



**REQUEST FOR LAND DEGRADATION ENABLING ACTIVITY  
PROPOSAL FOR FUNDING UNDER THE GEF TRUST FUND**

**PART I: PROJECT IDENTIFIERS**

EA Title:	Support to Alignment of Saint Lucia's National Action Programme to the UNCCD Ten-Year Strategy and Reporting Process		
Country(ies):	Saint Lucia	GEF Project ID: <sup>1</sup>	
GEF Agency(ies):	UNEP	GEF Agency Project ID:	01253
Other Executing Partner(s):	<b>Ministry of Sustainable Development, Energy, Science and Technology</b>  <b>Partnership Initiative for Land Management Support Office</b>	Submission Date:	06/02/2014
GEF Focal Area (s):	Land Degradation	Project Duration(Months)	18
Check if applicable	NCSA      NAPA	Agency Fee:	13,014

**A. EA FRAMEWORK**

<b>EA Objective:</b> To support Saint Lucia in meeting its obligation to the UNCCD Convention through Alignment of NAP with the 10 – Year Strategy and reporting and review process for the UNCCD					
EA Component	Grant Type (TA/IN V)	Expected Outcomes	Expected Outputs <sup>2</sup>	Grant Amount (\$)	Confirmed Cofinancing (\$)
1. Revision and alignment of NAP to the 10-year UNCCD Strategy	TA	1.1 Saint Lucia's NAP revised and aligned with the UNCCD 10-year strategy and mainstreamed into national and sectoral sustainable development plan , (for consideration)	a. An assessment report on national plans and programmes relating to land degradation, including the existing NAP and available data and information on the state of land degradation	7,081.00	6,468.00
			b. A Saint Lucia NAP aligned to the UNCCD 10-Years Strategy, and relevant national and sector development plans, through a participatory sector-	7,500.00	6,468.00

<sup>1</sup>Project ID number will be assigned by GEFSEC.

<sup>2</sup> The UNEP-UNEP-GEF "Monitoring Guidelines of Capacity Development in GEF project – Sept 2010" scorecard will be used to monitored project achievement at countries level.

			wide process.		
		1.2 Strengthened implementation of the UNCCD in Saint Lucia through improved capacity to assess baselines and monitor indicators for the Ten-years Strategy and the NAP	c. Stakeholders equipped with skills in data collection methodologies, analysis and application of indicators for monitoring implementation of NAP and the 10-year Strategy	6,409.00	8,181.00
			d. A compilation of relevant biophysical and socio-economic baseline information on DLDD in St Lucia.	10,000	8,000.00
			e. A data base of best practices for NAP implementation compiled and disseminated	3,000.00	5,500.00
			f. List of indicators for NAP alignment and implementation developed and adopted by stakeholders.	7,500.00	5,500.00
			g. Established intersectoral management information system (MIS) to provide tools to support development planning and decision making for NAP implementation and communications system to assist in promoting better understanding of the DLDD.	5,500.00	5,500.00
			h. A national knowledge sharing platform /network on UNCCD/ NAP implementation established	2,000.00	7,500.00
		1.3 Improved policy	i. Guidelines for	6,000.00	4,570.00

		and institutional framework and financing mechanisms for NAP implementation	mainstreaming NAP priorities into relevant sector investment plans, local development plans and international partners frameworks, developed and NAP mainstreamed		
			j. Report of review of relevant investment programmes and public expenditure frameworks of degraded watershed lands with identified constraints and entry points for increased and predictable financial flows to SLM through a financial mechanism	7500.00	8,000.00
			k. Strengthened inter-sectoral national platform for collaboration and information sharing, including with scientific community in support of DLDD	10,000.00	15,000.0
			l. A national communication and outreach strategy for NAP implementation to facilitate dissemination of information and technologies to stakeholders	10,000.00	10,000.0
2. 2012 Reporting and Review	TA	2.1 A Saint Lucia national report on status of UNCCD implementation prepared and submitted	Stakeholders equipped with skills on reporting requirements of the UNCCD, including the application of methodologies, procedures and tools for indicator-based reporting	3,215.00	4,000.00
			Appropriate consultative processes including workshops on the launch of	4,000.00	5,468.00

			the reporting process (impact assessment, performance review, best practices and financial flows) undertaken		
			Relevant actors capacitated to undertake continuous data gathering, quality assurance and knowledge management for reporting and review process	5,000.00	2,350.00
			A national report on impacts and measures taken to implement the UNCCD in Saint Lucia prepared and submitted	4,000	4,500.00
			Saint Lucia National Report validated and submitted through UNCCD PRAIS portal	3,226	3,250.00
		2.2. An enabling environment for preparation of national reporting cycle to the UNCCD	UNCCD national reporting process streamlined and linked with implementation of national development priorities	4,500.00	4,500.00
			A synergy framework developed for harmonisation of common indicator data and knowledge bases relevant to the UNCCD national reporting processes	8,000.00	6,500.00
			A national plan for the long-term monitoring system of UNCCD implementation in Saint Lucia established	10,102.00	7,000.00
Subtotal				124,533	128,255
EA Management Cost				12,453	20,000
Total EA Cost				<b>136,986.00</b>	<b>148,255.00</b>

