



PROJECT PREPARATION GRANT (PPG)

PROJECT TYPE: Full-sized Project

TYPE OF TRUST FUND: GEF Trust Fund

Submission date: 08/31/2011

GEF PROJECT ID: 4550

GEF AGENCY PROJECT ID: 4536

COUNTRY(IES): Samoa

PROJECT TITLE: Strengthening Multi-Sectoral Management Of Critical Landscapes

GEF AGENCY(IES): UNDP, (select), (select)

GEF FOCAL AREA(S): Land Degradation

A. PROJECT PREPARATION TIMEFRAME

Start date of PPG	10/01/2011
Completion date of PPG	March 2012

B. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)

Describe the PPG activities and justifications:

The PPG phase of the project will detail project actions, partnerships and global environmental benefits. This will be done in a consultative manner and will build on best available experiences and examples from Samoa and globally. During the project preparation, detailed baselines actions, GEF increments and cofunded activities will be outlined to best meet the project Objective "To build national and local capacities and incentives in Samoa for effective integrated landscape management to reduce land degradation, to promote conservation, and to reduce GHG emissions, whilst increasing land productivity to strengthen sustainable livelihoods". The cost effectiveness, sustainability and replicability of the proposed actions will be examined and the best course of action recommended. The proposed project outcomes and outputs will be further defined and refined, as necessary, with stakeholders' inputs - including relevant government agencies, academia, private sector, the UN agencies, international and national NGOs and donors. The following describes key activities that will be undertaken through PPG and cofunding.

A. Establishment of institutional mechanisms for full project development to ensure strong stakeholder participation and contribution. This will be entirely co-funded by the government and UNDP. Key actions will include:

1. Establishment of an institutional arrangement for project preparation with strong involvement of key government partners to ensure cross-sectoral ownership of the project
2. Mobilization of government and other partners to develop the full project
3. Mobilization and confirmation of co-funding for the project
4. Stakeholders' identification and their involvement in PPG and full project implementation

B. Actions to be undertaken for Project's Component 1: Effective national enabling environment to promote integrated landscape management, will include:

1. Baseline capacities assessment and capacity needs assessment of relevant government agencies, using UNDP Capacity Scorecard as a guide
2. Detailing on institutional mechanisms for inter-agency coordination and collaboration at national level - including roles, responsibilities and actions
3. Assessment of current extension mechanisms of different Ministries and line agencies and options to

strengthen joint extension work to communities

3. Identification of key gaps in legal and policy spheres relating to SLM, and ongoing and planned policy and legal revisions and updating, where SLM issues can be better integrated, whilst ensuring as multi-focal area benefits. Detailing of steps to to inform and influence policy and plans changes will also be done.
4. Detailing of baseline work – including current investment in SLM by government and others and their geographic distribution nationally and compilation of information through establishment of central database. Options for strengthening investment into SLM nationally and roles, responsibilities and actions for other non-state actors in the project
5. Identification and design of long term capacity building on SLM through two Universities based in Samoa, as well as preliminary national communication strategy and costing for its full implementation
6. Preliminary design and costing for a web based interactive planning and monitoring mechanism for SLM.

C. Actions to be undertaken for Project’s Component 2: Long term capacities and incentives in place for local communities and local authorities to undertake integrated landscape , will include the following:

1. Socioeconomic baseline information of communities, including strong focus on gender issues
2. Discussions with local communities on participatory planning and priorities, as well as institutional arrangements at local level for project implementation (aligned with government extension services)
3. Capacity needs assessment of local communities and extension services, in order to detail actions to strengthen their capacities
4. Identification of key biodiversity areas and ecosystem services from wider landscape and their current status/ threats and options and options to enhance / restore them (at two pilot landscapes)
5. Identification of community incentives for SLM - including farming options linked to markets/ cost-benefit analysis – including discussions with key marketing channels such as tourism enterprises, wholesalers who supply to supermarkets and overseas markets as well as discussions with organic certification bodies to examine cost-benefits of certification
6. Use of REALU to identify landuse options to reduce overall GHG emission reduction from landuse without compromising household incomes
7. Assessment of the feasibility and design of PES schemes into the PPG.
8. Quantification of global environmental benefits from project actions

