



REQUEST FOR LAND DEGRADATION ENABLING ACTIVITY

PROPOSAL FOR FUNDING UNDER THE GEF TRUST FUND

PART I: PROJECT IDENTIFIERS

EA Title:	Mobilizing Support to the NAP Alignment and UNCCD Reporting and Review Process		
Country(ies):	Kazakhstan	GEF Project ID: ¹	t.b.d.
GEF Agency(ies):	UNDP	GEF Agency Project ID:	5172
Other Executing Partner(s):	The Ministry of Environmental Protection	Submission Date:	28 January 2013
GEF Focal Area (s):	Land Degradation	Project Duration (Months)	18 months
Check if applicable:	NCSA <input type="checkbox"/> NAPA <input type="checkbox"/>	Agency Fee (\$):	USD 13,014

A. EA FRAMEWORK*

EA Objective: To assist the Republic of Kazakhstan in aligning the NAP with the UNCCD 10-Year Strategy as well as in the UNCCD Reporting and Review Process

EA Component	Grant Type	Expected Outcomes	Expected Outputs	Grant Amount (\$)	Confirmed Co-financing (\$)
Component 1: Alignment of the NAP with the UNCCD 10-Year Strategy and country NAP/DLDD-relevant policies	TA	1.1. Inventory of policy and planning tools	<p>1.1.1. Kick-off meeting with key stakeholders to communicate the alignment objectives and to involve the target groups in revision and alignment processes.</p> <p>1.1.2. Rapid stocktaking report summarizing NAP/DLDD-relevant policies and plans.</p> <p>1.1.3. Stocktaking review completed and report prepared on the 2005 NAP and priorities for alignment.</p>	20,400	80,500
	TA	1.2. Strengthened human and scientific capacity, as well as raised awareness for NAP alignment	<p>1.2.1. County-specific indicators for NAP alignment elaborated and adopted.</p> <p>1.2.2. Experts representing key stakeholders at different levels trained how to use and apply biophysical and socio-economic indicators, conduct monitoring and evaluate, collect and verify data.</p> <p>1.2.3. LD observation sites identified and a Monitoring and Vulnerability Assessment Network established</p> <p>1.2.4. A harmonized biophysical and socio-economic baseline and Draught Warning System established.</p> <p>1.2.5. A national LD knowledge management system fed with collected data, including relevant practices and traditional knowledge.</p>	18,000	65,000
	TA	1.3. Strengthened policy and institutional	1.3.1. Suggestions elaborated on integration of NAP and DLDD issues into development planning, relevant sectoral and investment plans	15,000	22,500

¹ Project ID number will be assigned by GEFSEC.

EA Component	Grant Type	Expected Outcomes	Expected Outputs	Grant Amount (\$)	Confirmed Co-financing (\$)
		framework for NAP alignment and implementation.	and policies. 1.3.2. NAP review completed and an updated NAP produced in the form of a strategic document. 1.3.3. NAP Implementation Plan adopted as an inalienable part of the revised NAP and implementation launched under the supervision of the NWG. 1.3.4. National Center for Inter-sectoral Coordination (NCIC) for UNCCD Implementation established under the MoEP and capacitated for NAP alignment.		
	TA	1.4. Established financial and technological framework for NAP implementation	1.4.1. Financial resources available for NAP implementation analyzed and an Integrated Financial Strategy and the Integrated Investment Framework for NAP implementation developed. NAP updated to accommodate priorities, including national targets for investment. 1.4.2. Technology that will be applied in NAP alignment identified and technology transfer needs for NAP implementation identified.	11,000	40,000
Total component 1:				64,400	208,000
Component 2: Support to UNCCD reporting and review	TA	2.1. Strengthen human and scientific capacity for implementation of indicators based on monitoring and assessment	2.1.1. The indicators-based reporting methodology developed by the CRIC reviewed and tailored to Kazakhstan conditions. 2.1.2. National level training workshop conducted on UNCCD/NAP reporting methodologies, procedures and tools for stakeholders. 2.1.3. Data-gathering systems established for the UNCCD reporting and NAP review processes. Data quality assurance procedures to apply before report submission is put in place. 2.1.4. Liaison with Reference Centers (Helpdesks) for acquiring further knowledge and know-how on UNCCD reporting established and maintained.	22,000	31,000
	TA	2.2. A report within the second leg of the fourth reporting and review cycle is prepared and submitted	2.2.1. A proper deliberative process established through carrying out a series of consultative meetings with the stakeholders as well as through making possible to submit comments on the reporting process online. 2.2.2. National report drafted in first version, and made available for the stakeholders to comment upon the draft during a reasonable period of time. 2.2.3. The report submitted through the PRAIS portal in line with the UNCCD requirements.	31,000	30,000
	TA	2.3. Enhanced	2.3.1. Capacity of National Focal Point team, as	7,386	10,000

EA Component	Grant Type	Expected Outcomes	Expected Outputs	Grant Amount (\$)	Confirmed Co-financing (\$)
		national ownership of a reporting process	well as for experts of the NCIC strengthened, particularly in the thematic areas of NAP alignment, UNCCD reporting and development transboundary cooperation on DLDD issues.		
Total component 2:				60,386	71,000
Subtotal				124,786	279,000
EA Management Cost ²				12,200	195,000
Total EA Cost				136,986	474,000

^a List the \$ by EA components. Please attach a detailed project budget table that supports all the EA components in this table.

B. CO-FINANCING FOR THE EA BY SOURCE AND BY NAME

Sources of Co-financing	Name of Co-financier	Type of Cofinancing	Amount (\$)
National Government	Ministry of Environment	In-kind	30,000
National Government	Ministry of Environment	Grant	194,000
National Government	Ministry of Agriculture	Grant	190,000
GEF Agency	UNDP	In-kind	60,000
Total Co-financing			474,000

C. GRANT RESOURCES REQUESTED BY AGENCY, FOCAL AREA AND COUNTRY

GEF Agency	Type of Trust Fund	Focal Area	Country Name/Global	EA Amount (a)	Agency Fee (b) ²	Total (c)=(a)+(b)
UNDP	GEF TF	Land Degradation	Global	136,986	13,014	150,000
Total Grant Resources				136,986	13,014	150,000

D. EA MANAGEMENT COST

Cost Items	Total Estimated Person Weeks	Grant Amount (\$)	Co-financing (\$)	EA Total (\$)
Local consultants*	56	11,200	126,000	137,200
International consultants*	-	-	-	-
Office facilities, equipment, vehicles and communications*		-	59,000	59,000
Travel*		-	10,000	10,000
Others**	Monitoring and audit	1,000	-	1,000
Total		12,200	195,000	207,200

* Details to be provided in Annex A. **For Others, to be clearly specified by overwriting fields (1)-(3)

ADDITIONAL INFORMATION FOR TABLE D, IF APPLICABLE:

n/a

² This is the cost associated with the unit executing the project on the ground and could be financed out of trust fund or co-financing sources.

PART II: ENABLING ACTIVITY JUSTIFICATION

A. ENABLING ACTIVITY BACKGROUND AND CONTEXT

(Provide brief information about projects implemented since a country became party to the convention and results achieved):

Kazakhstan is a Party to the United Nations Convention to Combat Desertification (UNCCD) since 9 July 1997, under the Law #N 149-1 of 7 July 1997. Kazakhstan belongs to the group of countries under Annex II for Asia. Development and implementation of national action plan to combat desertification and land degradation is a key commitment of each country Party to UNCCD. The Ministry of Environmental Protection (the MoEP) is the national coordinating body responsible for implementation of the UNCCD objectives in Kazakhstan on progress in implementing the Convention. Since its ratification 4 national communications have been submitted; the latter as of 2010 is available in PRAIS system. A National Working Group (NWG) has been established under the MoEP to coordinate national activity towards implementing the UNCCD. The NWG includes representatives of the relevant national government bodies, researchers, land users, and civil society organizations.

