



REQUEST FOR LAND DEGRADATION ENABLING ACTIVITY

PROPOSAL FOR FUNDING UNDER THE GEF TRUST FUND

PART I: PROJECT IDENTIFIERS

EA Title:	Support NAP Alignment and UNCCD Reporting in Peru		
Country(ies):	Peru	GEF Project ID:	t.b.d.
GEF Agency(ies):	UNDP	GEF Agency Project ID:	5417
Other Executing Partner(s):	General Directorate of Climate Change, Desertification and Water Resources of the Ministry of Environment	Submission Date:	2014
GEF Focal Area(s):	Land Degradation	Project Duration (months)	18
Check if applicable:	NCSA <input checked="" type="checkbox"/> NAPA <input type="checkbox"/>	Agency Fee (\$):	13,014

A. EA FRAMEWORK

EA Objective: Integrate UNCCD commitments in national and sector development planning; aligning the National Action Program with the UNCCD Decennial Strategy; supporting the reporting and review process.

EA Component	Grant Type	Expected Outcomes	Expected Outputs	Grant Amount (\$)	Confirmed Co-financing (\$)
1. NAP alignment	TA	1.1 Inventory, evaluation and awareness raising	1.1.1. Inventory and review of plans, policies and reports 1.1.2. Consultations to raise awareness 1.1.3. Review of the existing NAP and setting the priorities for NAP alignment 1.1.4. Development of a communications strategy for NAP application	59,873	18,182
		1.2 Strengthening human and scientific capacities for NAP alignment	1.2.1. Training on data collection, use of indicators, monitoring and evaluation 1.2.2. Develop a harmonized biophysical and socioeconomic baseline and an early warning system for droughts 1.2.3. Development and/or review of indicators for NAP alignment and implementation based on the national context 1.2.4. Systematization of knowledge on sustainable land management with focus on traditional knowledge		
		1.3. Strengthening policy and institutional frameworks for NAP alignment and application	1.3.1. Incorporation of NAP priorities in sector and sub-national policies 1.3.2. Strengthening the National Commission to Combat Desertification and Drought (CONALDES) 1.3.3. Formulation of a plan for NAP application		
		1.4. Develop a financial and technological framework for NAP application	1.4.1 Updating the Integrated Financial Strategy and the Integrated Investment Framework 1.4.2. Identification of technologies for NAP application		
2. UNCCD reporting and review process	TA	2.1. Strengthening human and scientific capacities for implementation, monitoring and evaluation of the indicators	2.1.1. Application of reporting methodologies based on indicators that comply with UNCCD requirements, with participation of key national trained stakeholders 2.1.2. Organization of the reporting process (preparing the report to be submitted to the UNCCD)	65,754	18,182
		2.2. Preparing reports for future reporting and reviewing processes	2.2.1. Consultations with relevant actors regarding the reporting process 2.2.2. Formulation of the national report and validation in a national workshop 2.2.3. Presentation of the national report using the PRAIS system		

EA Component	Grant Type	Expected Outcomes	Expected Outputs	Grant Amount (\$)	Confirmed Co-financing (\$)
		2.3. Improving control of the reporting process	2.3.1 Improving the national reporting process and the implementation of the UNCCD by compiling good practices on sustainable land management in Peru 2.3.2. Strengthening the capacities of the National Focal Point and relevant scientific institutions for monitoring and managing data in the long term 2.3.3. Creation of a mechanism for information on desertification and drought		
Sub-total				125,627	36,364
EA Management Cost				11,359	3,636
Total EA Cost				136,986	40,000

^a List the \$ by EA components.

B. CO-FINANCING FOR THE EA BY SOURCE AND BY NAME

Sources of Co-financing	Name of Co-financier	Type of Co-financing	Amount (\$)
Local Government	Government of Peru	Grant	40,000
Total Co-financing			40,000

C. GRANT RESOURCES REQUESTED BY AGENCY, FOCAL AREA AND COUNTRY

GEF Agency	Type of Trust Fund	Focal Area	Country Name/Global	EA Amount (a)	Agency Fee (b)	Total (c)=(a)+(b)
UNDP	GEF TF	Land Degradation Set Aside	PERU	136,986	13,014	150,000
Total Grant Resources				136,986	13,014	150,000

D. EA MANAGEMENT COST

Cost Items	[GEF only] Total Estimated Person Weeks/Months	Grant Amount (\$)	Co-financing (\$)	EA Total (\$)
Local consultants*		5,760		5,760
International consultants*				
Office facilities, equipment, vehicles and communications			3,636	3,363
Travel				
Others – Audit		3,000		3,000
DPS		2,599		2,599
Total		11,359	3,636	14,722

* Details to be provided in Annex A.

ADDITIONAL INFORMATION FOR TABLE D, IF APPLICABLE:

If costs for office facilities, equipment, vehicles and communications, travels are requesting for GEF financing, please provide justification here:

No such costs are requested from GEF. Further information on the cost breakdown is found in the Total Budget and Work Plan in Annex.

PART II: ENABLING ACTIVITY JUSTIFICATION

A. ENABLING ACTIVITY BACKGROUND AND CONTEXT (Provide brief information about projects implemented since a country became party to the convention and results achieved):

The Peruvian State is a party to the United Nations Convention to Combat Desertification and Drought (UNCCD), a legally binding multilateral agreement that provides the framework for the fight against desertification and the mitigation of drought. The Peruvian State adhered to the UNCCD in October 1994. Desertification is defined as the degradation of land in arid, semi-arid and dry sub-humid areas resulting from various factors including climatic variations and human activities. Land degradation is the reduction or loss of the biological or economic productivity in arid, semi-arid and dry sub-humid areas.

