



## PROJECT PREPARATION GRANT (PPG)

PROJECT TYPE: Full-sized Project

TYPE OF TRUST FUND: LDCF

Submission date: 05/14/2012

GEF PROJECT ID: 4725

GEF AGENCY PROJECT ID: 4568

COUNTRY(IES): Solomon Islands

PROJECT TITLE: Solomon Islands Water Sector Adaptation Project

GEF AGENCY(IES): UNDP, (select), (select)

GEF FOCAL AREA(S): Climate Change

### A. PROJECT PREPARATION TIMEFRAME

Start date of PPG	07/01/2012
Completion date of PPG	03/01/2013

### B. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)

Describe the PPG activities and justifications:

The proposed PPG activities are enumerated below. The outputs of these activities will be a UNDP-GEF compliant, full-sized project document and CEO Endorsement document for submission to the LDCF.

- Through consultations with government, non-government and community stakeholders, identify the final project sites (6) from the preliminary list identified in the PIF, based on a criteria that include the vulnerabilities of the water sector to climate change impacts;
- Conduct rapid assessments and review secondary information to characterize every project site in biophysical, socioeconomic and demographic (including gender) terms;
- Assess (preliminary) all available sources of water supply focusing on quantity and quality and their vulnerabilities to climate change impacts;
- Characterize sanitation practices in each site and their impacts on water resources;
- Undertake intensive community consultations regarding their water and sanitation priorities in the context of climate change and to mobilize support for the project;
- Identify and assess potential CC adaptation activities in the water and sanitation sector that will be financed by LDCF and describing rationale and projected impacts in improving community resilience;
- Identify, assess and confirm development baseline activities (government, private sector, various donor agencies, NGOs and church-based organizations, others) in the water and sanitation sectors in the entire country and in the proposed project sites, and prepare an assessment report about relevant development and disaster management projects, investment programmes and capacity building activities;
- Review existing policy and regulatory framework relevant for integrated water resources management and community-based disaster risk reduction, with particular emphasis on current climate-related impacts and threats;
- Prepare the Strategic Results Framework and a Monitoring and Evaluation (M&E) system with quantifiable and verifiable impact indicators. These indicators, which will specifically address adaptation impacts, will be based on guidance by the LDCF results framework for adaptation projects. The indicators will be connected to a monitoring and evaluation plan, which will set out how and by whom these indicators will be measured and which way verification data will be collected by the project.
- Define the implementation, execution and coordination arrangements for the project with detailed roles and responsibilities of government entities, UNDP and partnering NGOs and a timeline for project

implementation;  
 - Prepare a stakeholder involvement plan for the preparation and implementation phase of the project, with a clear focus on community involvement and participation;  
 - Liaise with government and secure letters confirming co-financing commitments from all partners;

<b>List of Proposed Project Preparation Activities</b>	<b>Output of the PPG Activities</b>	<b>Trust Fund</b>	<b>Grant Amount (a)</b>	<b>Co-financing (b)</b>	<b>Total c = a + b</b>
1. Preliminary Technical Assessments	1.1 Specific sites for the project based from agreed selection criteria  1.2 Biophysical, socioeconomic and demographic assessment reports for each project site, focused on water and related sectors  1.3 Report on the preliminary assessment of water resources and sanitation practices  1.4 Current and projected climate change risks in the water sector in each area  1.5 Assessed potential adaptation measures to improve resilience to CC impacts, specifying alignments with the existing development and policy baseline	LDCF	120,000	650,000	770,000
2. Stakeholder Dialogues and Capacity Assessments	2.1 Priorities for capacity enhancement/building  2.2 Policy agenda at the national and subnational levels  2.3 Stakeholder	LDCF	10,000	350,000	360,000

	<p>mobilization plans at the site and national levels initiated and primed for implementation</p> <p>2.4 Partnerships established with relevant development partners</p>				
3. Project Planning	<p>3.1 UNDP project document and GEF CEO Endorsement documents, including Strategic Results Framework, M&amp;E, project implementation and coordination arrangements, communications plan, sustainability strategies, etc.</p> <p>3.2. Cofinancing letters from partners and support from government</p>	LDCF	20,000	50,000	70,000
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
<b>Total Project Preparation Financing</b>			<b>150,000</b>	<b>1,050,000</b>	<b>1,200,000</b>

**C. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)**

	<b>Project Preparation</b>	<b>Agency Fee</b>
Grant Amount	150,000	15,000
Co-financing	1050,000	
<b>Total</b>	<b>1,200,000</b>	<b>15,000</b>

**D. PPG AMOUNT REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)<sup>1</sup>**

Trust Fund	GEF Agency	Focal Area	Country Name/ Global	(in \$)		
				PPG (a)	Agency Fee (b)	Total c = a + b
LDCF	UNDP	Climate Change	Solomon Islands	150,000	15,000	165,000
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
<b>Total PPG Amount</b>				<b>150,000</b>	<b>15,000</b>	<b>165,000</b>

<sup>1</sup> No need to provide information for this table if it is a single focal area, single country and single GEF Agency project.


