



**GEF-6 GEF SECRETARIAT REVIEW FOR PROGRAMMATIC FRAMEWORK DOCUMENT\*  
THE GEF/LDCF/SCCF TRUST FUNDS**

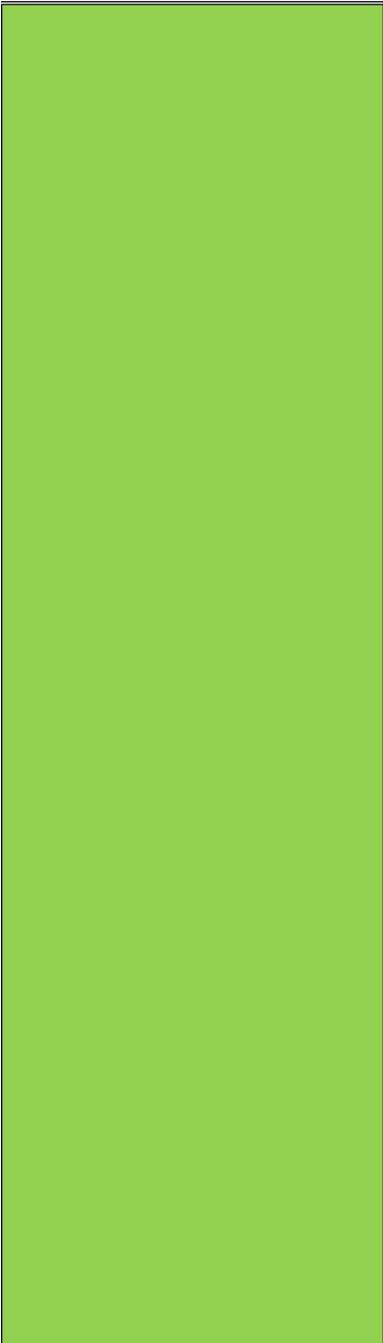
GEF ID:	<b>9070</b>		
Country/Region:	<b>Regional (Burkina Faso, Burundi, Ethiopia, Ghana, Kenya, Malawi, Niger, Nigeria, Senegal, Swaziland, Tanzania, Uganda)</b>		
Program Title:	<b>Fostering Sustainability and Resilience for Food Security in Sub-Saharan Africa - An Integrated Approach (IAP-PROGRAM)</b>		
GEF Agency:	<b>IFAD, UNEP and FAO</b>	GEF Agency Project ID:	
Type of Trust Fund:	<b>GEF Trust Fund</b>	GEF Focal Area (s):	<b>Multi Focal Area</b>
GEF-6 Focal Area/ LDCF/SCCF Objective (s):	<b>LD-1 Program 1; LD-3 Program 4; LD-4 Program 5; BD-3 Program 7; BD-4 Program 9; CCM-2 Program 4;</b>		
Anticipated Financing PPG:	<b>\$0</b>	Program Grant:	<b>\$106,359,290</b>
Co-financing:	<b>\$805,361,640</b>	Total Program Cost:	<b>\$911,720,930</b>
PIF Approval:	<b>April 28, 2015</b>	Council Approval/Expected:	<b>June 01, 2015</b>
Program Manager:	<b>Mohamed Bakarr</b>	Agency Contact Person:	<b>Eric Patrick</b>

<b>Review Criteria</b>	<b>Questions</b>	<b>Secretariat Comments</b>
<b>Program Consistency</b>	1. Is the program aligned with the relevant GEF strategic objectives and results framework? <sup>1</sup>	<p>March 20, 2015</p> <p>Yes, the IAP is very much aligned with the BD, CC-M, and LD focal area strategies and results framework. The PFD highlights and describes the alignment adequately, including relevant objectives and outcomes as required. The outcomes in the program results framework are also mapped to the focal area objectives to further reinforce the alignment.</p> <p>Cleared</p>

<sup>1</sup> For BD projects: has the project explicitly articulated which Aichi Target(s) the project will help achieve and are SMART indicators identified, that will be used to track the project's contribution toward achieving the Aichi Target(s)?