Peru is the fourth country in South America –after Argentina, Brazil and Chile– with the largest extension of dryland. From 1963 to 1977, the National Commission on Arid Areas focused its efforts on the arid and semi-arid areas of the country, well before these ecosystems caught worldwide attention leading to the incorporation of this issue in the environmental agenda. The research conducted by the commission during the 60s and 70s indicated that around 25% (300 million hectares) of Peru's surface consisted of drylands (arid or semi-arid) distributed along the hyper-arid / arid coast region and the semi-arid highlands. Subsequent research identified the different degrees of desertification associated to bioclimatic zones throughout the country including dry sub-humid areas. In 2005, the National Meteorology and Hydrology Service (SENAMHI) developed a map of arid, semi-arid and sub-humid areas based on the methodology of the Water Center for Arid and Semi-Arid Zones in Latin America and the Caribbean (CAZALAC). The map identified a total extension of 38,586,452 hectares.

In 2006, the former National Institute of Natural Resources (INRENA) developed a map of deserts and desertification which classified drylands in three categories: deserts, desertified areas, and areas in process of desertification, based on the aridity index, i.e. the ratio between mean annual precipitation and mean annual potential evapotranspiration. The map also indicated the total extension of each category. According to these calculations, the Peruvian desert represented 6.48% of the national territory (almost the same percentage that corresponds to hyper-arid areas), whereas desertified areas and areas in process of desertification amounted to 26% making a total of 33.24% (42,715,077 hectares or 427,000 km²) of the national territory.

In 2010, the Ministry of Environment along with SENAMHI, the Ministry of Agriculture and the Peruvian Geophysical Institute developed the map of drylands in Peru, which identified hyper-arid, arid, semi-arid and dry sub-humid areas with a total extension of 32,809,369.81 hectares (328,093.70 km²) of drylands; the largest extension corresponds to hyper-arid areas (deserts), which represent 9.39% of the national territory. The map identified the extension of bioclimatic zones based on an improved aridity index which considers precipitation as a map that represents the distribution of vegetation based on the precipitation and altitude. Although precise data regarding the population settled on drylands and vulnerable natural ecosystems are not available yet, this would be the starting point for monitoring and evaluation of desertification processes in the country.

In this sense, it should be noted that a number of initiatives that measured the extension of drylands in Peru were implemented during the 60s. However, since the results were obtained using different methodologies, it is not possible to make a comparison regarding the degree of progress or retreat of desertification in drylands over time. In spite of this, it was a long process that generated knowledge and developed capacities for identification of these ecosystems. Therefore, there is a wealth of experience and a network of institutions working on this topic. Nonetheless, more in-depth studies on the types of vegetation per altitude level and bioclimatic zone are needed.

GEF-5 Strategy on Land Degradation: In application of the UNCCD, in the 10th Conference of the Parties (COP-10) the countries were encouraged to access Global Environment Facility (GEF) funding for support activities including the presentation of reports in compliance with the commitments of the convention. In this sense, in the frame of GEF-5 all eligible participants can access GEF funds (up to US\$150,000) for activities related to the alignment of National Action Programs (NAP) with the UNCCD Decennial Strategy, and for reporting processes. The expected results are the update of National Action Programs (NAP) and improving the formulation of national reports. The objective of GEF-5 Land Degradation Strategy is to stop and revert the current global trends in land degradation, namely, desertification and deforestation. This will be achieved by promoting effective policies, regulatory frameworks, effective institutions, oversight mechanisms and exchange of knowledge, as well as good practices for sustainable land management and generation of environmental benefits at global level. The key global objectives are the following:

- Maintain or improve the flow of services from agricultural ecosystems to sustain the livelihoods of local communities
- Generate a sustainable flow of services from forest ecosystems in arid, semi-arid and sub-humid areas to sustain the livelihoods of people who depend on forest ecosystems
- Reduce the pressure on natural resources due to soil use
- Develop capacities for application of sustainable land management instruments

Coherence with UNCCD strategies, plans, reports and evaluations: The NAP is a key instrument for the application of the UNCCD and is implemented with the participation of all the relevant actors including government agencies, scientific institutions and local communities. The NAP outlines the measures that should be adopted to combat desertification and to mitigate the effects of drought. In Peru, the NAP establishes that reverting land degradation and avoiding the loss of productivity should be a priority for the formulation of a sustainable development plan in the country. In this sense, the NAP document contains ten policy guidelines with a broad scope which may be considered a national development policy.

There are two reasons for updating the NAP. First, the NAP-Peru was developed in 1996, which means that the national environmental framework has changed completely, as well as the commitments established in the frame of the UNCCD, along with its application instruments. Second, the mandate of the Ministry of Environment (MINAM), as outlined in article 11-f of the Rules for the Organization and Role of the MINAM, is to “develop and coordinate the national strategy to combat desertification and drought, and supervise its implementation in coordination with the relevant sectors.” The term used by the national framework (“national strategy”), currently in the final phase of formulation, differs from the term used by the convention (“national action program”). Therefore, under

the new structure, the NAP will become the application instrument of the National Strategy to Combat Desertification and Drought in line with the UNCCD Decennial Strategy. Other national and sector plans and strategies (Bicentennial Plan, National Agreement, National Environment Policy, National Environment Plan) will be integrated in the strategy. Additionally, the project aims to improve the formulation of national reports submitted to the UNCCD.

