



REQUEST FOR CLIMATE CHANGE ENABLING ACTIVITY

PROPOSAL FOR FUNDING UNDER THE Least Developed Countries Trust Fund

PART I: PROJECT IDENTIFIERS

EA Title:	Preparations of National Adaptation Plan of Action (NAPA) in response to Climate Change for Somalia		
Country(ies):	Somalia	GEF Project ID: ¹	5007
GEF Agency(ies):	UNDP (select)	GEF Agency Project ID:	5074
Other Executing Partner(s):	Ministry of Fisheries, Marine Resources and Environment	Submission Date:	June 29, 2012
GEF Focal Area (s):	Climate Change	Project Duration (Months)	10 Months
Check if applicable:	NCSA <input type="checkbox"/> NAPA <input checked="" type="checkbox"/>	Agency Fee (\$):	20,000

A. EA FRAMEWORK*

EA Objective: To develop National Adaptation Plan of Action (NAPA) for Somalia following a participatory process to address the most immediate climate related risks.					
EA Component	Grant Type	Expected Outcomes	Expected Outputs	Grant Amount (\$)	Confirmed Co-financing (\$)
1. Institutional structure for NAPA preparation established	TA	1. National NAPA team in place for steering the preparation, coordination and implementation of NAPA	1.1. National NAPA team established with clearly defined roles and responsibilities; 1.2. Technical expert working groups in place to provide substantive inputs to NAPA document; 1.3. multidisciplinary integrated assessment team assembled to synthesise thematic reports and provide quality assurance	0	2,500

¹ Project ID number will be assigned by GEFSEC.

			for NAPA document		
2. NAPA preparation	TA	2. NAPA document prepared and endorsed by the government of Somalia	<p>2.1. Stocktaking and synthesis of available information on adverse affects of climate change in Somalia.</p> <p>2.2. Participatory assessment of vulnerability to current climate variability and extreme weatehr events;</p> <p>2.3. Criteria for prioritising the key identified adaptation measures defined;</p> <p>3.4. NAPA document prepared that includes a list of priority adaptation proposals that address needs arising from the adverse impacts of climate change;</p>	142,000	124,000

3. Public participation and awareness raising	TA	Public participation, consultation and awareness raising for NAPA preparation and implementation organised	<p>3.1. stakeholder assessment report prepared to identify the key institutions for consultation and participation in the NAPA preparation and implementation process;</p> <p>3.2. Stakeholder feedback mechanisms in place (e.g. participatory risk assessments, both at community and national levels, technical workshops, specialized thematic seminars etc) to ensure stakeholder input into the NAPA document;</p> <p>3.3 Series of public reviews organised for broader public participation and awareness raising on NAPA priorities for Somalia</p> <p>3.4. The endorsed NAPA document published for broader dissemination and advocacy.</p>	40,000	16,000
Subtotal				182,000	142,500
EA Management Cost ²				18,000	66,000
Total EA Cost				200,000	208,500

^a List the \$ by EA components. Please attach a detailed project budget table that supports all the EA components in this table.

² This is the cost associated with the unit executing the project on the ground³ and could be financed out of trust fund or co-financing sources.

B. CO-FINANCING FOR THE EA BY SOURCE AND BY NAME

Sources of Co-financing	Name of Co-financier	Type of Cofinancing	Amount (\$)
GEF Agency	UNDP	Grant	140,000
National Government	Nat and Regional Governments	In-kind	68,500
(select)		(select)	
Total Co-financing			208,500

C. GRANT RESOURCES REQUESTED BY AGENCY, FOCAL AREA AND COUNTRY

GEF Agency	Type of Trust Fund	Focal Area	Country Name/Global	EA Amount (a)	Agency Fee (b) ²	Total (c)=(a)+(b)
UNDP	LDCF	Climate Change	Somalia	200,000	20,000	220,000
(select)	(select)	(select)				0
Total Grant Resources				200,000	20,000	220,000

