



PROJECT PREPARATION GRANT (PPG)

PROJECT TYPE: Full-sized Project

TYPE OF TRUST FUND: GEF Trust Fund

Submission date: April 3, 2012

GEF PROJECT ID: 4839

GEF AGENCY PROJECT ID: 5038

COUNTRY(IES): Afghanistan

PROJECT TITLE: Establishing Integrated Models for Protected Areas and their Co-Management in Afghanistan

GEF AGENCY(IES): UNDP

GEF FOCAL AREA(S): Multi-focal (Biodiversity and Land Degradation)

A. PROJECT PREPARATION TIMEFRAME

Start date of PPG	04/01/2012
Completion date of PPG	03/31/2013

B. PROPOSED PROJECT PREPARATION ACTIVITIES

Describe the PPG activities and justifications:

The PPG process will engage stakeholders and will support activities that will inform the preparation of the full project document and CEO Endorsement Request for the Full-Size Project (FSP) – “Establishing Integrated Models for Protected Areas and their Co-Management in Afghanistan”. A set of assessments will complete baseline information including examine policies and regulations and identify gaps for protected area management, analyze the governance framework and clarify roles and mandates of the various government entities, review of government capacity needs to prioritize capacity building activities, review of Afghanistan protected area categories in relation to IUCN criteria; confirm data on donor-funded, non-governmental and private sector investments in the environment sector in general and in the arena of biodiversity, PA management, and land degradation more specifically. Review of experiences and best available examples from Afghanistan and globally will be carried out to identify appropriate, locally acceptable and feasible project actions in operationalising a protected area system. Identification and feasibility of SLM technologies to be promoted will also be completed. The cost effectiveness, sustainability and replicability of the proposed actions will be examined. Further, it will undertake a stakeholder consultative process to establish support, “ownership”, and understanding for the implementation of the project, and compile and complete project results and monitoring frameworks meeting GEF specifications.

The PPG is requested to finance four preparatory activities as listed below. These will be co-funded and supported by the project partners.

Proposed Project Preparation Activities	Outputs of the PPG Activities	Trust Fund	Grant Amount (a)	Co-financing (b)	Total c = a + b
1. Site profiling and detailed baseline information analysis	a) Updated information on biogeography, species, numbers and conservation status (flora and fauna) both within the proposed PA sites and outside. b) Detailed analysis of threats to biodiversity, barrier analysis to the operationalisation of the PA system (as per outline in the PIF). c) Detailed information on land use practices, and current issues with land and natural resource management (e.g. erosion, steppe degradation, etc.). d) Identification and feasibility analysis of a list of potential SLM technologies and techniques to be adopted. e) Detailed assessment of investments (government, donor and private) in biodiversity conservation, natural resource management, and land management. f) Detailed stakeholder analysis, identification and confirmation of roles and responsibilities. g) Completion of GEF 5 Tracking Tools. h) Detailing and agreement of management arrangements.	GEFTF	40,000	100,000	140,000
2. Capacity assessment at	a) Detailed analysis of existing policies and regulations for Protected Area management and identification of gaps.	GEFTF	30,000	70,000	100,000

systemic and institutional levels	b) Analysis of the governance framework for PA management including clarification of roles and mandates of different government entities. c) Review of the Afghan Protected Area classification with that of IUCN PA categories. d) Analysis of existing institutional capacities and identification of capacity needs and measures to address them in the form of a brief capacity development response strategy – exercise carried out based on the UNDP Capacity Development methodology. e) Ascertain partnerships and recommend institutional mechanisms for sustaining capacity development processes post-project.				
3. Socio-economic assessment and identification of community capacity needs	a) Detailed socio-economic information of local communities including socio-economic status, existing livelihood sources, etc. b) Feasibility of strategic interventions selected for alternative livelihoods to be implemented by the project. c) Recommendations from local communities for engaging in PA co-management and in particular management of natural resources in areas surrounding PAs. d) Capacity needs assessment and capacity development strategy for local communities to enable meaningful participation in PA co-management and adoption of improved SLM practices. e) Identification and development of possible partnerships with donors and private sector to improve livelihood in the target areas (potential co-funding of activities).	GEFTF	35,000	90,000	125,000
4. Feasibility analysis and budget.	a) incremental cost analysis, cost-effectiveness, and risks assessment. b) detailed budget. c) detailed project monitoring and evaluation system.	GEFTF	35,000	80,000	115,000
Total Project Preparation Financing			140,000	340,000	480,000

C. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)

	Project Preparation	Agency Fee
Grant Amount	140,000	14,000
Co-financing	340,000	
Total	480,000	14,000

D. PPG AMOUNT REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)¹

Trust Fund	GEF Agency	Focal Area	Country Name/ Global	(in \$)		
				PPG (a)	Agency Fee (b)	Total c = a + b
GEFTF	UNDP	Biodiversity	Afghanistan	80,000	8,000	88,000
GEFTF	UNDP	Land Degradation	Afghanistan	60,000	6,000	66,000
Total PPG Amount				140,000	14,000	154,000

¹ No need to provide information for this table if it is a single focal area, single country and single GEF Agency project.

E. PPG BUDGET

Cost Items	Total Estimated Person Weeks for Grant (PW)	Grant Amount (\$)	Co-financing (\$)	Total (\$)
Local consultants *	18	30,600		30,600
International consultants *	38	102,600	10,000	112,600
Travel			89,500	89,500
Workshops **		6,800	226,500	233,300
Miscellaneous***			14,000	14,000
Total PPG Budget		140,000	340,000	480,000


* This table is the sum of all local consultants presented in Annex A.

