



PROJECT PREPARATION GRANT (PPG)

PROJECT TYPE: Full-sized Project

TYPE OF TRUST FUND: Multi-Trust Fund

Submission date: 06/07/2012

GEF PROJECT ID: 4953

GEF AGENCY PROJECT ID: P-Z1-C00-018

COUNTRY(IES): Sierra Leone, Guinea, Liberia, Cote d'Ivoire

PROJECT TITLE: 'Mano River Forest Ecosystem Conservation And International Water Resources Management Project

GEF AGENCY(IES): AfDB, (select), (select)

GEF FOCAL AREA(S): MULTI FOCAL AREA

A. PROJECT PREPARATION TIMEFRAME

Start date of PPG	11/15/2012
Completion date of PPG	04/15/2013

B. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)

Describe the PPG activities and justifications: The PPG will finance the following activities:

1. Preparatory framework and baseline data collection for the Transboundary Diagnostic Analysis (TDA) and Strategic Action Plan (SAP) for the Water Resources of the Mano River. The objective of this activity is to reach a consensus with stakeholders on the framework to undertake the TDA and collect the baseline information for the development of the SAP to complement the Natural Resources Management Plan for the Mano River Union. The framework will include measures and mechanisms for stakeholder involvement and definition of roles.

2. Institutional Analysis: The objective of this activity is to carry out a review and analysis of existing natural resources management institutions and structures (with mandates covering forest and water resources) in the four MRU countries and at the regional level of the MRU Secretariat in order to design appropriate implementation arrangements for the project. The capacity building assessment will include institutional, policy or regulatory and human capacity needs at regional level and selected national levels based on the relevance to natural resources management.

3. Component Studies: The objective of this activity is to carry out an inventory of existing information on policies, laws, regulations and governance systems; biodiversity and current conservation interventions; current forest conservation status and conservation measures; status of water and watershed resources management; and land management interventions in order to design project interventions and monitoring systems for impact of project interventions. The studies will cover the technical feasibility of the intervention and explore alternatives in technical approaches for the project.

4. Environmental and Social Analysis: The objective of this component is to extend and update the social and environmental analysis in the SEA completed in 2010 to ensure compliance with the Bank's environment and social safeguard policies. This component will also analyse the economic status of local communities for the design of appropriate project economic interventions particularly in the communities (communal) agriculture sector in the forest margins.

5. Stakeholder Consultations: This component will organize stakeholder consultations at all levels in order to design appropriate participation of the local communities and organizations in the project. The consultations will be targeted at Forestry Management Agencies, Non Governmental organisations working in the identified landscapes, Water Resources Management Agencies, Local communities living in the vicinity of the forests and water resources and technical institutions working in the Mano River Union.

6. Climate Change impact analysis: The objective of this activity will be to determine the climate change mitigation potential of the landscapes and the climate change adaptation options for the communities.

7. Coordination of PPG Implementation: The objective of this component is to ensure successful implementation of PPG activities through coordination, fiduciary oversight and reporting. It will also oversee the completion of all required project documentation. The PPG will be coordinated at the MRU Secretariat and will involve visits to the selected forest landscapes and the buffer zones that make up the settlement areas for the local communities

The preparatory framework, data collection and analyses activities outlined above will provide the basic elements to develop the two project components. The information gaps and capacity gaps to undertake specific activities such as the TDA and SAP will be identified. The analyses and component studies will define and propose measures to improve existing approaches and disseminate water resources management and forest conservation tools that will bring local, regional and Global Environmental Benefits. The data collection and analyses will also inform the project design to include sustainable land and water management techniques including incentives for community adoption of the conservation and IWRM practices. The institutional analysis and the social and environmental assessments including the monitoring and evaluation framework to be detailed during project design will include measures for forest ecosystems and integrated water resources management and the necessary collaboration. The tools and approaches that will be defined and the climate risk analysis will further build the capacity and knowledge on climate resilience and mitigation potential from the forest ecosystem .

The main output of the PPG is the full comprehensive project documentation. The detailed project design will include the components; results based logical framework and detailed costing. The PPG will enable the definition of additional field activities with the objective of achieving a consensus, as broad as possible, among all actors on the activities at the landscape, national and regional levels on the impacts, underlying causes and sustainable solutions to forest ecosystem conservation and integrated water resources management. In addition an awareness raising program will also be developed for implementation as an integral part of the project.

