



GEF SECRETARIAT REVIEW FOR FULL/MEDIUM-SIZED PROJECTS* THE GEF/LDCF/SCCF TRUST FUNDS

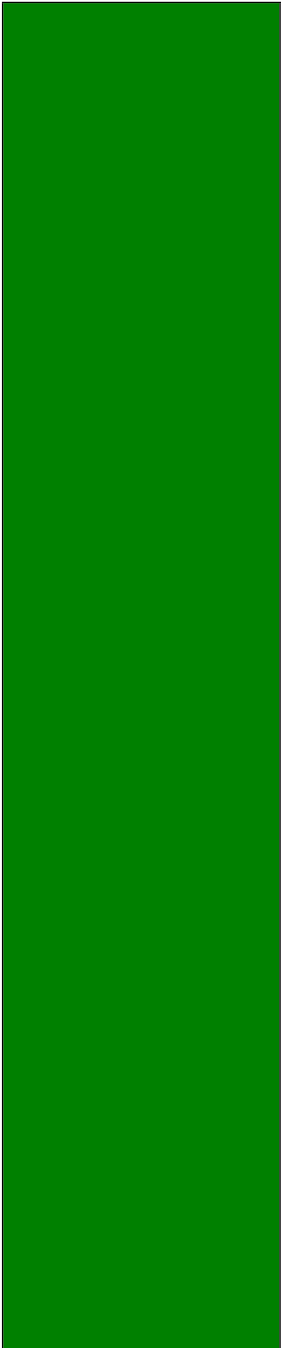
GEF ID:	5056		
Country/Region:	Timor Leste		
Project Title:	Strengthening Community Resilience to Climate Induced Natural Disasters in the Dili to Ainaro Road Development Corridor, Timor Leste		
GEF Agency:	UNDP	GEF Agency Project ID:	5108 (UNDP)
Type of Trust Fund:	Least Developed Countries Fund (LDCF)	GEF Focal Area (s):	Climate Change
GEF-5 Focal Area/ LDCF/SCCF Objective (s):	CCA-2; CCA-2; Project Mana;		
Anticipated Financing PPG:	\$0	Project Grant:	\$5,250,000
Co-financing:	\$78,726,780	Total Project Cost:	\$83,976,780
PIF Approval:		Council Approval/Expected:	
CEO Endorsement/Approval		Expected Project Start Date:	
Program Manager:	Rawleston Moore	Agency Contact Person:	Keti Chachibaia

Review Criteria	Questions	Secretariat Comment at PIF (PFD)/Work Program Inclusion	Secretariat Comment At CEO Endorsement(FSP)/Approval (MSP)
Eligibility	1. Is the participating country eligible?	Yes. Timor Leste is a LDC and has completed its NAPA.	
	2. Has the operational focal point endorsed the project?	Yes. An endorsement letter signed by the GEF OFP Mrs. Maria Domingas Alves and dated August 03, 2012 is included.	
Agency's Comparative Advantage	3. Is the Agency's comparative advantage for this project clearly described and supported?	<p>Not completely. UNDP has a comparative advantage in Timor Leste in articulating policies and strategies that integrate community based climate change related disaster risk management into its governance structure and form, and also in protecting the coastal mangroves and forests.</p> <p>However, the advantage that UNDP brings to the project in infrastructure</p>	

		Recommended Action: Please provide clarifications on UNDP's advantage and experience with infrastructure investments.	
	4. If there is a non-grant instrument in the project, is the GEF Agency capable of managing it?	NA	
	5. Does the project fit into the Agency's program and staff capacity in the country?	Yes. The UNDP Country Programme for Timor Leste focuses on building capacity to mitigate effects of disasters and to strengthen livelihoods, which is in-line with the primary objectives of the proposed project. The staff capacity in the country is adequate. More information may be requested in future.	
Resource Availability	6. Is the proposed Grant (including the Agency fee) within the resources available from (mark all that apply):		
	<ul style="list-style-type: none"> • the STAR allocation? • the focal area allocation? 		
	<ul style="list-style-type: none"> • the LDCF under the principle of equitable access 	Yes. Timor Leste has programmed \$5.2 M under a UNDP implemented project, also under review is \$8.2 M for a project to be implemented by ADB in the country.	
	<ul style="list-style-type: none"> • the SCCF (Adaptation or Technology Transfer)? 		
	<ul style="list-style-type: none"> • Nagoya Protocol Investment Fund 		
	<ul style="list-style-type: none"> • focal area set-aside? 		
Project Consistency	7. Is the project aligned with the focal /multifocal areas/ LDCF/SCCF/NPIF results framework?	Yes. The project is well aligned with the LDCF/SCCF results framework.	

