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الزراعية

06 November 2007

Subject: GEF fees advance on the Swaziland preparatory grant
“Lower Usuthu Smallholder Irrigation Project (LUSIP)”

Madame,

References are made to the World Bank-led Strategic Investment Program for SLM in Sub-Saharan Africa (SIP) and the group submission of associated project identification forms on 05 November 2007. We are pleased to submit for your consideration for CEO approval the project preparatory grants for Swaziland entitled, “Lower Usuthu Smallholder Irrigation Project (LUSIP)”. Kindly note, this GEF proposal has been developed with the support of the Government of Swaziland.

The project preparatory grant proposal will fund the preparatory activities (incremental activities) for the development of the full project document proposal including integrating these environmental objectives into IFAD’s Loan, “Lower Usuthu Smallholder Irrigation Project (LUSIP) - Phase I.” While the overall goal of the full sized project grant is to reduce land degradation and biodiversity loss in the LUSIP area through the application of sustainable land management practices and help reduce the emissions of greenhouse gases through the promotion of efficient and sustainable practices of energy production from biomass. The project will also aim at reducing the adverse effects from climate change through the construction of water reservoirs, the promotion of drought tolerant plants and better-adapted ranching practices, and the dissemination of other adaptation practices.

At this time and upon your approval, we kindly request for the associated GEF Fees advance valued at US\$ 9,991.

It is my great pleasure to formally submit this proposal for your approval and ask you to accept, Madame, the assurances of my high consideration.


Khalida Bouzar
GEF Coordinator

GEF Unit, Programme Management Department

Monique Barbut
Chief Executive Officer
and Chairperson of the
Global Environment
Facility Secretariat
Global Environment
Facility Secretariat
Washington DC

DISTRIBUTION LIST:

Christophe Crepin

TerrAfrica Coordinator
World Bank

Steve Danyo

Natural Resources Management Specialist
Africa Region
World Bank

Gustavo Fonseca

Team Leader, Natural Resources
GEF Secretariat

Walter Lusigi

Sr. Advisor, Sr. Natural Resources Specialist
GEF Secretariat

Andrea Kutter

Program Manager, Sr. Natural Resources Specialist
GEF Secretariat

Kevin Cleaver

Assistant President,
Programme Management Department
IFAD

Rodney Cooke

Director, Technical Advisory Division
Programme Management Department
IFAD

Ides de Willebois

Director, Eastern and Southern Africa
Programme Management Department
IFAD

Carlo Borghini

Controller, Office of the Controller
Finance and Administration Department
IFAD

Louise McDonald

Country Programme Manager, Eastern and Southern Africa
Programme Management Department
IFAD

Claudio Mainella

Accounting and Budget Analyst, Office of the Controller
Finance and Administration Department
IFAD

Jesús Quintana

Programme Officer, GEF Unit
Programme Management Department
IFAD



REQUEST FOR PROJECT PREPARATION GRANT (PPG)
PROJECT TYPE: Full-sized Project
THE GEF TRUST FUND

Submission date: 06 November 2007
Re-submission date:

GEFSEC PROJECT ID: 3390
GEF AGENCY PROJECT ID:
COUNTRY: Swaziland
PROJECT TITLE: Lower Usuthu Smallholder Irrigation Project (LUSIP)
GEF AGENCY: IFAD
OTHER EXECUTING PARTNERS: Ministry of Agriculture and Cooperatives, Swaziland Water and Development Enterprise (SWADE)
GEF FOCAL AREA(S): Land Degradation, Biodiversity, Climate Change
GEF-4 STRATEGIC PROGRAMS: LD-SP1, BD-SP7, CC-SP4
NAME OF PROGRAM PROJECT: STRATEGIC INVESTMENT PROGRAM FOR SLM IN SSA (SIP)
GEF FEE ADVANCE: USD\$ 9,991

A. PROJECT PREPARATION TIMEFRAME

Start date	December 2007
Completion date	September 2008

B. PROJECT PREPARATION ACTIVITIES (\$)

Describe the PPG activities: See Annex B			
List project preparation activities	GEF Grant	Co-financing	Total
1. Preparatory activities (project context and data collection)	29,000	209,389	238,389
2. Project formulation and design	61,409	13,500	74,909
3. Consultation and advocacy	6,000	5,000	11,000
4. PPG management	0	20,500	20,500
5. Contingencies (3.5 %)	3,500	0	3,500
Total project preparation financing	99,909	248,389	348,298