The main factors causing land degradation in Kazakhstan is water and wind erosion, radioactive and chemical contamination, unsustainable water and land use practices, loss of biodiversity, extraction and production of mineral resources, unsystematic infrastructure and road building, and unregulated urban development. Most activities on protection of lands/soils and prevention of land/soil degradation are carried out in the framework of national and sectoral programmes, coordinated by the MoEP and the Ministry of Agriculture. These programmes envisage a wide range of measures to increase soil fertility, improve water management, mitigate negative effects of degradation and elaborate actions focusing on combating land degradation.

The Government adopted the National Action Plan (NAP) to Combat Desertification right after signing the UNCCD; its designing process, which started in 1996, was supported by UNDP. The NAP was regarded as a main instrument to implement the Convention. However, due to a number of reasons the CCD implementation has been slow. The 1997 NAP incorporates a number of strategic directions, but was weak in programmatic content and linkages with the national development strategy and the country's long-term policy goals.

A GEF/UNDP project in 2003 - 2006 supported the national capacity self-assessment, including the capacity to implement the UNCCD. The self-assessment addressed compliance of the national strategies, programmes, plans and the legal framework with the provisions of the Convention, the capabilities of the key stakeholders, and the financial mechanisms for ensuring sustainable land use.

In 2005 the Government adopted a new NAP for 2005-2015. This policy document was more operationally oriented and places emphasis on better coordination and cross-sectoral integration. The 2005 NAP also stressed active participation of NGOs and other agents of civil society in the implementation process. It included an Action Plan for the implementation of the NAP, which lists a series of activities to be implemented in three phases. It is noteworthy that the Action Plan considered only 4% of the program expenses to be allocated from the state budget. However, the Resolution of the Government (#49 as of 24 January 2005) adopting this NAP expired in February 2008. At the present, a considerable part of the expired NAP is integrated into the Sectoral Programme "Zhasyl Damu" (Green Development) 2010-2014.

Despite the progress made, national and sectoral strategies and programmes, managed by the above mentioned Ministries and focusing on protection and rational use of land resources, require significant improvements in order to bring them in conformity with the latest UNCCD guidance. To make this happen, there is a need for enhanced coordination of efforts by different ministries, agencies, scientific and planning organizations, local governments, and land users aimed at combating land degradation. Without this, the decision-making process in land protection will remain ineffective. This project aims at bringing the DDLD policies and practices in Kazakhstan into conformity with the UNCCD obligations. In line with template structure and guidance, further justification is provided in Sub-section B.

Proposed Response and Rationale: The proposed EA project aims to respond directly to the UNCCD COP decisions, i.e. decision 3/COP8 while adopting 10-Year strategic plan (the Strategy) urges and recognizes "the need for country parties to align their NAPs" and decision 2/COP9 regarding "alignment of the action programmes with the Strategy" calls upon

the “affected country parties and other relevant stakeholders to use NAP alignment guidelines as a reference tool for aligning NAPs and other relevant implementation activities with the 5 operational objectives of the Strategy”.

The project is also in line with the decision 11/COP10 which urges parties to access the GEF funding, for enabling activities (including NAP alignment and reporting/review process) to meet their obligations under the UNCCD, either (a) through a GEF agency, (b) via direct access or (c) under an umbrella project. This proposal is for securing GEF funding under the Land Degradation EA “through a GEF agency” for aligning Kazakhstan’s NAP and improving reporting and review process.

Alignment with Focal Area Outcome(s):

LD4 Objective: Adaptive Management and Learning: Increase capacity to apply adaptive management tools in SLM/SFM/INRM by Parties.

Focal Area Outcome 4.1: Outcome 4.1: Increased capacities of countries to fulfill obligations in accordance with the provisions provided in the UNCCD.

If approved, the project will be implemented through UNDP. UNDP Kazakhstan Country Office is well-positioned and well-equipped to implement the ambitious goals that are set forth in the CPD and UNDAF strategic plans given its vast experience not only with grass-roots interventions that UNDP has accumulated since 1993 in Kazakhstan, but also from its experience in the region and in the world including. The UNDP Kazakhstan Country Office possesses internal capacities and proven experience to successfully implement projects covering 7 different thematic areas and having a possibility to engage the best national expertise.

B. ENABLING ACTIVITY GOALS, OBJECTIVES, AND ACTIVITIES

(The proposal should briefly justify and describe the project framework. Identify also key stakeholders involved in the project including the private sector, civil society organizations, local and indigenous communities, and their respective roles, as applicable. Describe also how the gender dimensions are considered in project design and implementation.)

Over the last decade the UNCCD has undertaken a major reform process aimed at making the UNCCD process more efficient, transparent, accountable and focused. The adoption by the Parties (decision 3/COP.8) in 2007 of the 10-Year strategic plan and framework to enhance the implementation of the Convention (2008 – 2018) (hereinafter the “Strategy”) has paved the way for a results-based approach to global and national efforts to combat desertification.

The Strategy, with its clearly defined strategic objectives (SO) and operational objectives (OO) to achieve enhanced implementation of the Convention, requires all existing NAPs (including those under preparation) to reflect the new implementation framework adopted by the Convention³. It also introduces a new monitoring and assessment process within the UNCCD, the Performance Review and Assessment of Implementation System (PRAIS) which is based on sets of performance and impact indicators to measure, respectively, progress against the operational and strategic objectives. Special attention is also placed on measuring investment flows for UNCCD implementation and dissemination of best practices. After the successful undertaking in 2010 of the first leg of the 4th Reporting and Review process on the performance indicators, financial flows and best practices on SLM technologies, the UNCCD will initiate in 2012 the second leg of the 4th Reporting cycle that will also include reporting on the strategic objectives, using the set of identified impact indicators.

Against this background, the project responds to the specific calls made by the committee for the Review of the Implementation of the Convention (CRIC) and Conference of the Parties (COP) for adequate global capacity development measures, as well as technical and financial support to ensure long-term capacities of Parties to align their NAPs and to monitor the implementation of the Strategy and the Convention in accordance with the new reporting obligations. In

³ The alignment of the NAPs is imbedded in the Strategy itself, which puts forward in the outcome areas 2.2 and 2.3 that a revision by affected country parties of their NAP into strategic documents based on socio-economic and biophysical baseline information and integrated in relevant sectoral and investment plans and policies, including integrated investment frameworks, is necessary in order to achieve operational objective 2.

particular, the project aims to respond directly to the decisions 3/COP.9 on “Alignment of the action programmes with the Strategy” which call for:

- Affected country Parties to use the alignment guidelines as the reference tool in aligning NAPs and other relevant implementation activities with the 5 operational objectives of the Strategy;
- The Secretariat to facilitate provision of technical assistance to affected country Parties for review, alignment and/or revision of NAPs;
- GM/Secretariat, in accordance, with their mandates, to financially assist affected country Parties to develop integrated investment frameworks to foster resource mobilization for NAP alignment;
- Developed country Parties and financial institutions to assist with funding to eligible country Parties for review, alignment and revision of NAPs⁴.

