronic appliances) containing new POPs, and stakeholders from industry sectors affected by regulations on production / disposal of waste and articles containing new POPs will be involved.

Activity 1.1.3. Draw up overall workplan, select working groups, national and international experts and assign responsibilities

UNIDO with the guidance of the NPC will prepare the workplan for review and approval by the POPs NSC. Strong emphasis will be placed on the participation of the private sector and civil society to ensure their active involvement in the execution of the workplan. All responsibilities, timelines and budgets will be clearly spelled out in order to guarantee the fast, safe and accurate execution of the project. The parallel executable activities will be underlined for time effective implementation.

Four working groups with expertise in specific areas will be responsible for conducting the inventories:

- The working group on institutional and legal issues will assess and prepare a gap-analysis on the current legislative and institutional framework pertaining to the management of new POPs chemicals and waste. The results will be summarized in a report.
- The three inventory working groups will be on (i) old and new POPs pesticides, (ii) old and new industrial POPs and (iii) old and new unintentionally produced POPs (uPOPs). Each working group will update the existing POPs inventory and conduct the new POPs inventory. The members of the working groups should be representatives from all relevant institutions and other stakeholders (industry, farmers, waste disposal and recycling, etc.).

National and international experts will assist in the implementation of the project activities, outputs and drafting of the project documents. The national experts will be selected and contracted by the PCU under the sub-contract, and the international expert will be selected and contracted by UNIDO

Output 1.2. Stakeholders and public are informed, consulted and aware of new POPs risks and policy implications

Activity 1.2.1. Stakeholder consultation (to be covered by PMC and co-financing)

The MoENR in close coordination with UNIDO's project manager will be responsible to canvass the support, cooperation and consultation of all relevant stakeholders. Special attention will be given to informing producers, importers and distributors of new POPs and/or articles

containing new POPs on the obligations of the country under the SC and their involvement in inventory and priority setting processes. Feedback, suggestions and comments received from stakeholders will be reviewed, considered and answered by the project management.

Activity 1.2.2. Public information and awareness of the new POPs and EA project development

The SC strongly promotes the involvement of the public in the preparation and implementation of NIP-related activities as a major driving force for initiating environmental-health improvements. Thus, the communication strategy of the EA project will include activities for timely informing the public on planned activities and achieved results. Special information releases will be prepared and distributed to different public organizations and media. The national POPs website will be continuously updated on project activities. Feedback, suggestions and comments received from public organizations will be reviewed, considered and answered by the project management. Awareness raising workshops may also be organized for information creation.

Activity 1.2.3. Hold inception workshop for high-level commitment

An inception workshop will be held to raise awareness of the EA project on updating and reviewing the NIP amongst the widest possible range of stakeholders (government institutions, industry and industrial associations, NGOs, university, etc) and to get a full understanding an integrated approach for getting the NIP updated, endorsed and submitted. The integrated approach will involve different steps, activities and assigned responsibilities among government, stakeholders and project participants, including conducting the POPs inventory, assessing the national capacity, prioritization and drafting of action plans, reviewing of the updated NIP by stakeholders and an international consultant, and endorsement by the government and SC secretariat. The POPs Office will have a coordinator role for the NIP update activities.

Assuring high-level commitment throughout the project is essential for reviewing and updating the NIP in terms of providing the necessary financial and human resources as well as the requested in-kind contributions by the Government; participating of the stakeholders in the implementation process in an effective and timely manner.

The workshop itself will focus on the presentation and discussion of the project workplan, planned activities, assigning responsibilities and tasks among all relevant project participants and stakeholders, and timeframes necessary to meet the obligations of the SC. The principal output of the inception workshop is to get the commitment of high-level participants and stakeholders, in written form, for the implementation process of this NIP review and update.

Outcome 2 Validation of inventories of new POPs (and updating of initial 12 POPs) by relevant stakeholders

Output 2.1. Inventories of initial 12 POPs updated and validated by stakeholders

Activity 2.1.1. Update and validate inventories of the initial 12 POPs

Each working group will gather relevant information and update inventories of the initial 12 POPs. Because the inventories have been conducted before 2008, a need for further updating and verification of the inventories is essential to improve the formulation of post-NIP projects. The capacity strengthened within the above mentioned UNDP project will be used, wherever possible. The draft inventory database and assessment report will be submitted to UNIDO for evaluation and consequent inventory revision, if needed. The revised database and assessment reports will be further submitted to the NSC for approval.

