

GEF SECRETARIAT REVIEW FOR FULL/MEDIUM-SIZED PROJECTS* THE GEF/LDCF/SCCF TRUST FUNDS

| GEF ID: | 5041 | | |
|-----------------------------|--|----------------------------------|------------------------------|
| Country/Region: | Guinea | | |
| Project Title: | Strengthening Decentralized Man | agement of the Environment to Me | et Rio Convention Objectives |
| GEF Agency: | UNDP | GEF Agency Project ID: | 4963 (UNDP) |
| Type of Trust Fund: | GEF Trust Fund | GEF Focal Area (s): | Multi Focal Area |
| GEF-5 Focal Area/ LDCF/SCCI | F Objective (s): | CD-4; Project Mana; | |
| Anticipated Financing PPG: | \$0 | Project Grant: | \$525,000 |
| Co-financing: | \$625,000 | Total Project Cost: | \$1,150,000 |
| PIF Approval: | | Council Approval/Expected: | |
| CEO Endorsement/Approval | | Expected Project Start Date: | |
| Program Manager: | Maria Del Pilar Barrera Rey | Agency Contact Person: | Tom Twining-Ward |

| Review Criteria | Questions | Secretariat Comment at PIF (PFD)/Work Program Inclusion ¹ | Secretariat Comment At CEO Endorsement(FSP)/Approval (MSP) |
|--------------------------------------|---|--|---|
| Eligibility | 1.Is the participating country eligible? | Yes, Guinea ratified the CBD on May 7, 1993, the UNFCCC on September 7, 2000 and the UNCCD on June 23, 1997. Cleared 7/27/2012 | |
| | 2. Has the operational focal point endorsed the project? | Yes, with letter dated March 27, 2012. Cleared 7/27/2012 | |
| | 3. Is the Agency's comparative advantage for this project clearly described and supported? | Yes. Cleared 7/27/2012 | |
| Agency's Comparative Advantage | 4. If there is a non-grant instrument in the project, is the GEF Agency capable of managing it? | NA. 7/27/2012 | |
| | 5. Does the project fit into the Agency's program and staff capacity in the country? | Yes. However, please describe UNDP's technical staff in the country office that will manage and supervise the project. | |

^{*}Some questions here are to be answered only at PIF or CEO endorsement. No need to provide response in gray cells.

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Work Program Inclusion (WPI) applies to FSPs only . Submission of FSP PIFs will simultaneously be considered for WPI. FSP/MSP review template: updated 11-22-2010

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| | | Additional information is requested 7/27/2012 | |
| | | In the revised PIF, UNDP provided a more detail account of the agency's staff who will be involved in the project: the UNDP Guinea Energy and Environment Team will be supported by an advisor and a UNV specialist and the M&E Unit. Finally the team will be supported by a dedicated global Senior Technical Advisor and Project Assistant. Cleared 11/27/2012 | |
| | 6. Is the proposed Grant (including the Agency fee) within the resources available from (mark all that apply): | | |
| | • the STAR allocation? | NA. 7/27/2012 | |
| | • the focal area allocation? | Yes, cross-cutting capacity development allocation. Cleared 7/27/2012 | |
| | the LDCF under the principle of equitable access | NA. 7/27/2012 | |
| Resource Availability | • the SCCF (Adaptation or Technology Transfer)? | NA. 7/27/2012 | |
| | Nagoya Protocol Investment Fund | NA. 7/27/2012 | |
| | • focal area set-aside? | NA. 7/27/2012 | |
| Project Consistency | 7. Is the project aligned with the focal /multifocal areas/ LDCF/SCCF/NPIF results framework? | No. There seems to be a big departure from the Expected outcomes and Output indicators as established in the CCCD development results framework. Some outcomes and some outputs seem to fall under different objectives than CD4 as stated in the PIF. It's recommended that a revision of the framework in the light | |
| | | of the CCCD strategy and results framework is done. Additional information is requested. 7/27/2012 | |

