PROJECT PREPARATION GRANT (PPG)
PROJECT TYPE: Full-sized Project
TYPE OF TRUST FUND: GEF Trust Fund

Submission date: 06/04/2012

GEF PROJECT ID: 4764
GEF AGENCY PROJECT ID: 806
COUNTRY(IES): Regional – Russian Federation and Mongolia
PROJECT TITLE: Enhancing The Resilience Of Pastoral Ecosystems And Livelihoods Of Nomadic Herders (Short Title: Nomadic Herders Project)
GEF AGENCY(IES): UNEP, (select), (select)
GEF FOCAL AREA(s): MULTI FOCAL AREA

A. PROJECT PREPARATION TIMEFRAME

Start date of PPG	09/01/2012
Completion date of PPG	03/01/2014

B. PROPOSED PROJECT PREPARATION ACTIVITIES ($)

Describe the PPG activities and justifications:

The PPG process will engage stakeholders and will support activities that will inform the preparation of the full project document and CEO Endorsement Request for the Full-Size Project (FSP) "Enhancing the resilience of pastoral ecosystems and livelihoods of nomadic herders (Short title: Nomadic Herders Project)". This document will be submitted to the GEF following further information gathering and stakeholder consultation, and will be accompanied by co-financing letters in line with pledges made in the PIF. The respective partners and co-financers will be fully engaged in the project design phase; one-on-one consultations, working group meetings, and project development workshops will be convened for the purpose. The project partners listed as co-financiers to the PIF have ensured proportional co-funding for the PPG, and will fully participate in the preparation of the full-size project documentation. In this way, the involvement of co-funding partners will be fully ensured. The PPG activities will consolidate and supplement the existing information supplied in the PIF on the state of rangeland ecosystems in the target areas within the Russian Federation and Mongolia, with a focus on cross-sectoral ecosystem management and on the involvement of nomadic herders communities. The PPG will address comments of the GEF Secretariat, STAP, and Council. The PPG activities will take into account the lessons learnt from previous GEF projects in both countries and projects of other agencies and donors relevant to the subject matter of the project. The project's technical feasibility and economic viability will be assessed as will the risks associated with its implementation. In order to achieve these objectives, the PPG has been organized into the following components and activities:

Component 1.   Detailed stock-taking and assessment of existing studies and experience prior projects, including GEF-funded initiatives, in the project target areas. Preparatory activities under this component will result in the following outputs: (i) existing baseline information gathered and analyzed, and gaps defined with respect to the status and right of nomadic herders communities and their level of involvement in planning and management of rangeland ecosystems in both countries. This will include analysis of the relevant thematic studies, exiting planning, educational and awareness tools, laws, regulations, policies and programmes, taking note of the best international practices; (ii) identification of any baseline information gaps to be addressed by the project and definition of entry points of the project; (iii) detailed definition of

the baseline programs.

Activities to achieve the above PPG outputs include:
- Assessment and definition of the current state of rangeland ecosystems in the target areas. Development of an action plan (with activites, roles and responsibilities) for an enhanced role for nomadic herders communities in rangelands management and other related ecosystem restoration and biodiversity conservation activities as outylined in the PIF;
- Assessment of the strengths and weaknesses of legal and regulatory framework governing engagement of nomadic herders communities in the management of taiga and tundra landscapes.
- Analysis of relevant prior and ongoing programmes, plans and specific tools for effective engagement of communities in rangeland management and biodiversity conservation in the target areas and in collaboration with all relevant government entities including i.e.: environment, agriculture, land use planning, development, biodiversity conservation, etc.;
- Detailed description of ongoing and planned national baseline programs on which the project will build upon;
- Detailed description of pressures from human activities on rangeland ecosystems and biodiversity in target areas; quantification of their impacts in terms of species and habitat and biodiversity loss, soil erosion and land degradation, habitat fragmentation, GHG emission, soil productivity loss and loss of other ecosystem services;

Component 2.   Assessment of the capacity of different national agencies to support the implementation of project activities in the Russian Federation and Mongolia. This PPG component is relevant for all PIF outcomes, and is designed to ensure that implementation arrangements, partnership strategies and capacities are in place and adequate for the successful project implementation and its sustainability. Funding support from the PPG will be used to conduct: (i) stakeholder analysis: roles, functions and/or responsibilities of the key stakeholder institutions and groups (Ministries, agencies, scientific institutes, local authorities, farmers and agricultural producers, NGOs, CSOs and local communities); (ii) assessment of capacity constraints (including NGOs, CSOs and local communities) in supporting and/or implementing project activities and capacity building needs and measures to address these needs. Further, the focus of this assessment will be on identifying potential incentives and the capacity development needs of the various stakeholder groups to ensure the effectiveness and sustainability of the project interventions (ref. Components 2 and 3 of the PIF) and results beyond the term of the project.