Activity 2.1.2. Hold validation meeting for initial POPs inventories

The final draft of the preliminary inventories and assessment reports on the initial POPs will be submitted to the NSC for approval. The PCU will organize a meeting to validate and discuss the outcomes of the updated POPs inventories. All working-group members, PCU and relevant stakeholders will be invited to the meeting.

Output 2.2. Inventories of new POPs conducted and validated by stakeholders

Activity 2.2.1. Train working groups in charge of inventories

A training workshop will be held on new POPs inventory procedures for the working groups and national experts identified at the inception workshop. International experts will conduct the training, which will elaborate on the following:

- procedures for gathering new POPs-related information;
- conducting new POPs inventories of trade, use, stocks and contaminated sites according to new POPs guidelines;
- assessing the national institutional and policy framework;
- assessing the current national level of public awareness on new POPs;
- assessing socio-economic implications of new POPs utilization, elimination and reduction;
- obligations under the SC with respect to new POPs management.

Activity 2.2.2. Conduct new POPs inventories

The working groups will conduct a preliminary inventory of the national presence of new POPs, and will decide which new POPs or articles containing new POPs need a basic national inventory. The working groups on new POPs pesticides, industrial POPs and uPOPs will gather relevant baseline data on production, distribution, use, import and export of new POPs and on types and quantities of articles containing new POPs (especially e-waste products). For conducting inventories on new POPs the "*Guidelines for updating of National Implementation Plans (NIPs) under the SC taking into account the new POPs added to the Convention*" prepared by UNIDO with assistance from the SC and UNITAR will be used. These guidance have undergone peer review and the final version will be ready soon. Several training activities on the use of the guidelines involving implementing agencies and relevant stakeholders have been planned by the SC.

	<p>Each working group shall also consider stockpiles, contaminated sites, as well as new POPs alternatives identified at the international level in their overall assessments.</p> <p>The draft inventory database and assessment reports will be submitted to UNIDO for evaluation and consequent inventory revision, if needed. The revised database and assessment reports will be further submitted to the NSC for approval.</p> <p><i>Activity 2.2.3. Hold validation workshop for new POPs inventory</i></p> <p>The PCU will organize a workshop to discuss and validate the key outcomes of the new POPs inventory. All working group members, NSC and relevant stakeholders will be invited to the workshop.</p> <p>Outcome 3 Identification of national capacities for new POPs management and priority setting of new POPs risk reduction options.</p> <p>Output 3.1. National regulatory and policy framework and institutional capacities to manage new POPs assessed</p> <p><i>Activity 3.1.1. Review the legislative and regulatory framework pertaining to new POPs management</i></p> <p>The working group will gather information and perform a gap analysis on the current legislative and regulatory framework to meet the requirements of the SC with regard to the new POPs. The starting point will be the information already present in the NIP, however, the database will also be reviewed and updated with potential changes in the legal and institutional framework pertaining to the management of POPs that may have occurred since the NIP development. An assessment report will be drafted and submitted to the NSC for review and approval.</p> <p><i>Activity 3.1.2. Assess monitoring, analytical and enforcement capacities for new POPs</i></p> <p>The technical specialist will gather relevant information and assess the national monitoring, analytical and enforcement capacity with respect to new POPs management. An assessment report will be drafted and submitted to the NSC for review and approval.</p> <p><i>Activity 3.1.3. Assess socio-economic implications of new POPs use and reduction</i></p> <p>The national expert on socio-economic implications of new POPs use and reduction will gather relevant basic data on national new POPs use and reduction. Occupational roles for women and children, especially agricultural and household activities, have an impact on the level of new POPs exposure and thus effects on human health. The assessment will especially taking into account women dealing and handling new POPs chemicals, and will elaborate the benefits from new POPs use and reduction.</p>
--	---

The draft guidance on socio-economic assessment for national implementation plan development and implementation under the SC (UNEP/POPS/COP.3/INF/8 will be used as guidance material. A draft version of the assessment report will be submitted to the NSC for review and approval.