Participation of strategic actors: The project will work with actors involved in the fight against desertification and the mitigation of drought, particularly with the members of the National Commission to Combat Desertification and Drought (CONALDES) –the agency that coordinates these actions at country level– including public institutions such as the Ministry of Agriculture and Irrigation, SENAMHI, the Peruvian Geophysical Institute, the Ministry of Economy and Finance, the National Environment Fund, the National Council of Science and Technology, the network of non-governmental organizations, and others. These actors will participate in the implementation of the project (NAP alignment, formulation of national reports) in the frame of the National Environmental Management System; the sub-national and local levels are also included in the proposal.

B. ENABLING ACTIVITY GOALS AND OBJECTIVES (The proposal should briefly justify the need for the project.)

As State is a party of the UNCCD, Peru is committed to update NAP and submit the national reports to fulfill the application of the MEA. The NAP is a key instrument for the development of sustainable land management practices in the country and must be aligned with the UNCCD Decennial Strategy 2008-2018, as well as with other national and sector plans and strategies. It should be noted that many countries have started aligning not only their NAP but also their sub-national and local action programs.

The main objective of this project is to develop capacities to implement sustainable land management instruments in line with GEF-5 Land Degradation Strategy. Thereby, strengthening the capacities of the Peruvian State for the elaboration and presentation of national reports to the UNCCD in line with the new tools and methodologies. The project focuses on the following actions:

- i. Monitoring NAP alignment with the UNCCD Decennial Strategy 2008-2018.
- ii. Submission of national reports to the UNCCD in accordance with the established methodology.

C. DESCRIBE THE ENABLING ACTIVITY AND INSTITUTIONAL FRAMEWORK FOR PROJECT IMPLEMENTATION (discuss the work intended to be undertaken and the output expected from each activity as outlined in Table A).

The objective of the project is to set forth a strategic approach for sustainable land management. This will be achieved by reviewing and aligning the NAP with the UNCCD Decennial Strategy 2008-2018 and the creation of mechanisms that facilitate the monitoring and reporting process of the commitments established by the convention. The UNCCD Decennial Strategy 2008-2018 contemplates five operational objectives which this project seeks to accomplish:

- Promotion, awareness raising and education
- Policy framework
- Science and technology
- Capacity building
- Financing and technology transfer

These operational objectives are intended to help achieve the long-term global objectives related to the improvement of the conditions of the affected populations and ecosystems, mobilizing resources for the execution of the proposed actions, and generating global benefits.

Component 1: National Action Program Alignment

Outcome 1.1: Inventory, evaluation and awareness raising

Output 1.1.1. Inventory and review of plans, policies and reports

This output focuses on the review of relevant policy documents analyzing the existing initiatives to combat desertification and drought. This output also contemplates the review of national and sector environmental plans, the Bicentennial Plan, the National Agreement, the National Environment Policy, social and economic policies, and other policies, as well as the national reports submitted to date to the UNCCD. The project will facilitate the ongoing review of such documents in order to articulate desertification and drought actions with social and economic policies in the frame of the national objectives.

Output 1.1.2. Consultations to raise awareness

This output complements Output 1.1.1 and involves consultations with relevant public and private actors to raise awareness on this topic and give legitimacy to the project. This includes technical and public consultations: technical consultations targeting the technical staff of the relevant sectors; and public consultations targeting the civil society in general.

Output 1.1.3. Review of the existing NAP and setting the priorities for NAP alignment

The relevant actors and the technical advice committees will participate in the review of the existing NAP and in setting the priorities for its alignment with the UNCCD 2008-2018 Decennial Strategy and other national and sector environmental plans. The project will encourage the active participation of these actors, particularly the members of CONALDES, in updating the NAP.

Output 1.1.4 Development of a communications strategy for NAP application

This output focuses on the development of a strategy for dissemination of the NAP aligned to the UNCCD Decennial Strategy 2008-2018 and other national and sector environmental plans. The project will inform the society in general about the results of the NAP with the involvement of the national media.

Outcome 1.2: Strengthening human and scientific capacities for NAP alignment

Output 1.2.1. Training on data collection, use of indicators, monitoring and evaluation

The activities under this output focus on training the technical staff and the actors involved in sustainable land management on data collection, using indicators adequately, monitoring and evaluation of the actions implemented in the frame of the NAP. To this end, specific training courses will be conducted.

Output 1.2.2 Develop a harmonized biophysical and socioeconomic baseline and an early warning system for droughts

The initial activities include the collection of data for the development of a biophysical and socioeconomic baseline at country level. To this end, the project will create a database network shared by relevant public institutions with indicators for the collection of data. This activity will be complemented with the implementation of an early warning system for droughts, including a drought portal managed by SENAMHI. The project will organize a training course for technicians to ensure the sustainability of the early warning system.

Output 1.2.3. Development and/or review of indicators for NAP alignment and implementation based on the national context

This output focuses on the development and review of indicators for NAP alignment. This involves the collaboration of technical staff and other relevant actors. Other national indicators will be reviewed, such as the indicators related to the application of the United Nations Framework Convention on Climate Change (UNFCCC) and the Convention on Biological Diversity (CBD), as well as other databases related to land degradation, in order to harmonize the indicators for the national reports.