** Workshop includes all the stakeholder consultations and other national and local level consultative meetings and support for same

*** Miscellaneous for GEF covers the cost of procurement of detailed land-use maps, aerial photographs etc.

F. GEF AGENCY(IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF LDCF/SCCF Trust Fund criteria for project identification and preparation.

Agency Coordinator, Agency Name	Signature	Date (<i>Month, day, year</i>)	Project Contact Person	Telephone	Email Address
Yannick Glemarec UNDP-GEF		April 3, 2012	Doley Tshering, RTA, EBD	+ 66 2288 2600	doley.tshering@undp.org

Annex A**Consultants Financed by the Project Preparation Grant (PPG)**

Type of Consultant	Position / Titles	\$/Per son Week₁	Estimated PWs²	Tasks to be Performed
Local	Local PPG team (PA expert, legal expert, SLM expert)	1,700	18	The local PPG team will consist of a team of experts comprising of an Environment Legal Expert, a PA expert, and a SLM expert. The team will support the below international experts as relevant in gathering local information and data on specific areas of expertise. They will also assist in assessment of information related to threats and barriers and assist in the design of the project strategy by providing inputs on local suitability and appropriateness of project actions.
International	Community Consultation Expert(s)	1,860	10	<p>The Community consultation expert will:</p> <ul style="list-style-type: none"> - Compile existing data on the socio-economic profile of local communities in the 4 PA target sites - Analyse the livelihood options and assess the threat perception - Through participatory means, identify existing capacities and limitations at the community level and recommend measures to enhance capacities to engage in participatory resource management and in particular to engage co-management of PAs - Thorough consultations determine elements of community level monitoring and enforcement mechanisms that can be developed by the project - Analyse current capacities and limitations of key PA and NRM relevant agencies to provide community capacity building and technical assistance - Lead consultations with the local communities to identify options for co-management of PAs, alternative livelihoods development, improved SLM practices and based on which prepare a strategy for community capacity development to be implemented by the project - Analyses the socio-economic benefits of the proposed interventions at national and local levels. - Help evolve management strategies and activities to be undertaken in the target areas. - Work in close coordination with PPG team members and stakeholders, and wherever necessary, provide inputs in contributing to the objective of the project
International	Biodiversity and PA specialist(s)	3,000	10	<p>The Biodiversity and PA specialist will</p> <ul style="list-style-type: none"> - Carry out a review of national policies and regulations related to BD conservation and PA management - identify both incentives and disincentives; - generate recommendations for policy and local regulation changes to create a better environment for protected area management; - undertake assessment of systemic and individual capacities for PA management; - carry out analysis and update threat root-cause barrier section on institutions and policies and governance - recommend strategies to be adopted by the project for effectively operationalisation of the PA system - Work in close coordination with other members of the (PPG team and other stakeholders and provide inputs in developing the future roadmap for project)
International	Protected Area Financing Expert(s)	3,000	6	<p>The PA financing expert will:</p> <ul style="list-style-type: none"> - undertake an analysis of the costs of running the protected areas sustainably, with clear projections of costs expected in the next ten years. - undertake analysis of business opportunities that can be operationalized to provide funds towards some of the costs of running the PAs. - undertake analysis of financing gaps with recommendations of the appropriate sources of bridging the gaps; - Provide suggestions for a business plan for managing the protected areas profitably for the long term - Work in close coordination with other members of the (PPG team and other stakeholders and provide inputs in developing the future roadmap for project)

International	Environment and Law Specialist(s)	3,000	6	<ul style="list-style-type: none"> - Leads the comprehensive analysis of policies, regulations, landuse law, legal and institutional instruments as relevant to PA governance, natural resource management, land use planning, SLM - Provides detailed recommendations on PA governance and natural resource planning and management based on analysis of international best practices and experiences relevant / suitable to the Afghan context - Assess the capacity of various agencies, in respect of: their roles, functions and/or responsibilities as per current mandated roles and responsibilities; their influence on, and importance in, the implementation of the proposed project; their capacity constraints, strengths and weaknesses in supporting or implementing project activities, in view of identifying the capacity development needs of the different stakeholder groups to ensure the sustainability of project investments beyond the term of the project. - Based on the above assessment, develop: (i) a detailed profile of institutional analysis with clear roles in project implementation; (ii) recommendations for institutional/agency capacity development activities to strengthen collaborative and oversight capacities; (iii) a detailed partnership involvement strategy and plan for various agencies in the project implementation phase; and (iv) a description of the project management and implementation arrangements. - Compiles and shares with the PPG team and stakeholders the best experience in policy development, legal and regulatory frameworks and enforcement systems for effective operationalisation of a PA system.
International	Rangeland / SLM Specialist(s)	3,000	6	<p>The rangeland / SLM Specialist will carry out the following tasks:</p> <ul style="list-style-type: none"> - Lead identification of current threats to land productivity losses/ degradation in the landscapes and options for promoting market based mechanisms for more effective landuse by households, communities and inter-community cooperation - Identification of key biodiversity areas and ecosystem services and their current status/ threats and options for their effective management - Quantification of global environmental benefits from project - Ascertain options for participatory landuse planning , and institutional arrangements to operationalize such plans including incentives to encourage adoption of improved SLM practices by local communities - Assess and recommend relevant mechanisms for monitoring of SLM impacts - Work in close coordination with PPG team members and stakeholders, and wherever necessary, provide inputs in contributing to the objective of the project

¹ Dollar amount per person week.

² Person weeks needed to carry out the task