

GEF-6 GEF SECRETARIAT REVIEW FOR FULL-SIZED/MEDIUM-SIZED PROJECTS THE GEF/LDCF/SCCF TRUST FUND

| GEF ID: | 9167 | | | |
|--|------------------------------------|---|------------------|--|
| Country/Region: | Mexico | | | |
| Project Title: | Sixth Operational Phase of the GEI | Sixth Operational Phase of the GEF Small Grants Programme in Mexico | | |
| GEF Agency: | UNDP | GEF Agency Project ID: | 5531 (UNDP) | |
| Type of Trust Fund: | GEF Trust Fund | GEF Focal Area (s): | Multi Focal Area | |
| GEF-6 Focal Area/ LDCF/SCCF Objective (s): | | BD-4 Program 9; CCM-2 Program 4; LD-1 Program 1; | | |
| Anticipated Financing PPG: | \$0 | Project Grant: | \$4,429,223 | |
| Co-financing: | \$8,046,233 | Total Project Cost: | \$12,475,456 | |
| PIF Approval: | | Council Approval/Expected: | October 01, 2015 | |
| CEO Endorsement/Approval | | Expected Project Start Date: | | |
| Program Manager: | Maria Del Pilar Barrera Rey | Agency Contact Person: | Nick Remple | |

| PIF Review | | | |
|---------------------|--|---|-----------------|
| Review Criteria | Questions | Secretariat Comment | Agency Response |
| Project Consistency | 1. Is the project aligned with the relevant GEF strategic objectives and results framework? ¹ | The proposed project is aligned with the GEF-6 strategic objectives approved for the GEF SGP, particularly with the following: (a) Community Landscape and Seascape Conservation and (b) Climate Smart Innovative Agro-ecology. Cleared 07/07/2015 | |
| | 2. Is the project consistent with the recipient country's national strategies and plans or reports and assessments | Yes. The project is consistent with among others, the following national plans and strategies: | |

¹ For BD projects: has the project explicitly articulated which Aichi Target(s) the project will help achieve and are SMART indicators identified, that will be used to track the project's contribution toward achieving the Aichi Target(s)?

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PIF Review

| Review Criteria | Questions | Secretariat Comment | Agency Response |
|-----------------|--|---|-----------------|
| | under relevant conventions? 3. Does the PIF sufficiently indicate the drivers² of global environmental | National Development Plan 2013 ‑ 2018, National Biodiversity Strategy, National Program of Protected Natural Areas 2013 ‑ 2018 and the National Climate Change Strategy. Cleared 07/07/2015 Somewhat. However, please explain the following aspects of the project: | |
| | degradation, issues of sustainability, market transformation, scaling, and innovation? | innovation, sustainability and potential for scale-up. Especially considering that agriculture and cattle ranching are the main threats to these ecosystems and also the main economic activities. CC: Please explain the drivers of environmental degradation in terms of | |
| Project Design | | GHG emissions in those ecosystems. Additional information is requested. 06/30/2015 Information provided. Cleared 08/04/2015 | |
| | Is the project designed with sound incremental reasoning? | Yes. As the PIF describes, it will be important to coordinate with ongoing GEF projects in that geographic area and to incorporate the IEO's recommendations during PPG phase. | |

² Need not apply to LDCF/SCCF projects.

PIF Review

| Review Criteria | Questions | Secretariat Comment | Agency Response |
|---------------------------|--|---|-----------------|
| | 5. Are the components in Table B sound and sufficiently clear and appropriate to achieve project objectives and the GEBs? | Cleared at PIF stage. 07/07/2015 CC: Please explain how the project will lead to a reduction in GHG emissions. Additional information is requested 07/07/2015 Information provided. Cleared 08/04/2015 | |
| | 6. Are socio-economic aspects, including relevant gender elements, indigenous people, and CSOs considered? | Yes. Cleared 07/07/2015 | |
| | 7. Is the proposed Grant (including the Agency fee) within the resources available from (mark all that apply): The STAR allocation? | Yes. \$5 million from Mexico's STAR allocation for GEF-6 as follows: | |
| Availability of Resources | | BD: \$3,000,000 CC: \$1,500,000 LD: \$500,000 All inclusive of agency fees. | |
| | The focal area allocation? | Cleared 07/07/2015 N/A | |
| | The LDCF under the principle of equitable access | N/A | |
| | The SCCF (Adaptation or Technology Transfer)? Focal area set-aside? | N/A N/A | |

| PIF Review | | | |
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| Review Criteria | Questions | Secretariat Comment | Agency Response |
| Recommendations | 8. Is the PIF being recommended for clearance and PPG (if additional amount beyond the norm) justified? | Not yet. Please respond to the questions above. Also, for CEO Endorsement please provide information on the project's target contributions to Global Environmental Benefits. 07/07/2015 The Program Manager recommends PIF clearance. A PPG of \$150,000 (including agency fees) is requested and is within the norm. 08/04/2015 | |
| Review Date | Review | July 07, 2015 | |
| Review Date | Additional Review (as necessary) | August 04, 2015 | |
| | Additional Review (as necessary) | | |

| CEO endorsement Review | | | |
|------------------------|-----------|---|----------------------------------|
| Review Criteria | Questions | Secretariat Comment at CEO Endorsement | Response to Secretariat comments |

| CEO endorsement Review | | | | |
|------------------------------|---|---|----------------------------------|--|
| Review Criteria | Questions | Secretariat Comment at CEO Endorsement | Response to Secretariat comments | |
| Project Design and Financing | If there are any changes from that presented in the PIF, have justifications been provided? Is the project structure/ design appropriate to achieve the expected outcomes and outputs? Is the financing adequate and does the project demonstrate a cost-effective approach to meet the project objective? Does the project take into account potential major risks, including the consequences of climate change, and describes sufficient risk response measures? (e.g., measures to enhance climate resilience) Is co-financing confirmed and evidence provided? Are relevant tracking tools completed? Only for Non-Grant Instrument: Has a reflow calendar been presented? Is the project coordinated with other related initiatives and national/regional plans in the country or in the region? Does the project include a budgeted M&E Plan that monitors and measures results with indicators and targets? | | | |

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| CEO endorsement Review | | | |
|------------------------|--|---|----------------------------------|
| Review Criteria | Questions | Secretariat Comment at CEO Endorsement | Response to Secretariat comments |
| | 10. Does the project have descriptions of a knowledge management plan? | | |
| Agency Responses | 11. Has the Agency adequately responded to comments at the PIF³ stage from: GEFSEC STAP GEF Council Convention Secretariat | | |
| Recommendation | 12. Is CEO endorsement recommended? | | |
| Review Date | Review | | |
| | Additional Review (as necessary) | | |
| | Additional Review (as necessary) | | |

³ If it is a child project under a program, assess if the components of the child project align with the program criteria set for selection of child projects.