List of Proposed Project Preparation Activities	Output of the PPG Activities	Trust Fund	Grant Amount (a)	Co-financing (b)	Total c = a + b
Scoping and Consultation	Baseline data review and identification of transboundary water resources and agreement on selection criteria for transboundary forest landscapes prioritisation Consultation with key	GEF TF	20,000	20,000	40,000

	stakeholders PPG Inception workshop held				
Project components design: Data gathering and analysis	<p>Preparatory framework for TDA and SAP including the definition of the process for the development of the TDA and SAP and establishing the outline of the contents.</p> <p>Needs assessment for institutional strengthening for transboundary landscape and integrated water resources management</p> <p>Assessment of existing initiatives, co-financing partners conducted and linkages and roles in project implementation defined</p> <p>Feasibility study on innovative soil and water conservation techniques in the agriculture sector</p> <p>Develop selection criteria for identification of beneficiary communities</p> <p>Assessment of livelihoods diversification options and sustainability measures</p> <p>Inventory of forest ecosystem</p>	GEF TF	170,000	120,000	290,000

	<p>conservation tools and practices conducted</p> <p>Review of policies, laws, governance systems and strategies undertaken</p> <p>Assess community land tenure and land use rights</p> <p>Develop logical framework and gender disaggregated indicators for project implementation</p> <p>Climate Change risk analysis and mitigation potential and adaptation options developed</p> <p>Provide the scientific rationale for how valuation of ecosystems provides opportunities for incorporating biodiversity into integrated land use plans</p> <p>Preparation of project cost tables</p> <p>Validation workshop held</p>				
Environment and Social Analysis	<p>A full environmental and social analysis report (extending from the SEA) and recommendations for community level socio-economic interventions.</p> <p>Assess the land tenure system and land use</p>	GEF TF	35,000	45,000	80,000

	rights in the project intervention area				
Monitoring and Evaluation and Communication	Development of project of M&E framework, indicators; Completion of relevant tracking tools for International waters, Management Effectiveness Tracking Tool (METT) Development of an awareness raising program Development of a communication strategy	GEF TF	25,000	5,000	30,000
PPG Coordination	Project implementation manual prepared; procurement plans completed; relevant project documentation completed.	GEF TF	0	40,000	40,000
Project Management		GEF TF	0	80,000	80,000
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
Total Project Preparation Financing			250,000	310,000	560,000

C. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)

	Project Preparation	Agency Fee
Grant Amount	250000	25,000
Co-financing	310000	
Total	560,000	25,000

D. PPG AMOUNT REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)¹

Trust Fund	GEF Agency	Focal Area	Country Name/ Global	(in \$)		
				PPG (a)	Agency Fee (b)	Total c = a + b
GEF TF	AfDB	Biodiversity	Guinea	27,321	2,732	30,053
GEF TF	AfDB	Land Degradation	Guinea	4,554	455	5,009
GEF TF	AfDB	Biodiversity	Liberia	27,321	2,732	30,053
GEF TF	AfDB	Land Degradation	Liberia	4,554	455	5,009
GEF TF	AfDB	Biodiversity	Sierra Leone	27,321	2,732	30,053
GEF TF	AfDB	Land Degradation	Sierra Leone	4,554	455	5,009
GEF TF	AfDB	International Waters		122,500	12,250	134,750
GEF TF	AfDB	MULTI FOCAL AREA	Guinea	10,625	1,063	11,688
GEF TF	AfDB	MULTI FOCAL AREA	Liberia	10,625	1,063	11,688
GEF TF	AfDB	MULTI FOCAL AREA	Sierra Leone	10,625	1,063	11,688
(select)	(select)	(select)				0
(select)	(select)	(select)				0
Total PPG Amount				250,000	25,000	275,000

¹ No need to provide information for this table if it is a single focal area, single country and single GEF Agency project.

E. PPG BUDGET

Cost Items	Total Estimated Person Weeks for Grant (PW)	Grant Amount (\$)	Co-financing (\$)	Total(\$)
Local consultants *	66.00	88,000	44,000	132,000
International consultants*	46.00	102,000	36,000	138,000
Travel		15,000	26,000	41,000
Stakeholder Consultations		44,000	64,000	108,000
Communication, Logistics and document translation, PPG Coordination		1,000	60,000	61,000
PPG Project Management		0	80,000	80,000
Total PPG Budget		250,000	310,000	560,000

* Annex A for Consultant cost details should be prepared first before completing this table. See notes on Annex A for the required detailed information. This table is the sum of all local and international consultants presented in Annex A.

F. GEF AGENCY(IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF LDCF/SCCF Trust Fund criteria for project identification and preparation.

Agency Coordinator, Agency Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Ignacio Tourino-Soto,		06/07/2012	Albert Mwangi	+216 7110 3935	A.Mwangi@afdb.org
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Annex A

Consultants Financed by the Project Preparation Grant (PPG)

Type of Consultant	Position / Titles	\$/ Person Week¹	Estimated PWS²	Tasks to be Performed
International	Team Leader/Ecologist Or Biodiversity Specialist	3000	12.00	<ul style="list-style-type: none"> - Contribute to the design of comprehensive full project document - Conduct an assessment of the biodiversity in the selected landscapes, - Conduct the baseline assessment quantifying the extent of biodiversity loss -Undertake a systematic assessment of the ecosystem services in the transboundary river basins Conduct a review of national and regional strategies and planning tools for Forest and water resources Management - Draft a detailed baseline analysis based on findings of studies to be undertaken ;Complete the Management Effectiveness Tracking Tool (METT) -Analyse the Co-financing opportunities with strategic partners -Detail the scientific rationale for how "valuation of ecosystems provides opportunities for incorporating biodiversity into integrated land use plans - Ensure STAP review of project documents in collaboration with AfDB Task Manager
International	Project Management/Institutional Specialist	3000	8.00	<ul style="list-style-type: none"> - Conduct the institutional review and targeted capacity needs assessment including the regulatory and governance frameworks, strategies and planning tools for forest and water resources management - Propose comprehensive management and arrangement plan for executing the project -.Clarify the role of each actor at community, national and regional levels including the MRU Secretariat as the oversight institution

