



**GEF SECRETARIAT REVIEW FOR FULL/MEDIUM-SIZED PROJECTS*
THE GEF/LDCF/SCCF/NPIF TRUST FUNDS**

GEF ID:	5510		
Country/Region:	Papua New Guinea		
Project Title:	R2R Strengthening the Management Effectiveness of the National System of Protected Areas		
GEF Agency:	UNDP	GEF Agency Project ID:	5261 (UNDP)
Type of Trust Fund:	GEF Trust Fund	GEF Focal Area (s):	Multi Focal Area
GEF-5 Focal Area/ LDCF/SCCF Objective (s):	BD-1; LD-3;		
Anticipated Financing PPG:	\$300,000	Project Grant:	\$10,929,358
Co-financing:	\$42,600,000	Total Project Cost:	\$53,829,358
PIF Approval:	September 12, 2013	Council Approval/Expected:	November 01, 2013
CEO Endorsement/Approval		Expected Project Start Date:	
Program Manager:	Nicole Glineur	Agency Contact Person:	Joseph D'Cruz

Review Criteria	Questions	Secretariat Comment at PIF (PFD)/Work Program Inclusion	Secretariat Comment At CEO Endorsement(FSP)/Approval (MSP)
Eligibility	1. Is the participating country eligible ?	yes	
	2. Has the operational focal point endorsed the project?	yes	
Resource Availability	3. Is the proposed Grant (including the Agency fee) within the resources available from (mark all that apply):		
	• the STAR allocation?	yes	
	• the focal area allocation?	yes for BD and LD as reflected in R2R PFD endorsed by the Council in June 2013	
	• the LDCF under the principle of equitable access		
	• the SCCF (Adaptation or Technology Transfer)?		

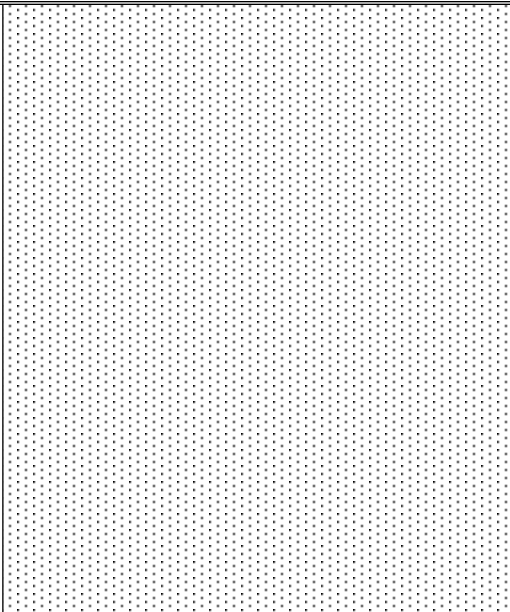
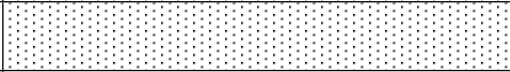

	Fund		
	• focal area set-aside?		
Strategic Alignment	<p>4. Is the project aligned with the focal area/multifocal areas/ LDCF/SCCF/NPIF results framework and strategic objectives?</p> <p><i>For BD projects: Has the project explicitly articulated which Aichi Target(s) the project will help achieve and are SMART indicators identified, that will be used to track progress toward achieving the Aichi target(s).</i></p>	<p>Yes. Aichi targets 2, 8,11, 12. SMART enforcement is mentioned</p> <p>Land Degradation Focal Area objective 3, and in particular to Outcome 3.2 "Integrated landscape management practices adopted by local communities".</p>	<p>Please provide SMART indicators that will be used to track progress toward achieving the Aichi targets at CEO endorsement</p>
	<p>5. Is the project consistent with the recipient country's national strategies and plans or reports and assessments under relevant conventions, including NPFE, NAPA, NCSA, NBSAP or NAP?</p>	yes	
Project Design	<p>6. Is (are) the baseline project(s), including problem(s) that the baseline project(s) seek/s to address, sufficiently described and based on sound data and assumptions?</p>	<p>The sections on baseline projects and coordination include important aspects. Please strengthen these sections with:</p> <ol style="list-style-type: none"> 1. a summary table of PA activities and locations covered by local NGOs, EU, bilateral and multilateral donors, CI, TNC, WCS, WWF (e.g. re. Kimba bay while ADB is mentioned, TNC is also active in the protection of Kimba bay) 2. please also extract results from UNDP GEF projects 347, 1261, 5178 which will be built on in this project. <p>As highlighted in the well diagnosed baseline section: "...the national capacity to administer PAs is weak and many sites suffer from neglect- undermining their conservation utility." "Government funding for site-level PA management is effectively nonexistent." These issues</p>	