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| | 8. Are the relevant GEF 5 focal/multifocal areas/LDCF/SCCF/NPIF objectives identified? | Information provided is not sufficient, in addition it is not clear why capacities of MEA implementation have to be funded by the GEF for local level. It is also not clear the project is focused on capacities of CSOs and CBO's. This can not be purpose of this project. Please revise. 11/27/2012 Provided. Cleared 05/01/2013 Somewhat. More detail on the links to the GEF Focal Areas is requested. 7/27/2012 Additional information provided is not sufficient. Tangible results should be identified in outputs and outcomes of the project framework. It does not seems from the information provided that capacity of institutions can be feasibly strengthened without formal engagement of different institutions who are in charge for MEAs implementation. Revisions are requested. 11/27/2012 Provided. Cleared 05/01/2013 | |
| | Is the project consistent with the recipient country's national strategies and plans or reports and assessments under relevant conventions, including NPFE, NAPA, NCSA, or NAP? Does the proposal clearly articulate how the capacities developed, if any, will contribute to the sustainability | Yes. The project is consistent with the needs identified in the Guinean Strategy and Action Plan for Capacity Development 2009-2015 and other national priorities. Cleared 7/27/2012 Please provide a clearer indication of how many government staff will be positively influenced by the project and | |

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| | of project outcomes? | explain how the outcomes will be sustained in the future. Additional information is requested. 7/27/2012 | |
| | | In the revised PIF there was some clarification provided. However, institutional capacities should be also targeted by this project. So, please review the framework of the project. 11/27/2012 | |
| | | Revised PIF provided clarifications. Cleared 05/01/2013 | |
| | 11. Is (are) the baseline project(s), including problem (s) that the baseline project(s) seek/s to address, sufficiently described and based on sound data and assumptions? | Yes. Cleared. 7/27/2012 | |
| | 12. Has the cost-effectiveness been sufficiently demonstrated, including the cost-effectiveness of the project design approach as compared to alternative approaches to achieve similar benefits? | | |
| | 13. Are the activities that will be financed using GEF/LDCF/SCCF funding based on incremental/additional reasoning? | To some extent. However, a 1:1 ratio of cash co-financing is necessary. Additional information is requested. 7/27/2012 | |
| Project Design | | Cash co-financing increased by \$50,000. The total cash co-financing is still less than 1:1, furthermore it's less than 50% of the total GEF grant. Perhaps the PAVC contibution could be mostly cash so that the cash co-financing is increased further? Please clarify. 11/27/2012. | |

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| | 14. Is the project framework sound and sufficiently clear? | Cash co-financing has been reviewed as follows: Government (in-kind): 150,000 Government (cash): 100,000 UNDP (Grant): 150,000 PACV (Grant): 225,000 Cash co-financing is: \$475,000 Cleared 05/01/2013 No. Please refer to Number 7 above. Additional information is requested. 7/27/2012 No additional information is provided. It is still unclear why to train 150 government employees we need to invest 525K? Please provide clarification. 11/27/2012 | |
| | 15. Are the applied methodology and assumptions for the description of the incremental/additional benefits sound and appropriate? | Provided. Cleared 05/01/2013 Yes. Cleared 7/27/2012 | |
| | 16. Is there a clear description of: a) the socio-economic benefits, including gender dimensions, to be delivered by the project, and b) how will the delivery of such benefits support the achievement of incremental/additional benefits? | Yes. Cleared 7/27/2012 | |
| | 17. Is public participation, including CSOs and indigeneous people, taken into consideration, their role identified and addressed properly? | Mention of CSOs and local communities is made in the PIF, but there's no specific identification of the concrete roles they will play. Please revise. Additional information is requested. 7/27/2012 | |

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| | | Revised PIF provided information regarding the roles of CSOs. However please refer to point 7 above regarding training for CSOs. Revision needed 11/27/2012 | |
| | | Explanation provided. Cleared 05/01/2013 | |
| | 18. Does the project take into account potential major risks, including the consequences of climate change and provides sufficient risk mitigation measures? (i.e., climate resilience) | Yes. Cleared 7/27/2012 | |
| | 19. Is the project consistent and properly coordinated with other related initiatives in the country or in the region? | There's mention of several ongoing initiatives and that the project will seek coordination and linkages to those. Please be more specific as to how this will be achieved. Additional information is requested. 7/27/2012 Information provided in the revised PIF. | |
| | 20. Is the project implementation/ | Cleared 11/27/2012 Yes. Cleared 7/27/2012 | |
| | execution arrangement adequate? 21. Is the project structure sufficiently close to what was presented at PIF, with clear justifications for changes? | | |
| | 22. If there is a non-grant instrument in the project, is there a reasonable calendar of reflows included? | | |
| | 23. Is funding level for project management cost appropriate? | Yes, but please note that a revision of the budget is requested. 7/27/2012 | |
| Project Financing | | Please see point 13 above. 11/27/2012. Revision provided. Cleared 05/01/2013 | |

