



PROJECT PREPARATION GRANT (PPG)

PROJECT TYPE: Full-sized Project

TYPE OF TRUST FUND: GEF Trust Fund

Submission date: 11/09/2010

GEF PROJECT ID:

GEF AGENCY PROJECT ID: 4356

COUNTRY(IES): Colombia

PROJECT TITLE: Development Of National Capacity For The Environmentally Sound Management And Disposal Of Pcb's

GEF AGENCY(IES): UNDP, (select), (select)

GEF FOCAL AREA(S): Persistent Organic Pollutants

A. PROJECT PREPARATION TIMEFRAME

Start date of PPG	12/01/2010
Completion date of PPG	09/30/2011

B. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)

Describe the PPG activities and justifications:

During the PPG phase detailed data collection, extensive stakeholder consultation, targeted studies and planning activities will be undertaken in order to:

- a) Establish the baseline for PCB management in the country;
- b) Prepare detailed project implementation activities (and costs) according to the outcomes and outputs as presented in the PIF;
- c) Determine with the interested stakeholders in which ways the GEF project will complement potential initiatives that aim to establish effective and economically viable disposal technologies;
- d) Identify any other on-going or future initiatives supported by PCB holders related to the management of PCBs with the objective to integrate them into planned project activities (including negotiations and confirmation of co-financing); and,
- e) Determine an appropriate project management structure as well as a Monitoring and Evaluation (M&E) plan.

The specific activities to be accomplished during the PPG are:

1. Definition of baseline and GEF intervention required to strengthen the legal, administrative and regulatory framework:

Building on the NIP and PCB inventory results, PPG resources will be used to review in further detail the existing legal framework and institutional capacity for enforcement. This will be undertaken through the collection of additional data, targeted stakeholders consultations and additional studies in order to i) Identify all the areas of improvement for the existing legal framework, ii) Identify possible economic, financial and market instruments to be considered in the FSP, and iii) Determine structural, economic, operational and other barriers and needs experienced by custom, state and local level authorities involved in the enforcement of a comprehensive legal framework. One workshop tailored to national authorities and laboratories involved in the monitoring of PCBs will be organized. Based on the findings resulting from the

above activities the PPG funds will help to develop a detailed strategy to strengthen the legal framework as well as the enforcement capacity of authorities as per the outline established in the PIF.

2. Definition of baseline and GEF intervention required to develop PCB holders' capacity for the environmentally sound management and disposal of PCBs:

Building on the NIP and PCB inventory results, PPG resources will be used to determine the baseline for PCB management and disposal in the country. Additional data, stakeholder consultation and studies will be undertaken in the following areas: i) Information technology to maintain proper PCB inventories, ii) Analysis of existing quality standards and analytical capacity to monitor PCB stock, iii) Analysis of existing laboratory services and PCB maintenance services offered locally, iv) Analysis of risk assessment methodologies to be applied in the country, v) Research on contaminated sites management guidelines to be applied in the country.

In addition resources will be used to determine a strategy to improve PCB inventories and prepare an action plan to manage PCBs in sectors not previously identified and in zones not interconnected to the national grid where information is more difficult to obtain and special assistance is required. Three workshops will take place: one tailored to PCB holders, one to PCB maintenance operators and one to stakeholders in zones not interconnected to the national grid. With the information collected, the PPG funds will help to develop a detailed strategy to enhance PCB holders' capacity to properly manage and dispose PCBs, following the outline established in the PIF.

3. Definition of the demonstration projects:

The PPG funds will be used to advance in the definition of parameters and terms of reference for the demonstration projects and evaluation of disposal technologies in the country. This work is expected to be undertaken in coordination with the project's potential partners and will continue throughout the FSP. PPG funds will also be used to identify additional stakeholders and continue stakeholder consultations with the purpose of identifying additional partners to undertake the second demonstration project (PCB removal in a high priority area). Definition of parameters and terms of reference for the second demonstration project will already start during the PPG phase and continue throughout the FSP's implementation.