**Program Design**

<p>2. Is the description of the baseline scenario reliable, and based on sound data and assumptions? Are the activities that will be financed using GEF/LDCF/SCCF funding based on incremental/additional reasoning?</p>	<p>March 20, 2015</p> <p>Yes, the baseline scenario with respect to challenges and barriers to achieving sustainability and resilience are clearly described, and based on sound data and assumptions. The proposed GEF alternative will seek to promote transformational change using a holistic and integrated approach at scale in the target geographies. The programmatic approach is essential to maximize coherence and potential for greater impact, beyond what is possible through separate and uncoordinated GEF investments. In addition, it will ensure that the GEF investment is appropriately aligned with those of major development partners, the private sector, and other key stakeholders at multiple scales.</p> <p>To strengthen the GEF reasoning, please provide a bit more detail on state of food and nutrition insecurity in the target geographies, and the linkages between food security and the management of agro-ecosystems. Some additional information on resilience will also be useful, especially on the different hazards facing smallholder agriculture and food security in the target geographies, the exposure of the targeted groups, and their vulnerability.</p> <p>March 31, 2015</p> <p>The PFD now includes additional details on the state of food security in the target geographies, with appropriate links to vulnerability and resilience challenges. The baseline and context for GEF financing incremental financing is also now clear.</p> <p>Cleared</p>
<p>3. Is the program framework (Table B) sound and sufficiently clear and appropriate to achieve program objectives and the GEBs?</p>	<p>March 20, 2015</p> <p>The program framework is sound and provides an appropriate anchor for pursuing the integrated approach toward achieving sustainability and resilience for food security. However, with respect to indicators and targets, please clarify how the 10 million hectares is represented - is it "production lands" (under objectives in Table B), "under SL&amp;WM" (as in outcome 2.1), corporate</p>



results 1 and 2 in Table E, and under the GEBs on page 23. If the program target is for "production landscapes," then it would be prudent to assume that actual coverage of SL&WM, integrated management, diversified systems, etc. will be a proportion of this total.

It would also be useful to carefully review the 19 outcome indicators with a view to reduce them to the most appropriate and relevant for anchoring the diversity of contexts at local and national level.

With respect to narrative section, please address the following under the different components:

- Component 1 - briefly clarify how the proposed program would enhance coordination across existing institutions and processes, particularly between agriculture, food security and the environment; and how it would foster more coherent policies, strategies and investment plans.
- Component 2 - provide examples of "pathways to scale" that will likely be considered under the program, based on the proposed results chain. For example, how would the program build on options such as increasing access to markets and finance for smallholder farmers, and how will such access be monitored throughout the program? How would the program promote increased investment flows targeted, resulting in the increased area of land under sustainable and resilient management (pages 19-20)?
- Component 3 - to emphasize monitoring and assessment of ecosystem services and resilience for more informed decision making on agricultural development and food security policy and investments, outcomes 3.1 and 3.2 should focus more on strengthening the relevant institutional and technical capacities at the regional, national and sub-national levels;

March 31, 2015

The outcome indicators have been streamlined to accommodate the range of options that will likely emerge from countries projects under the program. The narrative sections for each have been revised accordingly. The following aspects however need to

		<p>be addressed:</p> <ul style="list-style-type: none"> <li>- Component 2 - Table B includes "2 million smallholder farmers with increased access to nutritious food", yet no where in the narrative is there reference to estimates of the population that is in fact food insecure. This makea it difficult to understand how the target will be determined and monitored. It would be great to look at dietary diversity as well as proposed, but the two are not mutually exclusive. Please consider removing this indicator from here and move it instead to Table on page 21 as expected beneficiaries of the program as whole.</li> <li>- Component 3 -The distinction between a "framework for multi-scale monitoring and assessment of ecosystem services" and a "framework for monitoring global environmental benefits" is unclear. The former should help with the latter and the two outputs could be merged, as they have in Table B and most of the child projects. Moreover, establishing baselines for ES and GEBs could be considered as priorities for the PPG phase.</li> </ul> <p>April 17, 2015</p> <p>The framework is now clear and consistent with the narrative adjusted accordingly, taking account need to focus on GEBs.</p> <p>Cleared</p>
	<p>4. Are socio-economic aspects, including relevant gender elements, indigenous people, and CSOs considered?</p>	<p>March 20, 2015</p> <p>Yes, the PFD provides adequate description of how the proposed investments will demonstrate socio-economic aspects, including gender considerations and engagement of CSOs. The processes of engagement and involvement of CSOs and other identified stakeholders will be elaborated further in the child projects</p> <p>Cleared</p>
	<p>5. Does the program take into account potential major risks, including the consequences of climate change, and describes sufficient risk response measures? (e.g., measures to enhance climate resilience)</p>	<p>March 20, 2015</p> <p>Yes, relevant risks have been highlighted, including appropriate response measures at the program level. These will be reinforced with more detailed assessments for the child projects.</p>