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| | 24. Is the funding and co-financing per objective appropriate and adequate to achieve the expected outcomes and outputs? | The budget seems low for the set of activities that have been included. The outcomes and outputs established seem too ambitious for the amount of money. It's recommended that these are revised and some taken out or that the budget is substantially increased. | |
| | | Co-financing should be reviewed accordingly. 7/27/2012 | |
| | | The revised PIF includes an increase in the budget of \$50,000. Please revise outputs and outcomes making them tangible and realistic in terms of results planned. Additional information is requested. 11/27/2012 | |
| | 25. At PIF: comment on the indicated cofinancing; At CEO endorsement: indicate if confirmed co-financing is provided. | Revision provided. Cleared 05/01/2013 Cash co-financing should be substantially increased. Additional information/changes are requested. 7/27/2012 | |
| | | Please refer to point 13 above. 11/27/2012 | |
| | 26. Is the co-financing amount that the Agency is bringing to the project in line with its role? | Revision provided. Cleared 05/01/2013 Yes. Cleared 7/27/2012 | |
| Project Monitoring | 27. Have the appropriate Tracking Tools been included with information for all relevant indicators, as applicable? | | |
| and Evaluation | 28. Does the proposal include a budgeted M&E Plan that monitors and measures results with indicators and targets? | | |

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| Agency Responses | 29. Has the Agency responded adequately to comments from: STAP? Convention Secretariat? Council comments? Other GEF Agencies? | | |
| Secretariat Recommen | ndation | | |
| Recommendation at PIF Stage | 30. Is PIF clearance/approval being recommended? | Not yet. Additional information/clarification is requested. 7/27/2012 Not yet. Additional information/clarification is requested. 11/27/2012 Yes. The PIF has been technically cleared and is being recommended. 05/01/2013 | |
| | 31. Items to consider at CEO endorsement/approval. | 1) The details of the descentralization approach, and how to monitor and quantify, will be determined through the PPG. 2) During the preparation phase (PPG) a clear commitment of the decentralized authorities should be sought and formalized via letters of intent. 3) The PPG process will be used to further define management, coordination and consultation mechanisms. 4) The PACV has preliminarily pledged co-financing, this should be negotiated to US\$225,000 during the PPG phase. | |
| Recommendation at CEO Endorsement/ Approval | 32. At endorsement/approval, did Agency include the progress of PPG with clear information of commitment status of the PPG? | | |

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| | 33. Is CEO endorsement/approval | | |
| | being recommended? | | |
| | First review* | July 27, 2012 | |
| | Additional review (as necessary) | November 27, 2012 | |
| Review Date (s) | Additional review (as necessary) | May 01, 2013 | |
| | Additional review (as necessary) | | |
| | Additional review (as necessary) | | |

^{*} This is the first time the Program Manager provides full comments for the project. Subsequent follow-up reviews should be recorded. For specific comments for each section, please insert a date after comments. Greyed areas in each section do not need comments.

REQUEST FOR PPG APPROVAL

| Review Criteria | Decision Points | Program Manager Comments |
|----------------------------|---|---|
| PPG Budget | 1. Are the proposed activities for project preparation appropriate? | Yes. Please ensure that CD indicators are used to assess baseline. Cleared. 7/27/2012 |
| | 2. Is itemized budget justified? | Yes. Cleared. 7/27/2012 |
| | 3.Is PPG approval being recommended? | Not yet, until PIF is cleared. 7/27/2012 |
| Secretariat Recommendation | | Not yet, until PIF is cleared. 11/27/2012 |
| | | Yes. The PPG is being recommded. 05/01/2013 |
| | 4. Other comments | |
| Daview Data (a) | First review* | October 12, 2012 |
| Review Date (s) | Additional review (as necessary) | May 01, 2013 |

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