



REQUEST FOR PROJECT PREPARATION GRANT (PPG)
PROJECT TYPE: Full-sized Project
THE GEF TRUST FUND

Submission date: July 6, 2011

GEF PROJECT ID¹:

GEF AGENCY PROJECT ID:

COUNTRY(IES): Bhutan

PROJECT TITLE: Sustainable Financing for Biodiversity Conservation And Natural Resource Management In Bhutan

GEF AGENCY(IES): World Bank, (select), (select)

OTHER EXECUTING PARTNER(S): Bhutan Trust Fund for Environment Conservation, Ministry of Agriculture and Forests (Departments of Agriculture, Forestry, Wildlife Conservation and Livestock), WWF

GEF FOCAL AREA(S): Biodiversity, Land Degradation and Multi-Focal Area

GEF-4 STRATEGIC PROGRAM(S): (see preparation guidelines section on exactly what to write)

NAME OF PARENT/PROGRAM/UMBRELLA PROJECT (if applicable):

A. PROJECT PREPARATION TIMEFRAME

Start date of PPG	09/01/2011
Completion date of PPG	08/31/2012

B. PAST PROJECT PREPARATION ACTIVITIES (\$)

List of Past Project Preparation Activities	Output of the Activities	Project Preparation Amount (a)	Co-financing (b)	Total c = a + b
NONE				

C. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)

Describe the PPG activities and justifications:

The implementation of project activities is proposed to be coordinated through the existing Bhutan Trust Fund for Environmental Conservation (BTFEC). Consequently, it is necessary to undertake an assessment of the BTFEC, in particular of its current strategy, grant making procedures and systems, review the existing grant monitoring and evaluation systems, and experience sharing arrangements, and identify technical assistance and training needs to improve BTFEC's capacity to assist grant applicants, and for monitoring and evaluation of its grants. This would enable the revision of existing operational guidelines and systems used by BTFEC to make it more effective in grant making, modify BTFEC's governance structure to increase civil society participation, improve monitoring and assessment of its grants and conservation impact, and improve investment and

¹ Project ID number will be assigned by GEFSEC. If PIF has already been submitted, please use the same ID number as PIF.

financial management. The PPG will support international and local consultants, workshops and review meetings to help strengthen the role of the BTFEC prioritization of threats and opportunities for addressing environmental and biodiversity considerations, improve transparency and competitiveness of its grant making procedures, improve its operational and financial procedures, and its monitoring and assessment arrangements and outreach arrangements

In addition, the PPG will support the design of investments for the high altitude northern areas, where the project will focus its activities that is aimed at improving the management of natural resources in this region. It will support a series of preparatory activities such as collection of baseline information, identifying gaps in existing information, gathering key data/information for the design of project components, assess the capacity needs of potential implementing partners, and identify and secure partnerships and other sources of financing/co-financing for the project activities, and BTFEC in supporting the recurrent costs of the high altitude northern areas.

List of Proposed Project Preparation Activities	Output of the PPG Activities	GEF Project Preparation Amount (a)	Co-financing (b)	Total c = a + b
1. Establishment of interdisciplinary working group; preparation of detailed TORs for project design, identification of roles of responsibilities of local entities, and provision of project preparation oversight and guidance	TORs for project preparation defined and oversight arrangements in place	3,000	30,000	33,000
2. Assessment of existing grant making, approval and monitoring system, governance, and financial management of BTFEC and design of activities aimed at improving the operational effectiveness of BTFEC, grant oversight and monitoring, etc	Recommendations for improvements of BTFEC operations and design of Component 1 of project	75,000	65,000	140,000
3. Design of Social and environmental framework, risk assessment, social assessment etc	Social and environmental framework and risk management framework	18,750	30,000	48,750
4. Stakeholder consultation on project design opportunities for improved participation in protected area	Strategy and action plan for outreach and community	14,500	45,000	59,500

management, ecotourism, land management, rangeland management, participatory monitoring and evaluation, etc	participation in project implementation and monitoring and grievance redressal system			
5. Baseline studies of key natural resource threats and status	5 baseline reports for key natural resource aspects developed	18,750	35,000	53,750
6. Identifying opportunities for conservation of biodiversity and natural resources in HANAS	Design of components 2 and 3 of the proposed project	0	15,000	15,000
7. Finalization of project documents	Project Appraisal Document and Project Implementation Plan	0	60,000	60,000
Total Project Preparation Financing USD		130,000	280,000	410,000

D. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)

	Project Preparation	Agency Fee
GEF financing	130,000	13,000
Co-financing	280,000	
Total	410,000	13,000

E. PPG REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)¹

GEF Agency	Focal Area	Country Name/ Global	(in \$)		
			PPG (a)	Agency Fee (b)	Total c = a + b
World Bank	Biodiversity	Bhutan	80,000	8,000	88,000
World Bank	Land Degradation	Bhutan	23,000	2,300	25,300
World Bank	Multi-focal	Bhutan	27,000	2,700	29,700
(select)	(select)				
(select)	(select)				
(select)	(select)				
Total PPG Requested			130,000	13,000	143,000

¹ No need to provide information for this table if it is a single focal area, single country and single GEF Agency project.


F. PPG BUDGET REQUEST

Cost Items	Total Estimated Person Weeks for GEF Grant (PW)	GEF (\$)	Co-financing (\$)	Total (\$)
Local consultants *	70	49,500	120,000	169,500
International consultants*	20	68,000	20,000	88,000
Travel		7,500	80,000	87,500
Workshop facilities, consultation and meetings		5,000	60,000	65,000
Total PPG Budget		130,000	280,000	410,000

* Annex A for Consultant cost details should be prepared first before completing this table. See notes on Annex A for the required detailed information. This table is the sum of all local and international consultants presented in Annex A.

G. GEF AGENCY(IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF criteria for project identification and preparation.

Agency Coordinator, Agency name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Karin Shephardson The World Bank		July 6, 2011	Malcolm Jansen	202 458-2748	Mjansen@worldbank.org

Annex A

Consultants Financed by the Project Preparation Grant (PPG)

Position / Titles	\$/ Person Week¹	Estimated PWs²	Tasks to be performed
Local	\$750	25	Social and environmental assessment of HANAS landscape and developing social and environmental framework for applying to proposed activities and mechanism for conflict resolution over resource use
Local	\$900	5	Financial specialist to work with international consultant to review financial management of grant program of BTFEC to identify opportunities to improve fund flows, financial reporting and monitoring.
Local	\$500	15	Participatory/Communication specialists to facilitate dialogue with local communities and design an outreach and communication strategy for the project
Local	\$750	25	Baseline studies for (i) assessing biological values and hotspots within protected areas and corridors; (ii) grazing assessment and stocking rates and alpine meadow capacity assessment; (iii) assessment of pilots on PES and identification and design of PES for project interventions; (iv) assessment of condition of agricultural and other land use systems and potential for improved land management; and (v) assessment of potential for income generation, value addition and marketing for new community products
International	3,400	20	Conservation Trust Fund specialist to facilitate the assessment of existing BTFEC, review of operational manual, grant making system, governance arrangements, and procedures for grant monitoring

			and assessment. Based on this review, the consultant will help design of Component 1 of the project to strengthen operational effectiveness and sustainability of BTFEC
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¹ Provide dollar amount per person week.

² Provide person weeks needed to carry out the task and corresponds to the dollar amount per person week in the previous column.