Output 1.2.4 Systematization of knowledge on sustainable land management with focus on traditional knowledge

Creation of a database with the best practices on sustainable land management in the country, including traditional practices. Traditional land management practices will be compiled and disseminated to a wide audience to promote their value and recognition.

Outcome 1.3: Strengthening policy and institutional frameworks for NAP alignment and implementation

Output 1.3.1. Incorporation of NAP priorities in sector and sub-national policies

This output seeks the incorporation of the NAP in sector and sub-national policies, thereby promoting a cross-sector decentralized approach to environmental management. The project will promote the integration of the NAP in social and economic policies.

Output 1.3.2. Strengthening the National Commission to Combat Desertification and Drought (CONALDES)

The aim of this output is to support the work of the specialized workgroups of CONALDES, particularly in everything related to the actions implemented in the frame of the National Environmental Management System.

Output 1.3.3. Formulation of a plan for NAP implementation

The final product of this activity is a plan for NAP application, including monitoring indicators and a timeframe.

Outcome 1.4: Establishing the finance and technology framework for NAP implementation

Output 1.4.1. Updating the Integrated Financial Strategy and the Integrated Investment Framework

The project will conduct a financial analysis to identify the available resources for NAP application by reviewing the programs of the relevant agencies in order to establish the existence of budget allocations for NAP implementation. As part of this analysis, the Integrated Financial Strategy and the Integrated Investment Framework will be updated. This includes the identification of existing resources at national and sub-national levels.

Output 1.4.2. Identification of technologies for NAP implementation

This output involves a review of existing and potential technological resources for effective application of the NAP.

Component 2: UNCCD reporting and review process

Outcome 2.1: Strengthening human and scientific capacities for implementation, monitoring and evaluation of the indicators

Output 2.1.1. Application of reporting methodologies based on indicators that comply with UNCCD requirements, with participation of key national trained stakeholders

This output involves the development of guidelines for training stakeholders involved in the reporting process based on indicators that comply with UNCCD reporting requirements.

Output 2.1.2. Organization of the reporting process (preparing the report to be submitted to the UNCCD)

The first step will be the collection of data and review of protocols and procedures established for the presentation of reports. Creation of an inter-institutional network to ensure the exchange of information and the quality of the data prior to the presentation of reports to the UNCCD. The network includes the participation of scientific institutions and the members of CONALDES and is led by the General Directorate of Climate Change, Desertification and Water Resources (DGCCDRH) of the Ministry of Environment, a line agency of the Vice-Ministry of Natural Resource Strategic Development, the National Focal Point of the UNCCD.

Outcome 2.2: Preparing reports for future reporting and reviewing processes

Output 2.2.1. Consultations with relevant actors regarding the reporting process

The consultation process involves the creation of a specialized team led by the DGCCDRH. The specialized team will participate in training workshops on issues such as impact evaluation, performance assessment, financial flows and best practices.

Output 2.2.2. Formulation of the national report and validation in a national workshop

After the collection of data with participation of relevant actors, the next step is the formulation of the national report, which will be submitted to the members of CONALDES for validation prior to its submission to the UNCCD using the PRAIS system.

Output 2.2.3. Presentation of the national report using the PRAIS system

The DGCCDRH is the agency that leads the process for the presentation of the national reports by the Vice-Ministry of Natural Resource Strategic Development as the National Focal Point.

Outcome 2.3: Improving control of the reporting process

Output 2.3.1. Improving the national reporting process and the implementation of the UNCCD by compiling good practices on sustainable land management in Peru

The document compiles experiences related to the fight against desertification and drought analyzing the current approaches and guidelines on this topic. The compendium will be a technical guide for the actors involved in the reporting process and for the general public.

Output 2.3.2. Strengthening the capacities of the National Focal Point and relevant scientific institutions for monitoring and managing data in the long term

Development of a plan to monitor the reporting process and to evaluate public policies related to sustainable land management, promoting the integration of these issues in sector and sub-national policies, plans, programs and projects.

Output 2.3.3. Creation of a mechanism for information on desertification and drought

Creation of a web portal with information about the actions to combat desertification and drought. The web portal will provide systematized and updated information as input for the national reports and to inform the public on a permanent basis. The DGCCDRH will be in charge of the creation and management of the web portal.

Institutional framework for the implementation of the project and collaboration with other relevant initiatives

The DGCCDRH, a line agency of the Vice-Ministry of Natural Resource Strategic Development, is the National Focal Point and is responsible for the implementation of the project. The DGCCDRH performs a variety of functions related to the fight against desertification and the mitigation of drought, including the approval of the National Strategy to Combat Desertification and Drought. At present, the DGCCDRH implements the GEF-UNDP project 'Promoting sustainable land management in Apurímac'. The DGCCDRH led the process for the formulation of the 4th and 5th National Reports submitted to the UNCCD. The first three reports were prepared by the former National Institute of Natural Resources (INRENA). The DGCCDRH has participated in international events organized by the UNCCD, including the Conference of the Parties. At present, the DGCCDRH supports the UNCCD Secretariat in the organization of the regional workshop for the National Focal Points of Latin America (May 19-21, 2014).

