



# GEF-6 REQUEST FOR PROJECT ENDORSEMENT/APPROVAL

PROJECT TYPE: Full-sized Project

TYPE OF TRUST FUND: Least Developed Countries Fund

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## PART I: PROJECT INFORMATION

Project Title: Flood hazard and climate risk management to secure lives and assets in Mali			
Country(ies):	Republic of Mali	GEF Project ID: <sup>1</sup>	5855
GEF Agency(ies):	UNDP	GEF Agency Project ID:	5236
Other Executing Partner(s):	Agence pour l'Environnement et le Développement Durable (AEDD), Agence Nationale de la Météorologie (Mali-Meteo), Directorate of Hydraulic, Directorate General of Civil Protection (DGPC), local governments	Submission Date:	21 April 2016
GEF Focal Area (s):	Climate Change Adaptation	Project Duration (Months)	60
Integrated Approach Pilot	IAP-Cities <input type="checkbox"/> IAP-Commodities <input type="checkbox"/> IAP-Food Security <input type="checkbox"/>	Corporate Program: SGP	<input type="checkbox"/>
Name of Parent Program	n/a	Agency Fee (\$)	847,875

## A. FOCAL AREA STRATEGY FRAMEWORK AND OTHER PROGRAM STRATEGIES<sup>2</sup>

Focal Area Objectives/Programs	Focal Area Outcomes	Trust Fund	(in \$)	
			GEF Project Financing	Co-financing
CCA-1	Outcome 1	LDCF	3,135,000	20,178,763
CCA-2	Outcome 2	LDCF	1,939,000	13,911,727
CCA-3	Outcome 3	LDCF	3,851,000	17,656,417
<b>Total project costs</b>			<b>8,925,000</b>	<b>51,746,907</b>

## B. PROJECT DESCRIPTION SUMMARY

Project Objective: Preparing municipalities and local governments to manage flood hazards and climate risks and secure lives and assets in Mali						
Project Components/Programs	Financing Type <sup>3</sup>	Project Outcomes	Project Outputs	Trust Fund	(in \$)	
					GEF Project Financing	Confirmed Co-financing
1. Strengthened technical capacity of municipal and village authorities to improve flood early warning systems and dissemination of climate-related risk information.	INV	Strengthened technical capacity of municipal and village authorities to improve flood early warning systems and dissemination of climate-related risk information.	1.1: A sound climate information system comprising devices operating 24 hours a day to monitor and forecast flood risks and hazards is established.  1.2: Early warning and quick-response systems are developed to increase the resilience of vulnerable local communities in the	LDCF	3,135,000	20,178,763

<sup>1</sup> Project ID number remains the same as the assigned PIF number.

<sup>2</sup> When completing Table A, refer to the excerpts on [GEF 6 Results Frameworks for GETF, LDCF and SCCF](#).

<sup>3</sup> Financing type can be either investment or technical assistance.

			<p>intervention sites.</p> <p>1.3: Risk mapping combining flood risks with socio-economic indicators – including inter alia population-related indices, land value, land uses, assets – is undertaken.</p> <p>1.4: An education programme and awareness-raising campaign is undertaken within schools and local communities to build a culture of safety and resilience to floods.</p>			
2. Addressing flood risk management into medium and long term planning process at the local level	INV	Effective flood risk management mainstreamed into the relevant development planning policies and budgetary processes to increase the resilience of local communities.	<p>2.1: Commune-specific Flood Risk Reduction Plans (FRRPs) with locally-appropriate strategies and interventions to decrease the vulnerability of local communities to floods are developed.</p> <p>2.2: Design, harmonise and enhance existing building and settlement codes to decrease vulnerability of local communities to floods.</p> <p>2.3: Financial strategies are developed and implemented to improve the financial capacity of local authorities to respond timely to climate-related hazards, in particular floods.</p> <p>2.4: The technical capacity of the relevant national and local authorities on climate risk management planning as well as flood prevention and reduction measures is enhanced.</p>	LDCF	1,514,000	12,611,727
3. Climate-resilient investment to reduce risks of highly exposed communities.	INV	Climate-resilient flood risk management and reduction techniques transferred to local communities	<p>3.1: Flood risk reduction interventions are implemented to increase water infiltration and reduce soil erosion.</p> <p>3.2: Flood risk reduction</p>	LDCF	3,851,000	17,656,417

		within the targeted communes to decrease their vulnerability.	interventions are implemented to reduce the vulnerability of human lives and infrastructure.			
			Subtotal		8,500,000	50,446,907
			Project Management Cost (PMC) <sup>4</sup>		425,000	1,300,000
			<b>Total project costs</b>		<b>8,925,000</b>	<b>51,746,907</b>

**C. CONFIRMED SOURCES OF CO-FINANCING FOR THE PROJECT BY NAME AND BY TYPE**

Please include evidence for co-financing for the project with this form.

Sources of Co-financing	Name of Co-financier	Type of Cofinancing	Amount (\$)
National Government	Mali-Météo	Cash	24,690,000
National Government	Government of Mali/Federal Government of Germany	Cash	12,327,411
National Government	Government of Mali	Cash	4,929,496
Local government	UNDP	Cash	6,000,000
National Government	AEDD	Cash	2,500,000
GEF Agency	UNDP	In-kind	800,000
National Government	AEDD	In-kind	500,000
<b>Total Co-financing</b>			<b>51,746,907</b>

**D. TRUST FUND RESOURCES REQUESTED BY AGENCY(IES), COUNTRY(IES) AND THE PROGRAMMING OF FUNDS**

GEF Agency	Trust Fund	Country Name/Global	Focal Area	Programming of Funds	(in \$)		
					GEF Project Financing (a)	Agency Fee <sup>a)</sup> (b) <sup>2</sup>	Total (c)=a+b
UNDP	LDCF	Mali	CC-A	(select as applicable)	8,925,000	847,875	9,772,875
<b>Total Grant Resources</b>					<b>8,925,000</b>	<b>847,875</b>	<b>9,772,875</b>

a ) Refer to the Fee Policy for GEF Partner Agencies

**E. PROJECT'S TARGET CONTRIBUTIONS TO GLOBAL ENVIRONMENTAL BENEFITS<sup>5</sup>**

Provide the expected project targets as appropriate.

Corporate Results	Replenishment Targets	Project Targets
1. Maintain globally significant biodiversity and the ecosystem goods and services that it provides to society	Improved management of landscapes and seascapes covering 300 million hectares	0 hectares
2. Sustainable land management in production systems (agriculture, rangelands, and forest landscapes)	120 million hectares under sustainable land management	0 hectares
3. Promotion of collective management of transboundary water systems and implementation of the full range of	Water-food-ecosystems security and conjunctive management of surface and groundwater in at least 10 freshwater basins;	0 Number of freshwater basins

<sup>4</sup> For GEF Project Financing up to \$2 million, PMC could be up to 10% of the subtotal; above \$2 million, PMC could be up to 5% of the subtotal. PMC should be charged proportionately to focal areas based on focal area project financing amount in Table D below.