**B. CO-FINANCING FOR THE EA BY SOURCE AND BY NAME**

Sources of Co financing for baseline project	Name of Co-financier	Type of Cofinancing	Amount (\$)
National government	Saint Lucia		
National government	Ministry of Physical Development, Minsitry of Agriculture, Ministry of Sustainable Development	In-kind	138,255.00
GEF Agency	UNEP	In-Kind	10,000.00
Others			
<b>Total Cofinancing</b>			<b>148,255.00</b>

**C. GRANT RESOURCES REQUESTED BY AGENCY, FOCAL AREA AND COUNTRY**

GEF Agency	Type of Trust Fund	Focal area	Country name/Global	Project amount (a)	Agency Fee (b) <sup>2</sup>	Total c=a+b
UNEP	GEF TF	Land Degradation	Saint Lucia	136,986	13,014	150,000
<b>Total Grant Resources</b>				<b>136,986</b>	<b>13,014</b>	<b>150,000</b>

**D. EA MANAGEMENT COST**

<u>COST ITEMS</u>	<u>TOTAL ESTIMATED PERSON WEEKS/MONTH</u>	<u>GRANT AMOUNT</u>	<u>CO-FINANCING</u>	<u>EA TOTAL</u>
<u>LOCAL CONSULTANTS</u>	<u>2 PERSONS/12 MONTHS</u>	<u>9800</u>	4500	<u>14,300</u>
<u>INTERNATIONAL CONSULTANTS</u>				
<u>OFFICES FACILITIES, EQUIPMENT, VEHICLES, AND COMMUNICATIONS</u>	<u>12 MONTHS</u>	2,653	<u>10000</u>	<u>12,653</u>
<u>TRAVEL</u>			5000	<u>5000</u>
<u>OTHERS/</u>			500	<u>500</u>
<u>TOTAL</u>		<u>12,453</u>	<u>20,000</u>	<u>32,453</u>
	<u>FOR REVIEW</u>	<u>BY GROUP</u>		

## **PART II: ENABLING ACTIVITY JUSTIFICATION**

<p><b>A. ENABLING ACTIVITY</b></p> <p><b>BACKGROUND AND CONTEXT</b> (Provide brief information about projects implemented since a country became party to the convention and results achieved):</p>	<ol style="list-style-type: none"> <li>1. SAINT LUCIA signed the UNCCD Convention on July 2<sup>nd</sup> 1997. Since signing onto the UNCCD, the country has participated in all Regional and International activities of the Secretariat. These activities range from Regional meetings of the Group of Latin America and the Caribbean (GRULAC); the Conference of the Parties (COPs); the Committee for Reviewing the Implementation of the Convention (CRIC); and several training sessions and special meetings, for example the ACP meeting held in Jamaica.</li> <p>You can consider to review from paragraphs 2 to 8 and summarise them as paragraph 3 and consider it as other activities (in bullet points) conducted in line with the implementation of the convention include;</p> <ul style="list-style-type: none"> <li>- The country was host to the first Caribbean Sub-Regional Meeting on Bench-Marks and Indicators of Land Degradation Drought in February 2002. .</li> </ul> <li>2. Saint Lucia has participated in the Medium Size Project (MSP) for the LDC-SIDS Portfolio Project for SLM, Mainstreaming SLM into national sustainable development, at three levels, the individual, the institutional and the national levels.</li> <li>3. The National Land Policy of 2006</li> <li>4. Forestry Inventory and Biophysical Assessment; <ol style="list-style-type: none"> <li>1. The expansion of the Forest Reserve Lands;</li> <li>2. The Forestry Business Sector Plan;</li> <li>3. The New Draft Forest legislation;</li> <li>4. The Capacity development project for the Latanye /Broom producers.</li> </ol> </li> <li>5. In 2007 the UNCCD National Focal Point agency (the Forestry Department) through the Ministry Of Agriculture, Forestry and Fisheries was able to secure funding from the European Special Framework of Assistance (SFA 2003 programme), Economic and Agricultural Diversification and Poverty Reduction through Integrated Natural Resources Management. The goal of the SFA 2003 programme was the management of Saint Lucia's natural resources by building capacity and developing consensus on a collective vision for the efficient, sustainable and equitable use of the resources. Hence the funding of the NAPSAP and its companion outputs. This represents a valuable investment in environmental management for sustainable development, which complements ongoing initiatives in integrated land management, water resources management, biodiversity protection, agriculture and other economic diversification initiatives.</li> </ol>
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	<ol style="list-style-type: none"> <li>6. The Water Resources Management Agency (WRMA) through the Ministry of Agriculture, Lands, Forestry and Fisheries (MALFF) was able to secure funding from the GEF/IWCAM small grants project to develop a Strategic Action Plan (STA) for the Agency. This STA is a five year plan (2012 -17). This STA has four strategic objectives and seeks to strengthen the capacity of the Agency so that staff will be better equipped to manage the water resources of the country.</li> <li>7. There are two very important supportive documents to assist the Agency in carrying out its day to day activities. They are the National Water Policy of 2004 and the Water and Sewage Act Of 2008, Chapter 9.03. The latter comprises of both legislation and regulations for the management of the water resources of Saint Lucia.</li> <li>8. Besides Saint Lucia has so far prepared and submitted four National Reports on UNCCD implementation to the Conference of Parties (COP). The 4<sup>th</sup> national report was prepared and submitted through the newly introduced Performance Review and Assessment of Implementation System (PRAIS) portal, as established by decision 12/COP.9. This reporting format provided provisional performance and impact indicators for monitoring of desertification, land degradation and drought (DLDD). The 4<sup>th</sup> national report provided the baseline information that will be used for comparison during subsequent reporting cycles. According to decision 11/COP.9, the data and information collected will be used by the CRIC to assess the implementation of the Convention and The Strategy (2008–2018) and to review and compile best practices on the implementation of the Convention.</li> <li>9. The proposed project will strengthen Saint Lucia’s capacity to align the NAP to the 10-year UNCCD Strategy and enhance the country’s reporting process under UNCCD following the new PRAIS format.</li> </ol>
<p><b>B. ENABLING ACTIVITY GOALS, OBJECTIVES, AND ACTIVITIES</b> (The proposal should briefly justify and describe the project framework. Identify also key stakeholders involved in the project including the private sector, civil society organizations, local and indigenous communities, and their respective roles, as applicable. Describe also how the gender dimensions are considered in project design and implementation.)</p>	<ol style="list-style-type: none"> <li>10. Based on Decision 3/COP 8, parties to the UNCCD adopted the 10-year strategy (2008-2018) to enhance the implementation of the Convention. The Decision urged Parties to implement the Strategy in accordance with their national priorities, including the alignment of their action programmes and other relevant implementation activities relating to the Convention with the Strategy.</li> <li>11. The Strategy’s Operational Objective 2 (OO2) urges affected Country Parties to revise their NAPs into strategic documents supported by biophysical and socio-economic baseline information and include them in integrated investment frameworks. The OO2 also encourages affected Country parties to integrate their NAPs as well as SLM and land degradation issues into their national development plans and relevant sectoral and investment plans and policies.</li> <li>12. Decision 3/COP8 also calls on the CRIC to facilitate the implementation, monitoring and application of new reporting guidelines as well as develop an indicator system that should go hand in hand with the alignment of NAPs. Furthermore, the Decision urges the CST to make use of and contribute to the best practices database</li> </ol>