4. Integration of the three pillars of work in a national strategy:

Based on the outcomes and results from activities 1, 2 and 3 as described above, additional PPG funds will be used to validate project design with the Government and other counterparts. The Full Size Project Document will be formulated including i) Barrier analyses, based on consultant reports and stakeholders feedback; ii) A logical framework, based on the recommendations of consultancy studies and stakeholder consultations, including indicators and quantified targets, combined with baseline values identified by thematic consultants; iii) A participation strategy, iv) An analysis of the programmatic baseline; v) A project budget and work plan in standard UNDP and GEF format; vi) A monitoring and evaluation strategy; vii) A learning and replication strategy and viii) Text and supportive technical analyses detailing the justification and strategies of the project.

List of Proposed Project Preparation Activities	Output of the PPG Activities	Trust Fund	Grant Amount (a)	Co-financing (b)	Total c = a + b
Definition of baseline and GEF intervention required to strengthen the legal, administrative and regulatory	Institutional strengthening strategy to be integrated in the FSP	GEF TF	8,840	14,860	23,700

framework					
Definition of baseline and GEF intervention required to develop the PCB holders capacity for the environmentally sound management and disposal of PCBs	PCB holders's capacity development strategy to be integrated into FSP	GEF TF	37,140	37,960	75,100
Definition of the demonstration projects	Co-financing and outline for demonstration projects to be integrated in FSP	GEF TF	32,240	29,060	61,300
Design of national strategy and integration of the three pillars of work in the strategy	UNDP Project Document and other related documents. M&E plan for FSP	GEF TF	21,780	18,120	39,900
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
Total Project Preparation Financing			100,000	100,000	200,000

C. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)

	Project Preparation	Agency Fee
Grant Amount	100,000	10,000
Co-financing	100,000	
Total	200,000	10,000

D. PPG AMOUNT REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)¹

Trust Fund	GEF Agency	Focal Area	Country Name/ Global	(in \$)		
				PPG (a)	Agency Fee (b)	Total c = a + b
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0

(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
Total PPG Amount				0	0	0

¹ No need to provide information for this table if it is a single focal area, single country and single GEF Agency project.

E. PPG BUDGET

Cost Items	Total Estimated Person Weeks for Grant (PW)	Grant Amount (\$)	Co-financing (\$)	Total(\$)
Local consultants *	80.00	51,200	28,800	80,000
International consultants*	10.00	30,000	0	30,000
Travel		11,800	31,200	43,000
Workshops and stakeholders consultation		7,000	28,000	35,000
Contingencies & Misc.		0	12,000	12,000
				0
Total PPG Budget		100,000	100,000	200,000

* Annex A for Consultant cost details should be prepared first before completing this table. See notes on Annex A for the required detailed information. This table is the sum of all local and international consultants presented in Annex A.

F. GEF AGENCY(IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF LDCF/SCCF Trust Fund criteria for project identification and preparation.

Agency Coordinator, Agency Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Yannick Glemarec			Dr. Suely Carvalho	(+1)-212-906-6687	suely.carvalho@undp.org

Annex A

Consultants Financed by the Project Preparation Grant (PPG)