<sup>5</sup> Update the applicable indicators provided at PIF stage. Progress in programming against these targets for the projects per the *Corporate Results Framework* in the GEF-6 Programming Directions, will be aggregated and reported during mid-term and at the conclusion of the replenishment period.

policy, legal, and institutional reforms and investments contributing to sustainable use and maintenance of ecosystem services	20% of globally over-exploited fisheries (by volume) moved to more sustainable levels	<i>0 Percent of fisheries, by volume</i>
4. Support to transformational shifts towards a low-emission and resilient development path	750 million tons of CO <sub>2e</sub> mitigated (include both direct and indirect)	<i>0 metric tons</i>
5. Increase in phase-out, disposal and reduction of releases of POPs, ODS, mercury and other chemicals of global concern	Disposal of 80,000 tons of POPs (PCB, obsolete pesticides)	<i>0 metric tons</i>
	Reduction of 1000 tons of Mercury	<i>0 metric tons</i>
	Phase-out of 303.44 tons of ODP (HCFC)	<i>0 ODP tons</i>
6. Enhance capacity of countries to implement MEAs (multilateral environmental agreements) and mainstream into national and sub-national policy, planning financial and legal frameworks	Development and sectoral planning frameworks integrate measurable targets drawn from the MEAs in at least 10 countries	<i>Number of Countries: 0</i>
	Functional environmental information systems are established to support decision-making in at least 10 countries	<i>Number of Countries: 0</i>

**F. DOES THE PROJECT INCLUDE A “NON-GRANT” INSTRUMENT? No**

(If non-grant instruments are used, provide an indicative calendar of expected reflows to your Agency and to the GEF/LDCF/SCCF Trust Fund) in Annex D.

**PART II: PROJECT JUSTIFICATION**

**A. DESCRIBE ANY CHANGES IN ALIGNMENT WITH THE PROJECT DESIGN WITH THE ORIGINAL PIF<sup>6</sup>**

1. No changes in alignment with the project design of the original PIF have been made at PPG stage. While the wording of the project outcomes and outputs have been altered to make them more flood-specific, they remain based on the same underlying principles. In addition, the revisions to the outputs makes the project more relevant to the Malian context thereby addressing the priorities expressed by the Government of Mali, local communities and other relevant stakeholders. These consultations were used to refine the outputs in order to achieve the desired developmental outcomes in accordance with the original PIF. These revisions are presented in the table below.

<b>Output as written in PIF</b>	<b>Output revised during the PPG</b>
1.1. Establish sound climate information systems and devices operating 24 hours a day for monitoring and forecasting flood hazards and providing reliable warnings using mobile phone platforms;	1.1. A sound climate information system comprising devices operating 24 hours a day to monitor and forecast flood risks and hazards is established.
1.2. Develop early warning and quick-response systems including distributing early warning information	1.2. Early warning and quick-response systems are developed to increase the resilience of vulnerable local communities in the intervention sites.
1.3. Undertake climate hazard analysis combining flood hazard mapping with socio-economic indicators (e.g. population maps, land value, assets and land use information) to derive associated risks;	1.3. Risk mapping combining flood risks with socio-economic indicators – including inter alia population-related indices, land value, land uses, assets – is undertaken.

<sup>6</sup> For questions A.1 –A.7 in Part II, if there are no changes since PIF, no need to respond, please enter “NA” after the respective question.

1.4. Develop and role out education programme among school children to build a culture of safety and resilience from floods and other climate change related hazards.	1.4. An education programme and awareness-raising campaign is undertaken within schools and local communities to build a culture of safety and resilience to floods.
2.1. Develop Flood Risk Reduction plans for Municipalities and villages (FRRP) that include local strategies, ,and concrete steps on how to reduce the risks from floods;	2.1. Commune-specific Flood Risk Reduction Plans (FRRPs) with locally-appropriate strategies and interventions to decrease the vulnerability of local communities to floods are developed..
2.2. Design, harmonize and enhance existing building & settlement codes to address resilience to climate change induced flooding;	2.2. Design, harmonise and enhance existing building and settlement codes to decrease vulnerability of local communities to floods.
2.3. Develop financial strategies to ensure adequate financial capacity and rapid release of funds, thus enabling emergency response, reconstruction of public assets and infrastructure and targeted financial assistance.	2.3. Financial strategies are developed and implemented to improve the financial capacity of local authorities to respond timely to climate-related hazards, in particular floods.
2.4. Targeted training of national and local authorities responsible for climate risk management in advanced methods of forward looking climate risk management planning and flood prevention measures;	2.4. The technical capacity of the relevant national and local authorities on climate risk management planning as well as flood prevention and reduction measures is enhanced.
3.1. Climate risk reduction measures implemented such as bank terracing, vegetative buffers, etc. implemented to increase the infiltration and reduce erosion	3.1. Flood risk reduction interventions are implemented to increase water infiltration and reduce soil erosion.
3.2. Structural measures, such as embankments, dykes, levees and floodwalls, etc., financed to protect human health and safety, and valuable goods and property.	3.2. Flood risk reduction interventions are implemented to reduce the vulnerability of human lives and infrastructure.

No outputs, originally written into the PIF, were removed during the PPG phase.

## **A.1. Project Description.**

### **1) The global environmental and/or adaptation problems, root causes and barriers that need to be addressed.**

1. The Republic of Mali (hereafter Mali) is a landlocked Least Developed Country (LDC) in West Africa that extends across 1,241,238 km<sup>2</sup> of the Sahel-Saharan region. Mali is characterised by a relatively uniform and flat topography with an average altitude of ~500 metres above sea level. Currently, the population of Mali is estimated to be 16,955,536 people<sup>7</sup>, of which ~75% live in rural areas. Poverty is widespread, with approximately half of the population living below the international poverty line of US\$ 1.25 per day<sup>8</sup>. In 2012, poverty was further exacerbated by political instability in the country whereby the growth of the economy declined from 6% to -1.2%. These socio-economic and political conditions have undermined the ability of the Government of Mali (GoM) to achieve national development objectives such as those defined by the National Poverty Reduction and Growth Strategy Paper (CSCR). This strategy promotes the sustainable development of the agricultural, fisheries, mining and energy sectors. However, several underlying barriers are likely to hinder Mali's socio-economic development. These barriers include *inter alia* the current degradation of the environment and natural resources, as described below.

