



# REQUEST FOR CLIMATE CHANGE ENABLING ACTIVITY

## PROPOSAL FOR FUNDING UNDER THE GEF Trust Fund

### PART I: PROJECT IDENTIFIERS

EA Title:	Initial National Communication to the UNFCCC		
Country(ies):	South Sudan	GEF Project ID: <sup>1</sup>	
GEF Agency(ies):	UNDP (select)	GEF Agency Project ID:	5289
Other Executing Partner(s):	Ministry of Environment and Sustainable Development	Submission Date:	2013-08-02
GEF Focal Area (s):	Climate Change	Project Duration (Months)	48
Check if applicable:	NCSA <input type="checkbox"/> NAPA <input type="checkbox"/>	Agency Fee (\$):	47,500

### A. EA FRAMEWORK\*

<b>EA Objective: To assist South Sudan in the preparation of its Initial National Communication (INC) for the implementation of the obligations under the UNFCCC.</b>					
<b>EA Component</b>	<b>Grant Type</b>	<b>Expected Outcomes</b>	<b>Expected Outputs</b>	<b>Grant Amount (\$)</b>	<b>Confirmed Co-financing (\$)</b>
National circumstances	TA	1. National circumstances prepared	1.1. Collect and analyze information related to national circumstances, including geographical characteristics, population, economy, and education 1.2. Describe the institutional arrangements relevant to the preparation of the INC	20,545	5,000
Green house gas (GHG) inventory	TA	2. GHG inventory prepared for the years 2005 and 2010	2.1 Activity data, required by IPCC Revised 1996 guidelines, collected and analyzed for the years 2005 and 2010 2.2. GHG inventories for sectors and gases considered in IPCC '96 guidelines for the years 2005 and 2010 prepared 2.3. Provide information on procedures and arrangements established to sustain data collection, analysis and archiving processes	145,000	17,500
Vulnerability and Adaptation to climate change	TA	3. Prepare vulnerability and adaptation assessments of key sectors and develop an adaptation strategy	3.1. Changes in climate since 2005, extreme weather events and climate variability analyzed. 3.2. Prepare climate change scenarios for the years 2020 and 2050 3.3. Selection of priority	125,000	20,000

<sup>1</sup> Project ID number will be assigned by GEFSEC.

			<p>(sub)sectors for V&amp;A study</p> <p>3.4 Current and future vulnerability assessments of key sectors made</p> <p>3.5. Adaptation measures developed, including assessment of existing adaptation efforts in studied sectors and proposal of priority complementary adaptation measures</p> <p>3.6. Ways for effective integration of adaptation measures into national strategies identified</p> <p>3.7 Develop the adaptation strategy</p>		
GHG Mitigation initiatives to climate change	TA	4. Options for GHG emission mitigation analysed	<p>4.1. Identify, based on the GHG inventory critical sectors, where mitigation action is needed and possible;</p> <p>4.2 Analyse existing national mitigation options in the energy, mining, agriculture and forestry, transport, manufacturing industry, water resources, health, waste management, housing, sectors</p> <p>4.3. Baseline and mitigation scenarios until 2020 for the abatement of GHG emissions of selected sectors considering social and economic trends</p> <p>4.4. A GHG emission abatement action plan until 2020 developed</p>	94,000	10,000

Other relevant information	TA	5. Other relevant information is described. (e.g. research / systematic observation, technology transfer, education, public awareness, capacity building)	5.1. National plans and programmes on systematic observation, climate research and forecasting capacity reviewed. 5.2. Technology, financial and capacity needs for mitigation and adaptation assessed. 5.3. Needs, gaps and priorities for education, training and public awareness identified and programmes prepared. 5.4. Information with regard to climate change related legislation, integration of UNFCCC requirements in legislation and strategies gathered. 5.5. Information on in-kind contribution and capacity-building activities provided.	30,000	5,000
Description of Constrains and Gaps. Financial and capacity needs.	TA	6. Constraints & Gaps, Related Financial & capacity needs are assessed	6.1. Information on financial, technical capacity needs and constraints associated with the implementation of the UNFCCC provided.	15,000	5,000
Production of the Initial National Communication	TA	7. Compilation and Production of communication, and produce its popular version	7.1 INC document is produced 7.2 Summarised version of the INC is produced and disseminated widely targeting the population at large	15,000	5,000
Monitoring and Evaluation	TA	8. Monitoring and evaluation		10,000	5,000
	(select)				
	(select)				
<b>Subtotal</b>				<b>454,545</b>	<b>72,500</b>
<b>EA Management Cost<sup>2</sup></b> <i>(Direct Project Cost: GEF Grant: USD 12,500, CO-financing: USD 2,500)</i>				<b>45,455</b>	<b>27,500</b>
<b>Total EA Cost</b>				<b>500000</b>	<b>100000</b>

<sup>a</sup> List the \$ by EA components. Please attach a detailed project budget table that supports all the EA components in this table.

## B. CO-FINANCING FOR THE EA BY SOURCE AND BY NAME

Sources of Co-financing	Name of Co-financier	Type of Cofinancing	Amount (\$)
GEF Agency	UNDP	Grant	30,000
National Government	Ministry of Environment and Sustainable Development	In-kind	70,000
(select)		(select)	

<sup>2</sup> This is the cost associated with the unit executing the project on the ground and could be financed out of trust fund or co-financing sources.