The National Commission to Combat Desertification and Drought (CONALDES) is presided by the National Focal Point and is made up of different public institutions including the Ministry of Agriculture and Irrigation, the Ministry of Economy and Finance, the Ministry of Foreign Relations, the Peruvian Agency of International Cooperation, the National Water Board, the Peruvian Geophysical Institute, the National Council of Science and Technology, the National Environment Fund, the National Meteorology and Hydrology Service (SENAMHI), and the network of non-governmental organizations. The Technical Secretary of CONALDES is the DGCCDRH. CONALDES is the agency that supports the coordination of the actions to combat desertification and drought and its main responsibility is to monitor the application of the UNCCD. One of the objectives of CONALDES is to contribute to sustainable land management, thereby promoting the conservation of land in the frame of Peru's sustainable development plan. This justifies the participation of CONALDES in the project.

Project Implementation

The project duration is 18 months. The DGCCDRH is the government agency in charge of project implementation and will act in its capacity as implementing agency. UNDP is the international agency in charge of project implementation and is responsible for the use of GEF funds. The project will be implemented at country level in line with the project reporting process established by GEF.

The DGCCDRH will appoint the Project Director, who will be responsible for the project implementation, and a Project Coordinator in charge of the day-to-day activities. The salaries of the Project Director and the Project Coordinator will not be paid with project funds as this will be a contribution of the Government of the Republic of Peru. The Project Director and the Project Coordinator will have the technical support of national and international scientific advisors and experts in the field of desertification and drought.

The Project Coordinator will report on project progress to the DGCCDRH on a bimonthly basis. However, at the request of the DGCCDRH the Project Coordinator will report on project progress at any given time. The Project Coordinator will be responsible for ensuring the achievement of the project objectives.

A technical administrative specialist will be hired to oversee administrative procedures during the project implementation.

Gender Issues

In Peru is increasing the female population working on environmental issues –with a university degree or not– each year. Women play a major role in the development of farming activities, such as implementation of bio-orchards, and are keys for family farming ensuring the food security of their families. In this context, women play a major role in the land management and conservation of natural resources and in sustainable development in general. Therefore, encourages the women's participation is a key element of the project activities implementation a (training workshops, courses, consultations...).

Contribution to national capacity development and to the sustainability of the project

The capacities developed by the project will ensure the sustainability of the project outputs. Based on the progress of the National Capacity Self-Assessment for Global Environmental Management (NCSA) program, the project involves three levels of capacity building:

- a) Systemic level: Cross-sector, decentralized and participatory approach to sustainable land management; articulation with relevant initiatives.
- b) Institutional level: Participation of public institutions involved in sustainable land management with support of CONALDES member institutions.
- c) Individual level: The project works with current and potential actors involved in the fight against desertification and drought, particularly to develop indicators and to prepare the national reports

UNDP's comparative advantage in Peru

The Government of the Republic of Peru requested UNDP's assistance for the design and implementation of this project owing to UNDP's wide experience in the fight against desertification. At present, UNDP supports the formulation and implementation of GEF projects in the field of sustainable land management and conservation. UNDP also has a wide experience in integrated policy making, development of human resources, institutional strengthening, and non-governmental community participation. One of these initiatives is UNDP's participation in the project titled 'Promoting sustainable land management in Apurimac'.

Project alignment with UN System and UNDP's program in Peru

The project is in line with the United Nations Development Assistance Framework (UNDAF) 2012-2016. In particular, the project contributes to UNDAF Outcome 4 and the priority action in the fields of climate change, disaster risk management and environment, with focus on vulnerable communities such as the populations settled on arid, semi-arid and dry sub-humid areas.

The project is also aligned with the UNDP's Country Programme Action Plan CPAP 2012-2016, which contemplates outcomes on sustainable land management patterns designed and implemented with the participation of the public and private sectors, and local communities.

D. DESCRIBE, IF POSSIBLE, THE EXPECTED COST-EFFECTIVENESS OF THE PROJECT:

The Government of the Republic of Peru will provide an in-kind contribution to the project, complementing the project funds. The government's in-kind contribution includes the work of the Project Director and the Project Coordinator, and the use of office facilities and other available assets. Being in line with national priorities, the project sustainability is ensured.

E. DESCRIBE THE BUDGETED M&E PLAN:

Type of M&E activity	Responsible Parties	Budget US\$	Time frame
		<i>Excluding project team staff time</i>	
Inception Workshop and Report	Project Manager UNDP CO, UNDP GEF	Indicative cost: \$2,000	Within first two months of project start up
Measurement of Means of Verification of project results.	UNDP GEF RTA/Project Manager will oversee the hiring of specific studies and institutions, and delegate responsibilities to relevant team members.	To be finalized in Inception Phase and Workshop.	Start, mid and end of project (during evaluation cycle) and annually when required.
Simplified Annual Project Review/ Project Implementation Report (APR/PIR)	Project manager and team UNDP CO	None	Annually
Periodic status/ progress reports	Project manager and team	None	Quarterly
Project Terminal Report	Project manager and team UNDP CO local consultant	Indicative cost (Printing cost only): \$800	One month before the end of the project
Audit	UNDP CO Project manager and team	Indicative cost: 3,000	At the end of the project.
TOTAL indicative COST <i>Excluding project team staff time and UNDP staff and travel expenses</i>		\$5,800	

F. EXPLAIN THE DEVIATIONS FROM TYPICAL COST RANGES (WHERE APPLICABLE):

-- n/a--

PART III: APPROVAL/ENDORSEMENT BY GEF OPERATIONAL FOCAL POINT(S) AND GEF AGENCY(IES)

A. RECORD OF ENDORSEMENT OF GEF OPERATIONAL FOCAL POINT(S) ON BEHALF OF THE GOVERNMENT(S):

(Please attach the [country endorsement letter\(s\)](#) with this template).