2. The growth of the agricultural sector over the past decade has been underpinned by the expansion of cultivated land. This expansion of cultivated land, coupled with the widespread practice of slash and burn

<sup>7</sup> CIA World Factbook. Available at <https://www.cia.gov/library/publications/the-world-factbook/geos/ml.html>. [Accessed on: 04 October 2015].

<sup>8</sup> UNICEF. 2013. Mali Statistics. Available at [http://www.unicef.org/infobycountry/mali\\_statistics.html](http://www.unicef.org/infobycountry/mali_statistics.html). Accessed on 15 October 2015.

agriculture, has led to the large-scale removal of natural vegetation<sup>9</sup>. In addition to this degradation, the unsustainable harvesting of woodfuel – which accounted for ~90% of household energy use in 2005 – is causing extensive deforestation. Overall, the expansion of unsustainable agricultural practices combined with the increased demand for woodfuel has led to widespread ecosystem degradation in Mali. Decreased soil fertility and increased erosion resulting from widespread ecosystem degradation mentioned above have led to a decrease in agricultural productivity and the abandonment of cultivated lands<sup>10</sup>.

3. Since the 1970's, an increase in average temperature has been observed across Mali. This trend is expected to continue, and climate models predict that by 2080 Mali's mean annual temperature will increase by 3-4°C relative to the annual temperature in 1980<sup>11</sup>. This represents a predicted temperature increase that is 1.5 times the global average, which will occur throughout all seasons but will be more pronounced in the rainy season<sup>12</sup>. In addition to increased temperatures, an observed change in climate in Mali is a decrease in average annual rainfall. For example, during the period 1971-2000, an average 20% decrease in rainfall was observed across the country relative to 1951-1970<sup>13</sup>. According to future climate scenarios, Mali will experience a decrease in average rainfall ranging from 5-10% from 2050 onwards relative to the period 1960-1990<sup>14</sup>. An increase in the spatial and temporal variability of rainfall is also likely to be observed. The variations in temperature and rainfall over the last few decades have been further compounded by climate-related hazards such as droughts, floods, strong winds, sand storms and heat waves<sup>15</sup>. It is predicted that the intensity and frequency of these climate-related hazards will increase under future conditions of climate change<sup>16</sup>. This will include an increase in intense rainfall events, which will in turn increase the occurrence of floods. The resulting effect is an increasing threat to lives and the built infrastructure in the country<sup>17</sup>.

4. As a result of climate change, Mali is increasingly experiencing floods. From 1980-2007, two significant floods were recorded that collectively impacted over 3,000,000 people<sup>18</sup>. In addition, the floods experienced in Bamako in August 2013, affected over 34,000 people out of which ~20,000 were displaced<sup>19</sup>. These floods resulted in the death of 37 people and the loss of 280 homes in the capital city of Bamako<sup>20</sup>. In 2014, 98.5% of economic losses as a result of disasters are attributed to floods amounting to US\$ 45,000,000 per year<sup>21</sup>. The areas most affected by floods over the last 30 years are located within the Niger delta<sup>22</sup> and include *inter alia* Bamako, Timbuktu, Gao, Mopti, Ségou, Kayes, Koulikoro and Sikasso<sup>23</sup>. Some of the floods experienced in Mali have reported to damage over 12,000 hectares of crops thereby negatively affecting the livelihoods.

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<sup>9</sup> It is estimated that natural vegetation is removed from ~400,000 hectares of land annually. Source: UNEP. 2005. Connecting ecosystems and poverty in Mali.

<sup>10</sup> Climate change and poor management of natural resources. 2008.

<sup>11</sup> Since the 1970's, temperatures in the Sahel region<sup>11</sup> have increased by 0.2-0.8°C relative to the average and the rate of increase has been more rapid than the global trend Source: Ministry of Environment and Sanitation: Environment and Sustainable Development Agency. 2011. Mali climate audit.

<sup>12</sup> The rainy season extends over 3 to 6 months and occurs from May to October in the south and from July to September in the north. Source: Ministry of Environment and Sanitation: Environment and Sustainable Development Agency. 2011. Mali climate audit.

<sup>13</sup> Since the 1970's, a greater temperature variation has been experienced in the areas characterised by a Sahelian climate as compared to other parts of Mali. Source: Ministry of Environment and Sanitation: Environment and Sustainable Development Agency. 2011. Mali climate audit.

<sup>14</sup> Ministry of Environment and Sanitation: Environment and Sustainable Development Agency. 2011. Mali climate audit.

<sup>15</sup> Ibid.

<sup>16</sup> Ministry of Environment and Sanitation: Environment and Sustainable Development Agency. 2011. Mali climate audit.

<sup>17</sup> Ibid.

<sup>18</sup> During the same period, five major droughts were experienced. Of these, the most severe droughts occurred in 1980 and 2005 impacting ~1,500,000 and 1,000,000 inhabitants respectively and leading to the loss of lives, plantations and livestock. Source: EU. 2014. Update of Mali's environmental profile.

<sup>19</sup> OCHA. 2013. Rainy season overview: West and Central Africa.

<sup>20</sup> Ibid.

<sup>21</sup> Prevention web. Mali: Disaster and risk profile. 2014. Source: <http://www.preventionweb.net/countries/mli/data/>.

<sup>22</sup> The delta is inhabited by ~1 million people with the main town being Mopti with ~75,000 people. It is one of Mali's most significant producing areas with the three main production systems being livestock, agriculture and fisheries. Source: World Meteorological Organisation. 2004. The associated programme on flood management: Mali flood management-Niger River Inland Delta.

<sup>23</sup> Ministry of Environment and Sanitation: Environment and Sustainable Development Agency. 2011. Mali climate audit.

5. Under the predicted conditions of climate change, an increasing number of climate-related hazards such as floods and heat waves is likely to occur. These hazards are predicted to increase in severity and frequency under future climatic conditions<sup>24</sup>. An increase in the severity and frequency of this hazard is likely to result in a larger number of flood-induced human deaths, people displaced, damages to houses and public infrastructure, and loss of crops. The above consequences of floods will have significant socio-economic impact in the country. Economic losses exceeding US\$ 45,000,000 are likely to occur thereby undermining the GoM's efforts to address poverty and socio-economic development in the country.

