



GEF-6 GEF SECRETARIAT REVIEW FOR FULL-SIZED/MEDIUM-SIZED PROJECTS THE GEF/LDCF/SCCF TRUST FUND

GEF ID:	9723		
Country/Region:	South Sudan		
Project Title:	Strengthening the Capacity of Government and Communities in South Sudan to Adapt to Climate Change		
GEF Agency:	UNEP	GEF Agency Project ID:	
Type of Trust Fund:	Least Developed Countries Fund (LDCF)	GEF Focal Area (s):	Climate Change
GEF-6 Focal Area/ LDCF/SCCF Objective (s):	CCA-1; CCA-2; CCA-3;		
Anticipated Financing PPG:	\$150,000	Project Grant:	\$9,032,420
Co-financing:	\$30,000,000	Total Project Cost:	\$39,032,420
PIF Approval:		Council Approval/Expected:	
CEO Endorsement/Approval		Expected Project Start Date:	
Program Manager:	Dustin Schinn	Agency Contact Person:	Jessica Troni

PIF Review			
Review Criteria	Questions	Secretariat Comment	Agency Response
Project Consistency	1. Is the project aligned with the relevant GEF strategic objectives and results framework? ¹	DS, February 16, 2017: Yes. Projects aligns with CCA-1, CCA-2 and CCA-3.	
	2. Is the project consistent with the recipient country's national strategies and plans or reports and assessments under relevant conventions?	DS, February 16, 2017: Partly unclear. Project is fully aligned with the highest adaptation priority identified in South Sudan's NAPA, and aligns well with other national plans and strategies. The proposed project will also contribute toward achieving SDGs 2, 5, 11, 13 and 15.	The project is aligned with South Sudan INDC which has clearly identified "Adaptation of vulnerable communities to climate change" as one of the key area and has proposed actions for: promotion of agro-forestry practices, introduction of climate smart agriculture techniques and practices, afforestation of

¹ For BD projects: has the project explicitly articulated which Aichi Target(s) the project will help achieve and are SMART indicators identified, that will be used to track the project's contribution toward achieving the Aichi Target(s)?

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		<p>Please briefly describe in how far the project relates also to South Sudan's INDC under the UNFCCC.</p> <p>DS, March 20, 2017: Comment cleared.</p>	<p>the degraded land, enhance capacity building and participation of stakeholders including women and youth, increase awareness of local communities on climate change, and establish and rehabilitate the hydro-metrological monitoring networks.</p>
Project Design	3. Does the PIF sufficiently indicate the drivers ² of global environmental degradation, issues of sustainability, market transformation, scaling, and innovation?	<p>DS, February 16, 2017: Yes.</p>	
	4. Is the project designed with sound incremental reasoning?	<p>DS, February 16, 2017: Yes. Baseline initiative is sound and clear.</p>	
	5. Are the components in Table B sound and sufficiently clear and appropriate to achieve project objectives and the GEBs?	<p>DS, February 16, 2017: Partly. The proposed project seems well designed for the most part, however, a few issues remain:</p> <p>(1) Please explain how the project will help promote conflict resolution and peace-building, in particular by outlining how issues of "ownership" of community and adaptation activities will be dealt with in this project;</p> <p>(2) Please address comment under Question 6 below on gender;</p>	<p>The project will work in those areas where the root cause of conflict among communities is access to natural resources, for example forests, water points and grazing land. Natural resources frequently become an important economic lifeline for local populations and displaced people during conflict times. Climate change is an added stressor to hardship and displacement. Coping strategies are often based on short time horizons and insecure access rights that lead to unsustainable practices. Incentives have to be introduced to promote regulated and sustainable resources use, which is a basis</p>

² Need not apply to LDCF/SCCF projects.

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		<p>(3) Please explain what "locally accessible tools/mechanisms" for seasonal and shorter range weather forecasts comprise under Component 2.4;</p> <p>(4) Please explain what strategy the project will explore to ensure that Output 2.2 and Output 2.4 will be sustainable in the long run? In particular, how will communities and beneficiaries preserve the benefits that this project will deliver beyond project completion? The speed with which natural resource bases are declining in the country raises the question how this project will help alleviate climate impacts and poverty in the long term.</p> <p>(5) Under Component 3 on knowledge creation and transfer, please consider also synthesizing knowledge and lessons, including on the type/kind of adaptation opportunities and options that are available to South Sudan, from adaptation projects and initiatives that have been undertaken/financed in neighboring countries. While it is reasonable to distill lessons from this project during and after project implementation, there is a range of other adaptation projects that have</p>	<p>for adaptation strategies. Local peacebuilding will be promoted using natural resources as the basis for rebuilding key relationships if mutual benefits can be identified, which the project aims to do, and a common vision agreed, which can have important spillover effects into other areas of daily life. Project activities will be developed through participatory methods for land use and planning, EbA and livelihoods development including the work to develop seasonal and short range forecasts that can be applied to agricultural decision-making. The project will seek to engage the beneficiaries at every stage of the project, and establish and/or strengthen community management committees as well as strengthening of the existing community networks in the project areas. These activities are therefore expected to build social capital and promote collaboration among communities, government institutions and civil society. groups of people. Conflict resolution methods that have been used in community planning and development in South Sudan and in other countries will be identified during the PPG phase, including the UN Environment experience in Darfur, Sudan on the use of collaborative ways of managing natural resources based on mechanisms of dialogue and dispute resolution (See Environmental</p>