D Project scoping, definition and M&E framework:

The PPG will help develop a robust monitoring and evaluation framework for the project. More detailed and quantifiable/measurable indicators will be developed together with baseline values and targets for the full-size project. The main activities under this will be:

1. Stakeholder consultations to define results' framework, indicators and means of verification
2. Discussions and agreements on institutional arrangements and roles and responsibilities of stakeholders for project implementation
3. Analysis of risks and ways to mitigate them

List of Proposed Project Preparation Activities	Output of the PPG Activities	Trust Fund	Grant Amount (a)	Co-financing (b)	Total c = a + b
1. Baseline actions and capacities and needs assessment of relevant government agencies 2. Detailing on institutional mechanisms for inter-agency coordination	Analysis of baseline situation and the incremental analysis of GEF interventions Indicators, actions , budgets (including co-financing) identified to strengthen national	GEF TF	35,000	140,000	175,000

<p>and collaboration</p> <p>3. Assessment of current extension mechanisms of different Ministries and line agencies related to SLM to identify options for joint extension work</p> <p>3. Identification of key gaps in legal and policy spheres relating to SLM, and ongoing and planned policy and legal revisions and updating, where SLM issues can be better interated.</p> <p>4. Design of capacity building mechanism, web based information and planning tool, national communication strategy for implementation during project implementation</p>	<p>enabling environment to promote integrated landscape management - including roles and responsibilities of different stakeholders</p> <p>Clarification on cost-effectiveness, replicability and sustainability of actions</p> <p>Duly filled out LD PMAT</p>				
<p>1. Socioeconomic baseline information of communities, including gender based actions on land management</p> <p>2. Participatory planning with local communities on priorities, as well as institutional arrangements at local level</p> <p>3. Capacity needs assessment of local communities and extension services</p> <p>4. Identification of key biodiversity areas and ecosystem services and their status/ threats and options for improved management</p> <p>5. Identification of</p>	<p>Increased awareness and commitment of local communities at identified sites to participate in and contribute to the project's objectives</p> <p>Baseline analysis and GEF increment</p> <p>Indicators, actions , budgets (including co-financing) identified, including roles and responsibilities of different stakeholders, so that long term capacities and incentives can be put into place for local communities and local authorities to undertake integrated</p>	<p>GEF TF</p>	<p>80,000</p>	<p>100,000</p>	<p>180,000</p>

community incentives for SLM - including farming options linked to markets/ cost-benefit analysis and PES 6. Use of REALU to identify landuse options to reduce overall GHG emission reduction from landuse 6. Quantification of global environmental benefits from project actions	landscape management in a cost-effective, sustainable and replicable manner Details on global environmental benefits quantified including benefits to LD, BD and CC focal areas				
1. Stakeholder consultations to define results' framework, indicators and means of verification 2. agreements on institutional arrangements and roles and responsibilities of stakeholders 3. Analysis of risks and mitigation measures	Risks to proposed interventions and mitigation measures outlined Agreed institutional and coordination mechanisms Project's results' framework and Monitoring and evaluation indicators	GEF TF	21,364	65,000	86,364
		GEF TF			0
		(select)			0
		GEF TF			0
		GEF TF			0
		GEF TF			0
		GEF TF			0
		GEF TF			0
		GEF TF			0
		GEF TF			0
		GEF TF			0
Total Project Preparation Financing			136,364	305,000	441,364

C. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)

	Project Preparation	Agency Fee
Grant Amount	136,364	13,636

Co-financing	305,000	
Total	441,364	13,636

D. PPG AMOUNT REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)¹

Trust Fund	GEF Agency	Focal Area	Country Name/ Global	(in \$)		
				PPG (a)	Agency Fee (b)	Total c = a + b
GEF TF	UNDP	Land Degradation	Samoa	136,364	13,636	150,000
(select)	(select)	(select)	Samoa			0
(select)	(select)	(select)	Samoa			0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
Total PPG Amount				136,364	13,636	150,000

¹ No need to provide information for this table if it is a single focal area, single country and single GEF Agency project.

