



# REQUEST FOR CEO ENDORSEMENT

**PROJECT TYPE: Full-sized Project**

**TYPE OF TRUST FUND:LDCF**

For more information about GEF, visit [TheGEF.org](http://TheGEF.org)

## PART I: PROJECT INFORMATION

Project Title: Building resilience to climate change in the water and sanitation sector			
Country(ies):	Uganda	GEF Project ID: <sup>1</sup>	5204
GEF Agency(ies):	AfDB (select) (select)	GEF Agency Project ID:	
Other Executing Partner(s):	Department of Climate Change, Ministry of Water and Environment	Submission Date:	2014-08-19
GEF Focal Area (s):	Climate Change	Project Duration(Months)	36
Name of Parent Program (if applicable):		Project Agency Fee (\$):	795150
	<ul style="list-style-type: none"> <li>➤ For SFM/REDD+ <input type="checkbox"/></li> <li>➤ For SGP <input type="checkbox"/></li> <li>➤ For PPP <input type="checkbox"/></li> </ul>		

## A. FOCAL AREA STRATEGY FRAMEWORK<sup>2</sup>

Focal Area Objectives	Expected FA Outcomes	Expected FA Outputs	Trust Fund	Grant Amount (\$)	Cofinancing (\$)
CCA-1 (select)	1.2 Reduced vulnerability to climate change in development sectors (water and sanitation)	1.2.1 Vulnerable physical and natural assets strengthened in response to climate change, including climate variability	LDCF	1,950,000	15,375,000
CCA-2 (select)	2.2 Strengthened adaptive capacity to reduce risks to climate-induced economic losses	2.2.2 Targeted population groups covered by adequate risk reduction measures	LDCF	2,500,000	12,375,000
CCA-2 (select)	2.3 Strengthened awareness and ownership of adaptation and climate risk reduction processes at local level	2.3.1 Targeted population groups participating in adaptation and risk reduction awareness activities	LDCF	1,350,000	6,375,000
CCA-3 (select)	3.1 Successful demonstration and deployment of relevant adaptation technology in targeted areas	3.1.1 Relevant adaptation technology transferred to targeted groups	LDCF	2,570,000	3,875,000
(select) (select)			(select)		
(select) (select)			(select)		
(select) (select)			(select)		
(select) (select)			(select)		
<b>Total project costs</b>				<b>8,370,000</b>	<b>38,000,000</b>

<sup>1</sup> Project ID number will be assigned by GEFSEC.

<sup>2</sup> Refer to the [Focal Area Results Framework and LDCF/SCCF Framework](#) when completing Table A.

## B. PROJECT FRAMEWORK

Project Objective: Enhancing the resilience of poor communities to urban flooding in Yaounde						
Project Component	Grant Type	Expected Outcomes	Expected Outputs	Trust Fund	Grant Amount (\$)	Confirmed Cofinancing (\$)
Component 1 Baseline analysis and adaptation alternatives: Flood-prone areas of Mount Elgon	Inv	Outcome 1: - Improved integrity of Uganda's mountain ecosystems; - Improved availability and quality of water resources in the Kyoga Water Management Zone; - Lower risk of flooding and landslides in the Mount Elgon region	Output 1.1: Rehabilitation of 500 ha of encroached / degraded forest through taungya and enrichment planting in Mt Elgon NP  Output 1.2: Plantation of 400 ha of indigenous/ environmentally friendly trees, bamboos and grasses along 250 km of stream/ river banks inside and outside the NP  Output 1.3: Communities in Bududa, Lerima, Bukwo and Manafwa-Tororo GFS trained, supported and strengthened in the options for conservation of water resources	LDCF	1,100,000	12,500,000
Component 2: Ensuring climate-resilient sanitation in flood-prone peri-urban areas	Inv	Outcome 2: - Increased access to climate-resilient sanitation in flood-prone peri-urban areas - Improved health status and reduction in water-borne diseases in flood-prone peri-urban areas	Output 2.1: Installation of appropriate sanitation facilities (ecological sanitation, VIP-lined, waterborne) in schools and markets of peri-urban flood-prone areas in (Soroti, Bukedea, Budaka, Pallisa, Kumi, Butaleja)  Output 2.2: Community mobilisation and sensitization on sanitation, hygiene and climate change	LDCF	2,200,000	6,500,000
Component 3: Ensuring access to water for production as an adaptation in	Inv	Outcome 3: - Improved availability of safe and clean water for	Output 3.1: 900 households in Otuke/ Apac/ Katakwi/ Bududa (Nabweya)	GEF TF	4,150,000	14,500,000