6. The underlying causes of vulnerability of the Malian population to floods are:

- *Poverty*. Impoverished households are vulnerable to floods because of: i) widespread reliance on natural resource-based livelihoods, which are threatened by floods<sup>25</sup>; ii) limited availability of alternative livelihood options; and iii) limited technical and financial capacity to develop and implement adaptation interventions.
- *Land degradation*. There is widespread degradation of natural ecosystems because of inappropriate environmental management, overgrazing by livestock, unsustainable harvesting of woody vegetation for woodfuel, and the removal of natural vegetation to support agricultural expansion. Land degradation increases the severity of the effects of floods through the reduced infiltration of rainwater by degraded soils. In addition, land degradation results in increased soil erosion.
- *Limited financial resources*. The GoM is limited in its capacity to finance a national response to climate change, including funding of investments in adaptation measures such as prediction, protection and management of floods.
- *Settlement pattern within the delta*. Prolonged droughts since the 1970s have been experienced in the northern parts of Mali which have increased internal migration from the north to water resources in the south<sup>26</sup>. The resulting effect of the rapid influx of people to the densely populated south of Mali is that many houses and infrastructure have been established on floodplains, river beds and basins. People living in these flood-prone areas are highly exposed to floods and are therefore vulnerable. In Mali, floods have resulted in the loss of many lives, livelihoods and houses as well as severe damage to structures.

7. To improve the economy in the face of ongoing socio-political challenges, ecosystem degradation and climate change, the GoM developed several sectoral and cross-sectoral policies, plans and strategies. These include *inter alia* the CSCR, the National Policy on the Protection of the Environment (PNPE), the National Strategy on Sustainable Development (SNDD), the National Adaptation Programme of Action (NAPA), and the National Plan for Multi Risk Preparation and Emergency Disaster Response (PNMRRRC).

However, the implementation of these policies and strategies has been limited. Contributory factors include weak institutional capacity and incoherence between existing policies and strategies. The barriers hindering the GoM's capacity to address floods in the country are discussed in the next section.

#### *Barriers to increasing Mali's capacity to reduce and manage floods*

8. There are several institutional, technical and financial barriers to effectively reduce and manage the effects of floods in Mali. The Least Developed Countries Fund (LDCF)-financed project will contribute towards overcoming the barriers limiting the implementation of effective flood reduction and management interventions by local government authorities in Mali, as listed below.

- *Limited capacity of national institutions to effectively predict floods and other climate-related risks*. At present, the availability and accessibility of reliable weather data is not sufficient to enable accurate

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<sup>24</sup> Ministry of Environment and Sanitation: Environment and Sustainable Development Agency. 2011. Mali climate audit.

<sup>25</sup> IFAD. 2012. Evaluation of the environment and climate change in Mali.

<sup>26</sup> This migration pattern has furthered urbanisation in Mali. It is predicted that urbanisation will increase from 36% in 2010 to 60% by 2024, largely as a result of the predicted increase in frequency and severity of droughts in the north under the conditions of climate change and political instability. Source: International Organisation for Migration. 2013. The Mali migration at a glance.

prediction of rainfall and resultant flood risks by Mali-Météo and the DNH<sup>27</sup>. These institutions therefore have limited capacity to generate weather-related information to disseminate timely early warnings in the event that a flood is predicted. In addition, the national system for issuing flood warnings to the public is currently ineffective, particularly in rural areas. Flood warnings are broadcasted via means and languages that are not accessible to all local communities.

- *Limited knowledge and application of adaptation measures related to flood management.* In Mali, the authorities responsible for the design and application of flood protection measures – including *inter alia* Mali-Météo, the DNH and the DGPC – do not have sufficient experience developing or implementing effective flood protection measures. This is because over the past 30 years, measures to manage the effects of droughts have been prioritised in the country over flood disaster risk preparedness and recovery.
- *Limited skills and resources of planning authorities at local level* (municipalities and villages) to efficiently carry out responsibilities pertaining to flood risk management. An integrated approach to climate change adaptation is dependent on: i) the availability of data; ii) locally-appropriate methodologies; and iii) technical expertise to assess the potential physical and economic impacts of climate change and associated flooding in the country. The quality and availability of such data and expertise within Mali's local authorities is insufficient to develop detailed and locally-appropriate climate change adaptation strategies. Furthermore, there is minimal technical and financial support to local authorities to develop and implement flood adaptation interventions. In Mali, the ongoing processes of land use planning and urban planning are generally undertaken with limited consideration of factors related to climate change, including predictions of future vulnerability to climate-related hazards such as floods<sup>28</sup>. For example, the design of Bamako's urban drainage systems did not include adequate consideration of the likely volume of annual floodwaters. Consequently, past flood events have been characterised by widespread sanitation problems and damage resulting from floodwaters of up to four metres<sup>29</sup>.
- *Limited transmission of information and warning to the relevant local communities.* The existing EWS was developed to cater for the Niger delta in Mali<sup>30</sup> and warns communities by means of: i) radio; ii) internet<sup>31</sup>; iii) phone calls to local key stakeholders to reach villages; and iv) weekly news bulletins. However, such warnings are provided for large seasonal flooding events but exclude flash floods<sup>32</sup>. Moreover, the majority of the population – particularly the rural population of Mali – are unable to access these warnings.

9. Although no single initiative can address all of the barriers mentioned above, the LDCF-financed project will deliver complementary outcomes to contribute towards overcoming these barriers. The LDCF-financed project will also support furthering the CSCR, the National Plan for Multi Risk Preparation and Emergency Disaster Response (PNMRR), the NAPA, and Sustainable Development Goals (SDGs) 3, 5, 6, 9, 11 and 13. Please see Appendix 1 for the alignment of the LDCF-financed project with the national and international priorities contained in these documents.

10. An increasing number of floods has been experienced in the last 30 years in Mali, which have resulted in human deaths and loss of livelihoods. As previously stated in Section I, floods experienced from 1980-

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<sup>27</sup> McSweeney, C., New, M. & Lizcano, G. 2010. UNDP Climate Change Country Profiles: Mali. <http://country-profiles.geog.ox.ac.uk>.

<sup>28</sup> Green Climate Fund Project/Programme Concept Note. 2015.

<sup>29</sup> Ibid.

<sup>30</sup> Cools, J. & Innocenti, D. 2014. Input paper prepared for the 2015 global assessment report on disaster risk reduction. The United Nations Office for Disaster Risk Reduction, Geneva.

<sup>31</sup> <http://www.opidin.org/en> is an early warning system tool designed for the Inner Niger Delta to predict the level and the timing of the flood peak as well as the maximal flood extent.

<sup>32</sup> Cools, J. & Innocenti, D. 2014. Input paper prepared for the 2015 global assessment report on disaster risk reduction. The United Nations Office for Disaster Risk Reduction, Geneva.



