



PROJECT PREPARATION GRANT (PPG)
PROJECT TYPE: Full-sized Project
TYPE OF TRUST FUND: LDCF

Submission date: December 22, 2011

GEF PROJECT ID: 4701
GEF AGENCY PROJECT ID: 4790
COUNTRY(IES): Republic of Niger
PROJECT TITLE: Scaling up Community-Based Adaptation (CBA) in Niger
GEF AGENCY(IES): UNDP, (select), (select)
GEF FOCAL AREA(s): Climate Change

A. PROJECT PREPARATION TIMEFRAME

Start date of PPG	Jan-12
Completion date of PPG	Jul-12

B. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)

Describe the PPG activities and justifications: This LDCF project will promote key elements of a programmatic approach to build the capacity to address the additional threats posed by climate change and variability in communities' livelihoods in Maradi, Republic of Niger. The end-product of the preparatory phase will be a GEF Brief and UNDP Project Document					
List of Proposed Project Preparation Activities	Output of the PPG Activities	Trust Fund	Grant Amount (a)	Co-financing (b)	Total c = a + b
Component 1: Needs assessment and technical feasibility of adaptation options and measures	<ul style="list-style-type: none"> - Comprehensive baseline and problem analyses Clarifying - Additionality of Proposed Outcomes and Interventions - Capacity needs assessment and capacity building strategy - Identification of specific regions and sites for intervention Review of best practices 	LDCF	25,000	8,500	33,500

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List of Proposed Project Preparation Activities	Output of the PPG Activities	Trust Fund	Grant Amount (a)	Co-financing (b)	Total c = a + b
Component 2: Project Development	<ul style="list-style-type: none"> - Defining the logical framework and work plan for the project - Definition of institutional arrangements, roles and responsibilities - Definition of a Monitoring and Evaluation Plan - Identification of quantitative and qualitative indicators -Exit Strategy (Sustainability) 	LDCF	5,000		5,000
Component 3: Consultation with key stakeholders	<ul style="list-style-type: none"> - Mobilize and engage stakeholders - Determining priorities and forge expanded partnerships - Community Mobilization 	LDCF		36,500	36,500
Component 4: Develop a financial plan and co-funding scheme	<ul style="list-style-type: none"> - Negotiate with Government Counterpart - Explore Multilateral and Bilateral funding opportunities - Obtain official endorsement letters and guarantees 	LDCF	20,000	5,000	25,000
Total Project Preparation Financing			50,000	50,000	100,000

C. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)

	Project Preparation	Agency Fee
Grant Amount	50,000	5,000
Co-financing	50,000	
Total	100,000	5,000

D. PPG AMOUNT REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)¹


Trust Fund	GEF Agency	Focal Area	Country Name/ Global	(in \$)		
				PPG (a)	Agency Fee (b)	Total c = a + b
(select)	(select)	(select)				
(select)	(select)	(select)				0
Total PPG Amount				0	0	0

E. PPG BUDGET

Cost Items	Total Estimated Person Weeks (PW)**	GEF (\$)	TRAC	Co-financing (\$)	Total (\$)
Local consultants*	17.0	0	17,000	17,000	17,000
International consultants*	10.0	20,000	0	0	20,000
Management (Consultation, activity coordination and reporting)		0	19,000	19,000	19,000
Mapping (satellite image purchase + GIS)		10,000	0	0	10,000
Professional Services (translation and audit)		0	5,000	5,000	5,000
Travel		20,000	9,000	9,000	29,000
Total PPG Budget		50,000	50,000	50,000	100,000

F. GEF AGENCY(IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF LDCF/SCCF Trust Fund criteria for project identification and preparation.

Agency Coordinator, Agency Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Yannick Glemarec		December 20, 2011	Mame Dagou Diop (G-LECRD)	27718738 492	mame.diop@undp.org

Annex A

Consultants Financed by the Project Preparation Grant (PPG)

Type of Consultant	Position / Titles	\$/ Person Week¹	Estimated PWs²	Tasks to be Performed
National	Climate Specialist	2000	3,00	<ul style="list-style-type: none">– Provide information regarding anticipated weather forecasts, seasonal weather predictions and projected long-term climate trends are essential for understanding current climate-related hazards and potential future hazards in selected communes;– Provide information for current environmental change and deviations from past practice, as well as identification of possible new trends for better-targeted and designed solution finding by local decision-makers– Assess councilors and technical extension workers needs’ on information related to opportunities and threats associated with long-term climate change and on real-time information to community-based decision-makers and communities at risk important to enable them to monitor the evolution of detected climate risks and opportunities;– Assess needs on relevant climate information and hydro-meteorological advisories, high-resolution satellite data, other climate risk management tools (e.g. maps) and information on climate change impacts– Support the validation of project’s problem and barrier analysis– Help determine the project’s system boundaries and scope– Support the articulation of additionality of proposed outcomes and activities in the project document– Control quality of inputs and outputs of national consultant on climate risk