D. EA MANAGEMENT COST

Cost Items	Total Estimated Person Weeks/Months	Grant Amount (\$)	Co-financing (\$)	EA Total (\$)
Local consultants*	64	16,000	32,000	48,000
International consultants*				0
Office facilities, equipment, vehicles and communications*			10,000	10,000
Travel*		2,000	8,000	10,000
Others**	Consultations Venue		16,000	16,000

	Specify "Others" (2)			0
	Specify "Others" (3)			0
Total		18,000	66,000	84,000

* Details to be provided in Annex A. **For Others, to be clearly specified by overwriting fields (1)-(3)

ADDITIONAL INFORMATION FOR TABLE D, IF APPLICABLE:

If costs for office facilities, equipment, vehicles and communications, travels are requesting for GEF financing, please provide justification here: By design NAPA is to be undertaken in an inclusive manner for wider ownership and understanding of the key stakeholders. Therefore, some of the costs relating to the equipment maintenance, office facilities and travel are to be borne out of LDCF contribution. However, the co-financing proportion of the budget is higher than LDCF.

PART II: ENABLING ACTIVITY JUSTIFICATION

<p>A. ENABLING ACTIVITY BACKGROUND AND CONTEXT (Provide brief information about projects implemented since a country became party to the convention and results achieved):</p>	<p>Somalia is a country of arid and semi-arid landscape that covers an area of 637,657 km², occupying the tip of “Horn of Africa”. The country’s land forms comprise flat plateaus and coastal plains. Highlands are only found to the north, with a stand of pristine mist forests. The 2011 UN Secretary General Report (UN SG Report 2011) on the “Protection of Somali Natural Resources and Waters” highlight that some 30% of the land (catchments, range areas and agriculture lands) is considered degraded. Increase in the duration and frequency of the drought cycles, triggered by the global climatic changes, has made these impacts more obvious with the severity levels crossing the critical thresholds and creating famine situation that is a clear evidence of lack of resilience of Somalia’s population to face the consequences of prolonged drought and other natural calamities.</p> <p>Somalia had not been actively participating in the multi-lateral environmental agreements (MEAs) and conventions after the collapse of the central government in 1991. However, in recent years, and as a result of observable negative impacts of natural disasters coupled with over two decades of neglect and conflict, the country has rejoined the global efforts to address the environmental issues by becoming party to all the major conventions and protocols. These include: a) United Nations Framework Convention on Climate Change (December 2009); b) United Nations Convention on Biodiversity (December 2009); c) Kyoto Protocol (October 2010); d) Cartagena Protocol on Biosafety (October 2010); and, e) Stockholm Convention on Persistent Organic Pollutants (July 2010).</p> <p>Though the signing of the MEAs makes the country eligible to fully participate in the global conventions and funds, Somalia is yet to mobilise technical and financial resources to undertake enabling activities for a systematic response to the global environmental challenges, including, climate change and its impacts at the local level.</p> <p>The on-going interventions in Somalia are largely focused on local economic development, rehabilitation of community assets & infrastructure, employment generation and community based environmental management. Frequent climatic extreme events, such as droughts and floods, expose the human population and natural systems to a wide array of risks, ranging from life loss to the loss of productive potential of the natural resources. The current interventions are also reactive in nature as there are very little efforts to build resilience to the impacts of climatic changes on a longer timescale.</p>
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<p>B. ENABLING ACTIVITY GOALS, OBJECTIVES, AND ACTIVITIES</p> <p>(The proposal should briefly justify and describe the project framework. Identify also key stakeholders involved in the project including the private sector, civil society organizations, local and indigenous communities, and their respective roles, as applicable. Describe also how the gender dimensions are considered in project design and implementation.)</p>	<p>The impacts of climate change are already being felt across Horn of Africa. It is predicted that the region will be facing more extreme and frequent droughts and floods. These climatic disasters are also the main hazards in Somalia. During 1961-2011, 19 major floods have been recorded in Somalia, killing 2,812 and affecting almost 1.8 million. In the same period, Somalia faced 14 droughts affecting 6.3 million. Severe droughts interrupted by devastating floods occur frequently and result in large scale starvation and the death of thousands of people and livestock. Being a high risk country exposed to frequent climatic disasters, it is critical that the country has a very well-conceived Adaptation Plan of Action.