<b>Total Co-financing</b>			100,000
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**C. GRANT RESOURCES REQUESTED BY AGENCY, FOCAL AREA AND COUNTRY**

GEF Agency	Type of Trust Fund	Focal Area	Country Name/Global	EA Amount (a)	Agency Fee (b) <sup>2</sup>	Total (c)=(a)+(b)
UNDP	GEF TF	Climate Change	South Sudan	500,000	47,500	547,500
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
<b>Total Grant Resources</b>				500,000	47,500	547,500

**D. EA MANAGEMENT COST**

Cost Items	Total Estimated Person Weeks/Months	Grant Amount (\$)	Co-financing (\$)	EA Total (\$)
Local consultants*	<b>201.00</b>	<b>30,000</b>		<b>30,000</b>
International consultants*				<b>0</b>
Office facilities, equipment, vehicles and communications*		<b>2,955</b>	<b>25,000</b>	<b>27,955</b>
Travel*				<b>0</b>
Others**	Direct Project Costs	<b>12,500</b>	<b>2,500</b>	<b>15,000</b>
	Specify "Others" (2)			<b>0</b>
	Specify "Others" (3)			<b>0</b>
<b>Total</b>		<b>45,455</b>	<b>27,500</b>	<b>72,955</b>

\* Details to be provided in Annex A. \*\*For Others, to be clearly specified by overwriting fields (1)-(3)

**ADDITIONAL INFORMATION FOR TABLE D, IF APPLICABLE:**

If costs for office facilities, equipment, vehicles and communications, travels are requesting for GEF financing, please provide justification here:

By design the INC is to be undertaken in a consultative and inclusive manner for wider ownership and understanding by the key stakeholders and national counterparts. Therefore, some of the costs relating to the equipment maintenance, office facilities and communication are to be borne out of the GEF contribution. Likewise, since South Sudan is a young nation with limited management structures and experience, the part-time support from a national consultant will be required in order to establish the necessary management structures/structures, processes, communication lines, website establishment, archive routines, etc. Moreover, UNDP CO will be covering a variety of operational and administrative services in support of the young nation, including HR activities, procurement activities, and others. These Direct Project Costs, agreed upon by the Government will be largely paid out of the GEF grant.