The activities will include:
- Analysis of the roles, functions and responsibilities of different players (governmental, community and nomadic herders groups, research institutions and NGOs/CSOs) with respect to regulating, planning, implementing activities affecting sound management of rangelands and pasture ecosystems;
- Define the capacity needs and technical requirements (software, hardware, on-the-ground presence) for the development and implementation of the community rangeland management plan (Project Component 2) as well as other ecosystem restoration and biodiversity conservation activities in target areas, as outlined in the PIF.
- Define the targets and scope of the capacity development element of the project : who will be trained, on which topics, by whom and using which training approach and tools.
- Analysis of the level of interest and support/resistance from the main stakeholders for introduction of the ecosystem-level, community-led and cross-sectoral approach to rangeland ecosystem management. This will contribute to the risks management strategy of the project.
- Definition of the capacity of the key national stakeholders to implement and sustain the proposed project activities, with recommendations for capacity building activities to be supported by the project, with particular focus on nomadic herders communities and relevant government departments;
- Feasibility analysis of different options for the implementation of the project activities and project governance. This will include the selection and detailed description of the preferred implementation and

governance arrangements for the project. A stakeholder Involvement plan, Communication Plan and a Public Participation plan will be developed and agreed;
- Action plan for incorporation of gender and indigenous peoples aspects in the project, with quantifiable baseline and target indicators, as per GEF and UNEP guidelines.
- Environmental and social safeguards checklist updated and mitigation measures identified at pre-PIF stage assessed and expenaded upon as per UNEP guidelines.

Component 3.   The specifics of on-the-ground action on community and cross-sectoral ranglend management, biodiversity conservation and ecosystem restoration designed in detail. The focus under this component will be on confirming the selection of project sites, and designing the implementation measures for them under each output. The preparatory activities under this component will provide a substantive basis for defining the detailed barrier-removal strategy and the specifics of the on-the-ground activities. The PPG results will be: (i) sites for the development and pilot implementation of community rangeland management plans, ecosystem restoration and biodiversoty conservation activities are confirmed and accurately described, and action plans for implementation of all related outputs are developed; (ii) detailed activity plans for implementation of community and cross-sectoral management arrangements, biodiversity and ecosystem restoration at pre-selected target areas; (iii) completed relevant GEF tracking tools, including respective baselines, indicators and targets to measure project progress.

The activities will include:
- Finalized selection of target areas and preparation of initial maps synthesisizing and illustrating existing information on biodiversity, rangeland status and management patterns, socio-economic, gender issues and other ecological studies as well as development and land-use plans, etc.
- Management requirements checklists (human resources, equipment, infrastructure). For each target area where project activities outlined in the PIF will be implemented: which administrative districts will be covered; which government institutions and nomadic herders groups will be involved; what is going to be the step-by-step process for involving all relevant stakeholders in the development and pilot implementation of rangeland management plans to mitigate and manage threats of land degradation and biodiversity loss. The PPG will consider in full the complexities of designing and implementing SLM and biodiversity conservatuion activities in the target areas, learning from best international experience, and will focus on assessing the current traditional land uses practices, vulnerability of the coupled human-natural systems and ways to increase land productivity and promote ecosystem restoration.
- Define consultative and cross-sectoral mechanisms that will underpin the consultative development and pilot implementaton of rangeland management plans, ecosystem restoration and biodiversity conservation activities in line with the PIF
- Prepare the relevant GEF tracking tools. This will include detailed description of the baseline and setting the respective indicators for each of the tracking tools;

Component 4.   Feasibility analysis and budget. The three key outputs of these component can be summarized as follows: (i) detailed project strategy, including incremental cost analysis, cost-effectiveness, and risks; (ii) detailed budget, (iii) detailed monitoring and evaluation plan; (iv) BD and LD benefits quantified alongside with other global environmental and local socio-economic benefits in line with the current GEF Tracking Tools.