</p> <p>The overarching goal of the NAPA will be to make the Somali people more resilient to climate change, recognizing their high vulnerability in an economy that is dominated by subsistence agriculture and livestock rearing and undermined by the heterogeneity of clan-based conflicts.</p> <p>The main objective of the enabling activity is to develop NAPA for Somalia following a participatory process to address the most immediate climate related risks. NAPA is expected to build the community awareness, increase monitoring and risk forecasting and support the adoption of government policies and strategies to improve resilience to climate risks among vulnerable population groups (including women and children) and economic sectors. The preparation of NAPA is also timely as the recommendations of NAPA will be integrated in the on-going stabilization and peace building programmes in Somalia.</p> <p>The activities for the NAPA preparation will be closely aligned with the preparation process and principles outlined in the guidelines of the Least Developed Countries (LDCs) Expert Group established under the United Nations Framework Convention on Climate Change (UNFCCC). Broad-based consultations will be held around the main sectors impacted by the climatic events in Somalia. These will include: a) Land Resources; b) Water Resources; c) Biodiversity; d) Marine and Coastal Resources; e) Energy; f) Infrastructure; g) Health; h) Agriculture, Livestock and Food Security; and, i) Natural Disasters. Inclusiveness of all the relevant stakeholders - including marginalised groups, women, physically disadvantaged persons, elderly, children and IDPs - will be ensured while conducting participatory risk assessments and prioritizing the the areas of interventions.</p>
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<p>C. DESCRIBE THE ENABLING ACTIVITY AND INSTITUTIONAL FRAMEWORK FOR PROJECT IMPLEMENTATION (discuss the work intended to be undertaken and the output expected from each activity as outlined in Table A).</p>	<p>The Ministry of Fisheries, Marine Resources and Environment, in its capacity as the focal institution for the UNFCCC in Somalia, will be the lead national institution to work with UNDP in the preparation of NAPA.</p> <p>In line with the standard management arrangements structure for UNDP assisted projects, a Project Board consisting of the UNDP, the Ministry of Fisheries, Marine Resources and Environment and the office of GEF Operational Focal Point (GEF OFP) will oversee and be responsible for the policy level decision making during the NAPA process. The Project Board will approve the detailed Work Plan and associated budget for the activities. It will oversee the progress of NAPA preparation, address issues as needed, and guide and support the technical committee and experts throughout the implementation timeframe. The board will review and approve standard progress reports on quarterly basis, and it will organize the approval of the final NAPA document. It will also ensure that appropriate consultative processes take place with stakeholders. The project Board will be a mechanism for closer coordination across all related institutions. From the Ministry of Environment it will include all convention focal points to ensure complementarity and concerted action around the NAPA process by drawing relevant inputs from other EA projects as they commence the implementation. The meetings of the board will be held on a quarterly basis – with the first meeting coinciding with the launch of the NAPA preparations.</p> <p>A technical committee having a membership of technical focal points from the Ministry of Fisheries, Marine Resources and Environment, Ministry of Water, Minerals and Energy, National Humanitarian & Disaster Management Agency, Ministry of Livestock and Agriculture, Ministry of Planning and International Cooperation, Ministry of General Affairs and Housing, Ministry of Women and Family Affairs, Pastoral Development Agency, Ministry of Health, renowned INGOs/NGOs (such as, IUCN-East Africa Regional Office, Horn Relief, Candlelight for Environment Awareness and Education), University of Simad, UNDP, FAO and UNEP will be formed to look into the sectoral issues vis-à-vis the impacts and response strategies to climate change in these sectors.</p> <p>The technical committee, assisted by the sector experts, will review the vulnerability assessments, prioritisation criteria and prioritisation of the projects culminating out of the NAPA process. UNDP and Ministry of Fisheries, Marine Resources and Environment will ensure that the technical committee is engaged throughout the NAPA process and technical groups/multidisciplinary groups formed under the technical committee are facilitated to perform their functions. Technical groups and multidisciplinary group will draw</p>
