



PDF/PPG STATUS REPORT



GEFSEC PROJECT ID:
UNDP PROJECT ID: 3799
COUNTRY: Global
PROJECT TITLE: Good Practices and Portfolio Learning in GEF Transboundary Freshwater and Marine Legal and Institutional Frameworks
OTHER PROJECT EXECUTING AGENCY(IES): UBC
GEF FOCAL AREA: International Waters
GEF OPERATIONAL PROGRAM: OP 10: Regional/Global Support Component
STARTING DATE: June 8, 2006
ESTIMATED DATE OF OPERATIONAL CLOSURE: SEPTEMBER 7, 2006
ESTIMATED DATE OF FINANCIAL CLOSURE: FEBRUARY 2007

Report submitted by:

Name Pitman Potter **Title** Director, Institute of Asian Research, University of British Columbia 604 822 4688 **Date** June 26, 2007



Signed

__Andrew Hudson__ UNDP Environment Focal Point for International Waters_____

PART I - PREPARATORY ASSISTANCE ACHIEVEMENTS

A- SUMMARY OF ACTUAL ACHIEVEMENTS OF PREPARATORY PHASE (OUTPUTS AND OUTCOMES), AND EXPLANATION OF ANY DEVIATIONS FROM EXPECTED OUTCOMES

PDF A was to hold a conference with significant developing world representation to discuss and discern how to determine best practices in IW governance and to the design tools to replicate those successes by use and further redesign in the field. The conference was held on July 23-26, 2006 at the UBC. The 30 invitees included lawyers, biologists, economists, ecologists, social scientists, and engineers from governments, NGOs academia and the private sector. There were 9 practitioners representing developing countries in all major GEF regions (Asia and the Pacific, Latin America and the Caribbean, Africa, Eastern and Central Europe and the Caucasus). The workshop identified a number of pressure points that hinder successful IW negotiations and governance efforts. A post-workshop survey was sent to participants and current GEF project managers asking that they rate the resulting issues identified. Both workshop and survey results have been incorporated in the design of a MSP to be submitted for GEF funding focusing on: *Good Practices and Portfolio Learning in GEF Trans-boundary Freshwater and Marine Legal and Institutional Frameworks*.

A summary of the workshop, the attendees, the survey and its results are attached.

Table 1: Completion status of Project Activities

Approved			Actuals			
Proposed Activities at Approval	GEF Financing	Co-financing	Status of activities	GEF financing committed	Co-financing committed	Uncommitted GEF funds
Hold workshop to determine format for MSP to look at IW governance best practices and how to replicate in tools to be used in the field	50,000 USD	30,000 USD	Successfully completed and incorporated results into MSP proposal	49,686 USD	59,700 USD	314 USD

B – RECORD OF STAKEHOLDER INVOLVEMENT IN PROJECT PREPARATION

ATTACHED IS A LIST OF ATTENDEES. SEE EARLIER-MENTIONED SUMMARY OF WORKSHOP AND THE SURVEY WHICH WAS PREPARED BASED ON INPUT AT WORKSHOP. NOTE: There were eight practitioners representing developing countries in all major GEF regions (Asia and the Pacific, Latin America and Caribbean, Africa, Eastern and Central Europe and the Caucasus).

PART II - PREPARATORY ASSISTANCE FINANCIAL DELIVERY

TABLE 2 – PDF/PPG INPUT BUDGET – APPROVALS AND COMMITMENTS

Input Description*	Approved			Committed		
	Staff weeks	GEF financing	Co-finance	Staff weeks	GEF financing	Co-finance
Personnel						
Local consultants	3/4	2,000	0	6	0	16,000
International consultants	13	14,000	26,000	18	20,151	33,200
Training						
Office Equipment						
Travel		32,000	0		27,188	2,000
Miscellaneous		2,000	4,000		2,347	8,500
Total	13 3/4	50,000	30,000	24	49,686	59,700

Additional information as relevant :

- Indicate PDF/PPG delivery rate (funds disbursed at time of operational closure as percentage of total GEF allocation)
- Indicate whether it is expected that there will be unspent PDF/PPG funds at the time of financial closure
- Provide justification for major deviations of actual disbursement from what was planned

TABLE 3 : ACTUAL PDF/PPG CO-FINANCING

Co-financing Sources for Preparatory Assistance				
Name of Co-financier (source)	Classification	Type	Amount	
			Expected (\$)	Actual (\$)
UBC Law School/Paisley	Exec Agency	In Kind	5,000 USD	6,500 USD
UBC Institute Asian Research	Exec Agency	In Kind	5,000 USD	5,000 USD
Boris Graizbord	Exec Agency	In Kind	2,000 USD	2,000 USD
Glen Hearn	Private Sector	In Kind	5,000 USD	6,000 USD
Bo Brickleyer	Private Sector	In Kind	10,000 USD	16,600 USD
Alex Grzybowski	Private Sector	In Kind	3,000 USD	3,000 USD
Lawson Lundell, Attorneys	Private Sector	In Kind	0	3,500 USD
Environment Canada	National Government	In Kind	0	2,000 USD
Aquatic Resources Conservation Group	NGO	In Kind	0	1,100 USD
Local Consultants (7)	National Government & Private Sector	In Kind	0	14,000 USD
Total co-financing			30,000US	59,700 USD

Additional information as relevant :

- Provide explanation for major deviations from what was planned