**PART II: ENABLING ACTIVITY JUSTIFICATION**

<p><b>A. ENABLING ACTIVITY BACKGROUND AND CONTEXT</b> (Provide brief information about projects implemented since a country became party to the convention and results achieved):</p>	<p>South Sudan became an independent country on 9 July 2011 when it seceded from Sudan, following a referendum held in January 2011. South Sudan therefore has little active participation in the multi-lateral environmental agreements (MEAs), but since independence the country has joined the global efforts to address the environmental issues by becoming party to the three Rio Conventions.</p> <p>However, although the accession to the MEAs makes the country eligible to fully participate in the global Conventions and funds, and become a full member of the Global Environment Facility (GEF), South Sudan is yet to mobilize technical and financial resources to undertake Enabling Activities for a systematic response to the global environmental challenges, including, climate change and its impacts at the local level.</p> <p>South Sudan acceded to the UNFCCC on 23<sup>rd</sup> April 2013. The Ministry of Environment and Sustainable Development is the national focal point for the implementation of the UNFCCC (and the Kyoto Protocol).</p> <p>The country has also acceded to the CBD (23 April 2013), the UNCCD (25<sup>th</sup> April 2013) and the Ozone layer convention. It also acceded to the Ramsar Convention (23 April 2013) on wetlands of international importance.</p> <p>The South Sudan Development Plan (SSDP) objective is to ensure that by 2016 South Sudan is a united and peaceful new nation, building strong foundations for good governance, economic prosperity and enhanced quality of life for all. Climate change affects the economic prosperity and the quality of life of the people of South Sudan. Therefore any initiative towards adaptation to and mitigation of climate change effects are considered a welcomed contribution to the government’s efforts to enhance the quality of life of its citizens. Climate change action is therefore to be seen as an important development enabler.</p> <p>As a new country, South Sudan has not formulated most policy and institutional frameworks and accession to these conventions has come at the right time for them to be included in most national development frameworks.</p> <p>The Ministry of Environment and Sustainable Development has created a directorate of climate change headed by a Director General. The Ministry of Agriculture and Forestry also has a climate change unit, while the Ministry of Transport houses the meteorology commission.</p> <p>It is envisaged that in the future all these climate change initiatives will be coordinated under the Directorate of Climate Change in the Ministry of Environment and Sustainable Development, in close collaboration and consultation with other ministries, including line ministries that contribute alike to the attainment of the South Sudan Development Plan and objectives.</p>
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<p><b>B. ENABLING ACTIVITY GOALS, OBJECTIVES, AND ACTIVITIES</b> (The proposal should briefly justify and describe the project framework. Identify also key stakeholders involved in the project including the private sector, civil society organizations, local and indigenous communities, and their respective roles, as applicable. Describe also how the gender dimensions are considered in project design and implementation.)</p>	<p>The key outcomes of the South Sudan INC enabling activity project are:</p> <p>Assist South Sudan in meeting the reporting requirements under Article 12 of the Convention as it prepares the Initial National Communication; Build technical and institutional capacities of South Sudanese institutions to implement the Convention; and Provide technical support to the government in order to informing and integrating climate change issues into sectoral and national development policies and plans.</p> <p>The project will, furthermore:</p> <ul style="list-style-type: none"> <li>• Raise awareness on climate change impacts and potential national responses</li> <li>• Contribute to building national consensus on the importance of the issues, both from the public and institutions</li> <li>• Receive public and institutional support to mainstream climate change issues into relevant social, economic and environmental policies</li> <li>• Provide evidence and options to policy makers on adaptation options and mitigation measures</li> <li>• Build capacity of South Sudan to meaningfully contribute to the climate negotiations under the UNFCCC</li> </ul> <p>The long-term objective of this project is to enhance capacity building efforts, make efficient use of compiled information, and engage technical experts and institution more effectively, as a way to ensure sustainability and continuity of the planned activities.</p> <p>The project objective will be achieved with the fulfilment of the outcomes and outputs listed in table A, which are in line with the GEF’s climate change mitigation strategic objective (SO-6) under GEF-5: Enabling Activities: Support enabling activities and capacity building under the Convention.</p> <p><b>Project outputs include:</b></p> <ol style="list-style-type: none"> <li>i) enabling the systematic and institutional collection of data on GHG emissions, as well as preparing national inventories of greenhouse gases based on methodologies adopted from the IPCC</li> <li>ii) an assessment of options to adapt to the impacts of climate change, with special attention to climate change impact studies on; energy, mining, agriculture, forestry, transport, the manufacturing industry, trade, water resources, waste management</li> <li>iii) a climate change adaptation strategy</li> <li>iv) an analysis of potential options to abate the increase in GHG emissions and to enhance removals by sinks, taking into account long-term projections</li> <li>v) a GHG emission abatement action plan until 2020</li> <li>vi) a necessary financial and technological needs assessments and priorities identified for climate change research, monitoring, education, training and awareness raising, institutional strengthening and climate change policy development</li> <li>vii) national plans and programmes on systematic observation, climate research and forecasting capacity.</li> </ol>
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<p><b>C. DESCRIBE THE ENABLING ACTIVITY AND INSTITUTIONAL FRAMEWORK FOR PROJECT IMPLEMENTATION</b> (discuss the work intended to be undertaken and the output expected from each activity as outlined in Table A ).</p>	<p><b>Institutional framework</b></p> <p>The Ministry of Environment and Sustainable Development, in its capacity as the focal institution for the UNFCCC in South Sudan, will be the lead national institution to work with UNDP in the preparation of this INC. In line with the standard management arrangements structure for UNDP assisted projects in South Sudan, a Project Board consisting of UNDP, the Ministry of Environment and Sustainable Development, and the GEF Operational Focal Point will be formed that will oversee and be responsible for the policy level decision making during the INC process.</p> <p>The Project Board will approve the detailed Work Plan and associated budget for the activities. It will oversee the progress of the INC preparation, address issues as needed, and guide and support the Technical Committee and experts throughout the implementation phase. The Board will review and approve standard progress reports on quarterly basis, and it will organize the approval of the final INC document. It will also ensure that appropriate consultative processes take place with stakeholders.</p> <p>The Project Board will be a mechanism for closer coordination across all related institutions. Beyond the Ministry of Environment and Sustainable Development it will include all Convention focal points to ensure complementarity and concerted action around the INC process by drawing relevant inputs from other EA projects, as they commence the implementation. The meetings of the Board will be held on a quarterly basis – with the first meeting coinciding with the launch of the INC preparations.</p> <p>A Technical Committee having a membership of technical focal points from the Ministry of Agriculture, Forestry, Cooperatives and Rural development, Ministry of Environment and Sustainable Development, Ministry of Housing and Physical Planning, Ministry of Water Resources and Irrigation, Ministry of Roads and Transport, Ministry of Animal Resources and Fisheries, Ministry of Petroleum and Mining, Ministry of Electricity and Dams, Land Commission, Metrologic Commission, Ministry of Commerce and Industry, Ministry of Wildlife Conservation and Tourism, Ministry of Finance and Economic Planning, Ministry of Health, UN agencies (especially GEF Implementing Agencies with a presence in South Sudan), and relevant bilateral donors will be formed to look into the sectoral issues vis-à-vis the impacts and response strategies to climate change in these sectors. NGOs and academia in the environment sector will also be involved.</p> <p>The Technical Committee, assisted by the sector experts, will review the vulnerability assessments, prioritisation criteria and prioritisation of the projects culminating out of the INC process. UNDP and the Ministry of Environment will ensure that the Technical Committee is engaged throughout the INC process and technical groups/multidisciplinary groups formed under the technical committee are facilitated to perform their functions. Technical groups and multi-disciplinary groups will draw their members from the national technical committee to undertake various tasks during the course of the INC preparation. On need basis, the Technical Committee will be allowed to co-opt additional members, with the consent of the Project Board, to keep the regional balance between the states (the 10 states/provinces of the 3 historical regions) of South Sudan.</p>
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The National INC Technical Committee and multidisciplinary working groups will ensure close coordination with all related projects and processes, including other Enabling Activities, once they reach the implementation stage.

The environment cluster group operating in South Sudan will also support the INC project on an ad-hoc basis. The group is chaired by the Ministry of Environment and Sustainable Development, and UNDP acts as a Secretariat. Members include all development partners in the environment sector, including UNEP, FAO, World Bank, AfDB, USAid, JICA, DFID, EU, UNOPS, and relevant ministries. The environment cluster group provides the platform to share and coordinate on all environment related activities undertaken by development partners in South Sudan. This forum will also be used to ensure effective coordination amongst agencies undertaking other related/aligned programmes and projects in South Sudan.

It is envisaged that the above-mentioned arrangement will be sustained for subsequent National Communications.

The main operational activities to be undertaken in INC preparation are as follows:

- 1) **Establishment of National INC Technical Committee:** The UNFCCC focal point in the Ministry of Environment and Sustainable Development will establish a national INC Technical Committee that will act as the INC team. The composition of the committee is described above. This committee will ensure that preparation of the INC is inclusive, and will act as the core for the coordination and implementation of INC activities while reporting to the Project Board.
- 2) **Preparation of INC document; Vulnerability Assessment and Setting up of Country Driven Criteria:** Technical Expert Groups will be established to provide substantive contributions to the key pre-identified sectorial or a set of interrelated sectorial studies. A multi-disciplinary assessment team (MAT) will be assembled that will be charged with undertaking well-defined assessments and analyses on a commissioned basis. The MAT will be the technical support unit of the project. With the support of a multi-disciplinary team of experts, the national INC Technical Committee will synthesize available information with relevant Government plans and best practice and guidelines from donors and other countries.