E. PPG BUDGET


Cost Items	Total Estimated Person Weeks for Grant (PW)	Grant Amount (\$)	Co-financing (\$)	Total(\$)
Local consultants *	30	55,000	200,000	255,000
International consultants*	18	54,000	35,000	89,000
Travel		18,000	10,000	28,000
Contractual services**		0	0	0
Office space and equipment		0	25,000	25,000
Workshops & consultation		9,364	35,000	44,364
Total PPG Budget		136,364	305,000	441,364

* Annex A for Consultant cost details should be prepared first before completing this table. See notes on Annex A for the required detailed information. This table is the sum of all local and international consultants presented in Annex A.

*

F. GEF AGENCY CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF LDCF/SCCF Trust Fund criteria for project identification and preparation.					
Agency Coordinator, Agency Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address

Yannick Glemarec UNDP-GEF Executive Coordinator		August 31, 2011	Sameer.Karki	+6622882729	Sameer.karki@undp.org
---	---	--------------------	--------------	-------------	-----------------------

Annex A

Consultants Financed by the Project Preparation Grant (PPG)

Type of Consultant	Position / Titles	\$/ Person Week¹	Estimated PWs²	Tasks to be Performed
Local	Socio-Economic Development And Participatory Community Planning Expert	2000	10	<p>Lead</p> <p>1.Socioeconomic baseline information of communities, including gender issues</p> <p>2 Participatory landscape level landuse planning , and institutional arrangements to operationalizesuch landuse and the monitoring of impacts</p> <p>Contribute to:</p> <p>1.Identification of community incentives for SLM - including farming options linked to markets/ cost-benefit analysis</p> <p>2.Project scoping, definition and M&E framework; risks and mitigation measures</p>
Local	Sustainable Landscape Management / Natural Resource Management Expert	2000	10	<p>Lead:</p> <p>1. Identification of current threats to land productivity losses/ degrdation in the landscapes and options for promoting market based mechanisms for more effective landuse by households, communities and inter-coomunity cooperation</p> <p>2.Identification of key biodiversity areas and ecosystem services and their current status/ threats and options for their effective management</p> <p>3.Quantification of global environmental benefits from project</p> <p>4. Participatory landscape level landuse planning , and institutional arrangements to operationalizesuch landuse and the monitoring of impacts ; web based mechnism for SLM</p> <p>5. Institutional coordination and collaboration mechanism for landscape level planning (nationally)</p> <p>Contribute to:</p> <p>1.Project scoping, definition and M&E framework; risks and mitigation measures</p>
Local	Field Assessment Staff*2	1500	10	2 Detailing on baseline work –

				<p>including current investment in SLM by government and others and geographic distribution</p> <p>3. Identification of gaps and approaches to strengthen legal, policy and institutional mechanisms</p> <p>Contribute to:</p> <p>1. Participatory landscape level landuse planning , and institutional arrangements to operationalizesuch landuse and the monitoring of impacts</p> <p>2.Project scoping, definition and M&E framework; risks and mitigation measures</p>
International	Capacity Development Expert – With Focus On Integrated Sustainable Land Management	3000	12	<p>Lead</p> <p>1. Sustainable capacity building approaches and its financing mechanism/ National communication strategy and costing for its full implementation</p> <p>International best practices on capacity building that are relevant to Samoa, including national level and community/ landscape level planning and implementation of actions</p> <p>2. Mechanisms to sustainably finance and replicate capacity building nationally</p> <p>3. Lead the preparation of monitoring and evaluation framework for the project ensuring that indicators, baselines, means of verification are appropriate and SMART</p> <p>Contribute to</p> <p>1.Project scoping, definition and M&E framework; risks and mitigation measures</p>
International	Marketing And Incentives Expert	3000	6	<p>1. Identification of community incentives for SLM - including farming options linked to markets/ cost-benefit analysis</p> <p>Contribute to:</p> <p>2.Capacity needs assessment of local communities and extension services</p> <p>Project scoping, definition and M&E framework</p>
(Select)				
(Select)				
(Select)				

¹ Provide dollar amount per person week.

² Provide person weeks needed to carry out the task