C. PPG BUDGET REQUESTING FINANCE BY GEF

Cost Items	Total Estimated Person Weeks (PW)	GEF (\$)	Co-financing (\$)	Total (\$)
Local consultants *	24.00	27,500	86,103	113,103
International consultants*	20.00	49,000	153,286	202,286
Travel		14,409	5,500	19,909
Support to consultation activities		6,000	3,500	9,500
Contingencies (5 %)		3,500	0	3,500
Total PPG Budget		99,909	248,389	348,298

* Split between local and international consultants might be indicative and subject to the procurement guidelines of the Agencies. Additional information regarding consultants should be provided in Annex A.

D. GEF AGENCY CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF criteria for project identification and preparation.	
Dr. Khalida Bouzar GEF Coordinator, PMD IFAD	Mr. Jesús Quintana Project Contact Person, PMD IFAD
Date: 06 November 2007	Tel. +39.06.5459.2210 Email: j.quintana@ifad.org
Please do not forget to copy the IFAD/GEF Registry on official communications: gefregistry@ifad.org	

Consultants financed by the Project Preparation Grant (PPG)

Position Titles	\$/ Person Week	Estimated PWs	Tasks to be performed
LOCAL			
Specialist, Land Degradation and Natural Resources	1,125 \$/pw	24.00	(1) Relationship with GoS (MoAC, MoTEC, MoNR, etc) and other stakeholders. (ii) Revision of relevant technical studies on land degradation and land issues (land uses, conflicts, policies and traditions, planning and management, etc), natural resources and climate change. (iii) Organization and direction of consultation and dissemination activities (workshops and other discussion activities).
INTERNATIONAL			
Specialist, GEF and SLM	2,500 \$/pw	18.00	(i) Revision of relevant technical studies on land degradation (land uses, conflicts, policies and traditions, planning and management, etc), natural resources (biodiversity, water, protected areas, alien species, etc), climate change (vulnerability, adaptation), social and institutional issues. (ii) Formulation of proposal (overall project design, logframe and workplan, implementation arrangements, supervision arrangements, M&E), alignment with GEF's priorities, SIP objectives and TerrAfrica.
Specialist, GEF Economic Analysis	2,000 \$/pw	2.00	Formulation of proposal (incremental cost analysis, cost-effectiveness analysis, budget, project administration, procurement and disbursement issues, audits).

PROJECT PREPARATION ACTIVITIES

The PPG funding will co-finance the activities and provide the necessary inputs and support to formulate the project, financing the review and completion of technical studies and public consultations. The proposed preparation activities are described below:

1. Preparatory activities (project context and data collection)

This activity will provide the necessary information and inputs to ensure the formulation of a high quality and innovative program. The existing studies and reports on the relevant fields (sectoral contexts, institutional and policy frameworks, etc) will be reviewed, and additional surveys and studies will be carried out if necessary. Detailed terms of reference will be prepared before the commencement of the project preparation activities.

Outputs: Compilation (and/or completion) and review of studies, surveys and assessment reports, with their recommendations and strategies incorporated into the full project design.

2. Project formulation and design

This component will cover the preparation of the project document, based on the information available and the studies and analyses carried out by the PPG. Activities will include:

- Coordination of activities and supervision of consultants
- Alignment of objectives and activities to GEF, SIP and TerrAfrica priorities
- Design of project implementation plan and institutional set-up, in full coordination with the LUSIP work program and arrangements
- Preparation of budget including financial management, incremental cost analysis and cost-effectiveness analysis
- Supervision arrangements
- Monitoring and evaluation framework
- Sustainability: Financial and environmental sustainability

Outputs: Overall project design, with accompanying documents (Executive Summary, annexes) prepared for submission to GEF Secretariat. Minimum required sections are: Logical Framework, Project Implementation Plan, Budget, Incremental Cost Analysis, Cost-Effectiveness Analysis, Institutional Setup and Monitoring and Evaluation Framework.

3. Consultation and advocacy

This activity will involve discussions with key stakeholders and partners, dissemination of information and awareness, to promote transparency and guarantee wide participation.

Outputs: Discussion of information from studies and assessments with project stakeholders, validation of objectives and logframe, and confirmation of support to final proposal.

4. PPG management

This crucial activity will support the proper management of activities, to ensure due diligence, deadline accomplishment and timely delivery of outputs.

Output: Management of project preparation activities.