2007 have adversely affected over 3,000,000 Malians<sup>33</sup> and are predicted to increase in frequency and severity under conditions of climate change. The LDCF-financed project will contribute towards achieving the long-term solution which is to manage and reduce the negative impacts of floods on communities and infrastructure in Mali. The theory of change adopted for this LDCF-financed project comprises addressing the barriers discussed in Section I while contributing to the preferred solution discussed below through the delivery of Outcomes 1, 2 and 3. The theory of change diagram is at the end of this section. The Problem Tree leading to the development of the theory of change is attached as Appendix 2. The preferred solution pertains to increasing the resilience of local communities to floods in Mali and comprises the following:

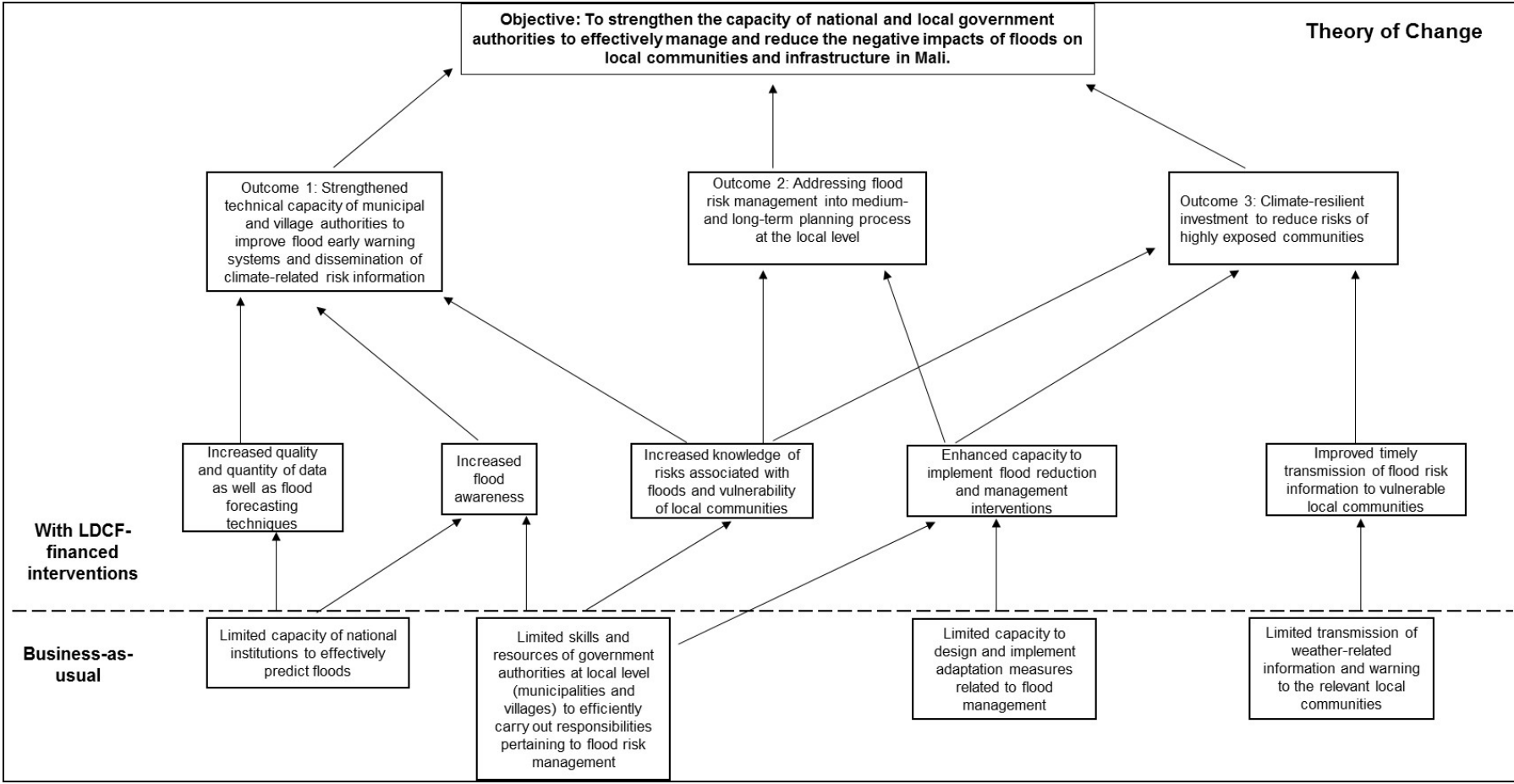
- *Increased knowledge of risks associated with flooding to support the development of locally-appropriate plans and approaches.* The preferred solution would include strengthening the capacity of the relevant authorities in Mali – such as Mali-Météo, the DNH and the General Directorate for Civil Protection (DGPC) – to identify which regions are the most vulnerable to flooding under the predicted conditions of climate change. Through strengthening government capacity, the capability for using Geographic Information System (GIS) to map flood risks would be enhanced. In so doing, variables such as population density, land value, asset types and land use would be taken into account. Based on the mapping, locally-appropriate plans would be developed by municipal- and village-level authorities to reduce the risks related to floods. These plans would consider and include: i) modern techniques for flood risk reduction including *inter alia* the use of geospatial analyses and modelled climate change predictions to identify flood-vulnerable sites; and ii) traditional flood management measures used by communities, such as the construction of levees. In addition, technical staff from national and local government authorities, including *inter alia* Mali-Météo, DNH, DGPC and municipalities, will receive training on a wide range of approaches to flood protection such as the establishment of dykes and vegetative buffers thereby increasing their technical capacity to use these approaches. Furthermore, the above-mentioned increased availability of information and technical capacity of the government authorities to respond to flood risks would support the adoption of a flexible approach to the management of floods according to the best available evidence at a particular point in time. This approach would be regularly updated in accordance with emerging information.
- *Implementation of flood management measures.* The preferred solution would include the identification and establishment of locally-appropriate flood management measures at sites that are identified as being at risk of flooding, both in the short- and the long-term as a result of predicted climate change impacts. Flood management measures would be complemented by the enhanced capacity of authorities – such as the DGPC, MEADD and Environment and Sustainable Development Agency (AEDD) – to integrate considerations related to flood risks into urban planning and infrastructural development. For example, existing building and settlement codes would be updated and revised to ensure that future infrastructural development includes consideration of flood risks in a meaningful manner. The revision of building codes would also incorporate measures to ensure that future drainage systems are designed to take the predicted frequency and severity of future flood risks into account. Such designs would be based on measured historical extremes of flood water levels and projected climate-related flood risks. Furthermore, the revision of building and settlement codes would include measures to ensure that infrastructural development incorporates adequate consideration of future needs and risks related to public sanitation. In so doing, the risks of outbreak of water-borne diseases associated with flooding – in both urban and rural areas – would be reduced. The implementation of these improved codes to include flood risks in infrastructural development would enhance the effectiveness of the physical flood management measures applied.
- *Increased flood preparedness.* The preferred solution would increase capacity at both the national – DGPC, MEADD, AEDD – and municipal level to respond timely to climate-related hazards, particularly floods. National disaster preparedness would be enhanced by establishing reliable early warning and monitoring systems. For hazards such as floods, Early Warning Systems (EWSs) based on modern

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<sup>33</sup> EU. 2014. Update of Mali's environmental profile.

technologies that are cost effective to maintain would be continuously operational – staffed 24 hours a day – and would allow for the timely and reliable dissemination of warnings to both urban and rural communities using media such as mobile phone platforms. To ensure the availability of sufficient public funds for disaster response measures, actions to increase the national preparedness for climate-related hazards would include the development of financial strategies to increase the allocation of funds to local government authorities within communes and villages to develop locally-appropriate strategies to decrease their vulnerability to floods. These financial strategies will cover: i) an emergency response; ii) the reconstruction of public assets and infrastructure; and iii) targeted financial assistance to those adversely affected by floods.