NAME	POSITION	MINISTRY	DATE (Month, day, year)
Antonio Gonzalez Norris	Head of the Cooperation and International Negotiations Office	Ministry of Environment	06 June 2014

B. CONVENTION PARTICIPATION*

CONVENTION	DATE OF RATIFICATION/ ACCESSION (mm/dd/yy)	NATIONAL FOCAL POINT
UNCCD	10/15/94	Mr. Gabriel Quijandría Acosta Vice-Minister of Natural Resource Strategic Development Ministry of Environment

B. GEF AGENCY(IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the standards of the GEF Project Review Criteria for **LAND DEGRADATION** Enabling Activity approval.

Agency Coordinator, Agency name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	E-mail Address
Adriana Dinu Executive Coordinator and Director, a.i. UNDP-GEF		13 June 2014	Lyes Ferroukhi, Regional Technical Advisor, EBD	+507 302-4576	lyes.ferroukhi@undp.org

ANNEX A. CONSULTANTS TO BE HIRED FOR THE ENABLING ACTIVITY

<i>Position Titles</i>	<i>\$ / Person Week</i>	<i>Estimated Person Weeks</i>	<i>Tasks to be Performed</i>
For EA Management			
<i>Local</i>			
Project Coordinator, Technical Administrative Specialist /Team Leader	800	72	<p><i>Oversees general administrative procedures, including procedures related to project management and financing. Performs the following tasks:</i></p> <ul style="list-style-type: none"> ✓ Develops work plans and annual budgets to ensure adequate and timely implementation of the project objective, outcomes, outputs and activities; the work plans and the budgets are submitted to the Project Director and the UNDP representative for approval. ✓ Develops the TORs to hire consultants and experts, as well as detailed requests for materials for the project in coordination with the Project Director and UNDP. ✓ Organization of meetings, workshops, travels and other activities to facilitate, guide and supervise the work of the consultants. ✓ Creates and maintains links with national and international organizations and individuals who might contribute to the project objectives ✓ Reports on project status at the request of the Project Director and UNDP ✓ Keeps a record of project meetings, decisions and actions ✓ Coordinates with other initiatives and programs whose objectives are relevant to the project. ✓ Monitors administrative procedures related to project implementation <p><i>Qualifications and experience:</i> Degree in environmental or natural sciences, economy, social sciences or administration, preferably with at least three years of experience in land degradation issues. Knowledge of United Nations Conventions and environmental issues in Peru. Skills: leadership, communication, coordination of processes.</p>
For Technical Assistance			
<i>Local</i>			
Experts on policy framework for NAP alignment and application	600	40	<ul style="list-style-type: none"> ✓ Carries out the estimation of the current state of measures of combating the degradation of the lands/soils in Peru and their presentation in regional and sector plans and projects ✓ Prepares proposals for introduction of NAP priorities in the documents of regional and sector planning ✓ Develops a complex of activities for overcoming the institutional, social and economic barriers interfering with the realization of NAP ✓ Prepares the plan of carrying out and takes part in the seminar for NAP alignment and implementation ✓ Develops the proposals for filling the section of knowledge management system with the view of implementation of UNCCD, concerning NAP alignment and implementation ✓ Develops the section concerning NAP implementation scheme in the illustrated monography ✓ Prepares the report on the ten-year implementation of the UNCCD strategy; approaches and methodology for NAP alignment ✓ Collects information on sustainable development priorities at global, regional and sub-regional levels in the frame of the UNCCD ✓ Prepares proposals on the indicators and baseline for UNCCD application ✓ Develops proposals for the structure of the knowledge management system for ongoing estimation of the progress in NAP implementation based on the analysis of international experiences ✓ Takes part in the national seminar to: (i) establish the priorities for NAP alignment, (ii) develop indicators to assess

