



**PROJECT PREPARATION GRANT (PPG)**

**PROJECT TYPE: Full-sized Project**

**TYPE OF TRUST FUND: GEF Trust Fund**

**Submission date: 02/19/2013**

**GEF PROJECT ID: 5068**

**GEF AGENCY PROJECT ID: 5155**

**COUNTRY(IES): Kyrgyzstan**

**PROJECT TITLE: Protect Human Health And The Environment From Unintentional Releases Of Pops And Mercury From The Unsound Disposal Of Healthcare Waste In Kyrgyzstan**

**GEF AGENCY(IES): UNDP, (select), (select)**

**GEF FOCAL AREA(S): Persistent Organic Pollutants**

**A. PROJECT PREPARATION TIMEFRAME**

Start date of PPG	03/30/2013
Completion date of PPG	10/30/2014

**B. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)**

Describe the PPG activities and justifications:

1. The proposed project will focus on three thematic areas:

Component 1: HCWM National plan, implementation strategies, and national and city-wide policies enhanced.

Component 2: BAT/BEP implemented for HCWM systems and recycling implemented for the City of Bishkek - capital area.

Component 3: Mercury waste management and mercury reduction activities for the City of Bishkek implemented.

2. The PPG will be necessary in order to refine project objectives, outcomes, and outputs, estimates of global environmental benefits and incrementability as well as the work plan and budget for each of the three project components. PPG grant will be applied to finance discussions, meetings, assessments and assistance provided/undertaken by local and international consultants, in order to improve baseline scenario mapping, cost-effectiveness and the global benefits of the project, secure co-financing resources for each of the project components and finalize the project's scoping and definition (see also point 5).

3. Development of Component 1:

- Collection and analysis of data on HCWM in relation to project's objectives;
- Consolidation and review of national documentation pertaining to health-care sector development strategies (plans, policies, programmes, etc.)
- Identification of main stakeholders and analysis of their current (institutional) roles, responsibilities, capacities and needs, in particular with respect to sound health-care waste management.

4. Development of Components 2 and 3:

- Consolidation and gaps/needs assessment of current policies/guidelines and regulatory regimes pertaining to healthcare waste and mercury (waste) management (at both national, governorate and facility level), to determine appropriate policy and regulatory support/interventions (including monitoring/compliance capacity) as part of the proposed project.
- Identification of main stakeholders and initiation of cooperation.
- Consolidation and review of available information on healthcare waste generation quantities and u-POPs and mercury emissions originating from the healthcare sector.
- Selection of a priority governorate and pre-selection of model facilities and (a) centralized treatment facility(ies) will be made based on available information. Subsequently, rapid baseline assessments will be carried out to assess pre-selected facilities, establish accurate baselines and make recommendations for pre-final selection of facilities and technical assistance interventions based on facility types/needs for inclusion in the project document.
- Assessment of existing national HCW and mercury management training opportunities in order to determine interventions to improving national training opportunities as part of the proposed project.

### 5. Project Scoping and Definition

The PPG will finance the definition of the details of the practical and administrative aspects of the project's design, allowing the formulation of a Project Document, including: i) validation of project design with the government and other counterparts, ii) barrier analyses, based on consultant reports and a multi-stakeholder workshop; iii) a logical framework, based on a multi-stakeholder workshop and the recommendations of consultancy studies, including indicators and quantified targets, combined with baseline values supplied by thematic consultants; iv) a participation strategy, v) an analysis of the programmatic baseline; vi) a project budget and work plan in standard UNDP and GEF format; vii) a monitoring and evaluation strategy; viii) a learning and replication strategy and ix) text and supportive technical analyses detailing the justification and strategies of the project.

