



**REQUEST FOR GEF PROJECT PREPARATION
GRANT FOR PROGRAM(Accessible to GEF Agency with Board)
TRUST FUND TYPE: Multi-Trust Fund**

Submission date: 5/18/2012

GEF PROGRAM ID: 4680

GEF AGENCY PROGRAM ID: P-Z1-CZ0-001

COUNTRY(IES): Cameroon, Chad, Nigeria, Niger Central Africa Republic

PROGRAM TITLE: Lake Chad Basin Regional Program For The Conservation And Sustainable Use Of Natural Resources And Energy Efficiency

GEF AGENCY: AfDB

GEF FOCAL AREA(s): Multi-focal Area

A. PROGRAM PREPARATION TIMEFRAME

Start date of Program Preparation	05/01/2012
Completion date of Program Preparation	10/31/2012

B. PROPOSED PROJECT PREPARATION ACTIVITIES UNDER THE PROGRAM(\$)

Describe the program preparation activities and justifications: The Programme preparation Grant will be used to collect the necessary information through consultations and field visits to specific project sites. This information will be analyzed to determine the baseline scenario, assessing the main limiting factors, existing approaches to natural resource management and energy consumption patterns including the political, institutional, organizational and technical capacities of all the actors at the project sites and on the regional level. The PPG will more specifically finance the following:

1. Institutional Analysis: The objective of this activity is to carry out a review and analysis of existing natural resources management and energy regulatory institutions and structures in the five countries in the Lake Chad basin in order to design appropriate implementation arrangements for the project.
2. Component Studies: The objective of this activity is to carry out an inventory of existing information on policies, laws, regulations and governance systems; biodiversity and current conservation interventions; current natural resources use patterns and sustainable management practices; current energy resources use and strategies for promoting efficiency; status of water and watershed resources management; and sustainable land management interventions in order to design project interventions and monitoring systems for impact of project interventions.
3. Environmental and Social Analysis: The objective of this component is to undertake a social and environment analysis and ensure compliance with environment and social safeguard policies. This component will also analyze the economic status of local communities for the design of appropriate project economic interventions and ensure environmental benefits are sustained at the local level. The analysis will also enable the inclusion of appropriate mitigation measures in the project design.
4. Climate Risk Analysis: The objective of this component is to determine the awareness levels at community and institutional levels and capacity requirements for the implementing adaptation and mitigation measures recommended and that will be detailed in the project design.
5. Stakeholder Consultations: This component will organize stakeholder consultations at all levels in order

to design appropriate participation of their local communities and organizations in the project. The consultations will include organizing participatory planning exercises with different stakeholders including the communities. In their own organizational forms (Local Authority Councils, Community Based Associations, professional organizations, etc.) technical and financial partners;
 -Identifying stakeholders with whom to establish a sustainable partnership; Coordinating and harmonizing interventions between different partners on the ground

6. Coordination of PPG Implementation: The objective of this component is to ensure successful implementation of PPG activities through coordination, fiduciary oversight and reporting. It will also oversee the completion of all required project documentation.

The data collection and analyses activities outlined above will provide the basic elements to develop the four programme components. The data collected will include existing tools and approaches related to the consumption of Natural Resources and Energy and the analyses and component studies will define and propose measures to improve these existing approaches and disseminate management tools that will bring Global Environmental Benefits. The data collection and analyses will also inform the program design to include sustainable land and water management techniques including community adoption measures that will conserve the productive landscape. The institutional analysis and the social and environmental assessments including the monitoring and evaluation framework to be detailed during program design will include measures for ecosystem management and the necessary riparian collaboration. The tools and approaches that will be defined and the climate risk analysis will further build the capacity and knowledge on climate resilience while applying the principles of Integrated Water Resources Management and Water Use Efficiency.

The main outputs of the PPG are the six full comprehensive project documents. The detailed project design will include the components; results based logical framework, detailed costing. The project design will be done at national level to produce (5) projects and at regional level to produce one (1) project and will comprise the following stages: The PPG will enable the definition of additional field activities to complement those outlined in the Programmatic Framework Document. The objective of this PPG is to achieve a consensus, as broad as possible, among all parties on the actual project and related activities at the national and regional levels, on the symptoms, impacts, underlying causes and sustainable solutions to sustainable natural resources management in the Lake Chad basin.