	Cleared
6. If there is a non-grant instrument in the program, is the GEF Agency(ies) capable of managing it?	N/a
7. Is the program coordinated with other related initiatives and national/regional plans in the country or in the region?	<p>March 20, 2015</p> <p>No. Please highlight relevant GEF-financed initiatives for coordination (under section 6 of the PFD template), especially those under implementation in the target geographies.</p> <p>March 31, 2015</p> <p>Relevant initiatives for coordination have been included, with focus at the regional level. It is expected that initiatives for coordination at the national level will be addressed in the child projects of the 12 participating countries.</p> <p>Cleared</p>
8. Is the program implementation/ execution arrangement adequate?	<p>March 20, 2015</p> <p>Yes, the overall framework is adequate, and proposed approach to engaging countries and partners will strengthen ownership and buy-in for the program. Please provide a brief summary of the expected Terms of Reference for the IFAD-GEFSEC Coordination, Consultative and Technical Advisory committees: what exactly will these be tasked or expected to address?</p> <p>March 31, 2015</p> <p>The revised PFD now includes ToR for the committees, which is clear and appropriate.</p> <p>Cleared</p>
9. Does the program include a budgeted M&E Plan that monitors and measures results with indicators and targets?	<p>March 20, 2015</p> <p>No. Please include plan for M&amp;E at the program level. It is assumed that this will be part of the overall coordination function by IFAD, and addressed through the cross-cutting child project.</p>

		<p>March 31, 2015</p> <p>The program level M&amp;E plan is now included, and derives largely from the coordination component of the cross-cutting child project. Hence this will be further elaborated during the development of that project.</p> <p>Cleared</p>
	10. Does the program have description of knowledge management plan?	<p>March 20, 2015</p> <p>Yes, the KM plan is appropriately described and will build on existing platforms at both national (in participating countries) and regional levels.</p> <p>Cleared</p>
<b>Resource Availability</b>	11. Is the proposed Grant (including the Agency fee) within the resources available from (mark all that apply):	
	<ul style="list-style-type: none"> <li>the STAR allocation?</li> </ul>	<p>March 20, 2015</p> <p>Yes, 12 countries will utilize a total of \$58.9 million from their country allocations. Please ensure all LoEs from the countries are included in the final submission.</p> <p>March 31, 2015</p> <p>The LoE of all but one country is now included. The LoE for Malawi is delayed due to pending appointment of a new OFP. Please follow-up and include in the final submission.</p> <p>April 17, 2015</p> <p>All countries have now endorsed the STAR amounts utilized for the program</p> <p>Cleared</p>
	<ul style="list-style-type: none"> <li>the focal area allocation?</li> </ul>	<p>March 20, 2015</p> <p>Yes. The breakdown of allocations shows \$31.67 million for LD</p>

		(53%), \$13.29 million for BD (23%), and \$14.02 million for CCM (24%).
	• the LDCF under the principle of equitable access?	N/a
	• the SCCF (Adaptation or Technology Transfer)?	N/a
	• focal area set-aside?	March 20, 2015  Yes. A total of \$60 million is available as incentive for the program, which is drawn from LD (\$40 million), BD (\$10 million), and CCM (\$10 million) set-asides.
<b>PFD Clearance</b>	<b>Is the PFD recommended for clearance to include in the work program?</b>	<p>March 20, 2015</p> <p>No, PFD cannot be recommended. Please address all issues highlighted, including the following errors emerging from breakdown of resources in the tables:</p> <ul style="list-style-type: none"> <li>- Total amount of GEF resources in Tables A, B, and D must be exactly the same, and Table D should be consistent with summary in Annex A</li> <li>- Total amount of co-financing in Tables A, B, and C must be exactly the same.</li> <li>- Total amount in Table C is incorrect; please use separate row for each entry and do not merge cells.</li> <li>- Table D must include name of country as require in the third column, and use "Global" to indicate the IAP set-aside. Please use separate row for each entry to avoid confusion in the table, and do not merge cells.</li> <li>- Please insert actual titles of child projects in Annex A</li> </ul> <p>March 31, 2015</p> <p>No, PFD is still not ready to be recommended. Please address remaining issues, including the following:</p> <ul style="list-style-type: none"> <li>- Table D - the table has improved greatly, but the rows for IAP amounts should include the name of country for focal area and set aside amounts. It is correct for Burundi, but all others should be corrected, except of course for the Coordination, which can be "Global" or "Regional".</li> <li>- Table E - please check if the 5 million for Corporate result 1</li> </ul>

		<p>accounted for in the results framework; otherwise might be best to split the 10 million target for Corporate result 2 between the two.</p> <p>- Table on GEB (page 21) - please consider including an indicative target for NDVI based on what is suitable for dryland agroecosystems.</p> <p>April 17, 2015</p> <p>All remaining issues have been addressed. The PFD is now recommended.</p>
<b>Review Date (s)</b>	Review*	March 20, 2015
	Additional Review (as necessary)	April 17, 2015
	Additional Review (as necessary)	

**\* This is the first time the Program Manager provides full comments for the program. Subsequent follow-up reviews should be recorded. For specific comments for each section, please insert a date after comments.**