Furthermore, the project addresses directly decisions 11/COP.9, 13/COP.9, and 17/COP.9 concerning, respectively, 1) the new mandate and functions of the CRIC, 2) the establishment of a comprehensive Performance Review and Assessment of Implementation System (PRAIS), and 3) measuring progress on strategic objectives 1, 2, 3, and 4 of the Strategy through appropriate impact indicators and performance indicators and an improved process learning from the iterative process initiated in that specific purpose by COP 9. In this respect, Decision 17/COP.9 explicitly calls for inclusion of the impact indicators in future capacity-building initiatives assisting affected country Parties and request the UNCCD Secretariat and the Global Mechanism (GM) to seek assistance from bilateral donors, relevant international organizations and financial institutions, with particular regard to the Global Environment Facility. At recent ninth and tenth meetings of the CRIC⁵ and at its tenth meeting of the COP the GEF confirmed strong support for further capacity building and adequate technical and financial assistance to be provided to UNCCD country Parties to assist them in fulfilling reporting obligations under the Convention. The project aims at assisting the Republic of Kazakhstan in aligning its NAP with the UNCCD 10-Year Strategy and to undertake a second leg of the 4th UNCCD reporting and review process, including review of impact indicators provisionally adopted by the COP and implemented by the PRAIS framework. The two processes (NAP alignment and reporting) will be mutually reinforcing and resulting in improved planning and monitoring of UNCCD implementation at the national level for better decision making on DLDD and sustainable land management issues.

How the project will help build national capacity

The GEF project will help build national capacities and, specifically, capacities of the UNCCD National Focal Point team, to establish contacts, share experience and elaborate trans-boundary and sub-regional cooperation projects through their participation in visits abroad, including working visit to the UNCCD Secretariat. The project will also build national expertise through participation in trainings on the development of the National Report on the UNCCD Implementation and workshops on NAP alignment.

The initiative will be implemented in the national execution modality. The MoEP will act as the national executing partner and will implement the project in collaboration and consultation with relevant subdivisions and ministries. To achieve the aforementioned project outputs, UNDP will ensure necessary support and conditions for the implementation of the project by the national executing partner. The Executing Entity will closely cooperate with UNDP to ensure that all the project activities are planned and implemented in a manner appropriate to the project’s goals and objectives.

As a result of the project activities, awareness of people on the issues of land degradation and the progress of the country on its way to the alignment of the NAP with the UNCCD strategy will be raised. A series of compendia on land degradation processes, traditional knowledge and best practices to combat desertification will be designed and will serve as a tool to support the reporting process as well as to raise awareness of stakeholders. A knowledge management system

⁴ Decision 13/COP.9 CONS O 5 target: By 2014, at least 80% of affected country Parties subregional and regional entities have formulated/revise a NAP/SRAP/RAP aligned to the Strategy.

⁵ ICCD/CRIC (9)/16, “Report of the ninth session of the Committee for the Review of the Implementation of the Convention, held in Bonn from 21 to 25 February 2011”. Notably p. 9, para 35 and 36; p. 11, para 84, 87 and 88; p. 16, para 91; and p. 17, para 105. <http://unccd.int/cop/officialdocs/cric9/pfd/16eng.pdf>

on UNCCD implementation in Kazakhstan will be created which will improve monitoring, reporting and public awareness of land degradation issues.

C. DESCRIBE THE ENABLING ACTIVITY AND INSTITUTIONAL FRAMEWORK FOR PROJECT IMPLEMENTATION (discuss the work intended to be undertaken and the output expected from each activity as outlined in Table A).

COMPONENT 1: *To ensure the alignment of the NAP with the UNCCD 10-Year Strategy and country NAP/DLDD-relevant policies*

Outcome 1.1. Inventory of policy and planning tools

Output 1.1.1. Kick-off meeting with key stakeholders to communicate the alignment objectives and to involve the target groups in revision and alignment processes.

The meeting will be conducted to involve key stakeholders into revision and alignment processes through the communication the alignment objectives to them. The meeting will be accompanied by a press briefing to ensure media coverage of the project launch.

Output 1.1.2. Rapid stocktaking report summarizing NAP/DLDD relevant policies and plans.

The stocktaking and assessment of national strategic documents, which have relevance to combat land degradation, will be carried out in the first phase of the project. A connection will be established with basic programmes of social and economic development of the Republic of Kazakhstan and a corresponding report will be prepared.

Output 1.1.3. Stocktaking review completed and report prepared on the 2005 NAP and priorities for alignment.

Further to the stocktaking exercise, a review will be prepared on the implementation of the 2005 NAP, relevant parts of the Sectoral Programme Zhasyl Damu 2010 - 2014, the State Program on the Development of Agricultural Sector for 2010 – 2014 as well as of strategic plans of relevant state institutions. Based on the findings of the review, priorities for re-alignment of NAP with UNCCD COP guidance will be elaborated. It is planned to involve national experts to elaborate priorities for NAP alignment. To support this activity, a round table will be conducted where all the involved consultants and experts from key stakeholders will be gathered. At the meeting a prepared report will be presented and discussed, comments to the report collected. The NAP Implementation Plan together with the strategic document on the NAP alignment will be developed and adopted by the MEP. The function of supervision over the process will be assigned to the NWG which will perform them with the technical support of the National Center for Inter-sectoral Cooperation.

Outcome 1.2. Strengthened human and scientific capacity, as well as raised awareness for NAP alignment.

Output 1.2.1. County-specific indicators for NAP alignment elaborated and adopted.

Existing national LD indicators will be scrutinized to assess their appropriateness for reporting in the context of the re-aligned NAP and UNCCD 10-Year Strategy. A new/adjusted set of indicators will be put in place and harmonized across other environmental, social and economic reporting instruments relevant for land use and protection reports. Suggestions will be prepared on correspondence of the existing national data in the context of the requirements for NAP alignment, including the system of indicators and establishing harmonized basic levels to meet them. Liaison with UNCCD Reference Centers on this issue will be established. In order to reconcile indicators across the borders with other countries in UNCCD Group, an exchange of experience between UNCCD National Focal Point team and LD experts is envisaged, as well as participation of Kazakhstan experts in the work of regional meeting of Asian countries on the road to CRIC 11.

Output 1.2.2. Experts representing key stakeholders at different levels trained how to use and apply biophysical and socio-economic indicators, conduct monitoring and evaluate, collect and verify data.

As a training mechanism for the application of adjusted land degradation biophysical and socio-economic indicators, a two-day targeted workshop will be combined with site visits (i.e. visits to areas prone or currently experiencing degradation challenges) and organized for a wide range of national, regional and local stakeholders. Journalists from nationwide media will be invited to join the field visits, as well as local journalists will be invited to attend press briefings given in the fields.

Output 1.2.3. LD observation sites identified and a Monitoring and Vulnerability Assessment Network established

Once the analysis of existing network of LD sites is completed, the findings are used to observe and monitor different types of land degradation and its linkage with climate changes and droughts. Approximately 10 national observatory sites will be selected and upgraded accordingly to serve as sites for a National Monitoring and Vulnerability Assessment System in line with UNCCD prescriptions and guidance. Action plan and budget will be developed to support this monitoring system in the long-run.

Output 1.2.4. A harmonized biophysical and socio-economic baseline and draught warning system established.

A harmonized baseline and draught warning system will be compiled using the indicators developed in 1.2.1. and data gathered through the network established in 1.2.3.

Output 1.2.5. A national LD knowledge management system fed with collected data, including relevant practices and traditional knowledge.

Effective awareness-raising and knowledge-sharing system, building on: (1) a web-based UNCCD Kazakhstan information system, (2) a series of 4 compendia on DDLDD issues, relevant best practices and traditional knowledge published in Kazakh, Russian and English and widely distributed.