- *Increased awareness of floods and associated risks.* The preferred solution would incorporate an increased level of awareness of the risks of floods within both urban and rural communities. Capacity-building activities would include the provision of training to both national and local authorities on flood risks and management, as well as potential flood reduction methods – including management and prevention. To educate the public and increase their knowledge on climate change and associated flood risks, various forms of media would be used, including posters and radio broadcasts. In addition, education programmes would be implemented for school children, which would ensure increased long-term public awareness of flood risks and the adoption of appropriate responses during times of crisis.



11. LDCF finances will therefore be used to increase the capacity of the GoM, especially local government structures, to manage the risks of climate-related hazards such as floods. The LDCF-financed project has been designed to respond to Mali's national priorities and will support the implementation of national policies, strategies and plans. The national priorities targeted by the LDCF-financed project are detailed in Appendix 1. The institutional and policy context of this LDCF-financed project is attached as Appendix 3.

12. The objective of the LDCF-financed project is to strengthen the capacity of national and local government authorities to effectively manage and reduce the negative impacts of floods on local communities and infrastructure in Mali. To achieve this objective, the project will support improved planning and decision-making within government authorities to respond to flood risks and hazards. This enhanced capacity of national and local government authorities to plan and implement locally-appropriate flood mitigation strategies will reduce the vulnerability of local communities to the negative effects of floods. The government authorities targeted by the project include *inter alia* the DNH, AEDD, Mali-Météo, DGPC, Directorate General of Territorial Collectivities (DGCT), National Directorate of Agriculture (DNA), National Directorate of Forestry and Water (DNEF), DNACPN, National Directorate of Planning and Development (DNPD), Bureau of Radio and Television of Mali (ORTM), National Directorate of Rural Engineering (DNGR), DRC, DNAT as well as municipal and village advisors. In total, 51 local communities spread across seven communes in the districts of Bamako, Kayes and Mopti will also benefit directly from LDCF interventions.

13. The objective of the LDCF-financed project will be achieved through the delivery of three complementary outcomes. Outcome 1 will increase the availability of data and information to guide the management of flood risks in municipalities and villages selected for LDCF interventions in the districts of Bamako, Kayes and Mopti. Outcome 2 will integrate flood risk management into relevant development planning policies and budgetary processes, thereby increasing the effectiveness of local DRM, DRR and response plans to flood hazards. Outcome 3 will invest in the demonstration of multiple flood reduction measures, including the establishment of infrastructure for flood protection and flood management, to benefit 51 local communities that are vulnerable to flood risks. The site selection process is described in Appendix 4 and the mission report is attached as Appendix 5.

## 2) The baseline scenario or any associated baseline projects.

14. The LDCF-financed project will build on baseline projects to maximise benefits to the recipient local communities. Five ongoing baseline projects were identified in the country, namely: i) **annual budget of Mali-Météo to cover their operational costs** over the course of the implementation phase (co-financing of US\$ 24,690,000); ii) **Project for stormwater drainage in Bamako** (co-financing of US\$ 12,327,411); iii) **Project for the management of grey water and solid waste** (co-financing of US\$ 4,929,496); iv) **Programme for the support of the National Adaptation Strategy to Climate Change in Mali** (co-financing of US\$ 6,000,000); and v) **Support Programme for Environmental Management and the Promotion of Sustainable Development** (PAGEDD, co-financing of US\$ 2,500,000). In addition, UNDP will provide a grant in-kind to the value of US\$ 800,000 as co-financing for the LDCF-financed project. Letters of co-financing are attached as Appendix 6.

15. As a national meteorological agency, Mali-Météo is responsible for the generation of weather-related information to guide decision-making to protect lives and secure assets in the country. Over the last few years, Mali-Météo has implemented several measures to provide accurate weather-related information in the context of climate change and weather forecasting. The GoM has financially supported the strengthening of the meteorological network and the development of accurate weather forecasts to prevent losses from climate-related hazards. The LDCF-financed project will build on the current initiatives undertaken by Mali-Météo and further reinforce its hydro-meteorological network through the addition of 10 hydrological and meteorological stations, and 150 pluviographs under Outcome 1. The above-mentioned equipment measures the intensity of rain and as such they are useful instruments to predict imminent floods. The

pluviographs financed by the LDCF will increase the quality and quantity of data produced by Mali-Météo thereby enabling monitoring and predictions of floods. In addition, LDCF resources will be used to develop flood EWSs (a total of three) to disseminate early warnings in the intervention sites of Bamako, Kayes and Mopti. The establishment of these systems will strengthen Mali-Météo's capacity to deliver early warnings in the event of floods. The LDCF-financed project will deliver interventions to increase the technical capacity of Mali-Météo's technical staff to monitor weather-related information, analyse and interpret data from climate modelling software to generate accurate downscaled weather forecasts. The **annual budget of Mali-Météo to cover their operational costs** of the relevant activities of Mali-Météo to the LDCF-financed project amount to US\$ 4,938,000 which adds up to US\$ 24,690,000 considered as co-financing for the five years of project implementation.

16. The **Project for stormwater drainage in Bamako** funded by the GoM/Federal Government of Germany seeks to reduce flood risks in Bamako. This project is implemented by the MEADD from 2015 to 2020 with the objective of decreasing the vulnerability of local communities living in flood-prone areas in Bamako. To do so, the rehabilitation interventions for Tienkolé wetland in commune I and Ouéouyankou wetland in commune IV are undertaken as part of this project. The rehabilitation of these flood-prone wetlands aim to reduce flood risks thereby decreasing the vulnerability of the adjacent local communities. The LDCF-financed project will complement these flood risk reduction interventions implemented in Communes I and IV with the construction of permeable rock dams in these same communes.

17. The **project for the management of grey water and solid waste** implemented by the DNACPN/AGETIPE/CIRA and financed by the GoM is undertaking a study on the existing systems in 11 cities in Mali including Kayes and Mopti. This study is aligned with the LDCF-financed objectives because the inappropriate disposal of waste leads to blocked waterways which increases the risk of flooding following intense rainfall. The LDCF-financed project will build on the work undertaken by this project by undertaking awareness-raising campaigns with local communities on adopting appropriate waste disposal practices under Ouput 2.1.