<i>Position Titles</i>	<i>\$ / Person Week</i>	<i>Estimated Person Weeks</i>	<i>Tasks to be Performed</i>
			the progress in NAP implementation, and (iii) establish the baseline for implementation
Expert on: (i) environmental monitoring systems, (ii) indicators for NAP application and formulation of UNCCD reports, (iii) knowledge management systems, (iv) science and best practices	600	40	<p>Task (i)</p> <ul style="list-style-type: none"> ✓ Carries out the analysis of the existing national monitoring system of the lands/soils and its provision with the normative legal and normative technical bases; ✓ Analyses and develops the proposals for estimation of the representativeness of the network of ranges for supervision over various kinds of degradation of the lands/soils; ✓ Reveals the existing problems and unresolved questions in the field of monitoring of the lands/soils pursuant to the problems of 10-year Strategy UNCCD; ✓ Proposes the measures of institutional, scientific, organizational-technical character destined for the optimization of the network of ranges for supervision, for the improvement of functioning of monitoring system of the lands/soils, and also for perfecting the information data delivery to the State management bodies, scientific organizations, land users, public organizations; ✓ Prepares the proposals for filling of knowledge management system section, concerning the monitoring information; ✓ Proposes together with other experts the system of biophysical and social and economic indicators of UNCCD implementation according to 10-year Strategy UNCCD; ✓ Prepares the section devoted to the organization, conducting, structure, content and application of the results of monitoring of the lands/soils, for the illustrated monography about the processes of degradation of the lands/soils in Perú; ✓ Presents the results of monitoring of the lands/soils and proposed measures for its perfection at working seminars; ✓ Develops the proposals for long-term monitoring of UNCCD implementation. <p>Task (ii)</p> <ul style="list-style-type: none"> ✓ Carries out the analysis of the existing national indicators of influence and effectiveness in the context of reporting requirements and 10-year Strategy UNCCD; ✓ Defines the most priority indicators according to the features of display of biophysical processes of the land degradation and social and economic conditions of land tenure in Perú; ✓ Develops with the interested parties the program of training on reporting methodology and procedure of information gathering for preparation of the National reporting; ✓ Proposes the national system of indicators providing the estimation of NAP implementation progress and preparation of the National report according to the regulations of 10-year Strategy UNCCD; ✓ Defines conceptual approaches to harmonization of knowledge databases and the data by the indicators of GEF , Rio Conventions, FAO and other databases of the regional/international organizations; ✓ Presents the developed system of effectiveness indicators at the final seminar and carries out their generalization for the inclusion in the National report; ✓ Prepares the section of indicators of NAP effectiveness for the illustrated monography. <p>Task (iii)</p> <ul style="list-style-type: none"> ✓ Develops web site of UNCCD implementation in Perú; ✓ Develops the reference system on UNCCD implementation, including the traditional knowledge, examples of the best practice, inter alia; ✓ Prepares the proposals for guaranteeing the data inflow into reference system and their use for drawing up reporting; ✓ Together with other experts provides qualitative filling and regular updating of the data in the information system for the purpose of their placing on the national web site of UNCCD; ✓ Carries out the electronic filling and presentation of the National report to UNCCD secretary through PRAIS

<i>Position Titles</i>	<i>\$ / Person Week</i>	<i>Estimated Person Weeks</i>	<i>Tasks to be Performed</i>
			<p>system;</p> <ul style="list-style-type: none"> ✓ Informs the interested parties of the structure and functioning of the developed information system and represents at the final seminar; ✓ Prepares section of knowledge management system in the illustrated monography <p>Task (iv)</p> <ul style="list-style-type: none"> ✓ Provides the scientific analysis of the data and gives the estimation of their quality for preparation of the National report; ✓ Defines the national priorities in the directions of combating the degradation of the lands/soils and their presentation in the national reporting on UNCCD; ✓ Together with other experts proposes the perspective system of the national biophysical and social and economic indicators of degradation of the lands/soils; ✓ Prepares the report on the interested parties estimation of the involved in the process of UNCCD reporting; ✓ Takes part in the seminars and presents the results of examples of the best practice for the purpose of its distribution; ✓ Carries out the expert appraisal of the data quality used when preparing the National report; ✓ Prepares proposals and materials for filling of knowledge management system sections, concerning the part of scientific support and examples of the best practice; ✓ Prepares the section concerning the best practice and the science contribution in UNCCD implementation in the illustrated monography.

ANNEX B. CHRONOGRAM OF ACTIVITIES

No.	Components	Guiding activities under each component	Year 1				Year 2	
			Q1	Q2	Q3	Q4	Q1	Q2
1	NAP alignment	1. Rapid stocktaking and review of relevant plans, policies and reports	X					
		2. Identification of stakeholders; consultations and awareness	X					
		3. Rapid assessment of the causes and consequences of land degradation		X				
		4. Developing the strategy and actions to implement NAP alignment, according with National Strategy			X	X		
2	Reporting and Review process	5. Establishment of appropriate consultative processes					X	
		6. Development of a plan for capacity development for UNCCD reporting					X	
		7. Draft report						X

ANNEX C. UNDP TOTAL BUDGET AND WORK-PLAN

GEF Component (Outcome) /Atlas Activity	RespParty/ Impl. Agent	Fund ID	Donor Name	ATLAS Code	Altas Budget Description	TOTAL Amount (USD)	Amount Year 1 (USD)	Amount Year 2 (USD)	Budget note
Comp 1. NAP alignment	GL&SC	62000	GEF	71300	Local Consultants	24,000	12,000	12,000	a
				71400	Contractual Services-Individuals	23,328	7,776	15,552	b
				71600	Travel	5,645	3,500	2145	c
				75700	Training, Workshops & Conferences	6,500	4,500	2,000	d
				74500	Miscellaneous	400	200	200	e
	GEF Subtotal Atlas Activity 1 (Comp 1)						59,873	27,976	31,897
TOTAL ACTIVITY 1 (Comp 1)						59,873	27,976	31,897	
Comp 2. Reporting and Review process	GL&SC	62000	GEF	71300	Local Consultants	24,000	12,000	12,000	f
				71400	Contractual Services-Individuals	28,512	9,504	19,008	g
				71600	Travel	10,000	5,000	5,000	h
				72500	Supplies	400	250	150	i
				72800	Information Technology Equipment	1,642	1,642		j
				74200	Audio Visual&Print Prod Costs	800		800	k
	74500	Miscellaneous	400	200	200	l			
GEF Subtotal Atlas Activity 2 (Comp 2)						65,754	28,596	37,158	
TOTAL ACTIVITY 2 (Comp 2)						65,754	28,596	37,158	
Project Management	GL&SC	62000	GEF	71400	Contractual Services-Individuals	5,760	1,920	3,840	m
				74100	Professional Services	3,000		3,000	n
				74599	UNDP cost recovery chrgs-Bills	2,599	1300	1299	o
	GEF Subtotal Atlas Activity 3 (Proj Mgt)						11,359	3,220	8,139
TOTAL ACTIVITY 3 (Project Management)						11,359	3,220	8,139	
SUB-TOTAL GEF						136,986	59,792	77,194	
GRAND TOTAL (Grant)						136,986	59,792	77,194	

