



GEF-6 GEF SECRETARIAT REVIEW FOR FULL-SIZED/MEDIUM-SIZED PROJECTS THE GEF/LDCF/SCCF TRUST FUND

GEF ID:	9857		
Country/Region:	Global (Afghanistan, Albania, Armenia, Bahamas, China, Cuba, Ethiopia, Georgia, Jordan, St. Kitts And Nevis, Lao PDR, St. Lucia, Marshall Islands, Mali, Niger, Nigeria, Papua New Guinea, Palau, Sierra Leone, Turkey, Tuvalu, Tanzania, Ukraine, Uganda)		
Project Title:	GEF SGP Sixth Operational Phase- Strategic Implementation using STAR Resources, Tranche 2 (Part IV)		
GEF Agency:	UNDP	GEF Agency Project ID:	5475 (UNDP)
Type of Trust Fund:	GEF Trust Fund	GEF Focal Area (s):	Multi Focal Area
GEF-6 Focal Area/ LDCF/SCCF Objective (s):	BD-1 Program 2; BD-4 Program 9; CCM-1 Program 1; CCM-2 Program 4; CCM-3 Program 5; LD-1 Program 1; LD-1 Program 2; LD-2 Program 3;		
Anticipated Financing PPG:		Project Grant:	\$19,167,177
Co-financing:	\$19,934,000	Total Project Cost:	\$39,101,177
PIF Approval:		Council Approval/Expected:	
CEO Endorsement/Approval		Expected Project Start Date:	
Program Manager:	Maria Del Pilar Barrera Rey	Agency Contact Person:	Yoko Watanabe

PIF Review			
Review Criteria	Questions	Secretariat Comment	Agency Response
Project Consistency	1. Is the project aligned with the relevant GEF strategic objectives and results framework? ¹	Yes. Cleared 06/30/2017	
	2. Is the project consistent with the recipient country's national strategies and plans or reports and assessments under relevant conventions?	Yes. Cleared 06/30/2017	

¹ For BD projects: has the project explicitly articulated which Aichi Target(s) the project will help achieve and are SMART indicators identified, that will be used to track the project's contribution toward achieving the Aichi Target(s)?

PIF Review

Review Criteria	Questions	Secretariat Comment	Agency Response
Project Design	3. Does the PIF sufficiently indicate the drivers ² of global environmental degradation, issues of sustainability, market transformation, scaling, and innovation?	Yes. Cleared 06/30/2017	
	4. Is the project designed with sound incremental reasoning?	Yes. Cleared 06/30/2017	
	5. Are the components in Table B sound and sufficiently clear and appropriate to achieve project objectives and the GEBs?	Yes. Cleared 06/30/2017	
	6. Are socio-economic aspects, including relevant gender elements, indigenous people, and CSOs considered?	Yes. Cleared 06/30/2017	
Availability of Resources	7. Is the proposed Grant (including the Agency fee) within the resources available from (mark all that apply):		
	<ul style="list-style-type: none"> • The STAR allocation? 	<p>No. Please note that there are countries such as Papua New Guinea, for which the requested (and endorsed) amount is higher than the 10% of STAR limit. Furthermore, this country only has \$1.77 million available in their remaining STAR.</p> <p>In addition, as per council decision GEF/C.51/04 - Update on GEF-6 Resource Availability, the Secretariat is working with countries to manage the projected shortfall in GEF-6. At this juncture we anticipate that there</p>	

² Need not apply to LDCF/SCCF projects.

PIF Review

Review Criteria	Questions	Secretariat Comment	Agency Response
		<p>may not be sufficient resources available in GEF-6 for a number of countries included in the proposed project. Furthermore, there seems to be countries that have already endorsed STAR funding to other proposed GEF projects, which would compete for resources with this proposal.</p> <p>Given these issues and in order to clarify the situation of each country, we suggest to hold a couple of calls between UNDP and the GEF Sec, with participation of the GEF Regional coordinators from Africa, Asia and ECA.</p> <p>We'll be in touch on email to propose a date for this call.</p> <p>Additional information and revision is requested.</p> <p>06/30/2017</p> <p>No. Please note that there are issues with the STAR availability of the following two countries:</p> <p>1) Ethiopia: There are no climate change resources available in the STAR. Regarding biodiversity, there are only \$500,000 available and</p>	

PIF Review

Review Criteria	Questions	Secretariat Comment	Agency Response
		<p>\$110,000 for land degradation. Total availability is \$610,000</p> <p>2) Mali: Has only \$60,000 available in total.</p> <p>Additional information and revision is requested.</p> <p>09/25/2017</p>	
	<ul style="list-style-type: none"> The focal area allocation? 	N/A	
	<ul style="list-style-type: none"> The LDCF under the principle of equitable access 	N/A	
	<ul style="list-style-type: none"> The SCCF (Adaptation or Technology Transfer)? 	N/A	
	<ul style="list-style-type: none"> Focal area set-aside? 	N/A	
Recommendations	<p>8. Is the PIF being recommended for clearance and PPG (if additional amount beyond the norm) justified?</p>	<p>Not at this stage. Please see comments above.</p> <p>06/30/2017</p> <p>Not at this stage. Please provide revisions to STAR requests as per above comments.</p> <p>09/25/2017</p> <p>Yes. PIF is being recommended for clearance and WP inclusion. No PPG is requested.</p> <p>09/29/2017</p>	

PIF Review			
Review Criteria	Questions	Secretariat Comment	Agency Response
Review Date	Review	June 30, 2017	
	Additional Review (as necessary)	September 25, 2017	
	Additional Review (as necessary)	September 29, 2017	

CEO endorsement Review			
Review Criteria	Questions	Secretariat Comment at CEO Endorsement	Response to Secretariat comments
Project Design and Financing	1. If there are any changes from that presented in the PIF, have justifications been provided?		
	2. Is the project structure/ design appropriate to achieve the expected outcomes and outputs?		
	3. Is the financing adequate and does the project demonstrate a cost-effective approach to meet the project objective?		
	4. Does the project take into account potential major risks, including the consequences of climate change, and describes sufficient risk response measures? (e.g., measures to enhance climate resilience)		

CEO endorsement Review

Review Criteria	Questions	Secretariat Comment at CEO Endorsement	Response to Secretariat comments
	5. Is co-financing confirmed and evidence provided?		
	6. Are relevant tracking tools completed?		
	7. <i>Only for Non-Grant Instrument:</i> Has a reflow calendar been presented?		
	8. Is the project coordinated with other related initiatives and national/regional plans in the country or in the region?		
	9. Does the project include a budgeted M&E Plan that monitors and measures results with indicators and targets?		
	10. Does the project have descriptions of a knowledge management plan?		
Agency Responses	11. Has the Agency adequately responded to comments at the PIF ³ stage from:		
	• GEFSEC		
	• STAP		
	• GEF Council		
	• Convention Secretariat		
Recommendation	12. Is CEO endorsement recommended?		
Review Date	Review		
	Additional Review (as necessary)		

³ If it is a child project under a program, assess if the components of the child project align with the program criteria set for selection of child projects.

CEO endorsement Review

Review Criteria	Questions	Secretariat Comment at CEO Endorsement	Response to Secretariat comments
	Additional Review (as necessary)		