	<p>and to economical and biophysical baselines.</p> <p>13. Decision 1/COP.8 reaffirms that the focus on the Convention is on protecting threatened ecosystems and eradicating poverty. The decision further calls for increased and sustained efforts in (i) capacity building in all areas relevant to the implementation of APs; (ii) participatory NRM (in particular SLM and IWM approaches) in coherence with national policies; (iii) promoting effective and sustained participation of all stakeholders and in particular civil society and private sector; and (iv) information sharing and technology transfer.</p> <p>14. Para. 86 of the CRIC recommendations facilitate the implementation, monitoring and application of the new reporting guidelines. The guidelines emphasize (i) establishment of clear linkages between the revised action programmes and the indicators for reporting; (ii) that NAPs should set targets; (iii) collecting baseline information; (iv) identifying indicators and a timeframe; (v) specifying the range of activities envisaged to reach such targets; and (vi) identifying indicators to measure progress with achieving these targets.</p> <p>15. Furthermore, Para. 109 notes that development of an indicator system should go hand in hand with the alignment of APs, reflecting knowledge of DLDD drivers and their interactions, and of the interaction of DLDD with climate change and biodiversity.</p> <p>16. The project is expected to enable Saint Lucia to remove barriers to institutional coordination and put in place an appropriate mechanism for long term monitoring of DLDD as well as reporting process. A management information system will be put in place to enable vertical and horizontal data and information sharing among the various stakeholders, including the scientific community.</p> <p>17. Accordingly, the proposed project responds well to the calls made by the various COP Decisions and CRIC recommendations to facilitate capacity building as well as providing technical and financial support to affected country parties to enable them to align their NAPs and monitor implementation of the Strategy and the Convention in line with the new reporting obligations.</p> <p>18. <b>Project Objective:</b> The objective of the project is to build capacity of Saint Lucia to align its NAP with the 10-year UNCCD Strategy and prepare the 5<sup>th</sup> national report on UNCCD implementation in the country following the PRAIS format, including reporting on the impact indicators.</p> <p>19. The proposed project will be all inclusive, involving participation of all stakeholders, including government ministries, departments and agencies, civil society organizations, private sector, scientific community and local communities. These will be assigned roles in project implementation depending on their comparative advantages. Gender balance will also be a key ingredient of the project, ensuring participation of men, women, youths and other groups in all stages of</p>
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	<p>the project.</p> <p>20. More specifically, the project will ensure all norms regarding social and environmental safeguards including gender and indigenous people considerations by ensuring (i) inclusiveness of both men and women in project formulation and implementation of the national consultation processes; (ii) ensuring inclusiveness for marginalized and poor communities in the consultations; (iii) collecting of gender disaggregated data and information where possible, and (vi) analysis and articulation of relationship of DLDD to human well being and poverty reduction, through the impact indicators and the anticipated socioeconomic impacts of the aligned NAPs on the national development agenda.</p> <p>21. The key national stakeholders which will be closely involved in the project implementation will include relevant departments of:</p> <ul style="list-style-type: none"> <li>- The Ministry of Sustainable Development, Energy, Science and Technology (MSDEST) is the hosting institution of the National UNCCD Focal Point and of the GEF Focal Point.</li> <li>- Ministry of Agriculture, Food Production, Fisheries, Co-operatives and Rural Development</li> <li>- Ministry of Finance and Economic Affairs</li> <li>- Ministry of Physical Development, Housing and Urban Renewal</li> <li>- Ministry of Tourism, Heritage and Creative Industries</li> <li>- National Youth Council</li> <li>- Gender empowerment organizations</li> <li>- Other Non-Governmental Organization/s selected after public announcement.</li> </ul>
<p><b>C. DESCRIBE THE ENABLING ACTIVITY AND INSTITUTIONAL FRAMEWORK FOR PROJECT IMPLEMENTATION</b> (discuss the work intended to be undertaken and the output expected from each activity as outlined in Table A ).</p>	<p>22. The activities that will be carried out during the reporting and NAP alignment process will include:</p> <p>(i) <b>Data gathering and development of tools to inform and support NAP alignment and reporting process</b></p> <p>23. National interagency mechanism will undertake assessment of existing national plans and programmes, compile relevant biophysical and socioeconomic baseline information, identify and document best practices, and develop a national communication and outreach strategy for NAP alignment and reporting process. The team in collaboration with the consultant will also make recommendations on the alignment and develop a list of indicators for NAP alignment.</p> <p><b>24. Expected Outputs</b></p> <p>a) An assessment report on national plans, programmes and international partners/donors frameworks relating to land degradation, including the existing NAP and available data and information on the state of land degradation</p> <p>b) A compilation of relevant biophysical and socio-economic baseline</p>