Budget Notes	
a	Expert on policy framework for NAP alignment and application
b	Project Coordinator, Technical Administrative Specialist /Team Leader. Salary for technical activities corresponding to Component 1. (C1: \$23,338 + C2: \$28,512 + PM: \$5,760 = \$57,600)
c	Domestic travel and DSA corresponding to Component 1 activities.
d	Workshops for public consultations. Includes project inception workshop.
e	Miscellaneous to cover any unforeseen expenditure during project implementation.
f	Expert on: (i) environmental monitoring systems, (ii) indicators for NAP application and formulation of UNCCD reports, (iii) knowledge management systems, (iv) science and best practices
g	Project Coordinator, Technical Administrative Specialist /Team Leader. Salary for technical activities corresponding to Component 2. (C1: \$23,338 + C2: \$28,512 + PM: \$5,760 = \$57,600)
h	Domestic travel and DSA corresponding to Component 2 activities.
i	Office supplies for Project Coordinator and consultants.
j	Laptop and peripherals for Project Coordinator.
k	Printing cost for the Aligned NAP
l	Miscellaneous to cover any unforeseen expenditure during project implementation.
m	Project Coordinator, Technical Administrative Specialist /Team Leader. Salary for managerial activities - 10%. (C1: \$23,338 + C2: \$28,512 + PM: \$5,760 = \$57,600)
n	Audit costs.
o	Estimated costs of Direct Project Services requested by the GoP to UNDP for executing services (procurement; travel etc.) and as requested by the GoP through the Letter of Agreement (Annex D). Direct project service costs will be charged at the end of each year based on the UNDP Universal Pricelist (UPL) or the actual corresponding service cost. The amounts indicated here are estimations based on the services indicated in Annex D, however as part of annual project operational planning the direct project services to be requested during that calendar year would be defined and the amount included in the yearly budgets. As noted these costs would be charged based on actual services provided at the end of the year and would be reported to the implementing partners (GoP).

ANNEX D
STANDARD LETTER OF AGREEMENT BETWEEN UNDP AND THE GOVERNMENT OF PERU
FOR THE PROVISION OF SUPPORT SERVICES

Mr. Gabriel Quijandría Acosta
Vice-Minister
Ministry of Environment

Dear Mr....,

1. Reference is made to consultations between officials of the Government of Perú (hereinafter referred to as “the Government”) and officials of UNDP with respect to the provision of support services by the UNDP country office for nationally managed programmes and projects. UNDP and the Government hereby agree that the UNDP country office may provide such support services at the request of the Government through its institution designated in the relevant programme support document or project document, as described below.

2. The UNDP country office may provide support services for assistance with reporting requirements and direct payment. In providing such support services, the UNDP country office shall ensure that the capacity of the Government-designated institution is strengthened to enable it to carry out such activities directly. The costs incurred by the UNDP country office in providing such support services shall be recovered from the administrative budget of the office.

3. The UNDP country office may provide, at the request of the designated institution, the following support services for the activities of the programme/project:

- (a) Identification and/or recruitment of project and programme personnel;
- (b) Identification and facilitation of training activities;
- (c) Procurement of goods and services;

4. The procurement of goods and services and the recruitment of project and programme personnel by the UNDP country office shall be in accordance with the UNDP regulations, rules, policies and procedures. Support services described in paragraph 3 above shall be detailed in an annex to the programme support document or project document, in the form provided in the Attachment hereto. If the requirements for support services by the country office change during the life of a programme or project, the annex to the programme support document or project document is revised with the mutual agreement of the UNDP resident representative and the designated institution.

5. The relevant provisions of the *Standard Basic Assistance Agreement between the Government of Peru and the United Nations Development Programme* signed at Perú on xxxxx (the “SBAA”), including the provisions on liability and privileges and immunities, shall apply to the provision of such support services. The Government shall retain overall responsibility for the nationally managed programme or project through its designated institution. The responsibility of the UNDP country office for the provision of the support services described herein shall be limited to the provision of such support services detailed in the annex to the programme support document or project document.

6. Any claim or dispute arising under or in connection with the provision of support services by the UNDP country office in accordance with this letter shall be handled pursuant to the relevant provisions of the SBAA.

7. The manner and method of cost-recovery by the UNDP country office in providing the support services described in paragraph 3 above shall be specified in the annex to the programme support document or project document.

8. The UNDP country office shall submit progress reports on the support services provided and shall report on the costs reimbursed in providing such services, as may be required.

9. Any modification of the present arrangements shall be effected by mutual written agreement of the parties hereto.

10. If you are in agreement with the provisions set forth above, please sign and return to this office two signed copies of this letter. Upon your signature, this letter shall constitute an agreement between your Government and UNDP on the terms and conditions for the provision of support services by the UNDP country office for nationally managed programmes and projects.

Yours sincerely,

Signed on behalf of the
Government of Peru
Gabriel Quijandría Acosta
Chief Environment Officer and GEF NOFP
Ministry of Environment

Date: _____