Outcome 1.3. Strengthened policy and institutional framework for NAP alignment and implementation.

Output 1.3.1. Suggestions elaborated on integration of NAP and DLDD issues into development planning, relevant sectoral and investment plans and policies.

Recommendations will be developed for concrete indicators in national, sectoral and regional programs that would be relevant to NAP. To support this activity, a round table will be conducted where representatives of key stakeholders will be gathered. At the same meeting the outputs of the previous activity will be presented.

Output 1.3.2. NAP review completed and an updated NAP produced in the form of a strategic document.

An updated NAP, supported by biophysical and socio-economic baseline information (identified in 1.2.4), and integrating sectoral and development issues (identified in 1.3.1), will establish new priorities for the future. The finalization of the NAP will be supervised by the NWG, and will envisage multiple stakeholder consultations (both with sectors, as well as communities), and will be reconciled with the National Programming Strategy developed by the CACILM MCB Project⁶.

Output 1.3.3. NAP Implementation Plan adopted as an inalienable part of the revised NAP and implementation launched under the supervision of the NWG.

The NAP Implementation Plan together with the strategic document on the NAP alignment will be developed and adopted by the MoEP. The NWG will supervise this activity what technically will be performed through the National Center for Inter-sectoral Cooperation established under output 1.3.4

Output 1.3.4. National Center for Inter-sectoral Coordination (NCIC) for UNCCD Implementation established under the MoEP and capacitated for NAP alignment.

The NCIC will ensure the realization of the NAP Implementation Plan and oversee the implementation of decisions taken by the NWG. The NCIC's personnel will be trained how to organize a NAP re-alignment process and to apply mechanisms for mainstreaming of LD concerns into economic sectors.

Outcome 1.4. Established financial and technological framework for NAP implementation.

Output 1.4.1. Financial resources available for NAP implementation analyzed and an Integrated Financial Strategy and the Integrated Investment Framework for NAP implementation developed. NAP updated to accommodate priorities, including national targets for investment.

A national consultant will review national investment programs and public expenditure frameworks to identify constraints and entry points for SLM, including internal resource allocation, innovative financial tools and development of financial incentives, and to increase predictability of financial flows to SLM. A draft of Integrated Financial Strategy and Integrated Investment System will be elaborated; the former will be developed taking into account a relevant paper developed within the CACILM MCB Project. The corresponding NAP sections will be updated.

Output 1.4.2. Technology that will be applied in NAP alignment identified and technology transfer needs for NAP implementation identified.

A consultant, working with the project team and in consultations with UNCCD Secretariat, will identify technology transfer needs and prepare recommendations that will be reflected correspondingly in the updated NAP.

COMPONENT 2: To support activities on UNCCD reporting and monitoring.

Outcome 2.1. Strengthen human and scientific capacity for implementation of indicators based on monitoring and assessment.

⁶ GEF/GM/UNDP/GIZ Multicounty Capacity Building Project under the Central Asian Countries' Initiative on Land Management

Output 2.1.1. The indicators-based reporting methodology developed by the CRIC reviewed and tailored to Kazakhstan conditions.

The tailoring process would take stock of indicators used by GEF, other UN conventions, so that maximum harmonization across these can be achieved.

Output 2.1.2. National level training workshop conducted on UNCCD/NAP reporting methodologies, procedures and tools for stakeholders.

The workshop will be held in the second half of the project, once the LD Information System (output 1.2.5) has been run for some time and basic data on LD fed into it. This time the workshop will concentrate on using the data appropriately to prepare the UNCCD reports. Guidance and methodologies for the use of data for UNCCD reporting will be developed before the workshop and applied during and after the workshop.

Output 2.1.3. Data-gathering systems established for the UNCCD reporting and NAP review processes.

Data flows to, within and from it will be established and maintained. Data quality assurance procedures to apply before report submission is will be put in place. Based on the first experience of using the LD Information System for UNCCD reporting, the national experts will analyze the experience. The data collection and review protocols and processes will be revised and maintained as such for the future.

Output 2.1.4. Liaison with Reference Centers (Helpdesks) for acquiring further knowledge and know-how on UNCCD reporting established and maintained.

This activity will play a key role in streamlining of the UNCCD report preparation process.

Outcome 2.2. A report within the second leg of the fourth reporting and review cycle is prepared and submitted

Output 2.2.1. A proper deliberative process established through carrying out a series of consultative meetings with the stakeholders as well as through making possible to submit comments on the reporting process online.

A series of local stakeholder consultations on the impact indicators, efficiency, examples of best practices and evaluation of financial resources for preparation of National Report will be held. The reporting process will be web-mirrored to make it possible to collect comments from the target groups online.

Output 2.2.2. National report drafted in first version, and made available for the stakeholders to comment upon the draft during a reasonable period of time.

The report will comprise such main sections as: strategic and operational objectives of the UNCCD, biophysical and socio-economic indicators, financial flows, cases of best practices and traditional knowledge, scientific services etc. The stakeholders will have access to the draft to comment upon during a reasonable period of time.

Output 2.2.3. The report submitted through the PRAIS portal in line with the UNCCD requirements

Based on the results of the stakeholder consultations and comments, the national experts will finalize the report. Once endorsed by the Government, it will be submitted to the UNCCD Secretariat via the PRAIS system.

Outcome 2.3. Enhanced national ownership of a reporting process.

Output 2.3.1. Capacities of national UNCCD Focal Point and relevant scientific institutions built to maintain the system for long-term LD monitoring and data management.

A set of recommendations for strengthening the UNCCD Focal Point and his team will be designed with a view to the improve land protection planning and its implementation (in policies and in practice), as well as for mainstreaming LD concerns into sectoral and regional programmes, now that the NAP and UNCCD reporting process is going to operate with new/updated biophysical and socio-economic indicators and will be based on the LD Information System.

Project consistency with national strategies and plans or reports and assessments relevant for the UNCCD, as well as other cross-cutting assessments and priority setting exercises

At a national level, the key strategies, policies and plans that are relevant for the Republic of Kazakhstan Enabling Activity Proposal are:

- The Concept of Transition of the Republic of Kazakhstan to Sustainable Development for 2007 – 2014, adopted by the Decree of the President #216 on 14 November 2006;
- The State Sectoral Programme Zhasyl Damu (Green Growth) for 2010 – 2014 adopted by the Resolution of the Parliament #924 on 10 September 2010, which incorporated earlier adopted documents such as the Concept of

Environmental Security for 2004 – 2015, the Concept of the development and placement of the Specially Protected Areas of the Republic of Kazakhstan until 2030, the Programme “Environmental Protection of the Republic of Kazakhstan for 2008 – 2010”, the Programme Zhasyl El (Green World) for 2008 – 2010, the Programme on conservation and rational use of fauna and the development of the network of the Specially Protected Areas until 2010, and the Programme on comprehensive problem solving of Priaralye Region for 2007-2009;

- The Strategic Plan on the Ministry of Environment Protection for 2010 – 2014;
- The Sectoral Program on the Development of Agricultural Sector of the Republic of Kazakhstan for 2010 – 2014;
- The Strategic Plan of the Ministry of Agriculture for 2011 – 2015;
- The Strategic Plan of the State Agency for Land Resources Management for 2011 – 2015.