	<p>information.</p> <ul style="list-style-type: none"> <li>c) A data base of best practices for NAP implementation compiled and disseminated</li> <li>d) A national communication and outreach strategy for NAP implementation to facilitate dialogues with international partners/donors and dissemination of information and technologies to stakeholders</li> </ul> <p><b>(ii) Capacity development for NAP alignment and reporting</b></p> <p>25. A team of specialized and professional trainers identified from competent national institutions will facilitate training of key actors/stakeholders in data collection and analysis, application of methodologies, procedures and tools for NAP alignment and indicator based reporting on UNCCD implementation. Selected actors from relevant institutions will also be trained in long term management of information systems and quality assurance for NAP implementation and reporting.</p> <p><b>26. Expected Outputs</b></p> <ul style="list-style-type: none"> <li>a) Stakeholders equipped with skills, including long term monitoring, in data collection methodologies, analysis and application of indicators for monitoring implementation of NAP and the 10-year Strategy</li> <li>b) Stakeholders equipped with skills on reporting requirements of the UNCCD, including the application of methodologies, procedures and tools for indicator-based reporting</li> <li>c) Relevant actors capacitated to undertake continuous data gathering, quality assurance and knowledge management for long term reporting and review process</li> </ul> <p><b>(iii) Awareness raising and consultative processes for NAP alignment and reporting</b></p> <p>27. The national Interagency committee will conduct a number of awareness raising and consultation workshops targeting various stakeholders including international partners/donors, CSO and private sector to launch and raise awareness on the NAP alignment and UNCCD reporting process, and review and validate key outputs of the NAP alignment and reporting processes.</p> <p><b>28. Expected Outputs</b></p> <ul style="list-style-type: none"> <li>a) Appropriate consultative processes including workshops on the launch and validation of the NAP alignment and reporting processes undertaken.</li> <li>b) Awareness materials and public media items on reporting and NAP alignment.</li> <li>c) Validated aligned NAP.</li> <li>d) Validated 5<sup>th</sup> National Report on UNCCD implementation in St Lucia.</li> </ul>
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	<p><b>(iv) Review of the NAP</b></p> <p>29. A core team of experts will analyse existing NAP and prepare a revised NAP that integrates activities addressing the Strategic and Operational Objectives of the 10-year Strategy, taking into account above mentioned outputs, current information on the trends and dynamics of land degradation, current planning and policy frameworks, inventory of stakeholders/ actors, their roles and responsibilities.</p> <p><b>30. Expected Outputs</b></p> <p>a) A St Lucia NAP aligned to the 10-Year UNCCD Strategy, and relevant national and partners/donors and sector development plans, developed through a participatory sector-wide process.</p> <p><b>(v) Mainstreaming NAP priorities and UNCCD reporting process into relevant development and investment plans</b></p> <p>31. The interagency committee will develop guidelines for mainstreaming NAP priorities into relevant development and investment plans, international/donors frameworks, strengthen linkages between UNCCD reporting and implementation of the national development priorities, and prepare a national plan for long term monitoring system of UNCCD implementation as well as develop a framework for harmonization of common indicator data relevant to UNCCD national reporting process.</p> <p><b>32. Expected Outputs</b></p> <p>a) Guidelines for mainstreaming NAP priorities into relevant sector investment plans, international partners/donors framework and district development plans developed.</p> <p>b) UNCCD national reporting process streamlined and linked with implementation of national development priorities.</p> <p>c) A national synergy framework with other MEAs for harmonisation of common indicator data and knowledge bases relevant to the UNCCD national reporting processes.</p> <p>d) National, sector and district development and investment plans integrating NAP priorities and reporting process.</p> <p><b>(vi) Establishment of a Management Information System (MIS)</b></p> <p>33. The interagency committee, working with competent relevant national agencies, will organize collective planning sessions among key actors and stakeholders to identify and develop appropriate mechanisms and tools to enhance information generation and dissemination as well as coordination arrangements for knowledge sharing platforms, particularly involving the scientific community. Stakeholders will be provided with equipment and software to facilitate long term monitoring. GIS experts will work with key sectors and local government task forces to prepare vulnerability maps, and identify land degradation hotspots.</p>
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**34. Expected Outputs**

