



REQUEST FOR PROJECT PREPARATION GRANT (PPG)

PROJECT TYPE: Full-sized project

THE GEF TRUST FUND

Submission date: 14 August 2009
Re-submission date: 28 October 2009
Re-submission date: 27 April 2010

GEFSEC PROJECT ID¹: 4047

GEF AGENCY PROJECT ID: 4324

COUNTRY(IES): Tajikistan

PROJECT TITLE: Technology Transfer and Market Development for Small Hydropower

GEF AGENCY(IES): UNDP (DEX)

OTHER EXECUTING PARTNERS: Ministry of Industry and Energy

GEF FOCAL AREA: Climate change

GEF-4 STRATEGIC PROGRAM: N/a

A. PROJECT PREPARATION IMPLEMENTATION TIMEFRAME:

Start date	July 2010
Completion date	June 2011

B. PAST PROJECT PREPARATION ACTIVITIES (US\$) : N/A

List of Past Project Preparation Activities	GEF Grant	Co-financing	Total
Total project preparation financing			

C. PROJECT PREPARATION ACTIVITIES (US\$)

During the project preparation phase (PPP), a full-fledged project document (PD) will be developed based on the approved PIF, to be presented to all the future project stakeholders and to obtain necessary co-financing commitments. For the development of the PD, it is necessary to conduct a SHP technology needs, including an assessment of the type and scope of SHP projects that should be undertaken, an analysis of capacity building and technology transfer needs of stakeholders as well as an analysis of the current legislation-regulatory framework and market conditions for technology transfer and SHP development. The project preparatory activities are also foreseen to include a workshop at the end of the project preparation phase to present the final draft of the full-scale project document to all concerned stakeholders in Tajikistan.

A GEF grant of USD 25,000 in addition to UNDP cash contribution of USD 30,000 is requested to cover the fees and travel costs of the hired local and international consultants, which are required to complement the capacity and expertise of the Ministry of Industry and Energy. In-kind co-financing is indicative and comprises of inputs provided by the Government of Tajikistan as well as other participating partners during the project preparation phase. Field coordination and logistic arrangements will be carried out by the UNDP office in Dushanbe.

Project Preparation Activities	GEF	Co-	Total
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¹ Project ID number will be assigned initially by GEFSEC. If PIF has been submitted earlier, use the same ID number as PIF.

	Grant	financing	
1. Conduct assessment on the type and scope of SHP projects that should be undertaken and most suitable geographic locations	10,000	12,000	22,000
2. Identify players and stakeholders in the field of SHP, assess their capacity and propose modules for capacity building and technology transfer	5,000	10,000	15,000
3. Analyse current energy policy, legislation and regulation and market conditions for SHP development as well as ownership models of SHP	10,000	13,000	23,000
4. Finalising the Project Document and accompanying GEF CEO Endorsement Request		15,000	15,000
Total project preparation financing	25,000	50,000	75,000

D. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)

	Project preparation	Agency Fee
GEF financing	25,000	2,500
UNDP	30,000	
Government (in-kind)	20,000	
Total	75,000	2,500

E. PPG BUDGET BY AGENCIES, FOCAL AREAS AND COUNTRIES:

N/A

C. PPG BUDGET REQUEST:

Cost Items	Estimated Person Weeks (PW) for GEF grant	GEF	Co-financing	Total
		(\$)	(\$)	(\$)
Local consultants *			25,000	25,000
International consultants*	7	21,000	14,000	35,000
Travel		4,000	5,000	9,000
Miscellaneous (workshop organization)			6,000	6,000
Total PPG Budget		25,000	50,000	75,000

D. GEF AGENCY(IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF criteria for project identification and preparation.

Agency Coordinator, Agency name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Yannick Glemarec UNDP/GEF Executive Coordinator	<i>Y. Glemarec</i>	April 27, 2010	Marina Olshanskaya UNDP/GEF Regional Technical Specialist on Climate Change, Europe and CIS	+421-259- 337-285	marina.olshanskaya@undp.org

Annex A

Consultants Financed by the GEF Project Preparation Grant (PPG)

Position Titles	\$/ person week (PW)	Estimated PWs	Tasks to be performed
<i>International</i>			
Lead consultant, project design	3,000	7	<ul style="list-style-type: none"> • Supporting the assessment of the type and scope of SHP projects that should be undertaken and supporting the analysis of technology transfer opportunities. Provide expertise on the design of the full project (FP) taking into account international best practices and lessons learnt from technology transfer projects elsewhere. • Analysis of the training, public awareness raising and other capacity building needs and finalizing the project's capacity training strategy and activities • Analysis of gaps in policy, legislation and regulation regarding SHP development • Leading the workshop for the logical framework analysis and preparation of project's M&E plan. • Guiding the inputs of other local and international experts working for the project and finalizing the project design and presentation.

¹ Provide dollar amount per person week.

² Provide person weeks needed to carry out the task and corresponds to the dollar amount per person week in the previous column.