The activities will include:
- Detailed incremental-cost analysis as per GEF guidance: precise definition of baseline projects, activities, budgets, goals and co-financial links to GEF outcomes; definition of GEF incremental value per outcome; presentation of results of the incremental cost-analysis in matrices;
- Assessment of the social, economic and financial sustainability of proposed project activities, including gender and indigenous peoples aspects;
- Assessment of the alternatives to the project strategy and detailed definition of the the cost effectiveness

of the preferred strategy and suite of activities;
- Quantification and detailed presentation of the global environmental benefits of the project
- Thorough risk analysis and development of risk mitigation strategy for the project;
- Quantified presentation of global environmental benefits both for biodiversity conservation and land degradation;
- Presentation of the socio-economic benefits of the proposed interventions at national and local levels;
- Definition of the replication strategy for project activities;
- Development of the project monitoring and evaluation plan, and budget;
- Costing the expected project outcomes and outputs, identify co-financing sources and secure co-financing commitments (letters).
- ToRs for the key staff and consultants/contracts to be employed by the project.
- Finalized project logical framework, with particular emphasis on ecological indicators.

List of Proposed Project Preparation Activities	Output of the PPG Activities	Trust Fund	Grant Amount (a)	Co-financing (b)	Total c = a + b
Component 1. Detailed stock-taking and assessment of existing studies and experience prior projects, including GEF-funded initiatives, in the project target areas.	(I) existing baseline information gathered and analyzed, and gaps defined with respect to the status and right of nomadic herders communities and their level of involvement in planning and management of rangeland ecosystems in both countries. This will include analysis of the relevant thematic studies, exiting planning, educational and awareness tools, laws, regulations, policies and programmes, taking note of the best international practices; (ii) identification of any baseline information gaps to be addressed by the project and definition of entry points of the project; (iii) detailed definition of the baseline programs.	GEF TF	22,000	120,000	142,000
Component 2. Assessment of the	(I) stakeholder analysis: roles,	GEF TF	12,000	95,000	107,000

capacity of different agencies to support the implementation of project activities.	functions and/or responsibilities of the key stakeholder institutions and groups (Ministries, agencies, scientific institutes, local authorities, farmers and agricultural producers, NGOs, CSOs and local communities); (ii) assessment of capacity constraints (including NGOs, CSOs and local communities) in supporting and/or implementing project activities and capacity building needs and measures to address these needs. Further, the focus of this assessment will be on identifying potential incentives and the capacity development needs of the various stakeholder groups to ensure the effectiveness and sustainability of the project interventions (ref. Components 2 and 3 of the PIF) and results beyond the term of the project.					
Component 3. Specifics of on-the-ground actions	(I) sites for the development and pilot implementation of community rangeland management plans, ecosystem restoration and biodiversoty conservation activities are confirmed and accurately described, and action plans for implementation of all related outputs are developed; (ii)	GEF TF	68,000	190,000	258,000

	detailed activity plans for implementation of community and cross-sectoral management arrangements, biodiversity and ecosystem restoration at pre-selected target areas; (iii) completed relevant GEF tracking tools, including respective baselines, indicators and targets to measure project progress.				
Component 4. Feasibility analysis and budget	(I) detailed project strategy, including incremental cost analysis, cost-effectiveness, and risks; (ii) detailed budget, (iii) detailed monitoring and evaluation plan; (iv) BD and LD benefits quantified alongside with other global environmental and local socio-economic benefits in line with the current GEF Tracking Tools.	GEF TF	20,727	90,000	110,727
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
Total Project Preparation Financing			**122,727**	**495,000**	**617,727**

C. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: ($)

	Project Preparation	Agency Fee
Grant Amount	122,727	12,273
Co-financing	495,000	
Total	617,727	12,273

D. PPG AMOUNT REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)[1]

Trust Fund	GEF Agency	Focal Area	Country Name/ Global	(in $)		
				PPG (a)	Agency Fee (b)	Total c = a + b
GEF TF	UNEP	Biodiversity	Mongolia	20,455	2,045	22,500
GEF TF	UNEP	Land Degradation	Mongolia	20,455	2,045	22,500
GEF TF	UNEP	Biodiversity	Russian Federation	40,908	4,092	45,000
GEF TF	UNEP	Land Degradation	Russian Federation	40,909	4,091	45,000
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
Total PPG Amount				**122,727**	**12,273**	**135,000**

[1] No need to provide information for this table if it is a single focal area, single country and single GEF Agency project.