18. The UNDP **Programme for the support of the National Adaptation Strategy to Climate Change in Mali** focuses on supporting the GoM to adopt an integrated approach to climate change and to support climate change-resilient development in the country – as stipulated in the National Adaptation Strategy to Climate Change in Mali. It is financed by the Federal Government of Germany and commissioned by the German Federal Ministry for the Environment, Nature Conservation, Building and Nuclear Safety (BMU). With a budget of US\$ 6,000,000 for the period 2014-2019, this project aims to increase the resilience of vulnerable local communities to climate change using an integrated approach to adaptation including ecosystem restoration and strengthening of production chains for ecosystem-based resources. Activities financed by the project include *inter alia*: i) the mainstreaming of climate change considerations into existing policies to inform planning; and ii) the identification of best-practice interventions to effectively manage the negative effects of climate change on local communities in collaboration with municipal authorities. In addition, this UNDP project will contribute to the expansion of the weather monitoring network through purchasing weather stations.

19. The LDCF-financed project will build on the interventions discussed above by further increasing the network of weather stations (under Outcome 1) to improve the quality and quantity of weather-related information in the country. In addition, the LDCF-financed project will further the benefits of the **Programme for the support of the National Adaptation Strategy to Climate Change in Mali** by mainstreaming the consideration of short- to medium-term flood risks into the PDESC to inform flood-resilient development planning in the intervention sites(Under Output 2.1.). The LDCF-financed project will build on the work undertaken by the project financed by the GoM/Federal Government of Germany to implement best-practice soft and hard flood risk reduction interventions. These interventions include rehabilitation of wetlands and the construction of permeable rock dams, respectively.

20. The AEDD is responsible for the coordination and the mainstreaming of environmental and climate change considerations in national – such as the PNPE – and sectoral policies. This agency currently implements the **Support Programme for Environmental Management and the Promotion of Sustainable Development** (PAGEDD) to promote sustainable development in the country and to incorporate climate change considerations in development programmes and projects. PAGEDD is implemented on a national scale. The LDCF-financed project will build on the work currently undertaken in Kayes and Mopti to increase the adaptive capacity of local communities. In addition, the work undertaken by PAGEDD in Bamako to improve the management of climate change risks will be furthered.

### **3) The proposed alternative scenario, GEF focal area strategies, with a brief description of expected outcomes and components of the project.**

The three complementary outcomes of the LDCF-financed project are discussed below.

#### ***COMPONENT 1: Strengthened technical capacity of municipal and village authorities to improve flood early warning systems and dissemination of climate-related risk information.***

#### **OUTCOME 1: Strengthened technical capacity of municipal and village authorities to improve flood early warning systems and dissemination of climate-related risk information.**

Co-financing amounts for Outcome 1: US\$ 17,936,417

LDCF project grant requested: US\$ 3,135,000

#### ***Without LDCF Intervention (baseline):***

21. Currently, the majority of information and knowledge on the predicted effects of climate change in Mali is generated by regional institutions such as AGRHIMET and ACMAD<sup>34</sup>, while several national government authorities are responsible for monitoring and forecasting Mali's climate. These government authorities are also responsible for issuing warnings on climate-related hazards and disasters, such as droughts, floods and locust plagues. The specific duties of these government authorities – including Mali-Météo – are described below.

22. The duties of Mali-Météo include *inter alia*: i) monitoring short- and long-term variables of Mali's weather and climate, such as rainfall and temperature; ii) issuing seasonal weather forecasts to assist farmers with planting preparation of crops during the wet season<sup>35</sup>; and iii) predicting and issuing warnings for climate-related hazards such as droughts. To fulfil its duties, weather data is collected by Mali-Météo from the national meteorological observation network, which comprises 190 synoptic stations, 54 climatological and agro-meteorological stations, as well as 214 rainfall observation systems. The observation network also consists of Meteosat receiver stations – established through the support of WHO<sup>36</sup>, EUMETSAT<sup>37</sup> and AGRHIMET – two cloud-seeding airplanes and eight Automatic Weather Stations (AWS). The duties of Mali-Météo are complemented by those of the DNH, which is responsible for collecting and disseminating Mali's hydrological data. The DNH also operates and maintains a network of ~90 water-level observation stations on the Niger and Senegal Rivers. The Hydro-Niger project expanded the hydrological network by equipping 24 stations with telemetry systems, which are partially operational.

23. The meteorological and hydrological monitoring networks established by Mali-Météo and the DNH collect data around large settlements and valuable infrastructure, thereby limiting the spatial coverage of these networks. This reduces the capacity of these institutions to develop downscaled weather predictions.

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<sup>34</sup> Ministry of Environment and Sanitation: Environment and Sustainable Development. 2011. Mali climate audit, Bamako.

<sup>35</sup> Ibid.

<sup>36</sup> WHO stands for World Health Organisation.

<sup>37</sup> EUMETSAT stands for European Organisation for the Exploitation of Meteorological Satellites.

In addition, the delay in the transmission of data from hydro-meteorological stations to central offices also constrains the generation of accurate, timely early warnings for floods. As a result of these constraints, detailed spatial analyses of flood risks have not been undertaken, and communities in low-lying areas – including in the districts of Bamako, Kayes and Mopti – do not receive flood early warnings.

24. While Mali has implemented an early warning system for famine<sup>38</sup>, no flood EWS has been developed at the national scale in Mali. Instead, the GoM's management approach to reduce the negative effects of floods emphasises community participation in the development and implementation of locally appropriate solutions and strategies. In alignment with this emphasis on community participation, an interdisciplinary working group was developed to collate and disseminate advice and recommendations on locally appropriate flood reduction measures for farming communities. This guidance was well received by the communities and the lessons learned by the interdisciplinary group will inform the development of similar projects in the future. Since this initiative demonstrated the value of community participation in climate-risk management, in 2014 the DGPC undertook a risk identification process in all 703 communes in Mali using a Risk Identification Form/Sheet (FICAR). The purpose of this exercise was to develop a database and map climate risks to inform the development of DRM and DRR interventions. However, this information has not been integrated into development planning processes or authorities' responses to climate-related hazards. Mali's response to flood risks and hazards therefore remains uncoordinated, *ad hoc* and without consideration of the predicted effects of climate change.