**Public Participation and Awareness Raising:** The INC preparation will be done following the principle of stakeholder participation. In order to ensure that all key stakeholders are consulted, and duly involved in the process, a stakeholder analysis will be conducted in order to identify all relevant parties that have stake in the INC for South Sudan. A detailed report will be prepared at the start of the project to address the scale of participation (i.e., national, states level, counties and/or community) relative to time, security and budget constraints, propose a method for identifying and recruiting specific organizations, groups, and individuals, and make specific recommendations for potential stakeholder participants. Participatory risk assessment will be conducted both at national and sub-national levels that solicits community knowledge and experience regarding their current levels of vulnerability to climate shocks. Each stakeholder meeting/workshop will be designed to encourage as much participation as possible. The format will incorporate oral presentations by organizers followed by appropriately designed work group sessions

to obtain feedback. Project team will coordinate a public review process at the national level. This will be accomplished by making a public presentation to invite public comments. The draft INC document will also be circulated to the general public for comment through dedicated workshops, media and other outlets. This process will target impacted sectors by circulating the document to the broad stakeholder audiences, identified through the stakeholder assessment. Comments received will be reviewed by the national Technical Committee, multi-disciplinary teams and the Project Board and incorporated, as appropriate, into a revised version. The final INC document will be distributed publicly via electronic and print media, and will be publicized through available media outlets, using a popular version as necessary.

In spite of the austerity conditions, the country is going through, the government of the republic of South Sudan will provide in-kind co-financing to this Enabling Activity.

### **Technical Activities for project implementation**

As an introduction to all the technical components, it is important to note that the first few months of the project implementation will be dedicated to the mapping of available climate and climate change relevant information, data, institutions, and stakeholders. During this mapping exercise directions for future work will be decided upon, such as the identification of key sectors for the V&A assessments, mitigation actions, as well the coordination mechanisms for the different components of the National Communication. Information on the **national circumstances** will be collected and analyzed, including geographical characteristics, population, economy, education and other information that will appear relevant during the development of the project, as this chapter will provide background data and trends linked and related to the other chapters of the INC. Where possible, gender disaggregated data will be collected, as the INC will aim at making balanced and inclusive policy recommendations.

The description of the institutional arrangements relevant to the preparation of the INC and possibly future NCs will include a description of the distribution of responsibilities, the description of the national climate change coordination efforts, and the stakeholder involvement in this coordination effort and decision making mechanisms and institutions.

The **GHG inventory** will be prepared for the year 2005 and for the year 2010, and help set a reliable and strong basis for future data collection and processing process. Therefore, this component includes a strong capacity development effort. The IPCC Revised 1996 Guidelines will be used, of which the default methodology (tier 1) will be applied for calculation of emissions. In this first effort to collect activity data, the number of gases will be limited, i.e. the mandatory carbon dioxide, methane and nitrous oxide. Only where possible and data is available, information on HFCs, PFCs and SFs will be collected. Using the IPCC good practice guidance, an effort will be made to identify key source categories in order to prioritize efforts for future data collection and inventories.

With the intent of building a strong basis for future work, the INC will include a detailed description of the sources of information for the activity data and the methodologies used, and create transparent and freely available archiving system of activity data.

For the **preparation of vulnerability assessments of key sectors and the development of an adaptation strategy**, the following logic will be applied: i) past and current climate described and climate change scenarios developed, ii) scenario outputs analyzed in the socio-economic context of the country, iii) vulnerabilities (future and current) of selected key sectors analysed, iv) adaptation measures proposed per sector, and v) compilation of all suggested measures into a single adaptation strategy that is cost-effective, efficient, does not create trade-offs with other development efforts in the country and that provides a smart response to government concerns, in line with existing or planned policies and plans. The identification of the sectors for the V&A assessments will be done at the onset of the project, in close consultation with relevant stakeholders. There is an intent to limit the study to 3 to 4 sectors, of which most probably water and agriculture (food security) will be of high priority.

The **climate change mitigation** component will be of a more exploratory nature, initiating with the identification of critical sectors where mitigation potentials are high, based on the GHG inventory. Stock will be taken of all the mitigation efforts - regional, national and local - that are already in place, making a critical assessment of these efforts taking into account the baseline and mitigation scenarios made until 2020. The same assessment will be made for a selection of suggested mitigation measures (from consultations with all relevant stakeholders) for a selected number of sectors. The final outcome of the study would be a mitigation strategy that is cost-effective, efficient, and in line with other development efforts of the country. Together with the adaptation strategy, it sets a baseline for future NC work, where it is hoped to go more into detail, provide more quantitative evidence for policy makers, also used for the mobilization of climate funds for project implementation.

With regards to the **other information considered relevant to the achievement of the objective of the UNFCCC**, the very recent engagement into the UNFCCC, the limited capacity to access financial resources and absence of systematic approach are considered as the main weaknesses faced by the country at this stage. It will be important to analyze potential routes to ensure sustainability of implementation of various programmes related to climate change. Therefore, activities related to provisions under Article 6 of the UNFCCC, as well as needs assessment for systematic observation and climate change research and technology needs assessment for various sectors in relation to mitigation and adaptation are among priority areas. The detailed assessments related to these priorities will be launched during the INC preparation.