E. PPG BUDGET

Cost Items	Total Estimated Person Weeks for Grant (PW)	Grant Amount ($)	Co-financing ($)	Total($)
Local consultants *	233.70	53,700	180,000	233,700
International consultants*	65.70	35,000	195,000	230,000
Travel		26,027	40,000	66,027
Project Development international workshop		5,000	35,000	40,000
Translations costs, logistics and operational costs***		3,000	45,000	48,000
				0
Total PPG Budget		122,727	495,000	617,727

* Annex A for Consultant cost details should be prepared first before completing this table. See notes on Annex A for the required detailed information. This table is the sum of all local and international consultants presented in Annex A.

** Travel Costs: Estimated travel costs include the following elements: (a) estimated costs of travel for national coordinator and consultants in the Russian Federation to project target areas and participation to joint Project Development international workshop: 19,624$; (b) estimated costs of travel for national coordinator and consultants in Mongolia to project target areas and participation to joint Project Development international workshop: 9,812$ and (c) estimated costs of travel for International Team Leader and selected international consultants to consult with national partners and participate in the joint Project Development international workshop: 7,500$

*** These include the estimated costs contributing to (a) simultaneous translation Russian-Mongolian-English for the joint Project Development international workshop, (b) translation costs Russian-Mongolian-English for key documents and inputs provided by stakeholders and consultants in Russian and Mongolian languages, (d) logistics and operational costs associated with the provision of translation services in support of international consultants, (e) contribution to the translation of project documents into Russian and Mongolian languages.

NOTE: PPG resources for national-level activities including e.g. local consultants and associated travel and operations will be allocated to each country proportionally to their respective STAR allocations for the PPG phase. This will apply to all the PPG allocations for local consultants presented in Annex A

F. GEF AGENCY(IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF LDCF/SCCF/NPIF Trust Fund criteria for project identification and preparation.

Agency Coordinator, Agency Name	Signature	Date *(Month, day, year)*	Project Contact Person	Telephone	Email Address
Maryam Niamir-Fuller, Director, GEF Coordination Office, UNEP	*M. Niam Fuller*	04/06/2012	Edoardo Zandri, Task Manager, BD, UNEP Nairobi	+254 20 762 4380	edoardo.zandri@unep.org

Consultants Financed by the Project Preparation Grant (PPG)

Type of Consultant	Position / Titles	$/ Person Week[1]	Estimated PWs[2]	Tasks to be Performed
Local	National Coordinator And Nomadic Herders Issues Experts In Mongolia And Russian Federation	1200	21.00	- Reporting to the international team leader, manage and coordinate PPG implementation in Mongolia and Russia, including reporting, local consultants, operations and administration. Advise on nomadic herders and indigenous peoples issues. Lead and coordinate inputs from national teams of experts and liaise with international advisors, partner institutions and UNEP, contribute to project design on the management, financial, operational, admistrative and governance elements of the project documentation. Coordinate the provision of all elements of the project document, including all annexes, including co-financing letters required. Lead and coordinate inputs from all national team, support the organisation of the PPG workshop, and contribute to the preparation of all the final project documents for submission to the GEF, including subsequent revisions until CEO endorsement.
Local	BD And LD Experts In Mongolia And Russian Federation	1200	15.00	Reporting to the national coordinator, advise on rangeland management, biodiversity conservation, SLM and land degradation issues in Mongolia and Russia, undertaking the required studies and surveys as outlined in the PPG document. Collect, review and analyse existing information for input in the project documentation. Participate and consttibute to the PPG workshop. Draft relevant sections of the project documentation, including the environmental safeguards section, lead/support/facilitate consultation with all stakeholders in these specific fields. Provide inputs to project formulation as required by the PPG team leader until the time of final CEO endrorsement of the project.
Local	Socio-Economic And	1200	7.50	Reporting to the national coordinator,