Type of Consultant	Position / Titles	\$/ Person Week¹	Estimated PWs²	Tasks to be Performed
Local	National Coordinator For Project Formulation	1000	32.00	<p>Government Focal Point for the preparation of the Full Size Project:</p> <p>A) Coordinate the work of the specialists contracted to undertake the programmed studies.</p> <p>B) Process the results of the data collection and studies and lead the baseline analysis.</p> <p>C) Focal point in charge of stakeholders consultations and organization of the programmed workshops.</p> <p>D) Government focal point for the establishment of partnerships for demonstrations and cofinancing, identification of all ongoing and future initiatives or projects, which might contribute to the proposed GEF project, and negotiating agreements with the proponents and funding agencies for possible joint activities to use the GEF funds in the most cost effective manner.</p> <p>E) With assistance of the agency and international experts lead the project design and formulation of the Full Size Project Document including baseline, logical framework, costs and M&E system.</p>
Local	Specialist On Legal Framework	1000	16.00	<p>A) Detailed review of the existing legal framework and institutional capacity for enforcement.</p> <p>B) Identify areas of improvement for the existing legal framework,</p> <p>C) Identify possible economic, financial and market instruments to be considered in the FSP.</p> <p>D) Determine structural, economic, operational and other barriers and needs at custom, and state and local level authorities to enforce a comprehensive legal framework.</p> <p>E) Maintain stakeholders consultation and assist National Coordinator in the organization of one workshop addressed to national authorities involved on PCB monitoring and laboratories.</p>

				F) Assist National Coordinator to develop a detailed strategy to strengthen the legal framework and the authorities enforcement capacity
Local	Specialist On PCB Management	1000	16.00	<p>A) Research on information technology tools to maintain proper PCB inventories.</p> <p>B) Detailed review of the current PCB management and disposal situation in the country, and existing standards and guidelines to manage PCBs.</p> <p>C) Identify areas of improvement and with National Coordinator and international experts develop a detailed strategy to enhance the PCB holders capacity to properly manage and dispose PCBs.</p> <p>D) Determine a strategy to improve PCB inventories and prepare an action plan to manage PCBs in other not previously identified sectors and in zones not interconnected to the national grid.</p> <p>E) Assist National Coordinator in the organization of three workshops: one addressed to PCB holders, one addressed to PCB maintenance operators and one addressed to stakeholders in zones not interconnected to the national grid</p> <p>F) Assist National Coordinator to propose parameters and terms of reference for the demonstration and evaluation of disposal technologies in the country.</p>
Local	Expert In Analysis And Monitoring Of Pcb	1000	16.00	<p>A) Analyse existing quality standards and analytical capacity to monitor stocks of PCBs.</p> <p>B) Analyse the existing laboratory services and PCB maintenance local offer.</p> <p>C) Analyse risk assessment methodologies to be applied in the country.</p> <p>D) Undertake research on contaminated sites management guidelines to be applied in the country.</p> <p>E) With National Coordinator and international experton risk assessment prepare a strategy to apply risk assessment methodologies in the country during the FSP.</p> <p>F) Assist National Coordinator in the identification of additional partners to undertake the second demonstration project of PCB removal in a high</p>

				priority area. G) Assist National Coordinator to propose parameters and Terms of reference for the second demonstration
International	PCB Disposal Expert	3000	6.00	A) Provide expertise on the design of the Full Size Project taking into account international best practices and lessons learnt from implementing similar projects elsewhere. B) Provide assistance to national expert on PCB management in the analysis of the data collected and preparation of strategy to develop the national capacity to handle PCBs. C) Assist National coordinator in the Review and structuring of collected data and methodologies for development of key outputs. D) Provide overall orientation to PPG team in relation to GEF logic and information requirements. E) Ensure that proposed project activities are in line with current GEF strategies and priorities. F) Assist National Coordinator with the development of the final project log frame matrix. G) Detailed formulation of incremental cost matrices. H) Final review and definition of strategic work plan, and cost-efficiency analysis. I) Provide other required guidance and support for the preparation of the FSP proposal. J) Help National Coordinator in identifying and procurement of co-financing.
International	Pcb Risk Assessment Expert	3000	4.00	A) Provide technical assistance to local expert on analysis and monitoring of PCB in identifying, evaluating and selecting PCB risk assessment methodologies to be established nationally during project implementation B) Contribute as peer reviewer of the overall strategy being prepared by the PPG team and contribute with technical inputs. C) Provide other required guidance and support for the preparation of the FSP proposal.
(Select)				
(Select)				

¹ Provide dollar amount per person week.

² Provide person weeks needed to carry out the task