This project is a follow-up to the 2 previous NAPs, and it is consistent with them. It will be guided by priorities identified in the Concept of Transition to Sustainable Development, Sectoral Programme Zhasyl Damu, Sectoral Programme of the Development of Agricultural Sector as well as strategic plans of responsible state institutions. The project builds on previous SLM planning and UNCCD reporting processes. It also builds on the conclusions from previous capacity assessments, taking them one step further.

Collaboration and synergies with related initiatives

UNDP has a large and active GEF portfolio of projects *to combat land degradation* in Kazakhstan. The project manager, the hosting institution and the UNDP Country Office will ensure that this project will benefit from technical synergies. These synergies will be created primarily with the following projects:

- “*Central Asian Multi-Country Programme on Climate Risk Management. Climate Risk Management in Kazakhstan*” (2010-2014), which promote adaptation to climate change and reduction of climate-related disasters in Kazakhstan, as well as integration of climate risk management into Kazakhstan’s core development policy and strategies;
- “*National-level Biodiversity Conservation Planning to Support the Strategic Planning for the Implementation of the CBD in Kazakhstan for years 2012 – 2014*”, within which a strategy for conservation and sustainable use of biodiversity will be developed;
- “*Improving sustainability of protected areas (PAs)’ system in desert ecosystems through promotion of biodiversity-compatible livelihoods in and around PAs*”, which will enhance the sustainability of PAs in globally important desert ecosystems by expanding their geographic coverage, promoting a landscape approach and supporting biodiversity-compatible livelihoods in and around PAs, focusing on regions of Ile Balkhash and Southern Kazakh deserts.
- *GEF/GM/GIZ/UNDP Multi-country Capacity Building Project of the Central Asian Countries’ Initiative on Land Management (CACILM) (2010 - 2012)*, which aims at capacity building of land users, decision makers and other key stakeholders in order to strengthen integrated approaches in combating degradation of land resources.
- *GEF Small Grant Program* administrated by the UNDP, which provides opportunities for NGOs and local communities to address environmental issues and contribute to improvement of their local living conditions.

A number of other relevant initiatives could be mentioned but are not for the sake of brevity. Representatives and experts from the on-going relevant land degradation projects will be invited to the NAP workshops and to participate, where relevant, in specific working groups. The aim is to ensure that the knowledge on land degradation that these projects have collected and are producing can be incorporated into the NAP, as well as the knowledge that project staff can bring to the discussions based on their experience.

Project implementation arrangement

The project will be implemented over a period of 18 months. The Ministry of Environment Protection of Kazakhstan (MoEP) is the government institution responsible for the implementation of the project and will act as the *Executing Agency*.

UNDP is the *GEF Agency* for the project and accountable to the GEF for the use of funds. The UNDP executes its activity in Kazakhstan in accordance with the Standard Basic Assistance Agreement (SBAA) signed in 1993 between the UNDP and the Government of Kazakhstan. In November 2009 the Kazakhstani Government signed the 2010-2015 UNDAF which specifies three areas of cooperation between Kazakhstan and the United Nations for 2010-2015, including assistance for ensuring environmental sustainability.

The overall responsibility for the project implementation by the MoEP of Kazakhstan implies the timely and verifiable attainment of project objectives and outcomes. The MoEP will provide support to, and inputs for, the implementation of all project activities.

Coordination of the project activities as well as representing and supporting the project objectives at high decision-making levels will be the responsibility of the National Project Director (NPD) who will be appointed by the MoEP. For effective and timely project implementation, a Chief Technical Advisor will be hired.

Working closely with the by the MoEP of Kazakhstan, the UNDP Country Office (UNDP-CO) will be responsible for: (i) providing project assurance services to the Government (ii) recruitment of project staff, if so requested by the Government, and the contracting of consultants and service providers; (iii) overseeing financial expenditures against project budgets approved by a Project Steering Committee (PSC); and (iv) ensuring that all activities including procurement and financial services are carried out in strict compliance with UNDP/GEF procedures. A UNDP staff member will be assigned with the responsibility for the day-to-day management and control over project finance.

A National PSC will be convened by the MoEP of Kazakhstan, and will serve as the project's coordination and decision-making body (Project Board). The PSC will include representation of all the key project stakeholders. The PSC meetings will be chaired by the NPD. It will meet according the necessity, but not less than once in 12 months, to review project progress, approve project work plans and approve major project deliverables. The PSC is responsible for ensuring that the project remains on course to deliver products of the required quality to meet the outcomes defined in the project document.

The day-to-day administration of the project will be carried out by the Chief Technical Advisor. The project staff will be recruited using the applicable recruitment procedures for the modality NIM. The Chief Technical Advisor (CTA) will manage the implementation of all project activities. S/he will liaise and work closely with all partner institutions to link the project with complementary national programs and initiatives. CTA is accountable to the MoEP and UNDP, and the PSC for the quality, timeliness and effectiveness of the activities carried out, as well as for the use of funds. CTA will also be technically supported by contracted Administrative Financial Assistant as well as by national consultants.

Comparative advantage of UNDP in Kazakhstan with respect to this project

UNDP has historically been the largest GEF implementing agency in terms of assisting countries in undertaking biodiversity and land degradation enabling activities, having assisted more than 100 countries with it through several projects.

UNDP and Government of Kazakhstan have jointly implemented a sizable biodiversity and land degradation programmes during several GEF cycles. This has set the stage for the country to build on its land degradation planning activities with action on the ground. UNDP has a large and active GEF biodiversity and land degradation portfolio in Kazakhstan. This ensures that projects can effectively share costs and create technical synergies.

UNDP assisted Kazakhstan in the preparation of two previous NAP and the National Reports to the UNCCD. The Government of Kazakhstan has requested UNDP assistance in designing and implementing this project, due to UNDP's track record in combat desertification. UNDP currently supports the development and implementation of GEF project in the area of conservation and sustainable management of steppe. UNDP has an established national office in Astana with well-developed working relationships with the key stakeholders of the project, including the MoEP.

The UNDP Country Office in Kazakhstan counts on at least four professional and admin staff dedicated to the environment portfolio (plus support from operations and senior management). The Country Office is supported by the UNDP/GEF Regional Coordination Unit for Europe and CIS. Both will rely on UNDP's country-level coordination experience in integrated policy development, human resources development, gender issues, institutional strengthening, and non-governmental and community participation. All of these elements are all part of UNDP's programme in Kazakhstan.

Project's alignment with UNDP's programme for Kazakhstan

In 2011, UNDP developed jointly with the government and partners a Country Programme Document for Kazakhstan for the period 2010–2015. The following strategic axe with its underlying national priorities and goals are particularly relevant for this proposal: *“To ensure environmental sustainability”*. Furthermore, this proposal fits into integration the principles of sustainable development into the country's policies and programmes and reverses the loss of environmental resources.

Stakeholder involvement in the implementation of the project

The stakeholder involvement element is embedded in the description of several activities within this proposal which will have a consultative and participatory character. A full stakeholder involvement plan will be developed in connection with the preparation of the UNDP Project Document that will operationalize this proposal at the level of UNDP, allowing Kazakhstan to access the funding.

A thorough stakeholder involvement plan will list the key stakeholders that are expected to participate in the project and propose a role for them in line with what they have to offer to and gain from the project. This list will include a number of line ministries and institutions responsible for sectors that are typically relevant for matters of land management (land resources, environmental protection, agriculture, forestry etc.). Equally, the line ministries responsible for finance, budget planning and economic development will be important partners in the project due to the project's approach of anchoring the new NAP in national development.

Key government stakeholders will include the Ministry of Agriculture (MoA), including the Forestry and Huntring Committee (FHC), Water Committee (WC), the Ministry of Economic Development and Trade, the Agency for Land Resources Management, among others.