	8. Are the relevant GEF 5 focal/multifocal areas/LDCF/SCCF/NPIF objectives identified?	Yes. The project will contribute to LDCF objectives of "Reducing Vulnerability" (CCA-1) and "increasing adaptive capacity" (CCA-2).	
	9. Is the project consistent with the recipient country's national strategies and plans or reports and assessments under relevant conventions, including NPFE, NAPA, NCSA, or NAP?	Yes. The proposed project is aligned with the fourth NAPA priority to improve institutional and community capacity to prepare for and respond to climate change induced natural disasters. It will also address the priorities 5 and 9 on strengthening coastal ecosystems and developing national institutional capacity.	
	10. Does the proposal clearly articulate how the capacities developed, if any, will contribute to the sustainability of project outcomes?	<p>Not clearly. The project includes community level engagement through livelihood diversification measures as well as allocation of national budgetary allocation for sustainability of training initiatives to be started through the project.</p> <p>However, the project does not explore coordination with complementary projects which also plays a role in sustainability of project outcomes.</p> <p>Recommended Action: Please provide information on projects that may be on going and planned in the country and seek areas of complementarity.</p>	
	11. Is (are) the baseline project(s), including problem (s) that the baseline project(s) seek/s to address, sufficiently described and based on sound data and assumptions?	<p>Not clear. The proposal lists limited government capacity to inform and take actions to minimize disaster related risks, structural integrity of public and private infrastructure, and weak management of communal resources as underlying problems in the country.</p> <p>Seven different baseline projects are described. It is not clear which project</p>	

Project Design		<p>regarding the structural integrity of infrastructures in the country. Also one or more baseline projects seem to address a given baseline problem. It not clear how these projects work together collectively to address a common baseline problem.</p> <p>Recommended Action:</p> <p>Please clearly itemize the existing baseline problems that are expected to worsen due to climate change. It would be helpful to group baseline projects that address the same baseline problem. In doing so, please highlight different aspects of the problem each baseline project seeks to address.</p> <p>Please identify a baseline project that deals with infrastructure stability, or please make necessary changes to the stated problems that baseline projects seek to address.</p>	
	12. Has the cost-effectiveness been sufficiently demonstrated, including the cost-effectiveness of the project design approach as compared to alternative approaches to achieve similar benefits?		
	13. Are the activities that will be financed using GEF/LDCF/SCCF funding based on incremental/additional reasoning?	Not clearly. The additional adaptation benefits would need to be reassessed based on responses provided for section 11 and 14.	
	14. Is the project framework sound and sufficiently clear?	<p>Not clear. The project is composed of 4 components. Under each components an array of actions are proposed.</p> <p>Climate Information and Knowledge (Component 1): Includes community</p>	



assessments. Such actions would also be a precursor to development of the first NAPA implementation project, implemented by the UNDP. In order to understand scope and relevance of activities to be supported through the LDCF, description of existing and innovative CBDRR/CBA to be analyzed is necessary. Concrete adaptation benefits that would be achieved, within the project duration, through the knowledge products proposed under this component should be clear.

Enabling conditions for better local climate risk planning (Component 2): Includes training sub-national level staff on climate risk assessment. The proposed activities are similar to those in the first NAPA implementation project in the country. Also the added value in sending best performers overseas for further training is not clear.

Investments in community based disaster risk reduction and prevention measures (Component 3): Includes a number of measures including training, development of early warning systems, provision of direct financial relief and infrastructure management. The measures proposed are too diverse, and the project could benefit from an approach that targets livelihoods that is most at risk and builds upon comparative advantage of the agency.

Investments in community based ecosystem services for climate adaptation and disaster risk reduction

		<p>management and rehabilitation of critical mangrove systems, restoration of freshwater systems, and catchment level management measures. However, it is not clear how the measures will inhibit people from investing in developments in the coastal areas. Policy and legal measures will be required for such results.</p> <p>Recommended Actions: Please provide clarification on component outcomes and outputs that seem to overlap with the first NAPA implementation project. Concrete adaptation benefits to the communities that will be delivered during the project life through the training of district level staff and knowledge products needs to be clear. For investment activities please focus on agency's comparative advantage; EWS, community development and soft infrastructure measures, as discussed bilaterally, and please revise the component outcomes and outputs as necessary.</p> <p>For component 1, please also provide CBA and CBDRR measures that are intended to be analyzed</p>	
	<p>15. Are the applied methodology and assumptions for the description of the incremental/additional benefits sound and appropriate?</p>	<p>Not clear. Please see comments for section 12, 13 and 14.</p>	
	<p>16. Is there a clear description of: a) the socio-economic benefits, including gender dimensions, to be delivered by the project, and b) how will the delivery of such benefits support the achievement of incremental/additional benefits?</p>	<p>Not clearly. The socio-economic benefits would need to be revisited upon addressing comments to the earlier sections.</p> <p>Recommended Action: Please provide relevant information on communities</p>	