A great number of activities have been planned under this EA component, covering areas of technology and technology transfer, climate research and systematic observation, research programmes, education, training and public awareness as well as capacity building. Analysis of national and regional institutional frameworks, projects, programmes and documents related to climate change; Collection of relevant information

	<p>through close cooperation with different governmental institutions, agencies, academia, NGOs and individual interviews.</p> <p>Throughout the project, the involvement of all stakeholders will be critical, whether it for the collection of data, especially gender disaggregated data under the national circumstances component, the collection of information and ideas for the V&amp;A studies and the mitigation analyses, civil society, but also academia, media, and different Government actors will be consulted with. This wide consultation and active involvement has not only the aim to identify relevant answers to existing and forecasted climate change impacts, but it will also boost public awareness on climate related issues, and ensure support at all levels, mobilizing all levels of action, in particular, women will be important actors throughout the INC project. Considering the gendered impact of climate change, and the fundamental role women play in the management of natural resources in South Sudan, their voice cannot be left out of the equation. Women’s organisations will be represented in the decision making process to help ensure that both the adaptation and the mitigation strategies create a conducive environment for the well-being of households, children and youth.</p>
<p><b>D. DESCRIBE, IF POSSIBLE, THE EXPECTED COST-EFFECTIVENESS OF THE PROJECT:</b></p>	<p>The preparation of work programmes on capacity building and awareness raising for climate change is an essential step for strengthening national capacity to implement measures for climate protection, sustainable use of resources and climate resilience. The preparation of work programmes on capacity building ensures the cost-efficiency of the GEF Funds.</p> <p>The United Nations Development Programme (UNDP) and other development partners are currently helping to build environmental institutional and technical capacity within the Government of South Sudan. This gradual process seeks to encourage the Government’s adoption of environmental control measures to counter degradation of the natural environment and to help mitigate the severity of future environmental impacts as South Sudan develops. At the same time, it is essential that more immediate and direct action is taken to address the myriad of environmental issues facing South Sudan. The INC preparation falls under the UNDP-South Sudan’s on-going programmes on Poverty Reduction and Crisis Prevention and Recovery.</p> <p>UNDP has a dedicated team of professionals and support staff working in these areas, as well as field offices in all 10 States across South Sudan that are in contact with a diverse group of stakeholders on a day-to-day basis. UNDP will be responsible for mobilizing the inputs for timely delivery of outputs envisaged for the INC finalization. The field presence of UNDP staff will bring cost-effectiveness in the implementation of the INC project by cutting down on administrative expenses.</p> <p>The project activities will also be able to strengthen the technical and institutional capacity of the Government at all levels in mainstreaming climate change concerns into its sectoral and national development planning priorities, which will ensure the cost-efficiency of the GEF Funds.</p>

<p><b>E. DESCRIBE THE BUDGETED M&amp;E PLAN:</b></p>	<p>The project monitoring and evaluation will be carried out according to UNDP programming policies and procedures.</p> <p><b>Project start:</b>  A Project Inception Workshop will be held <u>within the first 2 months</u> of project start with those with assigned roles in the project organization structure, UNDP country office and where appropriate/feasible regional technical policy and programme advisors as well as other stakeholders. The Inception Workshop is crucial to building ownership for the project results and to plan the first year annual work plan.</p> <p>The Inception Workshop will aim at addressing a number of key issues which includes the following:</p> <ul style="list-style-type: none"> <li>— Assist all partners to fully understand the project approach, goals, and implementation strategy and take ownership of the programme.</li> <li>— Provide clear picture about the roles of project partners, project board, project manager, and technical support teams. Additionally, the roles, functions, and responsibilities within the programme's decision-making structures will be discussed, including reporting and communication lines, and conflict resolution mechanisms. The Terms of Reference for programme staff will be discussed again as needed.</li> <li>— Provide a detailed overview of reporting, monitoring and evaluation (M&amp;E) requirements. The Monitoring and Evaluation work plan and budget will be discussed and agreed upon and scheduled.</li> <li>— Discuss financial reporting procedures and obligations, and arrangements for annual audit.</li> <li>— Plan and schedule the project Board meetings. Roles and responsibilities of all programme organization structures will be clarified and meetings planned.</li> </ul> <p>An <u>Inception Workshop</u> report is a key reference document and must be prepared and shared with participants to formalize various agreements and plans decided during the meeting.</p> <p><b>Quarterly:</b></p> <ul style="list-style-type: none"> <li>— Progress made shall be monitored in the UNDP Enhanced Results Based Management Platform.</li> <li>— Based on the initial risk analysis submitted, the risk log shall be regularly updated in ATLAS. Risks become critical when the impact and probability are high.</li> <li>— Based on the information recorded in Atlas, a Programme Progress Reports (PPR) can be generated in the Executive Snapshot.</li> <li>— Other ATLAS logs can be used to monitor issues, and lessons learned. The use of these functions is a key indicator in the UNDP Executive Balanced Scorecard</li> </ul> <p><b>Bi-annually:</b></p> <ul style="list-style-type: none"> <li>— Status Survey Questionnaires to indicate progress and identify bottlenecks as well as technical support needs will be carried out twice a year.</li> </ul>
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**Annually**

Annual Report: The Project Coordinator with the collaboration of the project team will prepare an annual report which will be submitted to the Project Board.

This report could be jointly reviewed during the fourth quarter of the year, with the purpose of analyzing the progress into the results achievement, its relation with the expected effects, as well as the review of the Annual Work Plan for the next year.

**Periodic Monitoring:**

A detailed schedule of project reviews meetings will be developed by the project management, in consultation with project implementation partners and stakeholder representatives and incorporated in the Project Inception Report. Such a schedule will include: (i) tentative time frames for Steering Committee Meetings, (or relevant advisory and/or coordination mechanisms) and (ii) project related Monitoring and Evaluation activities.

Day to day monitoring of implementation progress: This task will be led by the project team's Technical Assistant, based on the Annual Work Plan and its indicators. The head of the Project Team shall duly inform the designated SEAM representative and UNDP of delays or difficulties that may arise during project implementation, so that the appropriate support or corrective measures may be taken promptly.

Periodic monitoring of implementation progress: UNDP's representative in Paraguay shall be in charge of the periodic monitoring through quarterly meetings with the project team and SEAM representatives or more frequently as deemed necessary. This information shall be duly recorded within UNDP's Results Based Management Platform. This will allow the Parties to take action on and solve conflicts arising from any project related issue so as to ensure adequate and timely project implementation.