Research institutions and centres of excellence will also be involved, including the Kazakh National Agrarian University, the Eurasian National University and the Al-Farabi Kazakh National University, among others.

Engagement of local NGOs will be considered for all components, to provide support on ecological research and participatory approach in developing a new NAP, financial strategy and investment framework for its alignment and implementation, thus achieving informed SLM planning. Representatives of the bigger NGOs and CBOs will become members of the Project Steering Committee, and will thus have a complete insight into all aspects of project execution, and will have the opportunity to steer the process. The NGOs will be involved in the advocacy and public awareness activities.

This plan will depart from the following indicative and non-exhaustive list:

- Ministry of Environment Protection (the UNCCD Focal Point and its team);
- Specialized institutions of the Ministry of Environment Protection (RSE “Information Analytical Center of Environment Protection”, RSE “Kazakh Scientific Research Institute on Ecology and Climate”, and others);
- Ministry of Agriculture;
- Specialized institutions of the Ministry of Agriculture (JSC KazAgroInnovaciya, the Kazakh Research Institute of Livestock and Feed Production, the Kazakh Research Institute of Water Resources, and others);
- Ministry of Economic Development and Trade;
- State Agency on Land Resources Management;
- Ministry of Education and Science;

- Ministry of Finance and Budget Planning;
- Universities (Kazakh National Agrarian University, the Eurasian National University, Al-Farabi Kazakh State University, Nazarbayev University, Karaganda State University, and others);
- Non-governmental organizations (Public Association “Farmer of Kazakhstan”, the EcoForum of NGOs, the Association for Conservation of Biodiversity of Kazakhstan, and others);
- Women's groups (Public Association “Women of the East”, “The Association of single mothers”, and others);
- Local land-users, farmers, and their associations (Union of farmers, and others).

Gender marking

Women play a central role in the conservation, management and use of nature resources, on which life depends. In Kazakhstan, the survival of rural communities, their well-being and empowerment depend on the state of land resources. Recognizing women’s roles as primary land and resource managers is central to the success of policy. Without women’s full participation in decision making we cannot hope to develop the solutions and innovations necessary for developing of a new NAP and its alignment. In order to develop effective solutions for land management, we must fully analyze these gender-differentiated roles of natural resource management and ensure that women’s and men’s specific knowledge in these areas are being equally integrated into programs and policies. Furthermore, we must actively work to promote women’s equal access to rights and engagement in decision-making processes on resource management.

The project coordination will ensure that gender consideration become part and parcel of the updated NAP. In the respect, COP guidance will be followed.

During the project inception the mandatory UNDP gender marker will be applied. This requires that each project in UNDP's ATLAS system be rated for gender relevance. This will for example include a brief analysis of how the project plans to achieve its environmental objective by addressing the differences in the roles and needs of women and men. Furthermore, gender marking implies the production of the following data by the project's year and by its end:

- Total number of full-time project staff that are women;
- Total number of full-time project staff that are men;
- Total number of Project Board members that are women;
- Total number of Project Board members that are men;
- The number jobs created by the project that are held by women;
- The number jobs created by the project that are held by men.

The project will apply a uniform gender mainstreaming approach throughout all the project activities implementation in line with the provisions below:

- Participants for trainings, workshops, study tours etc. will be selected with respect of existing gender composition in the corresponding target audience.
- Whenever possible, gender balance will be ensured, with the minimum ratio of 35 % of underrepresented gender for one-gender-dominated target audiences.

The process of NAP re-alignment itself will take into account the impact of land degradation on women (especially in agricultural sector) and possibilities for their involvement in activities aimed at land conservation.

D. DESCRIBE, IF POSSIBLE, THE EXPECTED COST-EFFECTIVENESS OF THE PROJECT:

The project will consolidate the GEF funding together with co-funding and in-kind support from the Government of the Republic of Kazakhstan. Such approach will ensure the utilization of available resources in the most efficient way. To avoid high transportation costs, the project events will be carried out as geographically close to the stakeholders as possible. In order to minimize logistics expenditures, the Executing Partner will provide its venues and conference equipment, in case of regional and local events – venues and conference equipment of its territorial units. For the same reason, some of the consultations will be carried out online, through a launched web site. In order to minimize administrative costs, the Executive Partner will contribute an office (to host the National Center of Inter-sectoral Coordination on which basis the project will be implemented), the time of a Chief Technical Advisor and of support

personnel of the MEP that will be involved in performing some of the project activities including meetings of the Project Steering Committee, participation of the National Working Group to combat desertification in project activities, and so forth.

E. DESCRIBE THE BUDGETED M&E PLAN:

Type of M&E activity	Responsible parties	Budget US\$ <i>Excluding project team staff time</i>	Time frame
Simplified Annual Project Review / Project Implementation Report (APR/PIR)	Project Team UNDP-CO UNDP-GEF	None	Annually
Quarterly progress reports	Project team	None	Quarterly
CDRs	Project Manager	None	Quarterly
Lessons Learned Log	Project Manager UNDP-CO Program Staff	None	Quarterly
Final Project Report	Project team UNDP-CO National consultants	None	At least one month before the end of the project
Project Audit	UNDP-CO	3,000	
TOTAL indicative COST <i>Excluding project team staff and UNDP staff and travel expenses</i>		US\$ 3,000	

F. EXPLAIN THE DEVIATIONS FROM TYPICAL COST RANGES (WHERE APPLICABLE):

PART III: APPROVAL/ENDORSEMENT BY GEF OPERATIONAL FOCAL POINT(S) AND GEF AGENCY(IES)

A. RECORD OF ENDORSEMENT OF GEF OPERATIONAL FOCAL POINT(S) ON BEHALF OF THE GOVERNMENT(S):
(Please attach the [country endorsement letter\(s\)](#) with this template).


NAME	POSITION	MINISTRY	DATE (Month, day, year)
Mr. Nurlan Kapparov	Minister of Environmental Protection	Ministry Of Environmental Protection	25 DECEMBER 2012

B. CONVENTION PARTICIPATION

CONVENTION	DATE OF RATIFICATION/ ACCESSION (mm/dd/yy)	NATIONAL FOCAL POINT
UNCCD	07/09/97	Mr Bolat Bekniyaz

B. GEF AGENCY(IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the standards of the GEF Project Review Criteria for **Land Degradation** Enabling Activity approval.

Agency Coordinator, Agency name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	E-mail Address
Adriana Dinu, UNDP-GEF Deputy Executive Coordinator		28 Jan. 2013	Maxim Vergeichik, Biodiversity and ecosystem management specialist for Europe and CIS, EBD	+ 421 259 337 152	maxim.vergeichik@undp.org