List of Proposed Project Preparation Activities	Output of the PPG Activities	Trust Fund	Grant Amount (a)	Co-financing (b)	Total c = a + b
Formulation of Component 1(HCWM National plan, implementation strategies, and national and city-wide policies enhanced)	Improved baseline information on general HCWM policies, current practices and gaps in institutional and policy capacity and regime, as well as formulated overall approach to improve HCWM in the country.  Co-financing resources negotiated.  Component 1 prepared for integration into FSP.	GEF TF	10,000	20,000	30,000
Formulation of Components 2 and 3	Reviewed existing policy and regulatory	GEF TF	60,000	100,000	160,000

<p>(BAT/BEP implemented for HCWM systems and recycling implemented for the City of Bishkek - capital area)</p>	<p>regimes governing HCW and mercury management to identify barriers/risks towards achievement of sound management/disposal practices.</p> <p>Improved baseline information on u-POPs and mercury emissions from HCW and analysis of current management/disposal practices through data analysis and rapid baseline assessments.</p> <p>Identified partnerships, including identification/pre-selection of priority healthcare and disposal facilities based on rapid assessments.</p> <p>Conducted gaps/needs assessment of national HCW and mercury management training opportunities.</p> <p>Identified opportunities for introduction of BEP/ deployment of BAT, improvement of regulatory/policy frameworks, training opportunities and institutional capacity as part of FSP.</p> <p>Co-financing resources negotiated.</p> <p>Components 2 and 3 prepared for integration into FSP.</p>				
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Overall consolidation of the FSP in line with applicable GEF templates, inclusive of M&E design, and full summarized description of barrier analysis, associated risks and their mitigation approaches, logical results framework, and project budget and work plan.	FSP as one consolidated document is formulated in line with GEF requirements and format, and submitted to the GEF Secretariat.	(select)	5,000	20,000	25,000
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
<b>Total Project Preparation Financing</b>			<b>75,000</b>	<b>140,000</b>	<b>215,000</b>

**C. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)**

	<b>Project Preparation</b>	<b>Agency Fee</b>
Grant Amount	75,000	7,125
Co-financing	140,000	
<b>Total</b>	<b>215,000</b>	<b>7,125</b>

**D. PPG AMOUNT REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)<sup>1</sup>**

<b>Trust Fund</b>	<b>GEF Agency</b>	<b>Focal Area</b>	<b>Country Name/ Global</b>	<b>(in \$)</b>		
				<b>PPG (a)</b>	<b>Agency Fee (b)</b>	<b>Total c = a + b</b>
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0

(select)	(select)	(select)				0
<b>Total PPG Amount</b>			<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

<sup>1</sup> No need to provide information for this table if it is a single focal area, single country and single GEF Agency project.


#### E. PPG BUDGET

Cost Items	Total Estimated Person Weeks for Grant (PW)	Grant Amount (\$)	Co-financing (\$)	Total(\$)
Local consultants *	25.00	25,000	100,000	125,000
International consultants*	10.00	35,000	0	35,000
Travel		7,000	0	7,000
Workshops and stakeholders consultation		8,000	40,000	48,000
				0
				0
<b>Total PPG Budget</b>		<b>75,000</b>	<b>140,000</b>	<b>215,000</b>

\* Annex A for Consultant cost details should be prepared first before completing this table. See notes on Annex A for the required detailed information. This table is the sum of all local and international consultants presented in Annex A.

#### F. GEF AGENCY(IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF LDCF/SCCF Trust Fund criteria for project identification and preparation.
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Agency Coordinator, Agency Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Adriana Dinu, Officer in Charge, UNDP-GEF		02/19/2013	Dr. Suely Carvalho	(+1)-212-906-6687	<a href="mailto:suely.carvalho@undp.org">suely.carvalho@undp.org</a>