- a) Established intersectoral management information system (MIS) with tools to support development planning and decision making for NAP implementation.
- b) A national knowledge sharing platform /network on UNCCD/ NAP implementation established.
- c) Strengthened inter-sectoral national platform for collaboration and information sharing, including with scientific community.
- d) A national plan for the long-term monitoring system of UNCCD implementation in St Lucia established.

**(vii) Strengthening financing of NAP priorities in**

35. A national team with experts from the Ministries with responsibility for Planning and National Development will undertake evaluation of financial resources available including within international partners/donors frameworks for implementation of NAP priorities and develop a financing strategy to support implementation of the DLDD agenda in the degraded and marginal lands of Saint Lucia.

**36. Expected Outputs**

- a) Report on review of relevant national and local investment programmes and public expenditure frameworks with identified constraints and recommended entry points for increased and predictable financial flows to SLM in local communities.

**(viii) Preparation of the national report**

37. Awareness raising and consultation workshops will be conducted to launch and raise awareness on the UNCCD reporting process and review and validate key outputs of the reporting process and will give due consideration gender balance.

**38. Expected Outputs**

- a) Appropriate consultative processes including workshops on the launch of the reporting process (impact assessment, performance review, best practices and financial flows) undertaken
- b) A national report on impacts and measures taken to implement the UNCCD in St Lucia prepared
- c) St Lucias National Report validated and submitted through UNCCD PRAIS portal.

**EA IMPLEMENTATION ARRANGEMENTS**

39. UNEP/GEF is the project's Implementing Agency. In its role, UNEP/GEF shall provide project oversight to ensure that GEF policies and criteria are adhered to and that the project meets its objectives and achieves expected outcomes in an efficient and effective manner. Project supervision is entrusted to the Director of UNEP/DEPI who discharges this responsibility through the assigned Task Manager who represents the Director on the project steering

committee. Project supervision missions if any, by the Task Manager and/or Fund Management Officer shall constitute part of the project supervision plan. UNEP/GEF would perform the liaison function between UNEP and the GEF Secretariat and report on the progress against milestones outlined in the CEO approval letter to the GEF Secretariat. UNEP shall inform the GEF Secretariat whenever there is a potentially substantive co-financing change (i.e. one affecting the project objectives, the underlying concept, scale, scope, strategic priority, conformity with GEF criteria, likelihood of project success, or outcome of the project). It shall rate, on a periodic basis, progress in meeting project objectives, project implementation progress, risk, and quality of project monitoring and evaluation. In order to ensure overall coherence with the Convention process and taking into consideration the fact that this project is meant to increase St Lucia's capacity to effectively implement the Convention, UNEP/DEPI through the EA, will also work in close consultation with the UNCCD secretariat and GM through the National Focal Point on all issues relating to deadlines and deliverables under the project.

40. UNEP cooperates with multilateral environmental agreements, and support collaboration among such agreements, in order to facilitate their effective implementation. UNEP's baseline of work enhances the full implementation of the Bali Strategic Plan for Technology Support and Capacity Building, promotes Rio Convention synergies, and promotes a Green Economy paradigm, through e.g., building home-grown (national) expertise, including capacity for South-South cooperation, environmental leadership; and Linking processes and outputs from GEF funding for Enabling Activities with cross-cutting capacity development projects, for greater synergies, cost effectiveness and impact. 42. The project is fully in line with the UNEP role of catalysing the development of scientific and technical analysis and advancing environmental management in GEF-financed activities. UNEP provides guidance on relating the GEF-financed activities to global, regional and national environmental assessments, policy frameworks and plans, and to international environmental agreements. UNEP signed an MOU with UNCCD secretariat and implemented the UNCCD Performance Review and Assessment of Implementation project (PRAIS) project alongside the first leg of the 4th UNCCD reporting and review project, the piloting integrated processes and approaches to facilitate national reporting to Rio Conventions project and has implemented the 2010 Biodiversity Indicators Partnership project. Together, these initiatives will provide GEF with a range of relevant experiences, proof of concept, testing of ideas and access to the best available science and knowledge. In relation to the land degradation focal area, the project is fully in line with UNEP comparative experience in reference with GEF/C 31/5 Annex H. UNEP will primarily focus on the areas of its mandate, will continue to provide scientific and technical advice to the Facility on its policies and programmes. Currently, UNEP is developing a Drylands Strategy, which is a confirmation of the institution to strengthen its support to UNCCD