Output 3.2. Prioritization of new POPs risk reduction options based on criteria, cost and benefit and inventory results completed

Activity 3.2.1. Develop criteria for prioritisation and national-objective setting

The NSC and all relevant stakeholders will develop criteria for prioritizing health and environmental impacts of POPs based on the inventory data, assessment reports and recommendations made by the working groups. These criteria will also take into account socio-economic impacts and the availability of alternative solutions to new POPs. Based on these criteria, priority issues to address the management of new POPs and a set of objectives to guide preliminary country-specific activities relevant to new POPs will be developed. This step will take into account and adjust, if necessary, POP priority areas outlined in the original NIP. The proposed criteria, objectives and priorities will be submitted to the NSC for review and approval.

Activity 3.2.2. Hold national priority validation workshop

A national priority validation workshop for all working group members, national and international experts, the PCU, NSC and relevant stakeholders will be held to validate national criteria, objectives and priorities for national POPs management drafted by the NSC. Developing these criteria and priorities will be used to draft specific action plans, including their cost assessment, which shall receive more attention than other areas and should be considered for drafting post-NIP projects for the NIP implementation process.

Following the meeting, the project coordinator will prepare a report, setting out criteria, national objectives and priorities, taking into account the comments made by the NSC and participants.

Outcome 4. Government endorsement and submission of updated NIP to the SC Conference of Parties

Output 4.1. Updated and reviewed NIP drafted

Activity 4.1.1. Formulate specific action plans on new POPs management

With support from international consultants, whenever needed, the project coordinator and the working groups with expertise on the new POPs will formulate action plans for reaching Mexico's objectives with respect to each of the ten new POPs, with responsibilities assigned and implementing mechanisms well defined. In addition, each section of the original NIP (e.g. country profile, action plans on POPs pesticides and on measures to reduce or eliminate releases from unintentional production) will be reviewed and information will be updated, if necessary.

	<p>Drafting of the action plans on new POPs will build on lessons learned from the development of the original NIP. The action plans for the updated NIP will include in general the: (i) identification of management options, including phasing out and risk reduction options; (ii) determination of the need for the introduction of technologies, including technology transfer and possibilities for developing alternatives; (iii) assessment of the costs and benefits of management options; and (iv) development of a national strategy for information exchange, education, communication and awareness raising, taking into account the risk perception of POPs by the public.</p> <p>Regarding hexabromodiphenyl ether, heptabromodiphenyl ether, tetrabromodiphenyl ether and pentabromodiphenyl ether, respectively, the action plans should address the need to identify the presence of articles containing these chemicals in the recycling and waste streams and to assess appropriate disposal technologies. The development of action plans for PFOS, its salts, and perfluorooctane sulfonyl fluoride (PFOS-F) should be built on the basic inventory of PFOS uses and acceptable purposes and specific exemptions for the production and use of PFOS for which Mexico has registered.</p> <p>During the process of drafting specific action plans and updating the NIP, special attention will be drawn to the consideration of post-NIP projects which will assist in speeding up the implementation of the NIP in Mexico. The consideration of potential post-NIP projects will be based on the decided POPs priorities for Mexico, funding request and timelines necessary for implementation.</p> <p><i>Activity 4.1.2. Draft the updated NIP</i></p> <p>The NIP will be drafted by the PCU and an international consultant will review and comment on the draft NIP, taking into account the requirements set out in the SC and in the <i>"Interi, Guidance for developing a NIP for the SC"</i>. The revised NIP will be submitted to UNIDO and all relevant stakeholders for written comments. The circulation, with the revised draft NIP, of a questionnaire prepared by the independent consultant who reviewed the documents, will aid this process. Written submissions will be gathered by the project coordinator and be taken into account for the final draft NIP.</p> <p>Output 4.2. NIP endorsed by the Government and submitted to the Conference of the Parties to the SC</p> <p><i>Activity 4.2.1. Hold endorsement workshop for the updated NIP</i></p> <p>An endorsement workshop will be held with all relevant governmental bodies and stakeholders to review, comment and endorse the final updated NIP. An international consultant and UNIDO representative will also attend the workshop for reviewing and finalizing the NIP, and for seeking high-level commitment for the successful implementation of the NIP.</p> <p><i>Activity 4.2.2. Endorse and submit the updated NIP to the COP through the SC</i></p> <p><i>Reviewed and updated NIP made available to the public</i></p>
--	---