Type of Consultant	Position / Titles	\$/ Person Week ¹	Estimated PWs ²	Tasks to be Performed
Local	VRA Specialist	1000	6.00	<ul style="list-style-type: none"> – Solicit community observations of climate change to complement the scientific information; – Analyze impact of current and future climate hazards (events and conditions) on livelihoods – Support identifying, understanding, quantifying and assessing the degree to which a rural community is vulnerable to climate change and variability, and its capacity to cope and adapt to anticipated impacts. – Support vulnerable communities to establish strategies for coping with historic climate variability, and to introduce new strategies to adjust to new climatic experiences. – Support the identification of priority strategies for climate-resilient livelihoods at individual and household level.
Local	Socio economist	1000	7.00	<ul style="list-style-type: none"> – Identify resources important to livelihoods; – Analyze access to and control over critical livelihoods resources for different groups; – Conduct gender analysis to understand differential vulnerability between men and women: – Identify social groups that are particularly vulnerable to climate change – Identify livelihood groups or economic sectors that are particularly vulnerable to climate change; – Evaluate current coping strategies for effectiveness and sustainability; – Identify priority adaptation issues in the target area, and to develop strategies to address these issues at household/individual levels. – Identify priority strategies for climate-

Type of Consultant	Position / Titles	\$/ Person Week ¹	Estimated PWs ²	Tasks to be Performed
				<p>resilient livelihoods at individual and household level:</p> <ul style="list-style-type: none"> – Establish the baseline situation/scenario as regards on-going and planned investments at local level – Conduct gender sensitive assessment of adaptation options and provide gender sensitive indicators – Support design of on-the-ground pilot adaptation measures and prepare pre-feasibility studies and costs/benefits analysis; – Stakeholder analysis/input/ID capacity barriers & opportunities, engagement plans FSP.
Local	Institutional, policy expert	1000	4.00	<ul style="list-style-type: none"> – Analyze relevant policies and plans at local levels to determine opportunities and barriers for adaptation – Conduct gap and need analysis institutional and individual capacity to support CBA; – Develop methodology for integrating effective climate risk management into local development plan and enable more climate-smart investment – Evaluate participation (particularly of vulnerable groups) in policy decisions at national and local levels – Identify sources of support for capacity development efforts in CBA projects; – develop the adaptation organization framework; – Define roles and responsibilities and confirm suitable institutional, implementation arrangements; – Organize and facilitate a series of technical

Type of Consultant	Position / Titles	\$/ Person Week ¹	Estimated PWs ²	Tasks to be Performed
				workshops and consultative workshops <ul style="list-style-type: none"> – Stakeholder analysis/input/ID capacity barriers & opportunities, engagement plans FSP.
Local	Agro-pastoralist and Sustainable Land Management specialist	1000	4.00	<ul style="list-style-type: none"> – Identify priority strategies for climate-resilient livelihoods at individual and household level; – Support design of on-the-ground pilot adaptation measures and prepare pre-feasibility studies and costs/benefits analysis – Stakeholder analysis/input/ID capacity barriers & opportunities, engagement plans FSP.
Local	Microfinance expert	1000	2.00	<ul style="list-style-type: none"> – Evaluate minimum conditions for start-up and conditions for sustained scaling up financing mechanisms that enable investment in more resilient productive practices and diversified livelihoods – Support design of on-the-ground pilot adaptation measures and prepare pre-feasibility studies and costs/benefits analysis – Stakeholder analysis/input/ID capacity barriers & opportunities, engagement plans FSP.
International	Gef/Ldcf Climate Change Adaptation Specialists	2000	10.00	<ul style="list-style-type: none"> – Lead a team to implement PPG activities according to an agreed work plan – Control quality of inputs and outputs of all consultants and subcontractors – Validate the problem statement, underlying causes, preferred solution and barrier analysis – Determine, through analysis and consultations, the project's system boundaries

Type of Consultant	Position / Titles	\$/ Person Week ¹	Estimated PWs ²	Tasks to be Performed
				<p>and scope</p> <ul style="list-style-type: none"> – Based on stakeholder consultations and findings of other assessments, define the logical framework, work plan and budget for the project – Articulate the additionality of proposed outcomes (and associated SMART indicators), outputs and activities (relative to baseline development orientated outcomes and outputs) in the project document – Demonstrate, based on analysis, cost-effectiveness of the project's interventions – Investigate potential options for the project's institutional and implementation arrangements including capacity assessments of the IP – Design a M&E component (including learning mechanisms)) – Develop the project's Replication and Exit Strategy – Develop the project's sustainability strategy – Develop co-funding and financing partnerships – Stimulate partnerships and help leverage resources from relevant ongoing/planned programmes and projects – Provide input towards the development of a UNDP/LDCF Prodoc