**Annex A**

**Consultants Financed by the Project Preparation Grant (PPG)**

Type of Consultant	Position / Titles	\$/ Person Week <sup>1</sup>	Estimated PWs <sup>2</sup>	Tasks to be Performed
Local	National Coordinator For Project Formulation	1000	10.00	Part-time: - Establish, improve and maintain partnership with key Government and other stakeholders and project participants; - Ensure close stakeholder consultations on the project's design and activities (through meetings, workshops, other means of official communication); - Coordinate negotiations and leverage of co-finance resources under UNDP CO's guidance; - Coordinate daily/weekly/monthly activities of and timely results delivery by recruited specialists (national and international); - Process the results of the data collection and studies and lead the baseline analysis under guidance of recruited international experts, UNDP CO and RTAs; - With assistance of the agency and international experts lead the project design and formulation of the Full Size Project Document including baseline, logical framework, costs and M&E system.
Local	Hcwm And Mercury Components: Technical Consultant (1 Full Time) And Legal Expert (1 Part Time)	1000	15.00	Full-time (technical): - Report directly to PC; - Coordinate daily/weekly/monthly activities with PC and HCWM IC; - Conduct research and data collection on current u-POPs and mercury emission baseline in the healthcare waste management sector; - Identify possible economic, financial and market instruments to be considered in the FSP to improve HCW management; - Identify project stakeholders to establish partnership for effective FSP implementation and leveraging co-finance resources; - Identify (pre-selection) model facilities to participate in the capacity building in HCW and mercury management sector; - Obtain required baseline information

				<p>on model facilities which will be used in formulation of technical assistance packages per facility;</p> <ul style="list-style-type: none"> <li>- Assist in developing individual implementation plans for model facilities;</li> <li>- Assess gender elements associated with improper HCWM;</li> <li>- Assist PC in arranging a workshop on HCW and mercury management initiative.</li> </ul> <p>Part-time (legal):</p> <ul style="list-style-type: none"> <li>- Report directly to PC;</li> <li>- Coordinate work with the local HCWM technical expert;</li> <li>- Perform a detailed review of the existing policy and legal framework and institutional capacity to identify gaps and areas for better control over HCWM and improvements;</li> <li>- Determine structural, operational and other barriers and needs at state and local level authorities to enforce a comprehensive legal framework;</li> <li>- Assist PC to develop a detailed strategy to strengthen the legal framework and the authorities enforcement capacity</li> </ul>
International	Healthcare And Mercury Waste Policy And Management Consultant	3500	10.00	<p>Part-time with field missions:</p> <ul style="list-style-type: none"> <li>- Report to UNDP CO, PPG manager;</li> <li>- Coordinate work with UNDP CO, PC, RTA and the project development consultants;</li> <li>- Go on field missions as set in TOR and required by the partners;</li> <li>- Provide quality guidance and technical advices to UNDP CO and national PPG team to design PPG overall work related to the formulation of HCW and mercury component and collect required information;</li> <li>- Consolidate information and help identify gaps in the current management regime; propose response measures;</li> <li>- Guide local experts in identification of model facilities to participate in the project;</li> <li>- Based on information from local HCWM experts, develop individual technical assistance packages for selected facilities with related budgets;</li> <li>- Develop an overall approach and strategy to improve HCW and mercury management generally for the country;</li> </ul>

				<ul style="list-style-type: none"> <li>- Contribute to the development of logical framework of FSP;</li> <li>- Contribute as peer reviewer of the overall strategy being prepared by the PPG team and contribute with technical inputs.</li> <li>- Provide other required guidance and support for the preparation of the FSP proposal. Provide expertise on the design of the Full Size Project taking into account international best practices and lessons learnt from implementing similar projects elsewhere;</li> <li>- Assist PC and ICs in the review and structuring of collected data and methodologies for development of key outputs;</li> <li>- Provide overall orientation to PPG team, including ICs, in relation to GEF logic and information requirements;</li> <li>- Consolidate inputs and components into FSP;</li> <li>- Ensure that proposed project activities are in line with current GEF strategies and priorities;</li> <li>- With inputs from PPG team and RTAs, develop final project log frame matrix.</li> <li>- Perform detailed formulation of incremental cost matrices;</li> <li>- Ensure thorough review and definition of strategic work plan, and cost-efficiency analysis;</li> <li>- Provide other required guidance and support for the preparation of the FSP proposal;</li> <li>- Assist PC in identifying and securing of co-financing.</li> </ul>
(Select)				
(Select)				
(Select)				
(Select)				
(Select)				

<sup>1</sup> Provide dollar amount per person week.

<sup>2</sup> Provide person weeks needed to carry out the task