	<p>The reviewed and updated NIP will be published at the national POPs website for public information.</p> <p><i>Endorsement and submission of the updated NIP by the Government and transmission to the Conference of the Parties to the SC</i></p> <p>The NIP shall be incorporated into the national development planning and sustainable development objectives; so, it has to be approved by the Government. The Government requires the related institutions to undertake activities for the forthcoming NIP implementation. It might also decide to dedicate future financial resources to be used for certain NIP-update implementation activities.</p> <p>The reviewed NIP will be endorsed by the Government and submitted to the COP through the SC Secretariat.</p>
<p>D. DESCRIBE, IF POSSIBLE, THE EXPECTED <u>COST-EFFECTIVENESS</u> OF THE PROJECT:</p>	<p>The project aims at building on available capacities that were established during the development of the initial NIP. These infrastructures and human resources have been maintained and are ready in the country. The intention is to integrate new POPs related management issues into the currently working system. This will also reduce the need for international expertise, thus reducing the costs.</p> <p>Both financial and human resources in UNIDO will be maximized to ensure dissemination of lesson learned and knowledge sharing within the region.</p>
<p>E. DESCRIBE THE BUDGETED M&E PLAN:</p>	<p>Day to day monitoring of implementation progress will be the responsibility of the PCU, based on the project's work plan. The PCU will inform UNIDO of any delays or difficulties faced during implementation so that the appropriate support or corrective measures can be adopted in a timely and remedial manner.</p> <p>Periodic monitoring of implementation progress will also be undertaken by UNIDO. This will allow PCU to troubleshoot any problems pertaining to the project in a timely fashion to ensure smooth implementation of the project activities. Two major technical reviews are planned. The first one will look at the preliminary inventories of POPs, and the other at the draft NIP.</p> <p>UNIDO requires progress reports and final evaluation which will be carried out according to UNIDO's evaluation policy. The progress reports will monitor project implementation progress towards the achievement of project objectives. This report will focus on the effectiveness, efficiency and timelines of project implementation; highlight issues requiring decisions and actions, and will present initial lessons learned about project design, implementation and management.</p>