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		<p>been successfully implemented in surrounding countries, and from which lessons should inform adaptation activities in South Sudan.</p> <p>(6) Under Output 3.5, please include a strategy and an explanation as to how knowledge and capacity built through this project will be retained in the country and put to use in the medium term. Brain drain may be an issue and should be addressed intrinsically, including by explicitly obligating certain periods of time of service after students have been given study support for climate-related matters.</p> <p>(7) Please provide an estimation of the number of beneficiaries for the project, i.e. how many people would be able to benefit from the LDCF resources.</p> <p>(8) While planning is important, the situation at hand in the country indicates that this project would be well placed to spend most of its resources on concrete adaptation activities on the ground, to benefit vulnerable people. It seems the project is already designed this way, however, a clearer description in terms of how much of the funding would go to "investments" under</p>	<p>Cooperation for Peacebuilding Programme, Final Report 2016). The project is expected to work in 10 Districts across different States "to be validated during the PPG phase. One strategy, to be explored with GoSS during the PPG phase, would be to work in areas with analogous socio-economic characteristics but in different states of peace and conflict (for example, Districts which have displaced people and other Districts which are more stable) , so as to form the basis for a natural experiment on the extent to which investments in EbA can promote peace and security.</p> <p>(2) To be addressed during the PPG phase.</p> <p>(3) Evidence suggests that for successful up take of weather forecast products into agricultural decision-making, a participatory design process (for co-production of knowledge) is necessary in order to create information that is credible and legitimate. This may incorporate traditional forecasting systems for drought and rain as well as accessible technologies to transmit the information to the end-user such as mobile phones and radio. The PPG phase will look at the potential to use climate risk information for drought and flood risk</p>

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		<p>Component 2 would be beneficial.</p> <p>DS, March 20, 2017: (1) Comment cleared. (2) Comment cleared. (3) Comment cleared. (4) Comment cleared. (5) Comment cleared. (6) Comment cleared. (7) Comment cleared at PIF stage; at CEO Endorsement stage, please provide refined number of beneficiaries based on enhanced project design during PPG phase. (8) Please increase the investment proportion to at least 50% of total project financing.</p> <p>DS, March 28, 2017: All comments cleared.</p>	<p>management at the farmer level in the South Sudan context in order to protect livelihoods from drought and flood damage (based on existing experiences and national strategies to develop current experience), and the tools and mechanisms that the project could support at the information and institutional levels to generate these returns.</p> <p>(4) The LDCF project will promote sustainability of Outputs 2.2 and 2.4 through two strategies, the first being to invest in institutions so that capacity to sustain and scale-up the investments is strengthened; the second strategy is to invest in livelihood systems that make the project participants better off, thereby creating an incentive for the project participants to continue the practices. The strong ties between the practical, livelihoods aspects of the project and the policy, institutional and human capacity aspects of the project aims to facilitate the transfer of adaptation experience into the budget and sector plan preparation process. For example, by demonstrating the value of investing in the hydro-met monitoring network for livelihoods improvements and adaptation, it is expected that Government of South Sudan would be prepared to maintain and keep</p>

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			<p>investing in the hydro-met monitoring network and in supporting communities on adaptation. Institutional investments will include i) developing a national decision-support system for integrating climate change adaptation into development planning that can continue to be utilized once the project has completed; ii) capacity development of government staff through training and mentoring on the gathering and analyzing hydro-meteorological information; iii) developing protocols for climate-resilient ecosystem restoration to make sure that this approach can be replicated; iv) training local communities and sub-national government staff to sustain and replicate EbA interventions, additional livelihood activities and climate-smart agricultural techniques; (v) establishing and/or strengthening of community based committees / organizations and strengthening of existing customary networks with particular focus on women. Livelihoods improvements are expected to come about through (i) establishing diversified sources of income i) skills development iii)natural “ resource based enterprises such as bee-keeping, growing vegetable and fruit trees, medicinal plants and other non-timber forest products; (iv); improving the existing</p>

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			<p>farming and cultivation methods by introducing climate resilient seeds and trees species, water harvesting, floods management and provision of the necessary tools and material for resilience building and adaptation. Participating communities will be asked to make in-kind contributions in shape of labour, provisions of materials and other assets, such as land to ensure that commitment to the project is secured. The selection of communities and project sites will be based on appropriate social, environmental and economic criteria to ensure successful implementation, replication and sustainability of project activities.</p> <p>(5) This suggestion will be incorporated as part of Output 3.2. There are good examples of climate change adaptation from neighboring countries like Kenya, Uganda, Ethiopia. The PPG phase will develop an effective strategy for the implementation of Output 3.2 which will include how best to synthesize knowledge and lessons, from adaptation projects and initiatives that have been undertaken/financed in neighboring countries, as a way of strengthening the design and implementation of the project strategy in Outcome 2.</p> <p>(6) The following measures will be used</p>