		Timor Leste, highlighting gender aspects where possible.	
	17. Is public participation, including CSOs and indigenous people, taken into consideration, their role identified and addressed properly?	Yes. The project includes communities and district level officials. The project proposes to perform an assessment to connect CSOs, NGOs with national practitioners and international expert networks.	
	18. Does the project take into account potential major risks, including the consequences of climate change and provides sufficient risk mitigation measures? (i.e., climate resilience)	<p>Not clearly. The proposal states that there is a possibility that the lead government agency for the project, Directorate for National Disaster Management, may get rearranged. This poses a significant risk to the proposed project. The seven baseline projects are executed through different government agencies. For the proposed project to succeed it is necessary that the government agencies work closely.</p> <p>Recommended Actions: As the country is still new and the agencies are still nascent, it is critical that these early projects attain the intended goals and bolster government structure along the way. In order to minimize the risks, it is necessary to reconsider the number of government agencies involved in the proposed project.</p>	
	19. Is the project consistent and properly coordinated with other related initiatives in the country or in the region?	Not clearly. A LDCF funded and UNDP implemented "Strengthening the Resilience of Small Scale Rural Infrastructure and Local Government Systems to Climatic Variability and Risk" is under development in Timor Leste. Various sub-projects under Coral Triangle Initiative funded through the	

		<p>country. GEFSec is also reviewing a program to be implemented by the ADB in three countries, including Timor Leste.</p> <p>Recommended Action: Please provide information on and identify areas of collaboration with the related projects currently on-going in the country. Where possible please build on the already on-going initiatives and assessments. Please describe measures that would be undertaken to coordinate with related projects planned in the country.</p>	
	20. Is the project implementation/ execution arrangement adequate?	It would need to be reassessed depending on the responses to comments on the earlier sections.	
	21. Is the project structure sufficiently close to what was presented at PIF, with clear justifications for changes?		
	22. If there is a non-grant instrument in the project, is there a reasonable calendar of reflows included?		
Project Financing	23. Is funding level for project management cost appropriate?	Yes. The management cost is 5% of the project costs.	
	24. Is the funding and co-financing per objective appropriate and adequate to achieve the expected outcomes and outputs?	It would need to be reassessed depending on the responses to comments on the earlier sections.	
	25. At PIF: comment on the indicated cofinancing; At CEO endorsement: indicate if confirmed co-financing is provided.	It would need to be reassessed depending on the responses to comments on the earlier sections.	

	Agency is bringing to the project in line with its role?	towards the project.	
Project Monitoring and Evaluation	27. Have the appropriate Tracking Tools been included with information for all relevant indicators, as applicable?		
	28. Does the proposal include a budgeted M&E Plan that monitors and measures results with indicators and targets?		
Agency Responses	29. Has the Agency responded adequately to comments from:		
	<ul style="list-style-type: none"> • STAP? 		
	<ul style="list-style-type: none"> • Convention Secretariat? 		
	<ul style="list-style-type: none"> • Council comments? 		
	<ul style="list-style-type: none"> • Other GEF Agencies? 		
Secretariat Recommendation			
Recommendation at PIF Stage	30. Is PIF clearance/approval being recommended?	<p>No. Please see comments for sections 3, 10, 11, 13, 14, 15, 16, 18 and 19.</p> <p>10/19/2012 JS</p> <p>The resubmitted project PIF does not address the main issues raised in the first review and in the bilateral discussion with the agency. The resubmitted project does not fully consider the key concerns regarding the project design and the agency's comparative advantage especially given the involvement of other agencies in the country in the similar sectors.</p> <p>Update March 15th.</p> <p>The changes in the PIF document are acceptable. The PIF is recommended for clearance.</p>	
	31. Items to consider at CEO		

Recommendation at CEO Endorsement/ Approval	32. At endorsement/approval, did Agency include the progress of PPG with clear information of commitment status of the PPG?		
	33. Is CEO endorsement/approval being recommended?		
Review Date (s)	First review*	September 05, 2012	
	Additional review (as necessary)		
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* This is the first time the Program Manager provides full comments for the project. Subsequent follow-up reviews should be recorded. For specific comments for each section, please insert a date after comments. Greyed areas in each section do not need comments.

REQUEST FOR PPG APPROVAL

Review Criteria	Decision Points	Program Manager Comments
PPG Budget	1. Are the proposed activities for project preparation appropriate?	The ppg activities would need to be adjusted depending on the changes in the PIF. Update March 15th 2013. The proposed activities for project preparation are appropriate.
	2. Is itemized budget justified?	Update March 15th 2013 The budget is justified
Secretariat Recommendation	3. Is PPG approval being recommended?	Not at this stage. Update March 15th 2013. The PPG is recommended for approval.
	4. Other comments	
Review Date (s)	First review*	
	Additional review (as necessary)	

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