**End of Project:**

During the last three months, the project team will prepare the Project Terminal Report. This comprehensive report will summarize the results achieved (objectives, outcomes, outputs), lessons learned, problems met and areas where results may not have been achieved. It will also lay out recommendations for any further steps that may need to be taken to ensure sustainability and replicability of the project's results.

**Audit clause:**

Audit on project will follow UNDP Financial Regulations and Rules and applicable Audit policies.

	<b>Type of M&amp;E activity</b>	<b>Responsible Parties</b>	<b>Budget US\$</b> <i>Excluding project staff time</i>	<b>Time frame</b>
	Inception Workshop, Report & associated arrangements	Project coordinator; UNDP CO; UNDP GEF;	Indicative cost: 4,110	Within first two months of project start up
	APR/PIR	Project Team UNDP CO Consultancy support if needed	Indicative cost: 0	Not applicable
	Project Board Meetings and relevant meeting proceedings (minutes)	Project Coordinator UNDP CO	Indicative cost: 1,000 (travel costs for relevant project stakeholders)	Following Project IW and subsequently at least once a year
	Quarterly status reports	Project team	Indicative cost: 0	Quarterly every year
	Technical reports	Project team Hired Expert teams; consultants	Indicative cost: 2,000	To be determined by Project Team and UNDP-CO
	Audit	UNDP-CO Project team	Indicative cost: 3,000	Once towards the culmination of the project
	<b>Total indicative cost</b>		<b>10,110</b>	
<b>F. EXPLAIN THE DEVIATIONS FROM TYPICAL COST RANGES (WHERE APPLICABLE):</b>	N/A			

**PART III: APPROVAL/ENDORSEMENT BY GEF OPERATIONAL FOCAL POINT(S) AND GEF AGENCY(IES)**

**A. RECORD OF ENDORSEMENT OF GEF OPERATIONAL FOCAL POINT(S) ON BEHALF OF THE GOVERNMENT(S):**  
(Please attach the [country endorsement letter\(s\)](#) with this template).

<b>NAME</b>	<b>POSITION</b>	<b>MINISTRY</b>	<b>DATE (Month, day, year)</b>
David Batali Oliver	GEF Operational Focal Point	<b>MINISTRY OF ENVIRONMENT AND SUSTAINABLE DEVELOPMENT</b>	<b>06/11/2013</b>

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**B. CONVENTION PARTICIPATION**

CONVENTION	DATE OF RATIFICATION/ ACCESSION (mm/dd/yy)	NATIONAL FOCAL POINT
UNCBD	04/23/2013	PAUL LADO DEMETRY, BIODIVERSITY OFFICER, MINISTRY OF ENVIRONMENT AND SUSTAINABLE DEVELOPMENT
UNFCCC	04/23/2013	ABAN AKUTKER, DEPUTY DIRECTOR FOR RESEARCH AND TRAINING, MINISTRY OF ENVIRONMENT AND SUSTAINABLE DEVELOPMENT
UNCCD	04/25/2013	PROF MATHEW GORDON UDO, UNDERSECRETARY, MINISTRY OF AGRICULTURE, FORESTRY, COOPERATIVES AND RURAL DEVELOPMENT
STOCKHOLM CONVENTION		

**B. GEF AGENCY(IES) CERTIFICATION**

This request has been prepared in accordance with GEF policies and procedures and meets the standards of the GEF Project Review Criteria for Climate Change Enabling Activity approval.

Agency Coordinator, Agency name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	E-mail Address
Adriana Dinu Officer-in-Charge and Deputy Executive Coordinator UNDP/GEF		August, 2, 2013	Yamil Bonduki, Senior Program Manager UNDP (Green- LECRDs)	+1 212 906 6659	yamil.bonduki@undp.org

## CONSULTANTS TO BE HIRED FOR THE ENABLING ACTIVITY

<i>Position Titles</i>	<i>\$/ Person Week</i>	<i>Estimated Person Weeks</i>	<i>Tasks to be Performed</i>
<b>For EA Management</b>			
Local			
Project Coordinator	220	102	<ul style="list-style-type: none"> <li>• Prepare a detailed work plan and budget, and monitor progress against the approved work-plan;</li> <li>• Monitor and manage financial resources, oversee overall resource allocation and accounting to ensure accuracy and reliability of financial reports;</li> <li>• Coordinate and oversee the preparation of the outputs of the INC;</li> <li>• Ensure effective communication and adequate information flow with the relevant authorities, institutions and government departments and coordinate the work of all stakeholders</li> <li>• Ensure appropriate stakeholder participation in the project implementation and coordinate the work of all stakeholders;</li> <li>• Maintain and establish additional links with other related national and international programs, projects and documents;</li> <li>• Prepare the Terms of Reference for consultants and experts, overseeing all contractors' work and ensure their timely engagement and guide the work of consultants and experts;</li> <li>• Assume overall responsibility for Events as determined in the project monitoring schedule plan, and update the plan as required;</li> <li>• Organize and coordinate the procurement of services and goods under the project;</li> </ul>