	<p>processes. In relation with linkage with United Nations Development Assistance Framework (UNDAF), the Delivering as ONE UNEP Regional Coordinator will ensure that project is mainstreamed into UNDAF and follow up with project Task Manager to facilitate the integration of project activities and ensure that they are reflected into UNDAF results matrixes in the UNDAF roll out countries either during the strategic programmes retreat or at annual reviews.</p> <p>41. UNEP has history of Working with St Lucia both on UNCCD and other GEF activities. On UNCCD, UNEP has supported the country during the PRAIS project and in fact is the good working relationship that leads to the present UNEP/GEF project. Furthermore UNEP has worked and is working with Government of St Lucia on a number of GEF funded national projects, including the National Biodiversity Strategy and Action Plan (NBSAP), the POPs Enabling Activity and NCSA. Just recently, UNEP and Government of St Lucia put together a Multifocal Area project titled “Iyanola Natural Resource Management of the North East Coast”. UNEP, through the Task Manager, will ensure that this project will build synergy and complementarity with the NAP alignment process. Currently, St Lucia is participating in about 7 regional projects in which UNEP is the Implementing Agency. UNEP, through the Regional Office for Latin America and the Caribbean (ROLAC) supported the country to implement the Compliance Assistance Programme (CAP) UNNEP OzonAction.</p> <p>42. UNEP has also recently adopted a Regional Focal Point in dealing with GEF issues. The approach uses identified staffs who give support and guidance to a number of countries in relation to GEF initiatives in the respective countries under his/her coverage.</p> <p>43. The UNEP in-kind contribution to this project is estimated at \$10,000 over the project duration. This amount represent (i) the staff time to support development of the project document as no PPG resources will be requested from GEF Trust Fund, (ii) the support from the Regional Office of Latin America AND Caribbean (ROLAC) in the project execution.</p> <p>44. MSDEST as the National UNCCD Focal Point has mandated the PISLM Support Office to take responsibility for the execution of the project in accordance with the objectives, activities and budget and deliver the outputs and demonstrate its best efforts in achieving the project outcomes will address and rectify any issues raised by UNEP with respect to project execution in a timely manner. It shall also support the project adaptive management tool and develop a management response to any review. MSDEST shall host the Project Management Team, organize the tasks and secure capacity building of the national team through the SLM Inter-Ministerial Cooperation Technical Committee. The project team also serves as secretariat to the Project Coordinating Committee (PCC). The PISLM Support Office in collaboration with MSDEST will also put in place a</p>
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	<p>knowledge management system for national UNCCD reporting and ensure that COP and CST guidance is reflected in the overall system to be devised for the national UNCCD implementation knowledge management tool.</p> <p>45. The PISLM Support Office will manage the project in collaboration with the MSDEST through a designated Project Manager to be identified and that will be designated by MSDEST and based at the Water resource Management Agency at its headquarters. The role of the manager will be (i) to coordinate with the National UNCCD processes teams to provide technical back up (ii) to provide input of a technical nature and improve the content of the reports prepared; (iii) to keep project database at MSDEST, and; (iv) assist the UNEP Task Manager on all non-oversight roles. Considering budgetary and institutional constraints in the MSDEST, PISLM Support Office will support the financial management of the project in close consultation with the MSDEST, the UNCCD focal point and the national coordination body.</p> <p>46. National coordinating body (NCB) will coordinate interdisciplinary and inter-ministerial efforts to run the forthcoming implementation UNCCD activities under the National Strategies of ECU and the obligations deriving from it. The NCB should include representatives from a variety of stakeholders ranging from government and non-governmental institutions, from civil society representatives to grassroots resource users, academia, and the private sector, in line with the complex and interdisciplinary nature of all forms of land degradation and their diverse impacts. It is desirable that within the framework of the NCB, at least three Sub-Bodies will be created, each focusing on the primary needs as follows: a) monitoring, b) impact and vulnerability assessment, and c) mitigation and response.</p> <p>47 Under the SSFA, the UNEP and the National Executing Agency , obligations of the two parties will be as follow:</p> <p><b>Terms and obligations of UNEP</b></p> <p>UNEP agrees to:</p> <ul style="list-style-type: none"> <li>a) Provide, in its role as GEF implementing agency, project oversight (through the Task Manager and Fund Management officer). Specifically this will include:</li> <li>b) Timely feedback on all substantive and financial issues;</li> <li>c) Provide technical support and assistance to the project on a need basis and where required;</li> <li>d) Ensure communication and information exchange between the Executing Agency, UNEP, and all other relevant organizations, institutions, programmes and projects;</li> <li>e) Liaise with the GEF Secretariat, UNCCD and GM for all matters related to the UNCCD Reporting.</li> </ul> <p><b>Terms and obligations of the Executing Agency (PISLM)</b></p>
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	<p>The Executing Agency agrees to:</p> <ul style="list-style-type: none"> <li>a) Provide support to, and liaise with, the various project stakeholders based on guidance from UNEP Task Manager and UNCCD Secretariat;</li> <li>b) Implement the project activities in accordance with standard Executing Agency Rules and established business processes;</li> <li>c) Make available to the project the cash and in-kind co-financing as set out in <b>Annex 11</b> from the Executing Agency and make its best efforts to ensure that all pledged third party co-financing materializes or undertake resources mobilization efforts to compensate for any shortfall in co-financing;</li> <li>d) Report to UNEP in accordance with <b>paragraphs 29 to 31</b> of this Agreement.</li> <li>e) Establish or use a National Coordinating Body / Committee in charge of UNCCD activities to direct the project activities and ensure the committee gains adequate capacity to direct the process;</li> <li>f) Ensure that CSO, Indigenous Group participation and Gender issues are included in the process and reflected in aligned NAPs;</li> <li>g) Establish a long-term mechanism for UNCCD implementation at national level;</li> <li>h) Take and report on measures to mainstream NAP in relevant national sectors and policies.</li> <li>i) Take and report measures to mainstream NAP in United Nations Development Assistance Framework (UNDAF) and to engage dialogue with other donors for resources mobilization and the implementation</li> </ul> <p><b>48. Project Coordinating Committee (PCC):</b> A Project Coordinating Committee will be established by MSDET at the beginning of the project and the members should include Coordinator of the PISLM Support Office, representatives from women’s organizations and other relevant national stakeholders involved in UNCCD implementation and reporting in particular CBOs and NGOs. The PCC will ensure gender issues are adequately addressed both during the alignment and the reporting. The gender and CBO consideration will be particularly pointed out as an item of UNEP Small Funding Agreement with ECU. The PCC will meet every TWO month and Coordinator of the PISLM Support Office will participate in most of these meetings via teleconference or physically whenever possible</p>
<p><b>D. DESCRIBE, IF POSSIBLE, THE EXPECTED COST-EFFECTIVENESS OF THE PROJECT:</b></p>	<p><b>49.</b> The project will strengthen evidence based and scientific reporting at national level on UNCCD implementation and also on land degradation trends and the impact of mitigation measures through the use of performance and impact indicators. Furthermore, the project will support Saint Lucia to align it national and sub- national land degradation and SLM priorities with the UNCCD 10 Year Strategy. In addition the capacity building activities of the project will facilitate development of long term planning and investment for SLM making the GEF resources invested cost-effective in terms of sustainability as</p>