	Gender Issues Experts In Mongolia And Russian Federation			advise on social, o-economic, political, cultural, and gender-related issues with provision of specific expertise on the context of the target areas in Mongolia and Russia. Collect, review and analyse existing information for input in the project documentation, in line with the policies of the Governments of Mongolia, GEF and UNEP (including an Environmental and Social safeguards Assessment). Draft relevant sections of the project documentation, including the social safeguards section, lead/support/facilitate consultation with all stakeholders in this specific field
Local	Communication And Outreach Experts In Mongolia And Russian Federation	800	7.50	Reporting to the team leader, undertake the initial stakeholder assessment in the target areas in Mongolia and the Russian Federation and develop a project communications and stakeholder identification and outreach strategy for inclusion in the project document
International	Team Leader & Nomadic Herders Issues Expert (GRID Arendal)	3500	4.00	Manage all aspects of PPG activities and coordinate tasks of all the team and specifically of National coordinators in Mongolia and the Russian Federation, and international consultants; liaise with UNEP and lead, guide, assist and support the national coordinators in RF and Mongolia in drafting relevant sections of the project documentation; lead/support/facilitate consultation with all international stakeholders to support project formulation as outlined in the PPG document. Be primarily responsible for assembling inputs from national and international consultants and writing all parts of the project document, including all annesex and budgets; coordinate the provision of co-financing letters from all national and international partners; oversee the editing/polishing of English text of the final project document and CEO endorsement request before submission to UNEP and the GEF. Advise on indigenous peoples and nomadic herders issues, contributing the international best practices and guidelines as relevant to the RF and Mongolian context and

				based on lessons learned from other relevant projects. Collect, review and analyse existing information in light of latest international best practice guidelines, for input in the project documentation. Lead and support all stages of the project preparation process from start to CEO endorsement.
International	Biodiversity Conservation, Ecosystem Services And Pastoralism Expert	3500	2.00	Reporting to the international team leader, and working in close coordination with BD experts in both countries, advise on Biodiversity Conservation and ecosystem services aspects of the project. Contribute with international best practices and guidelines as relevant to the RF and Mongolian context and based on lessons learned from other relevant initiatives worldwide. Collect, review, analyse and corroborate inputs provided by national consultants in light of latest international best practice guidelines, for input in the relevant sections of project documentation. Assist and support the team leader and national experts in the design and implementation of all specific studies and surveys outlined in the PPG document, as well as in drafting all relevant sections of the project documentation, including logical framework, M&E framework, and GEF tracking tools; lead/support/facilitate consultation with all international stakeholders and project formulation from start of PPG to CEO endorsement.
International	Land Degradation And Sustainable Land Management Expert	3500	2.00	Reporting to the international team leader, and working in close coordination with national LD experts in both countries, advise on Land Degradation and sustainable land management aspects of the project. Contribute with international best practices and guidelines as relevant to the RF and Mongolian context and based on lessons learned from other relevant initiatives worldwide. Collect, review, analyse and corroborate inputs provided by national consultants in light of latest international best practice guidelines, for input in the relevant sections of project documentation. Assist and support the team leader and national

				experts in the design and implementation of all specific studies and surveys outlined in the PPG document, as well as in drafting all relevant sections of the project documentation, including logical framework, M&E framework, and GEF tracking tools; lead/support/facilitate consultation with all international stakeholders and project formulation from start of PPG to CEO endorsement.
International	Socio-Economics And Gender Issues Expert	3500	2.00	Reporting to the international team leader, and working in close coordination with national Socio-economics and Gender issues experts in both countries, advise on Socio-economics and Gender aspects of the project. Contribute with international best practices and guidelines as relevant to the RF and Mongolian context and based on lessons learned from other relevant initiatives worldwide. Collect, review, analyse and corroborate inputs provided by national consultants in light of latest international best practice guidelines, for input in the relevant sections of project documentation. Assist and support the team leader and national experts in the design and implementation of all specific studies and surveys outlined in the PPG document, as well as in drafting all relevant sections of the project documentation, including logical framework, M&E framework, and GEF tracking tools; lead/support/facilitate consultation with all international stakeholders and project formulation from start of PPG to CEO endorsement.

[1] Provide dollar amount per person week.
[2] Provide person weeks needed to carry out the task