Project Associate	100	75	<ul style="list-style-type: none"> <li>• Manage the day-to-day operations of the project implementation unit, particularly with respect to the provision of technical services and support;</li> <li>• Ensure that necessary financial, procurement, disbursement and personnel matters are effectively addressed;</li> <li>• Compile and/or prepare the documentation necessary for the procurement of services, goods and supplies under the project;</li> <li>• Organize seminars, training, and workshops for stakeholders;</li> <li>• Organize and coordinate information exchanges internationally and between participating institutions;</li> <li>• Ensure timely disbursement of funds from the project bank account;</li> <li>• Maintain the project's files and supporting documentations for payments;</li> <li>• Maintain the project's disbursement ledger and journal;</li> <li>• Prepare financial reports;</li> <li>• Prepare internal and external correspondence, maintain files and assist in the preparation of documentation in advance of and following all meetings and capacity building and awareness-rising activities, edit reports and other documents for form and content;</li> <li>• Assist team members, experts and consultants on procurement, logistics, travel and financial and other operational matters;</li> <li>• Support, as necessary, the organization of training for the project personnel in using selected software tools and electronic networks;</li> <li>• Assist in the preparation of documents related to project activities;</li> <li>• Undertake other administrative/financial duties as requested by the PM.</li> </ul>
<b>International</b>			
<b>For Technical Assistance</b>			
<b>Local</b>			

Climate Change Policy Expert	700	13	<ul style="list-style-type: none"> <li>• Collection and analysis of information related to national circumstances;</li> <li>• Analysis of specific needs and concerns arising from the adverse effects of climate change, national development objectives, priorities, circumstances and programmes</li> <li>• Provision of Information on financial, technical, capacity needs and constrains associated with the implementation of the UNFCCC;</li> <li>• Development of a list of projects with focus on barriers and opportunities for future development of the GHG inventory, and implementation of adaptation and mitigation related actions.</li> </ul>
<b>National GHG Inventory expert</b>	700	63	<ul style="list-style-type: none"> <li>• Revision of the existing information on the GHG inventory in the INC and identify key sources of emissions explicitly.</li> <li>• Analyse and collect activity data, required by IPCC guidelines, fill data gaps in inventory and improve database where needed;</li> <li>• Describe the contribution of different sectors to GHG emissions, procedures and arrangements for collection and activation of data and role of institutions involved in preparation of GHG inventory.</li> <li>• Provide an overall technical review of the draft chapter on inventories to be used in the INC.</li> <li>• With the PM, identify specific training needs for enterprises or government agencies in data collection (particularly for identified key sources) and provide recommendations on training in data collection.</li> </ul>
GHG Emission inventory analyst and database expert	460	26	<ul style="list-style-type: none"> <li>• Recalculation of emission factors for key source categories;</li> <li>• Preparation of GHG inventories for all sectors and all gases considered in IPCC '96 guideline for the years 2005 and 2010;</li> <li>• Provide input and technical review on the tier level to be used for key sources.</li> </ul>

<p><b>Climate change vulnerability and adaptation expert</b></p>	<p>750</p>	<p>60</p>	<ul style="list-style-type: none"> <li>• Preparation of a detailed work-plan for programs/action plans containing measures to facilitate adequate adaptation on the basis of the overall project work plan.</li> <li>• Provision of periodic progress report to the PM on the V&amp;A thematic area</li> <li>• Leading of the data and information collection process for performing the Programmes/action plan containing measures to facilitate adequate adaptation.</li> <li>• Analysis of changes in climate for the period ..., extreme weather events and climate variability;</li> <li>• Revision of vulnerability assessments of: hydrology and water resources, agriculture and forestry, including identification of level of vulnerability and the most vulnerable areas reviewed;</li> <li>• In consultation with PM decide on approaches (not concluded under stocktaking phase) to be used if necessary;</li> <li>• Analysis and description of links between climate and socio-economic baseline conditions in the most vulnerable sectors;</li> <li>• Identification of ways for effective integration of adaptation measures into national strategies;</li> <li>• Activities for awareness-raising (workshops, booklets, leaflets) on adaptation and vulnerability realized.</li> <li>• Ensures synergy with other relevant projects</li> <li>• Ensure the timely and effective management of the activities as scheduled;</li> <li>• Drafts the V&amp;A Report and respective chapter of INC along with the respective part of executive summary.</li> <li>• Oversees the documentation of the studies made and archiving.</li> </ul>
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Climate change mitigation expert	750	65	<ul style="list-style-type: none"> <li>• Performs analysis and opportunities related to mitigation existing mitigation measures in selected key sectors;</li> <li>• Development of an GHG emission abatement action plan until 2020</li> <li>• Analysis and Provision of long term mitigation opportunities;</li> <li>• Provide an overall technical review of the draft chapter on mitigation to be used in the INC.</li> <li>• Provide recommendations on the development of the briefing papers for policy makers if necessary.</li> <li>• Realization of awareness rising activities, especially among decision-makers (workshops, seminars, booklets, etc).</li> <li>• Production of mitigation reports.</li> </ul>
<b>Expert on capacity building in climate change</b>	750	15	<ul style="list-style-type: none"> <li>• Update information in regard to climate change related legislation, integration of UNFCCC</li> <li>• Assessment of technology, financial and capacity needs for mitigation and adaptation assessed;</li> <li>• Requirements in legislation and strategies;</li> <li>• Identification of needs gaps and priorities for education, training and public awareness and preparation of related programmes;</li> <li>• Revision of national plans and programmes on systematic observation, climate research and forecasting capacity;</li> <li>• Organization of training for the project personnel in using selected software tools and electronic networks</li> <li>• Preparation of work programmes on capacity building and raising awareness activities;</li> <li>• Preparation of documentation in advance of and following all capacity building and awareness-raising related activities;</li> <li>• Organisation of seminars, training, and workshops for stakeholders;</li> <li>• Organisation and coordination of information exchanges internationally and between participating institutions;</li> </ul>
Editor	750	3	<ul style="list-style-type: none"> <li>• Editing and proofreading</li> </ul>
International			