	<p>well as enhancing synergy and harmonization with the other conventions (CBD, UNFCCC) and other environment processes through the indicator based approach to reporting.</p>
<p><b>E. DESCRIBE THE BUDGETED M&amp;E PLAN:</b></p>	<p><b>50.</b> The project will follow UNEP standard monitoring, reporting and evaluation processes and procedures. Reporting requirements and templates are an integral part of the UNEP legal instrument to be signed by the executing agency and UNEP. The project M&amp;E plan for the costed M&amp;E and the plan is consistent with the GEF Monitoring and Evaluation policy. The Project Results Framework presented includes SMART indicators for each expected outcome as well as end-of-project targets. These indicators along with the key deliverables and benchmarks will be the main tools for assessing project implementation progress and whether project results are being achieved. Other M&amp;E related costs are also presented in the costed M&amp;E Plan and are fully integrated in the overall project budget.</p> <p><b>51.</b> The M&amp;E plan will be reviewed and revised as necessary during the project inception workshop to ensure project stakeholders understand their roles and responsibilities vis-à-vis project monitoring and evaluation. Indicators and their means of verification may also be fine-tuned at the inception workshop. Day-to-day project monitoring is the responsibility of the project management team but other project partners will have responsibilities to collect specific information to track the indicators. It is the responsibility of the Project Manager to inform UNEP of any delays or difficulties faced during implementation so that the appropriate support or corrective measures can be adopted in a timely fashion.</p> <p><b>52.</b> The Project Coordinating Committee will receive periodic reports on progress and will make recommendations to UNEP concerning the need to revise any aspects of the Results Framework or the M&amp;E plan. Project oversight to ensure that the project meets UNEP and GEF policies and procedures is the responsibility of the Task Manager in UNEP-GEF. The Task Manager will also review the quality of draft project outputs, provide feedback to the project partners, and establish peer review procedures to ensure adequate quality of scientific and technical outputs and publications.</p> <p><b>53.</b> Project supervision will take an adaptive management approach. The Task Manager will develop a project supervision plan at the inception of the project which will be communicated to the project partners during the inception workshop. The emphasis of the Task Manager supervision will be on outcome monitoring but without neglecting project financial management and implementation monitoring. Progress vis-à-vis delivering the agreed project global environmental benefits will be assessed with the Coordinating Committee at agreed intervals. Project risks and assumptions will be regularly monitored both by project partners and UNEP. The quality of project monitoring and evaluation will also be reviewed and rated as part of the reporting process. Key financial parameters will be monitored quarterly to</p>

	<p>ensure cost-effective use of financial resources.</p> <p><b>54.</b> The M&amp;E which will include project inception workshop, inception report, periodic review by the Steering committee, project implementation review, terminal evaluation and report and independent final audit. The costed item will be around US.</p>
<b>F. EXPLAIN THE DEVIATIONS FROM TYPICAL COST RANGES (WHERE APPLICABLE):</b>	N/A