		<p>that the Conservation Environment Protection Authority, to be established in 2014 will have "...expanded powers and revenue-raising authority". However CEPA's operational budgeted estimated at 1\$5M per year will cover all environmental issues, including brown and green environment regulations and activities. In light of this project's opportunity and the local circumstances, please explore the potential for a separate protected area entity. Different status (e.g. para-statal, private sector, NGO) could be explored as done elsewhere. Examples are provided in paras.2&3 of the project overview which need to be thoroughly assessed for their efficiency and application relevance. Whatever the status, the government would have a prominent role in the steering committee. Another option to explore could be through establishing a concession bidding process, through CEPA, for the management of sites.</p>	
	<p>7. Are the components, outcomes and outputs in the project framework (Table B) clear, sound and appropriately detailed?</p>	<p>Overall yes. The project is well articulated in a complex environment. Please address the following:</p> <ol style="list-style-type: none"> 1. Please see comments re. a protected area entity above. The aim is to ensure crucial on the ground conservation (ecosystems and species) and this includes an efficient entity and funding and revenue generation. These issues need to be better addressed and all relevant options should be explored. 2. While the environmental services are well identified under the 3 targeted areas, they are not reflected in the components. 3. If the logging, mining, agricultural expansion, and settlement pressures are to be addressed sustainably and 	

		mainstreaming component directly linked with CEPA regulations and enforcement. In the light of the local circumstances, this may be too ambitious but one mainstreaming pilot should be tested within one site. The L-T solution presented is an essential element but, as very well articulated, has a lot of barriers and is not the only one.	
	8. (a) Are global environmental/adaptation benefits identified? (b) Is the description of the incremental/additional reasoning sound and appropriate?	Yes.	
	9. Is there a clear description of: a) the socio-economic benefits , including gender dimensions, to be delivered by the project, and b) how will the delivery of such benefits support the achievement of incremental/ additional benefits?		Please add this section. It is noted that gender conflicts are included as a risk
	10. Is the role of public participation, including CSOs, and indigenous peoples where relevant, identified and explicit means for their engagement explained?	Yes , they are summarized in the stakeholders section	
	11. Does the project take into account potential major risks, including the consequences of climate change, and describes sufficient risk mitigation measures? (e.g., measures to enhance climate resilience)	Regarding CEPA risk 1, please refer to comments in the design section regarding exploration of PA entity options within or outside CEPA. Re. risk 2, the financial sustainability mitigation actions raises questions that are related to risk 1 re. management of PAs within CEPA. Under the not necessarily optimal scenario of creating a PA entity under CEPA, a legal decree regarding the earmarking of funds and revenues for PAs should be drafted and issued . Re. Risk 3 weak absorptive capacity in	

		options should be explored. Sustainability and scale up potential should be included in the risk section as well.	
	12. Is the project consistent and properly coordinated with other related initiatives in the country or in the region?	Yes. Please see comments in section 6 for strengthening	
	13. Comment on the project's innovative aspects, sustainability, and potential for scaling up. <ul style="list-style-type: none"> • Assess whether the project is innovative and if so, how, and if not, why not. • Assess the project's strategy for sustainability, and the likelihood of achieving this based on GEF and Agency experience. • Assess the potential for scaling up the project's intervention. 	Local circumstances are very complex. Please include the exploration of options within and outside CEPA. Sustainability and scale up potential should be included in the risk section as well.	
	14. Is the project structure/design sufficiently close to what was presented at PIF, with clear justifications for changes?		
	15. Has the cost-effectiveness of the project been sufficiently demonstrated, including the cost-effectiveness of the project design as compared to alternative approaches to achieve similar benefits?		
	16. Is the GEF funding and co-financing as indicated in Table B appropriate and adequate to achieve the expected outcomes and outputs?	If the co-financing is real, yes.	

	17. <u>At PIE</u> : Is the indicated amount and composition of co-financing as indicated in Table C adequate? Is the amount that the Agency bringing to the project in line with its role? <u>At CEO endorsement</u> : Has co-financing been confirmed?	Please indicate how the high in cash gvt co-financing of \$15M will materialize	
	18. Is the funding level for project management cost appropriate?	yes	
	19. <u>At PIE</u> , is PPG requested? If the requested amount deviates from the norm, has the Agency provided adequate justification that the level requested is in line with project design needs? <u>At CEO endorsement/ approval</u> , if PPG is completed, did Agency report on the activities using the PPG fund?	Yes. In this case, given past history and local complexity and the need to explore options to secure the optimal PA entity, it may be warranted to propose a detailed PPG plan (activities and results expected) accompanied by a budget.	
	20. If there is a non-grant instrument in the project, is there a reasonable calendar of reflows included?		
Project Monitoring and Evaluation	21. Have the appropriate Tracking Tools been included with information for all relevant indicators, as applicable?		
	22. Does the proposal include a budgeted M&E Plan that monitors and measures results with indicators and targets?		
Agency Responses	23. Has the Agency adequately responded to comments from:		
	• STAP?		
	• Convention Secretariat?		
	• The Council?		
	• Other GEF Agencies?		
Secretariat Recommendation			

<p>Recommendation at PIF Stage</p>	<p>being recommended?</p>	<p>and potential and is well articulated. In the light of past history and local circumstances, it calls for thorough exploration of PA entity options to identify and test the optimal one. PIF clearance will be recommended upon addressing of above issues.</p> <p>9/3/12. The revised PIF satisfactorily addresses all GEFSEC comments and is recommended for clearance. No later than the endorsement stage, please consider revisiting the option of benefiting from SFM funds, subject to availability of funds and in line with GEF procedures. As conveyed, this project is an excellent candidate to incorporate SFM.</p>	
	<p>25. Items to consider at CEO endorsement/approval.</p>		
<p>Recommendation at CEO Endorsement/ Approval</p>	<p>26. Is CEO endorsement/approval being recommended?</p>		
	<p>First review*</p>		
<p>Review Date (s)</p>	<p>Additional review (as necessary)</p>		
	<p>Additional review (as necessary)</p>		

* **This is the first time the Program Manager provides full comments for the project. Subsequent follow-up reviews should be recorded. For specific comments for each section, please insert a date after comments. Greyed areas in each section do not need comments.**