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List of Proposed Program/Project Preparation Activities	Output of the Preparation Activities	Trust Fund	Program/Project Preparation (a)	Co-financing (b)	Total (\$) c = a + b
1. Institutional Analysis	Institutional arrangements for project implementation presented and agreed by stakeholders. Define roles for each actor including the powers of the LCBC	GEF TF	35,000	20,000	55,000

	- International Consultant				
2. Component Studies.	<p>Review of policies, laws, governance systems undertaken</p> <p>International consultant -</p> <p>Natural resources and energy use patterns analyzed including a systematic assessment of the ecosystem services within the basin</p> <p>International consultant -</p> <p>Local consultant</p> <p>Inventory of water and land resources management conducted</p> <p>- International Consultant</p> <p>Comprehensive participative protocols developed</p> <p>- International Consultant</p> <p>Produce an Action Plan which will include the necessary logical framework with indicators for the program implementation based on the SAP</p> <p>-Preparation of the project cost tables</p> <p>- Local Consultant</p> <p>Project document</p>	GEF TF	150,000	70,000	220,000

	<p>finalized, approved and submitted to GEF for funding</p> <p>- Local Consultant and International Consultant</p> <p>Validation workshop held</p>				
3. Environment and Social Analysis	<p>A full environmental and social analysis report and recommendations for community level socio-economic interventions.</p> <p>Local Consultants</p>	GEF TF	84,000	35,000	119,000
4. Climate Risk Analysis	<p>Screening of climate variability impact conducted and relevant mitigation and adaptation measures proposed.</p> <p>-A systematic assessment of various adaptation options and prioritization of the interventions undertaken</p> <p>- International Consultant</p>	(select)	25,000	15,000	40,000
5. Stakeholder Consultations	<p>Stakeholder consultations completed and stakeholder participation plan presented.</p> <p>Local Consultant and International Consultant</p>	(select)	120,000	40,000	160,000
6. Coordination of PPG implementation	<p>Project operational manual prepared; procurement plans completed; and project documentation completed.</p>	(select)	1,200	20,000	21,200

Project Management		(select)		220,000	220,000
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
Total Program Preparation Financing				415,200	420,000
				835,200	

C. PREPARATION GRANT REQUESTED BY FOCAL AREA(S) AND COUNTRY(IES)

Trust Fund	Focal Area	Country Name/ Regional/ Global	Preparation Grant (\$)
GEF TF	Biodiversity	SEE ANNEX	26,863
GEF TF	SFM/REDD	SEE ANNEX	45,888
GEF TF	Climate Change	SEE ANNEX	61,078
GEF TF	Land Degradation	SEE ANNEX	71,371
(select)	International Waters	SEE ANNEX	210,000
(select)	(select)		
(select)	(select)		
(select)	(select)		
(select)	(select)		
(select)	(select)		
(select)	(select)		
(select)	(select)		
Total Program Preparation Grant Amount			415,200

D. BUDGET FOR PROJECT PREPARATION GRANT UNDER THE PROGRAM

Cost Items	Total Estimated Person Weeks (PW) for Grant	Grant Amount (\$)	Co-financing (\$)	Total(\$)
Local consultants *	58.00	116,000	20,000	136,000
International consultants*	54.00	162,000	30,000	192,000
Travel		51,000	25,000	76,000
Meeting and Consultation		85,000	75,000	160,000
Communication and PPG Coordination		1,200	50,000	51,200
Project Management			220,000	220,000
Total Budget		415,200	420,000	835,200

* Annex A for Consultant cost details should be prepared first before completing this table. See notes on Annex A for the required detailed information. This table is the sum of all local and international consultants presented in Annex A.

E. GEF AGENCY CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF criteria for program preparation.

Agency Coordinator,	Signature	Date (Month, day,	Project Contact	Telephone	Email Address
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Agency Name		<i>year)</i>	Person		
TOURINO SOTO, IGNACIO		05,18,2012	TRAORE, MODIBO DIOP, AMADOU BAMBA CHILESHE, PAXINA	212- 71103308	<u>D.TRAORE@AFDB.ORG</u> <u>A.DIOP@AFDB.ORG</u> <u>P.CHILESHE@AFDB.ORG</u>