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	<p>its members from the national technical committee to undertake various tasks during the course of NAPA preparation. On need basis, the technical committee will be allowed to co-opt additional members with the consent of the Project Board to keep the regional balance between the three regions of Somalia, i.e. South and Central, Somaliland and Puntland.</p> <p>National NAPA Technical Committee and multidisciplinary working groups will ensure close coordination with all related projects and processes, including enabling activities once they kick start the implementation in Somalia. In addition, a UN level interagency working group on Environment is functional for Somalia. The group is co-chaired by UNDP and UNEP with participation of FAO, UNESCO and UNICEF. The environment working group provides the platform to share and coordinate on all the environment related activities undertaken by the UN agencies in Somalia. This forum will also be used to ensure effective coordination amongst agencies undertaking other enabling activities for Somalia.</p> <p>The main activities to be undertaken in NAPA preparation are as follows:</p> <ol style="list-style-type: none"> 1) Setting-up of National NAPA Technical Committee: The UNFCCC focal point in the Ministry of Fisheries, Marine Resources and Environment will setup a national NAPA technical committee that will act as the NAPA team. The composition of the committee is described above. This committee will ensure that preparation of NAPA is inclusive and will act as the core for the coordination and implementation of NAPA activities while reporting to the Project Board. 2) Preparation of NAPA document; Vulnerability Assessment and Setting up of Country Driven Criteria: Technical Expert Groups will be established to provide substantive contributions to the key pre-identified sectorial or a set of interrelated sectorial studies. A multidisciplinary assessment team (MAT) will be assembled that will be charged with undertaking well-defined assessments and analyses on a commissioned basis. The MAT will be the technical support unit of the project. With the support of a multi-disciplinary team of experts, the National NAPA technical committee will synthesize available information on adverse impacts of climate change and coping strategies, that would include the synthesis of government plans, UN Somali Assistance Strategy, UN-World Bank Joint Needs Assessment for Somalia, European Commission Joint Strategy Paper for Somalia etc. Participatory assessment will be undertaken to detail out the vulnerability to current climate variability and extreme weather events; identify climate change adaptation measures and prioritise country driven criteria for selecting
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	<p>priority activities arising from the adverse effects of climate change. Proposals for priority adaptation activities will be prepared. National and/or sub-national consultative process will be organized to solicit inputs and ideas in order to help develop a short list of potential NAPA activities. Detail out the projects profiles prioritized in line with the established criteria and prioritization exercise. Draft NAPA document will be prepared in line with the UNFCCC guidelines. Draft NAPA will undergo public review for revisions and refinements. Final review of the NAPA will be done by a team of representative stakeholders to ensure consistency and inclusion of all the major observations in the final document. Final document will be endorsed by the government. The government endorsed document will be made available to the public and to the UNFCCC secretariat.