International GHG inventory expert	1,500	22	<ul style="list-style-type: none"> <li>• Analysis of activity data, required by IPCC guidelines, fill data gaps in inventory and improve database where needed;</li> <li>• Support the GHG inventory team as necessary in utilizing IPCC Good Practice Guidelines to fill in data gaps.</li> <li>• Provision of input and technical reviews of the measurement system that will be developed by the GHG inventory team.</li> <li>• Preparation of a series of recommendations for institutionalizing the data collection process and for harmonizing the process with national statistical data collection processes.</li> <li>• Provide input and technical review of the proposed emission factors to be utilized.</li> <li>• Provide input and technical review of the uncertainty assessment for the INC.</li> <li>• Provide an overall technical review of the draft chapter on inventories to be used in the INC.</li> <li>• Identify specific training needs for enterprises or government agencies in data collection (particularly for identified key sources) and provide recommendations on training in data collection.</li> <li>• Analyze recalculated emission factors for key source categories.</li> </ul>
International climate change vulnerability and adaptation expert	1,500	8	<ul style="list-style-type: none"> <li>• Analysis of produced adaptation and vulnerability reports.</li> <li>• Revision of climate change scenarios by using a regional model.</li> </ul>
International expert on climate change mitigation	1,500	16	<ul style="list-style-type: none"> <li>• Analysis of GHG emissions from key sectors;</li> <li>• Development of baseline and mitigation scenarios until 2020 for the abatement of GHG emissions considering social and economic trends;</li> <li>• Barriers and opportunities for mitigation related measures are identified and programmes with measures to mitigate climate change within the framework of sustainable development until 2020 are prioritized;</li> <li>• Revision of the GHG emission abatement action plan until 2020.</li> <li>• Provide recommendations on the development of the briefing papers for policy makers if necessary.</li> </ul>

## OPERATIONAL GUIDANCE TO FOCAL AREA ENABLING ACTIVITIES

### Biodiversity

- [GEF/C.7/Inf.11, June 30, 1997, Revised Operational Criteria for Enabling Activities](#)
- [GEF/C.14/11, December 1999, An Interim Assessment of Biodiversity Enabling Activities](#)
- [October 2000, Revised Guidelines for Additional Funding of Biodiversity Enabling Activities \(Expedited Procedures\)](#)

### Climate Change

- [GEF/C.9/Inf.5, February 1997, Operational Guidelines for Expedited Financing of Initial Communications from Non-Annex 1 Parties](#)
- [October 1999, Guidelines for Expedited Financing of Climate Change Enabling Activities – Part II, Expedited Financing for \(Interim\) Measures for Capacity Building in Priority Areas](#)
- [GEF/C.15/Inf.12, April 7, 2000, Information Note on the Financing of Second National Communications to the UN Framework Convention on Climate Change](#)
- [GEF/C.22/Inf.15/Rev.1, November 30, 2007, Updated Operational Procedures for the Expedited Financing of National Communications from Non-Annex 1 Parties](#)

### Persistent Organic Pollutants

- [GEF/C.17/4, April 6, 2001, Initial Guidelines for Enabling Activities for the Stockholm Convention on Persistent Organic Pollutants](#)
- [GEF/C.39/Inf.5, October 19, 2010, Guidelines for Reviewing and Updating the NIP under the Stockholm Convention on POPs](#)

### Land Degradation

- [\(ICCD/CRIC\(5\)/Inf.3, December 23, 2005, National Reporting Process of Affected Country Parties: Explanatory Note and Help Guide](#)

### National Capacity Self-Assessment (NCSA)

- [Operational Guidelines for Expedited Funding of National Self Assessments of Capacity Building Needs, September 2001](#)
- [A Guide for Self-Assessment of Country Capacity Needs for Global Environmental Management, September 2001](#)

### National Adaptation Plan of Action (NAPA)

- [GEF/C.19/Inf.7, May 8, 2002, Notes on GEF Support for National Adaptation Plan of Action,](#)



# ENVIRONMENTAL AND SOCIAL SCREENING SUMMARY

Name of Proposed Project South Sudan's Initial National Communication (INC)

## A. Environmental and Social Screening Outcome

Category 1. No further action is needed

Category 2. Further review and management is needed. There are possible environmental and social benefits, impacts, and/or risks associated with the project (or specific project component), but these are predominantly indirect or very long-term and so extremely difficult or impossible to directly identify and assess.

Category 3. Further review and management is needed, and it is possible to identify these with a reasonable degree of certainty. If Category 3, select one or more of the following sub-categories:

Category 3a: Impacts and risks are limited in scale and can be identified with a reasonable degree of certainty and can often be handled through application of standard best practice, but require some minimal or targeted further review and assessment to identify and evaluate whether there is a need for a full environmental and social assessment (in which case the project would move to Category 3b). See Section 3 of the Review and Management Guidance.

Category 3b: Impacts and risks may well be significant, and so full environmental and social assessment is required. In these cases, a scoping exercise will need to be conducted to identify the level and approach of assessment that is most appropriate. See Section 3 of Review and Management Guidance.

## B. Environmental and Social Issues (for projects requiring further environmental and social review and management)

NOT REQUIRED

## C. Next Steps (for projects requiring further environmental and social review and management):

NOT REQUIRED

## D. Sign Off



Project Manager: maude.veyret-picot

Signed Date: 2013-07-30

# ENVIRONMENTAL AND SOCIAL SCREENING CHECKLIST

Name of Proposed Project: South Sudan's Initial National Communication (INC)

## QUESTION 1

Has a combined environmental and social assessment/review that covers the proposed project already been completed by implementing partners or donor(s)?

**Answer to Question 1:** . . . . .No

## QUESTION 2

Do ALL outputs and activities described ONLY fall in the Project Document fall within the following categories?

- 1. Procurement (in which case UNDP's Procurement Ethics and Environmental Procurement Guide need to be complied with)
- 2. Report preparation
- 3. Training
- 4. Event/workshop/meeting/conference (refer to Green Meeting Guide)
- 5. Communication and dissemination of results

**Answer to Question 2:** . . . . .Yes