ANNEX A. CONSULTANTS TO BE HIRED FOR THE ENABLING ACTIVITY

<i>Position Titles</i>	<i>\$/ Person Week</i>	<i>Estimated Person Weeks</i>	<i>Tasks to be Performed</i>
For EA Management + Technical Assistance			
Local			
Administrative Financial Assistant (AFA)	200	56 (mgt) + 14 (tech) = 70 (total)	<p>AFA will perform a variety of secretarial, coordinating, monitoring and administrative services to ensure the efficient daily running of the CTA and in support of project activities. A portion of her/his time (up to 20%) may also be dedicated to minor technical tasks, linked to communication, research and document review. S/he will provide a high quality services to the National Project Group (NPG) to ensure the smooth functioning and continuity of the project. This position will be full-time throughout the course of the project and financed out of GEF funds.</p> <ul style="list-style-type: none"> - Draft correspondence and documents of an administrative nature in consultation with the CTA; - Coordinate the procurement activities for the CTA and support the financial control and monitoring activities of the CTA; - Make disbursements in accordance with activities and the budget of the project document; - Perform all necessary secretarial services and maintain office equipment; - Ensure that project disbursements are valid and supported by adequate documentation; - Establish and maintain the filing system of technical documents and general internal and external correspondence; - Make administrative arrangements with regard to recruitment of additional consultants or experts and sub-contracting institutions for the Project; - Prepare and submit to UNDP regular financial reports and documents in accordance with UNDP requirements and formats, work in Atlas system; - Assist in the organization of meetings held by CTA (Steering Committee, working groups, etc) and provide administrative and secretarial support during the meetings. - Co-ordinate and assist in making travel arrangements for project personnel; - Undertake other (technical) duties as they may be assigned by the CTA, including report review, communication (e.g. web-content production) and web-research – this element may amount to 20% of the incumbent’s time. <p><i>Qualifications and experience:</i> several years of relevant work experience; experience of work with the international organisations/agencies, governmental bodies, or research organizations and local administrations; reliability, initiative, thoroughness and attention to detail; familiarity with the goals and procedures of international organizations, in particular those of the GEF partners; experience in the usage of computers and office software packages (MS Word, Excel, etc.); ability to work under general guidance or independently, and to multi-task, ability to work under pressure; willingness and capability to work substantial periods of overtime sometimes at short notice; previous experience within the UN system or with GEF projects will be an asset.</p>
For Technical Assistance			
Local			
Chief Technical Advisor	300	70	<p>Is responsible for planning and coordinating activities of the national experts, and also for timely and qualitative preparation of separate sections of the project. The key tasks are:</p> <ul style="list-style-type: none"> - To carry out the estimation of the current state of measures for combating the degradation of the lands/soils in the Republic of Kazakhstan and their presentation in the national strategic documents; - To generalize the results of activities of the experts and to develop on their basis the proposal on conformity of the available national data in the context of the requirements to bring to NAP alignment and to 10-year Strategy UNCCD, including the system of indicators; - To carry out the coordination of activities of the national experts in preparing and drawing up the national report on the UNCCD implementation within the frameworks of the 4th cycle of the reporting; - To analyze the condition and develop the proposals for perfecting scientific support and application of traditional knowledge in the practice of solving the problems of a sustainable land management, including the questions of combating the degradation of the lands/soils; - To define the content of programs of the scheduled seminars for the purpose of the fullest and all-round discussions and

<i>Position Titles</i>	<i>\$/ Person Week</i>	<i>Estimated Person Weeks</i>	<i>Tasks to be Performed</i>
			<p>development of constructive proposals within the framework of the project tasks, to act the part of a moderator when carrying out the working seminars;</p> <ul style="list-style-type: none"> - To carry out the coordination of activities of the national experts for the preparation of the compendia on DLDD and synergetic issues, traditional knowledge and best practices in SLM. - To ensure that project objective, outcomes, outputs and activities are executed in a timely and appropriate manner; - To develop annual work plans and budgets, and submit these to the Steering Committee and to the UNDP Country Office for approval; - To develop TORs for Consultants for technical services, consultants, experts, and specifications of materials as required by the project, in communication with the Project Director/UNDP; - To facilitate, guide and monitor the work of consultants, and approve their deliverables in association with the Project Committee; - To organize and assist in project related activities, where required. These may include planning for meetings, local and national workshops, consultations, trips, and other project related activities; - To establish and maintain linkages with national and international organizations and persons which/who can be of assistance to the objectives of the Project; - To provide timely reporting of project status as required by the Project Committee and the UNDP; - To maintain records of Project Committee meetings, decisions, actions etc.; - To coordinate with other initiatives and programs whose outcomes and outputs are relevant to this project's objectives. <p><i>Qualifications:</i> Advanced degree in environmental or natural sciences, economics, social sciences, management, preferably with professional exposure to land degradation issues with a minimum of 3 years management experience at a senior level. Knowledge and understanding of the relevant UN Convention, environmental issues in Kazakhstan, good leadership, coordination, communication, and facilitation skills are essential.</p>
Expert on Land resources and Policy Framework for NAP Alignment and Implementation	300	70	<p>The key tasks are:</p> <ul style="list-style-type: none"> - To analyze the synergetic role of land resources in the sustained land tenure, carries out the estimation of ecological threats of their condition; - To analyze the current policy of land resources management in the Republic of Kazakhstan; - To develop the biophysical indicators of degradation of land resources under the influence of various kinds of use; - To inform of the examples of the best practice in preservation of the restored degraded land resources and prepare the materials on the best practice for inclusion in the National report on UNCCD implementation; - To prepare the report on NAP conformity estimation in the context of climate changes, biodiversity conservation and poverty reduction; - To make proposals for perfection of land resources monitoring and definition of representative ranges for its carrying out; - To prepare one of the compendia devoted to measures of combating the land degradation; - To carry out the estimation of the current state of measures of combating the degradation of the lands/soils in the Republic of Kazakhstan and their presentation in the regional and sectoral plans and projects; - To prepare proposals for introduction of NAP priorities in the documents of regional and sectoral planning; - To develop a complex of activities for overcoming the institutional and social and economic barriers interfering with the realization of NAP; - To prepare the plan of carrying out and takes part in the seminar for NAP alignment and implementation; - To develop the proposals for filling the section of knowledge management system with the view of implementation of UNCCD (KMS), concerning NAP alignment and implementation; <p>To develop the section concerning NAP implementation scheme for one of the compendia</p>
Expert on Integrated Financial Strategy and Strategic Investment Framework	300	16	<p>The key tasks are:</p> <ul style="list-style-type: none"> - To carry out the analysis of expenses of the State budget for financing the activities connected with SLM; - To analyze the Investment Mobilization Program for 2010 - 2014s of the development of the Republic of Kazakhstan for 2011-2015 and relevant programs of administrative units with regards to the extent of their conformity with the priorities of NAP implementation; - To carry out the analysis of disposable national financial resources for NAP implementation and makes the proposals to

<i>Position Titles</i>	<i>\$/ Person Week</i>	<i>Estimated Person Weeks</i>	<i>Tasks to be Performed</i>
			<p>increase the efficiency of their use;</p> <ul style="list-style-type: none"> - To analyzes disposable financial resources of the international, non-state and private foundations for realization of projects on combating the degradation of the lands; - To develop the proposals for attraction of financial aid from the international donors for NAP implementation; - To develop the methodology, approaches and recommendations about the drafts of the IF and IIF; - To develop IFS draft together with other experts; - To develop a complex of activities for overcoming the financial and investment barriers interfering with NAP implementation; <p>To prepare the section for one of the compendia concerning the financial provision of activities for combating the degradation of the lands/soils.</p>
Expert on Data-Gathering and Knowledge-Sharing System	200	24	<p>The key tasks are:</p> <ul style="list-style-type: none"> - To develop a web site of UNCCD implementation in Kazakhstan; - To develop the reference system on UNCCD implementation, including the traditional knowledge, examples of the best practice, etc.; - To prepare the proposals for guaranteeing the data inflow into reference system and their use for drawing up reporting; - together with other experts, to provide qualitative filling and regular updating of the data in the information system for the purpose of their placing on the national web site of UNCCD; - To carry out the electronic filling and presentation of the National report to UNCCD secretary through PRAIS system; - To inform the interested parties of the structure and functioning of the developed information system; - To prepare section of knowledge management system for one of the compendia.