**E. PPG BUDGET**

Cost Items	Total Estimated Person Weeks for Grant (PW)	Grant Amount (\$)	Co-financing (\$)	Total(\$)
Local consultants *	60.00	60,000	60,000	120,000
International consultants*	20.00	50,000		50,000
Travel		25,000	25,000	50,000
Meeting/Consultation Costs			25,000	25,000
Technical Analyses		15,000	40,000	55,000
Local Costs (\$150,000 for each project site)			900,000	900,000
<b>Total PPG Budget</b>		150,000	1,050,000	1,200,000

\* Annex A for Consultant cost details should be prepared first before completing this table. See notes on Annex A for the required detailed information. This table is the sum of all local and international consultants presented in Annex A.

**F. GEF AGENCY(IES) CERTIFICATION**

This request has been prepared in accordance with GEF policies and procedures and meets the GEF LDCF/SCCF Trust Fund criteria for project identification and preparation.

Agency Coordinator, Agency Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Yannick Glemarec Executive Coordinator, UNDP/GEF		May 14, 2012	Jose Erez Padilla (Gr-LECRDS)	+66 (0) 2304 9100 Ext.2644	jose.padilla@undp.org

**Annex A**

**Consultants Financed by the Project Preparation Grant (PPG)**

<b>Type of Consultant</b>	<b>Position / Titles</b>	<b>\$/ Person Week<sup>1</sup></b>	<b>Estimated PWs<sup>2</sup></b>	<b>Tasks to be Performed</b>
Local	Project Institutional Experts (2 Experts)	1000	30.00	<ul style="list-style-type: none"> <li>- Analyze the project baseline in terms of current policies, projects and stakeholders that are relevant to the project;</li> <li>- Assist in identification sites and collect baseline information about the socio-economic and demographic (including gender) situation in the target sites;</li> <li>- Coordinate consultations with government and non-government agencies, local project stakeholders and potential project partners;</li> <li>- Organize and facilitate consultative meetings with local government and community stakeholders to assist project definition;</li> <li>- Propose, based on participatory stakeholder consultations, a capacity development strategy for the project;</li> <li>- Assist in the preliminary analysis and design of suitable water- and sanitation-related adaptation measures</li> <li>- Develop a communication strategy with communities and facilitate their participation;</li> <li>- Define project roles and responsibilities and propose suitable project management, implementation and reporting arrangements;</li> <li>- Assist in the design of the project's Knowledge Management strategy;</li> <li>- Develop a sustainability and exit strategy for the project (i.e. a way forward once LDCF funding is exhausted);</li> <li>- Help build financing partnerships and mobilize co-financing resources;</li> </ul>
Local	Project Technical Experts (2 Experts)	1000	30.00	<ul style="list-style-type: none"> <li>- Undertake technical assessments of water resources and sanitation practices and prepare assessment reports for each site under the guidance of the Project Technical Specialist;</li> <li>- Assess (preliminary) impacts of climate change on water quality and quantity in each site;</li> </ul>

				- Take leadership in site-specific preliminary analysis and design of suitable water- and sanitation-related adaptation measures under the guidance of the international consultants and in collaboration with the other national consultant.
International	Project Development Specialist	2500	12.00	- Act as PPG team leader responsible for coordinating all activities and reviewing the reports of the local and other international consultants; - Working with UNDP, provide quality control for the FSP formulation process in line with UNDP and GEF/LDCF guidelines - Take leadership in developing and writing all the reporting requirements for CEO endorsement of the project and ensure consistency and compliance with LDCF guidelines
International	Project Technical Specialist (Water Sector)	2500	8.00	- Design and implement initially (first site) a methodology for assessing water resources and their vulnerability to climate change, applicable to the selected sites in Solomon Islands - Provide oversight of the work of the Project Technical Expert in undertaking the assessments in the rest of the sites; review the technical reports for quality control - Contribute to the preliminary analysis of the CC adaptation measures
(Select)				
(Select)				
(Select)				
(Select)				

<sup>1</sup> Provide dollar amount per person week.

<sup>2</sup> Provide person weeks needed to carry out the task