**TABLE: MONITORING AND EVALUATION BUDGET**

<b>M&amp;E activity</b>	<b>Purpose</b>	<b>Responsible Party</b>	<b>Budget (US\$)*1</b>	<b>Time-frame</b>
Inception workshop	Awareness raising, building stakeholder engagement, detailed work planning with key groups	Project team UNEP/GEF	3000	Within two months of project start
Inception report	Provides implementation plan for progress monitoring	Project Manager	0	Immediately following IW
Periodic Project Review by Steering Committee	Assesses progress, effectiveness of operations and technical outputs; Recommends adaptation where necessary and confirms forward implementation plan.	Project team UNEP/GEF		Every three months
Project Implementation Review	Progress and effectiveness review for the GEF, provision of lessons learned	Project team UNEP-GEF	0	Annually
Terminal report	Reviews effectiveness against implementation plan Highlights technical outputs Identifies lessons learned and likely design approaches for future projects, assesses likelihood of achieving design outcomes	Project team	0	At the end of project implementation
Independent Financial Audit	Reviews use of project funds against budget and assesses probity of expenditure and transactions		2000	At the end of project implementation

**PART III: APPROVAL/ENDORSEMENT BY GEF OPERATIONAL FOCAL POINT(S) AND GEF AGENCY (IES)**


- A. RECORD OF ENDORSEMENT OF GEF OPERATIONAL FOCAL POINT (S) ON BEHALF OF THE GOVERNMENT(S):** (Please attach the Operational Focal Point endorsement letter(s) with this template. For SGP, use this OFP endorsement letter).

NAME	POSITION	MINISTRY	DATE (MM/dd/yyyy)
Caroline Eugene	GEF Operational Focal Point	MINISTRY OF DEVELOPMENT, ENERGY, SCIENCE AND TECHNOLOGY	4 NOVEMBER, 2013

**A. CONVENTION PARTICIPATION**

CONVENTIONS	DATE OF RATIFICATION/ACCESSION	CONVENTION FOCAL POINT
UNCCD	1997	MR. MICHAEL ANDREW

**B. GEF AGENCY(IES) CERTIFICATION**

<b>This request has been prepared in accordance with GEF/LDCF/SCCF policies and procedures and meets the GEF/LDCF/SCCF criteria for project identification and preparation.</b>					
Agency Coordinator, Agency name	Signature	DATE (MM/dd/yyyy)	Project Contact Person	Telephone	Email Address
Maryam Niamir-Fuller, Director, UNEP GEF Coordination  <u>Maryam.Niamir-Fuller@unep.org</u>		February 06, 2014	Adamou Bouhari Task Manager	+254 20 762 3860	<u>Adamou.Bouhari@unep.org</u>

## Annex A: Consultants to be hired

Position/ Titles	\$/person week	Estimated Person week	Task to be performed
For EA Management			
Local			
National Project Manager	125	47	Manager will be provided on part-time basis. He/she will be responsible for management and supervision of all Project activities. S/he will also coordinate the thematic and Technical teams and facilitate their meetings, as well as prepare reports on progress of activities.
Project Finance and Administrative Assistant	150	47	The Finance and Administrative Assistant will provide part-time services to oversee compliance of expenditures to budgets and work plans in line with the established guidelines and provide administrative support to organisation of activities, particularly meetings, workshops and consultancy activities.
International			
N/A			
For Technical Assistance			
Local Consultants			
1. Policy Analyst	1500	8	<ul style="list-style-type: none"> <li>- Review relevant national policy, legal and institutional frameworks</li> <li>- Participate in preparation of mainstreaming guidelines</li> <li>- Make recommendations for mainstreaming NAP priorities into national, sectoral and district development and investment plans and donor cooperation frameworks</li> </ul>
2. Natural resources management specialist	1500	15	<ul style="list-style-type: none"> <li>- Conduct biophysical studies and best practices</li> <li>- Assessment of the state of land degradation</li> <li>- Harmonisation of indicators for the synergies framework</li> </ul>
3. Land use/ management expert	1500	12	<ul style="list-style-type: none"> <li>- Review guidelines for NAP alignment</li> <li>- Review of the current NAP and related documents</li> <li>- Conduct the alignment of the NAP to the 10 year UNCCD strategy</li> </ul>
4. Sociologist	1500	8	<ul style="list-style-type: none"> <li>- Conduct socioeconomic baselines</li> <li>- Conduct training needs assessment</li> <li>- Develop training modules/ materials</li> </ul>
5. Communication expert	1500	4	<ul style="list-style-type: none"> <li>- Prepare a communication strategy</li> <li>- Establish a management information system</li> </ul>
6. GIS expert	1500	6	<ul style="list-style-type: none"> <li>- creation of land degradation risk atlas</li> </ul>

7. Resource mobilisation expert	1500	7	<ul style="list-style-type: none"> <li>- Review investment programmes and public expenditure frameworks</li> <li>- Prepare a strategy for increasing financial flows for SLM and NAP implementation</li> </ul>
8. Monitoring and evaluation expert	1500	5	<ul style="list-style-type: none"> <li>- Develop a national plan for long term monitoring</li> <li>- Participate in harmonisation of common indicators for synergy framework</li> </ul>
9. Specialist for web-portal development	1500	6	<ul style="list-style-type: none"> <li>- Develop an internet portal for SLM</li> <li>- Facilitate uploading of the report on the PRAIS web portal</li> </ul>
10. International Consultant	2,500	4	<ul style="list-style-type: none"> <li>- Provide technical backstopping to the NAP alignment and reporting process</li> <li>- Provide guidance on capacity development</li> <li>- Support PRAIS report preparation</li> </ul>