ANNEX C UNDP TOTAL BUDGET AND WORK PLAN

GEF Component (Outcome) /Atlas Activity	Impl. Agent	Fund ID	Donor Name	ERP / ATLAS Budget Code	Atlas Budget Description	TOTAL Amount (USD)	Amount Year 1 (USD)	Amount Year 2 (USD)	
Comp 1. To ensure the alignment of the NAP with the UNCCD 10-Year Strategy and country NAP/DLDD-relevant policy	NEX	62000	GEF-10003	71300	Local Consultants	21,000	13,000	8,000	a
	NEX	62000	GEF-10003	71400	Contractual Services – Individual	11,900	8,600	3,300	b
	NEX	62000	GEF-10003	71600	Travel	5,000	5,000	0	e
	NEX	62000	GEF-10003	72100	Contractual Services-Companies	15,500	15,000	500	h
	NEX	62000	GEF-10003	74200	Audio Visual&Print Prod Costs	8,000	2,000	6,000	f
	NEX	62000	GEF-10003	74500	Miscellaneous Expenses	1,500	1,000	500	i
	NEX	62000	GEF-10003	74100	Professional Services	1,500	500	1,000	k
GEF Subtotal Atlas Activity 1 (Comp 1)						64,400	45,100	19,300	
TOTAL ACTIVITY 1 (Comp 1)						64,400	45,100	19,300	
Comp 2. To support activities on UNCCD reporting and monitoring	NEX	62000	GEF-10003	71300	Local Consultants	9,600	7,200	2,400	d
	NEX	62000	GEF-10003	71400	Contractual Services - Individual	11,900	8,600	3,300	b
	NEX	62000	GEF-10003	71600	Travel	8,400	2,000	6,400	e
	NEX	62000	GEF-10003	72100	Contractual Services-Companies	18,000	15,000	3,000	h
	NEX	62000	GEF-10003	72500	Supplies	4,500	3,000	1,500	g
	NEX	62000	GEF-10003	72800	Information Technology Equipmt	4,000	0	4,000	j
	NEX	62000	GEF-10003	74500	Miscellaneous Expenses	1,364	1,000	364	i
NEX	62000	GEF-10003	74100	Professional Services	2,622	1,000	1,622	k	
GEF Subtotal Atlas Activity 2 (Comp 2)						60,386	37,800	22,586	
TOTAL ACTIVITY 2 (Comp 2)						60,386	37,800	22,586	
Comp 3. Project Management	NEX	62000	GEF-10003	71400	Contractual Services - Individual	11,200	7,500	3,700	c
	NEX	62000	GEF-10003	74100	Professional Services	1,000	0	1,000	k
GEF Subtotal Atlas Activity 3 (Proj Mgt)						12,200	7,500	4,700	
TOTAL ACTIVITY 4 (Project Management)						12,200	7,500	4,700	
SUB-TOTAL GEF						136,986	90,400	46,586	
GRAND TOTAL (in cash)						136,986	90,400	46,586	

Budget Notes	
a	Medium-Term Consultants: Expert on Land resources and Policy Framework for NAP Alignment and Implementation
b	Medium-Term Consultants: Chief Technical Adviser (divided between components 1 and 2) and Administrative Financial Assistant (pro-rata technical tasks)
c	Chief Technical Adviser (management)
d	Short-Term Consultants: (i) Expert on Integrated Financial Strategy and Strategic Investment Framework; (ii) Expert on Data-Gathering and Knowledge - Sharing System
e	Travel for trainings, seminars, field and exchange visits
f	Publication of 4 handbooks, guides
g	Stationary for workshops and the office including printer cartridges etc.
h	Logistics for round tables, trainings, seminars, field and exchange visits

Budget Notes	
i	Bank charges, insurance, sundries and miscellaneous costs
j	Technical equipment for National Center on intersectoral coordination UNCCD
k	Project Audit and translations (pro-rata).

ANNEX D CHRONOGRAM OF ACTIVITIES

Comp.	Outcomes	Guiding activities under each module	2013				2014							
			Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4				
1. To ensure the alignment of the NAP with the UNCCD 10-Year Strategy and country NAP/DLDD-relevant policies	1.1. Inventory of policy and planning tools	1. Kick-off meeting with key stakeholders to communicate the alignment objectives and to involve the target groups in revision and alignment processes.	X											
		2. Rapid stocktaking report summarizing NAP/DLDD-relevant policies and plans.	X											
		3. Stocktaking review completed and report prepared on the 2005 NAP and priorities for alignment.	X	X										
	1.2. Strengthened human and scientific capacity, as well as raised awareness for NAP alignment	4. County-specific indicators for NAP alignment elaborated and adopted	X	X										
		5. Experts representing key stakeholders at different levels trained how to use and apply biophysical and socio-economic indicators, conduct monitoring and evaluate, collect and verify data.		X										
		6. LD observation sites identified and a Monitoring and Vulnerability Assessment Network established		X	X									
		7. A harmonized biophysical and socio-economic baseline and Draught Warning System established.			X									
		8. A national LD knowledge management system fed with collected data, including relevant practices and traditional knowledge.			X	X								
	1.3. Strengthened policy and institutional framework for NAP alignment and implementation.	9. Suggestions elaborated on integration of NAP and DLDD issues into development planning, relevant sectoral and investment plans and policies.				X								
		10. NAP review completed and an updated NAP produced in the form of a strategic document.				X								
		11. NAP Implementation Plan adopted as an inalienable part of the revised NAP and implementation launched under the supervision of the NWG.					X							
		12. National Center for Inter-sectoral Coordination (NCIC) for UNCCD Implementation established under the MoEP and capacitated for NAP alignment.	X											
	1.4. Established financial and technological framework for NAP implementation	13. Financial resources available for NAP implementation analyzed and an Integrated Financial Strategy and the Integrated Investment Framework for NAP implementation developed. NAP updated to accommodate priorities, including national targets for investment.			X	X								
		14. Technology that will be applied in NAP alignment identified and technology transfer needs for NAP implementation identified.			X									

Comp.	Outcomes	Guiding activities under each module	2013				2014			
			Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
2. Support to UNCCD reporting and review 3	2.1. Strengthen human and scientific capacity for implementation of indicators based on monitoring and assessment	15. The indicators-based reporting methodology developed by the CRIC reviewed and tailored to Kazakhstan conditions.					X			
		16. National level training workshop conducted on UNCCD/NAP reporting methodologies, procedures and tools for stakeholders.					X			
		17. Data-gathering systems established for the UNCCD reporting and NAP review processes. Data quality assurance procedures to apply before report submission is put in place.					X			
		18. Liaison with Reference Centers (Helpdesks) for acquiring further knowledge and know-how on UNCCD reporting established and maintained.		X	X	X	X	X	X	X
	2.2. A report within the second leg of the fourth reporting and review cycle is prepared and submitted	19. A proper deliberative process established through carrying out a series of consultative meetings with the stakeholders as well as through making possible to submit comments on the reporting process online.		X	X	X	X	X	X	X
		20. National report drafted in first version, and made available for the stakeholders to comment upon the draft during a reasonable period of time.					X			
		21. The report submitted through the PRAIS portal in line with the UNCCD requirements.					X			
	2.3. Enhanced national ownership of a reporting process	22. Capacity of National Focal Point team, as well as for experts of the NCIC strengthened, particularly in the thematic areas of NAP alignment, UNCCD reporting and development transboundary cooperation on DLDD issues.	X	X	X	X	X	X	X	X