	<p>The self evaluation would look at the overall performance of the project and its results, assessing project relevance, effectiveness, efficiency and sustainability of results, including the contribution to capacity development and the achievement of global environmental goals. The final evaluation undertaken by UNIDO should also provide recommendations for follow-up activities. Findings of this report will be incorporated as recommendations for the design of similar projects.</p> <p>Monitoring and Evaluation Plan</p> <table border="1" data-bbox="740 457 1518 1291"> <thead> <tr> <th data-bbox="740 457 1130 533">Monitoring tool</th> <th data-bbox="1130 457 1313 533">Deadline</th> <th data-bbox="1313 457 1518 533">GEF Budget (US\$)</th> </tr> </thead> <tbody> <tr> <td colspan="3" data-bbox="740 533 1518 579">Technical</td> </tr> <tr> <td data-bbox="740 579 1130 684">Technical review of the updated POPs inventory and assessment reports</td> <td data-bbox="1130 579 1313 684">6th project month</td> <td data-bbox="1313 579 1518 684">2,000</td> </tr> <tr> <td data-bbox="740 684 1130 760">Peer review of the updated NIP document</td> <td data-bbox="1130 684 1313 760">10th project month</td> <td data-bbox="1313 684 1518 760">2,000</td> </tr> <tr> <td colspan="3" data-bbox="740 760 1518 806">Implementation progress</td> </tr> <tr> <td data-bbox="740 806 1130 911">Inception workshop report and progress report</td> <td data-bbox="1130 806 1313 911">3rd project month</td> <td data-bbox="1313 806 1518 911">Included in project management cost</td> </tr> <tr> <td data-bbox="740 911 1130 1016">Submission of validated POPs inventories and project progress report</td> <td data-bbox="1130 911 1313 1016">7th project month</td> <td data-bbox="1313 911 1518 1016">Included in project management cost</td> </tr> <tr> <td data-bbox="740 1016 1130 1121">Endorsement workshop report and submission of the final NIP to UNIDO</td> <td data-bbox="1130 1016 1313 1121">12th project month</td> <td data-bbox="1313 1016 1518 1121">Included in project management cost</td> </tr> <tr> <td colspan="3" data-bbox="740 1121 1518 1167">Technical and progress evaluation</td> </tr> <tr> <td data-bbox="740 1167 1130 1243">Terminal evaluation</td> <td data-bbox="1130 1167 1313 1243">At project closure</td> <td data-bbox="1313 1167 1518 1243">6,000</td> </tr> <tr> <td colspan="2" data-bbox="740 1243 1313 1291">Total cost</td> <td data-bbox="1313 1243 1518 1291">10,000</td> </tr> </tbody> </table>	Monitoring tool	Deadline	GEF Budget (US\$)	Technical			Technical review of the updated POPs inventory and assessment reports	6 th project month	2,000	Peer review of the updated NIP document	10 th project month	2,000	Implementation progress			Inception workshop report and progress report	3 rd project month	Included in project management cost	Submission of validated POPs inventories and project progress report	7 th project month	Included in project management cost	Endorsement workshop report and submission of the final NIP to UNIDO	12 th project month	Included in project management cost	Technical and progress evaluation			Terminal evaluation	At project closure	6,000	Total cost		10,000
Monitoring tool	Deadline	GEF Budget (US\$)																																
Technical																																		
Technical review of the updated POPs inventory and assessment reports	6 th project month	2,000																																
Peer review of the updated NIP document	10 th project month	2,000																																
Implementation progress																																		
Inception workshop report and progress report	3 rd project month	Included in project management cost																																
Submission of validated POPs inventories and project progress report	7 th project month	Included in project management cost																																
Endorsement workshop report and submission of the final NIP to UNIDO	12 th project month	Included in project management cost																																
Technical and progress evaluation																																		
Terminal evaluation	At project closure	6,000																																
Total cost		10,000																																
<p>F. EXPLAIN THE DEVIATIONS FROM TYPICAL COST RANGES (WHERE APPLICABLE):</p>	<p>N/a</p>																																	

PART III: APPROVAL/ENDORSEMENT BY GEF OPERATIONAL FOCAL POINT(S) AND GEF AGENCY(IES)

A. RECORD OF ENDORSEMENT OF GEF OPERATIONAL FOCAL POINT(S) ON BEHALF OF THE GOVERNMENT(S):
(Please attach the [country endorsement letter\(s\)](#) with this template).

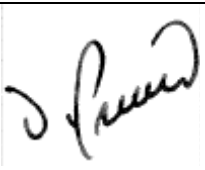
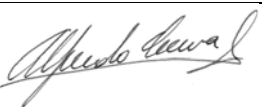
NAME	POSITION	MINISTRY	DATE (Month, day, year)
Mr. Mauricio Limon Aguirre	Undersecretary	MINISTRY OF ENVIRONMENT AND NATURAL RESOURCES	08, 03, 2012

B. CONVENTION PARTICIPATION

CONVENTION	DATE OF RATIFICATION/ ACCESSION (mm/dd/yy)	NATIONAL FOCAL POINT
UNCBD		
UNFCCC		
UNCCD		
STOCKHOLM CONVENTION	10/17/2002	MINISTRY OF ENVIRONMENT AND NATURAL RESOURCES

B. GEF AGENCY(IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the standards of the GEF Project Review Criteria for Persistent Organic Pollutants Enabling Activity approval.