</p> <p>3) Public Participation and Awareness Raising: The NAPA preparation will be done following the principle of stakeholder participation. In order to ensure that all key stakeholders are consulted and duly involved in the process a stakeholder analysis will be conducted in order to identify all relevant parties that have stake in the NAPA for Somalia. A detailed report will be prepared at the start of the project to address the scale of participation (i.e., national, regional, and/or community) relative to time and budget constraints, propose a method for identifying and recruiting specific organizations, groups, and individuals, and make specific recommendations for potential stakeholder participants. Participatory risk assessment will be conducted both at national and sub-national level that solicits community knowledge and experience regarding their current levels of vulnerability to climate shocks. It will also solicit input on current adaptive strategies to reduce climate-related vulnerability. Each stakeholder meeting/workshop will be designed to encourage as much participation as possible. The format will incorporate oral presentations by organizers followed by appropriately designed working group sessions to obtain feedback. Project team will coordinate a public review process at the national level. This will be accomplished by making a public presentation to invite public comments. The draft NAPA document will also be circulated to the general public for comment through dedicated workshops, media and other outlets. This process will target impacted sectors by circulating the document to the broad stakeholder audiences, identified through the stakeholder assessment. Comments received will be reviewed by the National technical committee, multidisciplinary teams and Project Board and incorporated as appropriate into a revised version of draft NAPA document. The final NAPA document will be distributed publicly via electronic and print media, and will be publicized through available media outlets using translated versions as necessary.</p>
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D. DESCRIBE, IF POSSIBLE, THE EXPECTED <u>COST-EFFECTIVENESS</u> OF THE PROJECT:	<p>The NAPA preparation falls under the UNDP-Somalia's on-going programme on Poverty Reduction and Environment Protection (PREP) in Somalia. PREP has a dedicated team of professionals and support staff working in the areas of Environment, Local Economic Development, Private Sector Development, Economic Policies and MDGs. PREP has the field offices across Somalia that are in contact with a diverse group of stakeholders on day-to-day basis. Project Manager, Environment and Energy, under the PREP programme will be responsible for mobilizing the inputs for timely delivery of outputs envisaged for NAPA finalisation. The field presence of UNDP staff will bring cost-effectiveness in the implementation of NAPA by cutting down on administrative expenses.</p>																																			
E. DESCRIBE THE BUDGETED M&E PLAN:	<table border="1"> <thead> <tr> <th>Type of M&E activity</th> <th>Responsible Parties</th> <th>Budget US\$ <i>Excluding project staff time</i></th> <th>Time frame</th> </tr> </thead> <tbody> <tr> <td>Inception Workshop & associated arrangements</td> <td>Project Manager; UNDP CO; UNDP GEF;</td> <td>Indicative cost: 8,000</td> <td>Within first two months of project start up</td> </tr> <tr> <td>APR/PIR</td> <td>Project Team UNDP CO Consultancy support if needed</td> <td>Indicative cost: 0</td> <td>Annually</td> </tr> <tr> <td>Steering Committee Meetings and relevant meeting proceedings (minutes)</td> <td>Project Manager UNDP CO</td> <td>Indicative cost: 2,000 (travel costs for relevant project stakeholders)</td> <td>Following Project IW and subsequently at least once a year</td> </tr> <tr> <td>Quarterly status reports</td> <td>Project team</td> <td>Indicative cost: 0</td> <td>Quarterly every year</td> </tr> <tr> <td>Technical reports</td> <td>Project team Hired Expert teams; consultants</td> <td>Indicative cost: 5,000</td> <td>To be determined by Project Team and UNDP-CO</td> </tr> <tr> <td>Audit</td> <td>UNDP-CO Project team</td> <td>Indicative cost: 6,000 (average \$6000 per year)</td> <td>Once towards the culmination of the project</td> </tr> <tr> <td colspan="2">Total indicative cost</td><td>21,000</td><td></td></tr> </tbody> </table>	Type of M&E activity	Responsible Parties	Budget US\$ <i>Excluding project staff time</i>	Time frame	Inception Workshop & associated arrangements	Project Manager; UNDP CO; UNDP GEF;	Indicative cost: 8,000	Within first two months of project start up	APR/PIR	Project Team UNDP CO Consultancy support if needed	Indicative cost: 0	Annually	Steering Committee Meetings and relevant meeting proceedings (minutes)	Project Manager UNDP CO	Indicative cost: 2,000 (travel costs for relevant project stakeholders)	Following Project IW and subsequently at least once a year	Quarterly status reports	Project team	Indicative cost: 0	Quarterly every year	Technical reports	Project team Hired Expert teams; consultants	Indicative cost: 5,000	To be determined by Project Team and UNDP-CO	Audit	UNDP-CO Project team	Indicative cost: 6,000 (average \$6000 per year)	Once towards the culmination of the project	Total indicative cost		21,000				
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F. EXPLAIN THE DEVIATIONS FROM TYPICAL COST RANGES (WHERE APPLICABLE):																																				