drought-prone areas		<p>domestic consumption in drought-prone areas;</p> <ul style="list-style-type: none"> <li>- Improved crop production levels through availability of bulk water from existing water sources, rock water catchments, sub-surface dams, valley tanks</li> <li>- Improved livestock farming through improved water availability</li> </ul>	<p>provided with domestic rainwater harvesting technology for drought adaptation</p> <p>Output 3.2: 10 communities in Otuke/ Apac/ Katakwi/ Bududa (Nabweya) provided with community rainwater harvesting tanks for drought adaptation</p> <p>Output 3.3 Extension of gravity schemes to Nabweya, Bududa District to increase access to water among drought-prone communities</p> <p>Output 3.4: 9 valley tanks constructed/ de-silted for the storage of community water in Otuke/ Apac/ Katakwi for livestock farming</p> <p>Output 3.5: 10 communities in Otuke/ Apac/ Katakwi/ Bududa (Nabweya) trained in the maintenance and use of water harvesting technology for drought adaptation</p>			
Component 4: Knowledge Management and Monitoring and Evaluation	TA	Outcome 4: Improved awareness of technologies, measures and practices to increase resilience to climate change in flood- and drought-prone regions	Output 4.1: Empirical analysis of experiences and lessons learned in building resilience in the water and sanitation sector in flood- and drought-prone areas of Uganda	LDCF	520,000	3,000,000
	(select)			(select)		
	(select)			(select)		
	(select)			(select)		
	(select)			(select)		
Subtotal					7,970,000	36,500,000

Project management Cost (PMC) <sup>3</sup>	LDCF	400,000	1,500,000
<b>Total project costs</b>		8,370,000	38,000,000

**C. SOURCES OF CONFIRMED COFINANCING FOR THE PROJECT BY SOURCE AND BY NAME (\$)**

Please include letters confirming cofinancing for the project with this form

Sources of Co-financing	Name of Co-financier (source)	Type of Cofinancing	Cofinancing Amount (\$)
GEF Agency	African Development Bank (RWSSI)	Cash	3,500,000
GEF Agency	African Development Bank	Soft Loan	34,500,000
Local Government		Cash	
(select)		(select)	
(select)		(select)	
(select)		(select)	
(select)		(select)	
(select)		(select)	
(select)		(select)	
<b>Total Co-financing</b>			38,000,000

**D. TRUST FUND RESOURCES REQUESTED BY AGENCY, FOCAL AREA AND COUNTRY<sup>1</sup>**

GEF Agency	Type of Trust Fund	Focal Area	Country Name/ Global	(in \$)		
				Grant Amount (a)	Agency Fee (b) <sup>2</sup>	Total c=a+b
AfDB	LDCF	Climate Change	Uganda	8,370,000	795,150	9,165,150
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
<b>Total Grant Resources</b>				8,370,000	795,150	9,165,150

<sup>1</sup> In case of a single focal area, single country, single GEF Agency project, and single trust fund project, no need to provide information for this table. PMC amount from Table B should be included proportionately to the focal area amount in this table.

<sup>2</sup> Indicate fees related to this project.

**F. CONSULTANTS WORKING FOR TECHNICAL ASSISTANCE COMPONENTS:**

Component	Grant Amount (\$)	Cofinancing (\$)	Project Total (\$)
International Consultants			0
National/Local Consultants			0

<sup>3</sup> PMC should be charged proportionately to focal areas based on focal area project grant amount in Table D below.

**G. DOES THE PROJECT INCLUDE A “NON-GRANT” INSTRUMENT? (Select)**

(If non-grant instruments are used, provide in Annex D an indicative calendar of expected reflows to your Agency and to the GEF/LDCF/SCCF/NPIF Trust Fund).

**PART II: PROJECT JUSTIFICATION**

**A. DESCRIBE ANY CHANGES IN ALIGNMENT WITH THE PROJECT DESIGN OF THE ORIGINAL PIF<sup>4</sup>**

A.1 National strategies and plans or reports and assessments under relevant conventions, if applicable, i.e. NAPAS, NBSAPs, national communications, TNAs, NCSA, NIPs, PRSPs, NPFE, Biennial Update Reports, etc.