Agency Coordinator, Agency name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	E-mail Address
Mr. Dmitri Piskounov, Managing Director PTC, UNIDO GEF Focal Point		01/25/2013	 Mr. Alfredo Cueva	+43 1 26026 5228	<u>A.Cueva-Jacome@unido.org</u>

CONSULTANTS TO BE HIRED FOR THE ENABLING ACTIVITY

<i>Position Titles</i>	<i>\$/ Person Week</i>	<i>Estimated Person Weeks</i>	<i>Tasks to be Performed</i>
For EA Management			
Local			
Project Coordinator	960	18	<p>The PC will:</p> <ul style="list-style-type: none"> - lead and coordinate the day-to-day management of the project and the project staff, including administration issues, financial and timeline of project implementation; - identify stakeholders interested in participating in the project; - ensure timely implementation of workshops, training and inventories, including a workplan; - in coordination with UNIO will prepared terms of references (TORs) and hiring staff and purchasing processes based on UNIDO procedures; - coordinate, monitor, and supervise the activities of consultants and expert teams, especially in the field; - ensure that UNIDO procedures are complied with in relation to purchasing, storing and supplying materials and equipment for the project; - organize and participate in Steering Committee meetings and training for trainers programme; - be required to prepare and submit all progress and final reports to UNIDO as agreed in the TOR of the subcontract;
International			
External Evaluator (to be covered under management and evaluation)	3,000	2	<p>The expert will:</p> <ul style="list-style-type: none"> - carry out terminal evaluation of the project by visiting project sites and interviewing those who are involved in the administration and technical aspects of the project; and - prepare a terminal evaluation report.
For Technical Assistance			
Local			

Project Coordinator	960	34	<p>The Project Coordinator:</p> <ul style="list-style-type: none"> - to liase with UNIDO to obtain the assistance needed during project implementation, including technical directives for project activities or assistance in identifying and engaging experts; - to liase regularly with the project team and to ensure that the decisiosn and recommendations of the project teams are fully incorporated within the scope of the project's implementation; - lead the development of the project design, including the preparation of workplans, drafting of terms of references, contracts, preparation of technical specifications for equipment purchased under the project, cost estimation, activity scheduling, and reporting on the forward planning of project activities and budget expenditures; - to be fully aware of and familiar with all financial and technical ruls, regualtions and procedures relevant to the project implementation (both GEF and UNIDO).
Project Technical Specialist	465	34	<p>The PTS should:</p> <ul style="list-style-type: none"> - work in close consultation with key stakeholders i.e. ministries, government commissions, major private sector associations and NGOs relevant to the project and provide strategic guidance in her/his areas of expertise; - ensure that highly technical documents are translated in a plain language understandable for the decision makers, stakeholders, and the broader public. - propose candidates for the task teams and prepare the terms of references for their positions; - organize and/or provide (with or without international technical expert assistance) training and guidance to the task teams; - be responsible for verifying the work for the various task teams, ensuring the technical validity of their work and products; - be responsible for compiling the products of the task team work and for producing (with or without international technical expert assistance) the final reports agreed with the Project Coordinator; - closely cooperat with the international expert in his or her field of expertise and provide the international expert with necessary local support.

Local experts on institutional and legal issues	581	20	<p>The expert(s) should:</p> <ul style="list-style-type: none"> - consult relevant governments, NGOs and stakeholders familiar with new POPs and waste issues; - update the list/database of institutions/organizations being engaged in original and new POPs management. The list should also contain roles and responsibilities of related institutions/organizations in POPs management; - gather and process relevant data on institutional and legal issues; - prepare a gap analysis of the existing legal and institutional framework with respect to the implementation of the Stockholm Convention; - update and review the specific action plans on institutional and legal issues; and - recommend a priority list.
Local experts on old and new POPs pesticides inventory	651	26	<p>The expert(s) should</p> <ul style="list-style-type: none"> - develop a detailed work plan for updating the pesticide inventory; - collect available statistical data linked with old and new POPs pesticides in the country; - develop special inventory forms to gather inventory data; - coordinate the process of data collection; - analyze the collected inventory data; - create an updated inventory of the old and new POPs pesticides and obsolete POPs pesticides present in the country; - summarize the results in a report; - draft the action plans on old and new POPs pesticides; - recommend a list of priorities.
Local experts on old and new industrial POPs inventory	651	26	<p>The expert(s) should:</p> <ul style="list-style-type: none"> - develop a detailed work plan for updating the industrial POPs inventory; - check data from the PCB database that exists in the Ministry of Environment, Mining and Spatial Planning and make the inventory format to be in line with the existing database; - collect available statistical data linked with the new industrial POPs; - consider products contaminated with the new POPs (especially regarding e-waste) and contaminated sites in their overall inventory assessment; - analyze the collected inventory data; - create an updated inventory of old and new industrial POPs present in the country; - summarize the results in a report; - draft the action plans on old and new industrial POPs; - recommend a list of priorities.