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			<p>to retain people after their research:</p> <p>(i) Signing of a legal agreement between the government and students receiving scholarships that upon completion of their degrees, they will return to South Sudan and will serve a minimum of 3 years in a government department or university. (ii) As an incentive, it will be agreed with the government to provide a guaranteed employment to the returning graduates after completing their studies.</p> <p>(7) The project expects to work in 10 Districts (payams) covering more than one State (currently there are 28 States). Populations range from 3000 to 40,000 per payam. Taking an average of 16,000 (2285 households) per payam, the project could expect to work with 50% of households, meaning 1142 households per payam, and 11420 direct beneficiaries over the 10 Payams in the project (80,000 household members indirectly benefiting). The PPG will establish the numbers more concretely once the project sites have been identified with GoSS. The project will ensure that gender equity is fully reflected in the project strategy so that the project benefits can be equitably accessed.</p> <p>(8) Detailed budgets for the TA and investment proportions for each project component will be developed during the PPG phase. But indicative proportions are</p>

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			<p>as follows: For Outcome 1 we expect that 50% of the budget would be dedicated to investment for upgrading of the meteorological network. For Outcome 2, Investments are expected for delivery of Outputs 2.2, 2.3 and 2.5. We would expect some 50% of the financing to be investment, bearing in mind that adaptation is a result of building of capacity, which requires technical assistance, demonstration, participatory training and dialogue processes and time. Also to add that the project strategy will not compromise the sustainability of activities through imprudent ways of delivering the support. For example, implementation strategies that build on community co-financing either in kind or through own cash resources will be developed. This will help communities to internalize the training and support received which should promote sustainability of the project activities and promote autonomous adaptation once the project ends.</p> <p>UPDATE MARCH 28 2017</p> <p>The statement has been included in Part II, Section 1.3, highlighted in green.</p>
	<p>6. Are socio-economic aspects, including relevant gender elements, indigenous people, and CSOs</p>	<p>DS, February 16, 2017: Partly. Please conduct a Gender Gap Analysis, as per the GEF Gender</p>	<p>Will be addressed during the PPG phase.</p>

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	considered?	<p>Equality Action Plan, during PPG phase.</p> <p>DS, March 20, 2017: Comment cleared. Agency will carry out a Gender Gap Analysis during PPG phase and report back on outcomes of that analysis in CEO Endorsement Request documentation.</p>	
Availability of Resources	7. Is the proposed Grant (including the Agency fee) within the resources available from (mark all that apply):		
	• The STAR allocation?		
	• The focal area allocation?		
	• The LDCF under the principle of equitable access	DS, February 16, 2017: Yes.	
	• The SCCF (Adaptation or Technology Transfer)?		
	• Focal area set-aside?		
Recommendations	8. Is the PIF being recommended for clearance and PPG (if additional amount beyond the norm) justified?	<p>DS, February 16, 2017: Not yet. Please address comments under Questions 2, 5 and 6 and submit revised PIF.</p> <p>DS, March 20, 2017: Not yet. Please address remaining comment under Question 5 and submit revised PIF.</p> <p>DS, March 28, 2017: Yes. All comments have been cleared and the Program Manager recommends the PIF for CEO</p>	

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		approval. However, the project will be processed for approval only once adequate, additional resources become available under the LDCF.	
Review Date	Review	February 16, 2017	
	Additional Review (as necessary)	March 20, 2017	
	Additional Review (as necessary)	March 28, 2017	

CEO endorsement Review			
Review Criteria	Questions	Secretariat Comment at CEO Endorsement	Response to Secretariat comments
Project Design and Financing	1. If there are any changes from that presented in the PIF, have justifications been provided?		
	2. Is the project structure/ design appropriate to achieve the expected outcomes and outputs?		
	3. Is the financing adequate and does the project demonstrate a cost-effective approach to meet the project objective?		
	4. Does the project take into account potential major risks, including the consequences of		

CEO endorsement Review

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	climate change, and describes sufficient risk response measures? (e.g., measures to enhance climate resilience)		
	5. Is co-financing confirmed and evidence provided?		
	6. Are relevant tracking tools completed?		
	7. <i>Only for Non-Grant Instrument:</i> Has a reflow calendar been presented?		
	8. Is the project coordinated with other related initiatives and national/regional plans in the country or in the region?		
	9. Does the project include a budgeted M&E Plan that monitors and measures results with indicators and targets?		
	10. Does the project have descriptions of a knowledge management plan?		
Agency Responses	11. Has the Agency adequately responded to comments at the PIF ³ stage from:		
	• GEFSEC		
	• STAP		
	• GEF Council		
	• Convention Secretariat		
	12. Is CEO endorsement		

³ If it is a child project under a program, assess if the components of the child project align with the program criteria set for selection of child projects.

CEO endorsement Review

Review Criteria	Questions	Secretariat Comment at CEO Endorsement	Response to Secretariat comments
Recommendation	recommended?		
Review Date	Review		
	Additional Review (as necessary)		
	Additional Review (as necessary)		