Annex A

Consultants Financed by the Program Preparation Grant

Type of Consultant	Position / Titles	\$/ Person Week¹	Estimated PWs²	Tasks to be Performed
International	Natural Resources Management Specialist	3000	14.00	Tasks: Natural resources Management Specialist - Design a comprehensive full project document - Conduct an assessment of the land, water and natural resources use patterns, undertake the options analysis for soil and water management - Conduct the baseline assessment quantifying the extent of degradation and loss of ecosystem services: -Undertake a systematic assessment of the ecosystem services in the basin - Provide estimates of carbon benefits, or greenhouse gas benefits of the programme activities - Draft a detailed baseline analysis based on findings of studies to be undertaken ;Complete Management Effectiveness Tracking Tool (METT)
International	Institutional Development/ Project Design Specialist Climate Change Specialist Hydrologist/ Water Resources Management Specialist Financial Management Specialist	3000	40.00	(4 International Expert at 10 PWs = 40 PWs) Task per expert;; Institutional Development/ Project Design Specialist - Conduct the institutional review and targeted capacity needs assessment - Propose comprehensive management and arrangement plan for executing the project -.Clarify the role of each actor and powers of the LCBC as the oversight institution - Assist both the project coordination team and the consultants conducting the baseline assessment - Develop M&E framework and strategy for the programme -Production of an Action Plan which will include the necessary logical framework with indicators necessary to organize the work Assist in completing Management Effectiveness Tracking Tool (METT)

				<p>Climate Change Specialist</p> <ul style="list-style-type: none"> - Conduct a climate change risk analysis for the natural resources base and screen the projects using appropriate tools - Provide estimates of carbon benefits, or greenhouse gas benefits from the programme activities - Undertake a systematic assessment of various adaptation options and prioritization of the interventions - Recommend appropriate mitigation and adaptation measures for the projects -Design a climate change awareness raising and information component for the projects - Develop a carbon monitoring system <p>Hydrologist/ Water Resources Management Specialist</p> <p>Conduct an analysis of water use patterns and water management practices</p> <p>Recommend measures for water use efficiency</p> <p>Recommend measures and incentives for adoption of improved efficiency water uses</p> <ul style="list-style-type: none"> - Assist in completing Management Effectiveness Tracking Tool (METT) <p>Financial Management Specialist</p> <ul style="list-style-type: none"> - Preparation of the Project costing and the annex on the financial analysis, including contributions to the preparation of the project implementation manual, financial flow, procurement modalities etc - Develop the incremental cost matrix - Develop the log frame of the project - Assist in developing a detailed M&E plan -Assist in completing Management Effectiveness Tracking Tool (METT)
Local	Renewable Energy Economist	2000	16.00	<p>PW= 16 (4weeks x4 countries)</p> <p>Tasks: - Conduct an assessment of the energy requirements and use patterns including energy sources at the local level</p> <ul style="list-style-type: none"> - Assess the renewable energy options and potential in the project sites - Provide recommendations for adoption of renewable energy in communities

				Identify investment mechanisms to demonstrate or procure renewable energy systems including types of systems, number and the costs,
Local	Financial Management Specialist	2000	9.00	PW = 9 (3 weeks x 3 countries) Tasks: -Preparation of the Project costing and the annex on the financial analysis, including contributions to the preparation of the project implementation manual, financial flow, procurement modalities etc - Develop the incremental cost matrix - Develop the log frame of the project - Assist in developing a detailed M&E plan for the projects -Assist in completing Management Effectiveness Tracking Tool (METT)
Local	Social-Economist	2000	15.00	PW = 15 (3 weeks x 5 countries) Tasks: Undertake the social analysis for the project areas and inform the project design to ensure socio-economic benefits are realized -Contribute to the design of the environmental and social management plan for the projects
Local	Natural Resources Management Specialist	2000	18.00	PW = 18 (6 weeks x 3 countries) Tasks: National team leader to collate inputs from team members and provide technical input in the project design for soil and water management and land rehabilitation and restoration activities. -Lead the local consultation process . Undertake the environmental assessment for the project areas -Design and cost the environmental and social management plan for the projects including the monitoring and evaluation framework Complete Management Effectiveness Tracking Tool (METT)
(Select)				
(Select)				

¹ Provide dollar amount per person week.

² Provide person weeks needed to carry out the task

Expertise	Cameroon	Chad	CAR	Niger	Nigeria	Regional
Natural Resources Management Expert (6w)	√	√	√	√	√	√
Renewable Energy Specialist (4w)			√	√	√	√
Water Resources Specialist (10 w)						√
Financial Management Expert (3 w)	√	√	√	√	√	
Social Economist (3 w)	√	√	√	√	√	
Institutional Expert (10w)						√
Climate Change Specialist (10 w)						√
Natural Resources Expert (team leader) (24 w)						

Based on the above expertise requirements, the local consultants would be required for 58 weeks and International consultants for 54 weeks. The estimates of effort required are based on recruiting national consultants in Nigeria and Cameroon and Chad with an additional socio-economist recruited locally and a renewable energy expert for Niger. The international consultants will develop the regional project and the national projects in Central African Republic and Niger

ANNEX - TABLE - DETAIL OF GRANT REQUESTED BY FOCAL AREA(S) AND COUNTRY(IES)