PART III: APPROVAL/ENDORSEMENT BY GEF OPERATIONAL FOCAL POINT(S) AND GEF AGENCY(IES)

A. RECORD OF ENDORSEMENT OF GEF OPERATIONAL FOCAL POINT(S) ON BEHALF OF THE GOVERNMENT(S):

(Please attach the [country endorsement letter\(s\)](#) with this template).


NAME	POSITION	MINISTRY	DATE (<i>Month, day, year</i>)
Mr. Hassan Haji Ibrahim	Advisor/ GEF Operational Focal Point	MINISTRY OF FISHERIES, MARINE RESOURCES AND ENVIRONMENT	MAY 18, 2012

B. CONVENTION PARTICIPATION

CONVENTION	DATE OF RATIFICATION/ ACCESSION (mm/dd/yy)	NATIONAL FOCAL POINT
UNCBD	12/10/2009	MR. ABDIRAHMAN SHEIKH IBRAHIM
UNFCCC	09/11/2009	MR. ABDIRAHMAN SHEIKH IBRAHIM
UNCCD	07/24/2002	MR. ABDIRAHMAN SHEIKH IBRAHIM
STOCKHOLM CONVENTION	07/27/2010	NOT AVAILABLE

B. GEF AGENCY(IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the standards of the GEF Project Review Criteria for Climate Change Enabling Activity approval.

Agency Coordinator, Agency name	Signature	Date <i>(Month, day, year)</i>	Project Contact Person	Telephone	E-mail Address
Yannick Glemarec, Executive Coordinator, UNDP/GEF		June 29, 2012	Keti Chachibaia, Regional Technical Advisor, Gr-LECRDS	+421 259337422	keti.chachibaia@undp.org

ANNEX A. CONSULTANTS TO BE HIRED FOR THE ENABLING ACTIVITY

<i>Position Titles</i>	<i>\$/Person Week</i>	<i>Estimated Person Weeks</i>	<i>Tasks to be Performed</i>
For EA Management			
Local			
National Coordinator	875	32	i. Coordinate all project related work, be responsible for all project outcomes and deliverables, conduct quality control of all inputs from thematic groups and individual experts, liase with the focal ministry and partner with key stakeholders; ii. Prepare background papers for the consultations; iii. Ensure particiaption of all the stakeholders in the NAPA preparation process; iv. Efficiently mobilise the project inputs; v. Conduct activities for Monitoring and Evaluation and timely deliver progress reporting; vi. Be responsible for sound financial management of the project, ensure transparency and competitiveness in all procurements following UNDP's procedures.
Associate (reporting and monitoring)	625	32	i. Prepare progress reports; ii. Prepare the agendas and working documents for the consultations; iii. Record the discussions and follow-up actions; iv. Monitor activity and financial progress; v. Assist the National Coordinator in financial management and M&E activities; vi. Cooridnate with key stakeholders for participation and provide necessary logistical support for all NAPA related events.
For Technical Assistance			
Local			

Climate Change Analyst	875	28	i. Review and colate existing information about the climate change impacts in Somalia; ii. Collate data on climatic events from global reports and regional centers; iii. Provide technical inputs to facilitate the work of NAPA team; iv. Prepare the draft profiles for prioritised activities.
International			
NAPA Advisor	3,750	16	i. Preparing the detailed road map for NAPA preparations; ii. Interact with the key stakeholders for their inputs; iii. Provide advice to the National Team about the preparation of the NAPA document ; iv. Bring the knowledge of good practices of NAPA preparations from other countries and assure the quality of all the documents preprepared under NAPA.
Vulnerablity Assessment and Criteria Development Expert	3,750	12	i. Collect data on social and climate vulnerabilities in Somalia; ii. Undertake vulnerability mapping of the country according to the climatic risks; iii. Identify most vulnerable groups and sectors to climatic changes; iv. Establish regional linkages for a comprehensive vunerablity assessments; v. Develop draft criteria for prioritisation in consultation with key stakholders.
Consultations Facilitator	3,750	4	i. Undertake detailed stakeholder assessment and identify the key stakeholders that need to participate in the NAPA preparation process; ii. Facilitate the public review and revisions of the draft NAPA, collect and record all comments and recommendations made by stakeholders both online and at the stakeholder workshops and other consultative foras; iii identify the means and methods for effective community, including women's groups engagement into the NAPA preparation process; facilitate community mobilisation and consultation processes, ensuring that adaptation needs of the most vulnerable groups of society, including women are fully addressed in the NAPA document.