				<ul style="list-style-type: none"> -Assist in the development of the SAP preparatory framework -Identify roles for partner institutions including CSOs in project implementation -Define the roles and responsibilities of the Inter-ministerial Committee - Develop the M&E framework and strategy for the programme -Production of an Action Plan which will include the necessary logical framework with indicators necessary to organize the work Assist in completing the Management Effectiveness Tracking Tool (METT)
International	Forest Conservation And Management Specialist	3000	10.00	<ul style="list-style-type: none"> Assess the current state of forest in the identified landscapes and the potential for regeneration and community management in the buffer zones Develop a feasibility study on the agroforestry option for the communities living in the buffer zones. Undertake the options analysis for soil and water management in the buffer zone of selected landscapes - Provide estimates of carbon benefits, or greenhouse gas benefits of the programme activities - Conduct an options analysis for the forest conservation in the selected landscapes Review existing initiatives including those by the private sector and articulate the coordination and linkages with the project Identify roles for partner institutions in project implementation Detail the forest management options and models with optimal recommendations and incentives for sustainability (LADA and WOCAT projects will be useful references) ;Assist in completing the Management Effectiveness Tracking Tool (METT)
International	Watershed Management/ IWRM Specialist	3000	8.00	<ul style="list-style-type: none"> - Lead the development of the preparatory framework for the Transboundary Diagnostic Analysis - Identify key stakeholders and outline measures to ensure their participation in the development of the Strategic Action Plan

				<ul style="list-style-type: none"> - Lead the development of the preparatory framework for the SAP with the MRU Secretariat - Undertake assessment of capacity gaps for effective TWRM - Conduct a desk review of existing material and reports on analyses of sector activities and practices that negatively impact the transboundary water resources - Complete the IW tracking tool - Assist in completing the Management Effectiveness Tracking Tool (METT)
Local	Socio-Economist/ Community Development Specialist	2000	16.00	<p>PW= 16 (8 weeks x 2 persons)</p> <ul style="list-style-type: none"> - Identify areas where communities can benefit from existing forests and reforestation and natural regeneration methods. - Assess income diversification potential in selected communities - Undertake the social analysis for the project areas and inform the project design to ensure socio-economic benefits are realized - Detail measures to ensure sustainability of the project activities - Conduct an analysis of the feasibility and the efficiency of economic alternatives and livelihoods <p>Assess the land tenure system and land use rights in the project area</p> <ul style="list-style-type: none"> - Contribute to the design of the environmental and social management plan for the project . - Assessment of the value chain for products from the ecosystem
Local	Gender Specialist	2000	12.00	<p>PW= 12 (6 weeks x 2 persons)</p> <ul style="list-style-type: none"> - Organize stakeholder consultations, identify mechanisms for community participation - Identify the necessary gender disaggregated targets and ensure mainstreaming of gender in project design, activities and implementation - Collect necessary gender disaggregated data - Propose activities for various gender groups in forest and water resources management to ensure inclusion of all groups including the youth - Contribute to the development of

				the project logical framework and monitoring and evaluation framework - Assessment of value chains using a gender disaggregated approach
Local	Financial Management Specialist	2000	16.00	PW= 16 (8 weeks x 2 persons) - Preparation of the Project costing and the annex on the financial analysis, including contributions to the preparation of the project implementation manual, financial flow, procurement modalities etc - Develop the incremental cost matrix - Develop the log frame of the project - Assist in developing a detailed M&E plan - Assist in completing the Management Effectiveness Tracking Tool (METT)
Local	Environment Specialist	2000	18.00	-PW= 18 (9 weeks x 2 persons) Undertake the environmental assessment for the project areas including the potential impacts of the project activities and inform the project design to ensure environmental safeguards are met - Detail measures to ensure the sustainability of the project

¹ Provide dollar amount per person week.

² Provide person weeks needed to carry out the task

Climate Change Specialist (International) - 8 weeks

Tasks-

- Conduct a full climate change risk analysis for the project areas
- Conduct a climate change mitigation analysis for the forest ecosystems in the selected landscapes
- Provide estimates of carbon benefits, or greenhouse gas absorption benefits from the programme activities
- Undertake a systematic assessment of various adaptation options for the communities in the buffer zones of the selected landscapes and prioritization of the interventions
- Recommend appropriate adaptation measures for the projects
- Design a climate change awareness raising and information component for the project

- Develop a carbon monitoring system for the project areas

Communication Specialist (Local)- 4 weeks

Tasks

- Identify key communication channels and relevant languages
- Develop relevant tools for awareness raising on project activities and potential benefits as well as potential adverse impacts
- Identify key messages to be communicated from the project activities including the targeted audiences
- Develop a communication strategy for the project duration including estimated costs for implementation