N/A

A.2. GEF focal area and/or fund(s) strategies, eligibility criteria and priorities.

N/A

A.3 The GEF Agency’s comparative advantage:

N/A

A.4. The baseline project and the problem that it seeks to address:

INFORMATION PROVIDED IN THE NON MACRO VERSION

A. 5. Incremental /Additional cost reasoning: describe the incremental (GEF Trust Fund/NPIF) or additional (LDCF/SCCF) activities requested for GEF/LDCF/SCCF/NPIF financing and the associated global environmental benefits (GEF Trust Fund) or associated adaptation benefits (LDCF/SCCF) to be delivered by the project:

INFORMATION PROVIDED IN THE NON MACRO VERSION

A.6 Risks, including climate change, potential social and environmental risks that might prevent the project objectives from being achieved, and measures that address these risks:

INFORMATION PROVIDED IN THE NON MACRO VERSION

A.7. Coordination with other relevant GEF financed initiatives

INFORMATION PROVIDED IN THE NON MACRO VERSION

**B. ADDITIONAL INFORMATION NOT ADDRESSED AT PIF STAGE:**

B.1 Describe how the stakeholders will be engaged in project implementation.

B.2 Describe the socioeconomic benefits to be delivered by the Project at the national and local levels, including consideration of gender dimensions, and how these will support the achievement of global environment benefits (GEF Trust Fund/NPIF) or adaptation benefits (LDCF/SCCF):

INFORMATION PROVIDED IN THE NON MACRO VERSION

B.3. Explain how cost-effectiveness is reflected in the project design:

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<sup>4</sup> For questions A.1 –A.7 in Part II, if there are no changes since PIF and if not specifically requested in the review sheet at PIF stage, then no need to respond, please enter “NA” after the respective question.

INFORMATION PROVIDED IN THE NON MACRO VERSION

**C. DESCRIBE THE BUDGETED M & E PLAN:**

INFORMATION PROVIDED IN THE NON MACRO VERSION

**PART III: APPROVAL/ENDORSEMENT BY GEF OPERATIONAL FOCAL POINT(S) AND GEF AGENCY(IES)**

- A. RECORD OF ENDORSEMENT OF GEF OPERATIONAL FOCAL POINT(S) ON BEHALF OF THE GOVERNMENT(S):**  
 (Please attach the [Operational Focal Point endorsement letter\(s\)](#) with this form. For SGP, use this [OFP endorsement letter](#)).

NAME	POSITION	MINISTRY	DATE (MM/dd/yyyy)

**B. GEF AGENCY(IES) CERTIFICATION**

This request has been prepared in accordance with GEF/LDCF/SCCF/NPIF policies and procedures and meets the GEF/LDCF/SCCF/NPIF criteria for CEO endorsement/approval of project.
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Agency Coordinator, Agency Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address

**ANNEX A: PROJECT RESULTS FRAMEWORK** (either copy and paste here the framework from the Agency document, or provide reference to the page in the project document where the framework could be found).



**ANNEX B: RESPONSES TO PROJECT REVIEWS** (from GEF Secretariat and GEF Agencies, and Responses to Comments from Council at work program inclusion and the Convention Secretariat and STAP at PIF).

**ANNEX C: STATUS OF IMPLEMENTATION OF PROJECT PREPARATION ACTIVITIES AND THE USE OF FUNDS<sup>5</sup>**

A. PROVIDE DETAILED FUNDING AMOUNT OF THE PPG ACTIVITIES FINANCING STATUS IN THE TABLE BELOW:

PPG Grant Approved at PIF:			
<i>Project Preparation Activities Implemented</i>	<i>GEF/LDCF/SCCF/NPIF Amount (\$)</i>		
	<i>Budgeted Amount</i>	<i>Amount Spent To date</i>	<i>Amount Committed</i>
<b>Total</b>	0	0	0

<sup>5</sup> If at CEO Endorsement, the PPG activities have not been completed and there is a balance of unspent fund, Agencies can continue undertake the activities up to one year of project start. No later than one year from start of project implementation, Agencies should report this table to the GEF Secretariat on the completion of PPG activities and the amount spent for the activities.

**ANNEX D: CALENDAR OF EXPECTED REFLOWS (if non-grant instrument is used)**

Provide a calendar of expected reflows to the GEF/LDCF/SCCF/NPIF Trust Fund or to your Agency (and/or revolving fund that will be set up)