Local experts on old and new unintentional POPs inventory	651	26	<p>The expert(s) should</p> <ul style="list-style-type: none"> - develop a detailed work plan for updating the unintentional POPs inventory; - collect available statistical data linked with old and new unintentional POPs in the country; - develop special inventory forms to gather inventory data; - coordinate the process of data collection; - analyze the collected inventory data; - create an updated inventory of the old and new unintentional POPs present in the country; - summarize the results in a report; - draft the action plans on old and new unintentional POPs; - recommend a list of priorities.
Expert on socio-economic assessment	581	10	<p>The expert should gather information and should assess socio-economic implications on new POPs use and their health and environmental effects related to new POPs exposure and summarize the findings in a comprehensive report.</p>
International			
Expert on new POPs management	3,000	5	<p>The expert will:</p> <ul style="list-style-type: none"> - assist in the assessment of national institutional capacities for POPs management, national POPs legislative, regulatory and enforcement capacities, national POPs socio-economic/health/environmental impacts; socio-economic implications of POPs reduction/elimination and POPs monitoring and research and development capacity; - provide relevant training and advice to the task teams and review documents and reports; - assist in development of criteria for prioritizing POPs and options for POPs reduction and elimination; - provide advice on identifying barriers to the phase-out, reduction, remediation and disposal of POPs and actions to remove them, raising awareness and information exchange mechanisms, necessary capacity-building activities technology and know-how transfer needs and estimation of investment costs; - lead the work related to identification of NIP targets, timeframes and indicators; - conduct the initial cost estimate for NIP execution.

OPERATIONAL GUIDANCE TO FOCAL AREA ENABLING ACTIVITIES

Biodiversity

- [GEF/C.7/Inf.11, June 30, 1997, Revised Operational Criteria for Enabling Activities](#)
- [GEF/C.14/11, December 1999, An Interim Assessment of Biodiversity Enabling Activities](#)
- [October 2000, Revised Guidelines for Additional Funding of Biodiversity Enabling Activities \(Expedited Procedures\)](#)

Climate Change

- [GEF/C.9/Inf.5, February 1997, Operational Guidelines for Expedited Financing of Initial Communications from Non-Annex 1 Parties](#)
- [October 1999, Guidelines for Expedited Financing of Climate Change Enabling Activities – Part II, Expedited Financing for \(Interim\) Measures for Capacity Building in Priority Areas](#)
- [GEF/C.15/Inf.12, April 7, 2000, Information Note on the Financing of Second National Communications to the UN Framework Convention on Climate Change](#)
- [GEF/C.22/Inf.15/Rev.1, November 30, 2007, Updated Operational Procedures for the Expedited Financing of National Communications from Non-Annex 1 Parties](#)

Persistent Organic Pollutants

- [GEF/C.17/4, April 6, 2001, Initial Guidelines for Enabling Activities for the Stockholm Convention on Persistent Organic Pollutants](#)
- [GEF/C.39/Inf.5, October 19, 2010, Guidelines for Reviewing and Updating the NIP under the Stockholm Convention on POPs](#)

Land Degradation

- [ICCD/CRIC\(5\)/Inf.3, December 23, 2005, National Reporting Process of Affected Country Parties: Explanatory Note and Help Guide](#)

National Capacity Self-Assessment (NCSA)

- [Operational Guidelines for Expedited Funding of National Self Assessments of Capacity Building Needs, September 2001](#)
- [A Guide for Self-Assessment of Country Capacity Needs for Global Environmental Management, September 2001](#)

National Adaptation Plan of Action (NAPA)

- [GEF/C.19/Inf.7, May 8, 2002, Notes on GEF Support for National Adaptation Plan of Action,](#)