With LDCF Intervention (adaptation alternative)

25. The LDCF-financed project interventions will strengthen the capacity of GoM to generate and disseminate information on floods. In particular, this Component of the project will focus on increasing the capacity of both Mali-Météo and DNH to collect, analyse and interpret climate-related data from a network of enhanced hydro-meteorological observation stations that will be established with LDCF resources. The interpretation and analysis of the data generated by these stations will supplement existing hazard mapping by providing: i) an updated analysis of the vulnerability of local communities – see Table 1 overleaf for the list of local communities receiving hydro-meteorological stations – to floods under the predicted conditions of climate change in the short- to medium-term; and ii) the location of infrastructure and public assets located within the project's intervention sites that are at risk of floods. The project will integrate data generated by past initiatives to inform the analyses of localised flood risks. For example, data generated by the DGPC in 2014 through FICAR will be included in the flood risk analyses. Increased availability of quality data and information on site-specific flood risks will inform the development of improved models for predicting vulnerability to floods and for issuing flood early warnings. An EWS for each intervention district – three in total, i.e. Bamako, Kayes and Mopti – will be implemented and will supplement and strengthen existing systems to decrease the vulnerability of local communities to climate-related hazards.

26. Under Outcome 1, the national hydro-meteorological observation network operated by Mali-Météo and the DNH will also be extended through the provision of 10 additional meteorological and hydrological stations as well as 150 pluviographs. The selection of the specific types of monitoring equipment was undertaken in consultation with the National Hydrological and Meteorological Services (NHMS) to identify the most appropriate and cost-effective options for Mali's context. These hydro-meteorological stations will be established in flood-prone areas of the intervention sites subject to an assessment by a national meteorologist. The increased geospatial coverage of Mali's hydro-meteorological observation network will support the increased accuracy and timeliness of weather forecasts and flood early warnings.

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<sup>38</sup> An EWS that issues warnings for famine and food security crises has been developed and implemented by the Ministry of Local Authorities under the authority of the Office for Food Security. The primary function of this EWS is to identify the: i) areas and segments of the population that are vulnerable to food crises; ii) timeframe and extent of the expected food crisis; and iii) estimated needs of the affected community to prevent a food crisis. The EWS issues monthly bulletin reports which are reviewed and adopted by a working group. This information is then published and distributed as a newsletter to international, national and local agencies to inform the adoption of recommended measures and prevent potential food crises.

27. Flood risk mapping of the main assets at risk in each intervention district will be undertaken through the activities of the LDCF-financed project. To determine the impact of floods on built infrastructure, an inventory of flood-vulnerable assets and the likelihood of potential damage during flood events will be developed. The information generated by this flood risk analysis will inform a study of the potential socio-economic costs of damage and losses resulting from floods according to different flood risk scenarios.

**Output 1.1.: A sound climate information system comprising devices operating 24 hours a day to monitor and forecast flood risks and hazards is established.**

28. Under this output, the LDCF-financed project will increase the capacity of Mali-Météo, the DNH, DGPC, AEDD, as well as municipal and village authorities to monitor climate conditions and issue reliable, accurate and timely flood forecasts. The scientific community of Mali – represented by the Abderhame Baba Touré National Education Institution for Engineers (ENI-ABT) – will also increase their knowledge and expertise on climate monitoring.

29. As part of their ongoing operational practices, Mali-Météo undertakes regular assessment of the status of its existing stations and other weather-related equipment. Based on a recent evaluation, Mali-Météo has determined that 10 meteorological and hydrological stations need to extend its network to accurately forecast floods and hazards. Consequently, the strengthening of Mali’s hydro-meteorological monitoring network will include the use of LDCF resources to procure and install monitoring equipment, including *inter alia* 10 meteorological and hydrological stations and 150 pluviographs. The meteorological and hydrological stations will measure the increase in water level and rainfall intensity to complement the pluviographs. The information generated by these equipment will determine imminent flood risks in the interventions sites.

30. A national meteorologist will be appointed to determine the appropriate type of meteorological and hydrological stations required to extend Mali-Météo’s network. New meteorological and hydrological stations will be installed in 10 local communities in the districts of Bamako – see Table 1 below. The exact location of these stations will be determined by the appointed national meteorologist. This meteorologist will also train the relevant stakeholders on the operation and maintenance of these stations, as well as the monitoring and forecasting of climate-related risks.

**Table 1: Number of meteorological and hydrological stations to be installed.**

District	Circle	Commune	Number of meteorological and hydrological stations to be installed
Bamako	Bamako	Commune I	2
	Bamako	Commune IV	2
	Bamako	Commune VI	2
Kayes	Bafoulabe	Tomora	1
	Kita	Sebekoro	1
Mopti	Bandiagara	Pignari Bana	1
	Mopti	Fatoma	1

31. The suppliers of the meteorological equipment discussed above will train the relevant officials from Mali-Météo, the DNH, DGPC, AEDD, ENI-ABT as well as municipal and village authorities on the operation and daily maintenance of the equipment. In addition, the suppliers will compile simple technical guidelines on the operation and daily maintenance of the equipment to promote their sustainability. There are, however, several cultural and attitudinal barriers within Mali-Météo that restricts the use of modern technology in the weather sector. This is a result of the emphasis within Mali-Météo on conventional technologies, which are difficult to maintain by the relevant authorities. These barriers have been partially addressed through the participatory approach adopted in the design of the LDCF-financed project. The involvement of Mali-Météo in



the development of the project's interventions – to ensure that LDCF finances are used to address their needs – promotes their support during implementation phase. In addition, these barriers will be overcome through the delivery of training workshops and technical guidelines to explain the benefits of using such technologies to improve the capacity of Mali-Météo's to monitor weather. The training of the technical staff from Mali-Météo will provide the necessary information on operating and maintaining the meteorological and hydrological stations, thereby enhancing their technical capacity and reducing the prevailing attitudinal barriers.

32. By installing the monitoring equipment mentioned above within the project intervention sites, the project will increase the total geospatial coverage of the national monitoring network. This equipment will also provide the necessary data to generate flood forecasts. Mali Météo and DNH will be responsible for operating and maintaining the improved hydro-meteorological network within their ongoing line responsibilities. In addition, Mali-Météo will ensure the sustainability of the long-term operation and maintenance of the procured equipment during the project's lifespan and after its closure. A long-term maintenance plan will be compiled and implemented by the appointed national meteorologist to prevent the degradation of the stations. This long-term maintenance plan will be developed in collaboration with Mali-Météo, the DNH, DGPC, AEDD, ENI-ABT as well as municipal and village authorities.

33. To improve Mali's geospatial coverage of the national monitoring network, the existing weather stations in Kita (Kayes), Sotuba (Bamako), Douentza (Mopti) and Bandiagara (Mopti) will be repaired. These stations have been identified for repair following a regular evaluation of the status of Mali-Météo's stations and equipment. The repair of these stations will improve the quality of weather-related information generated in the country and subsequently communicated to the public. The improved weather monitoring network will therefore increase Mali-Météo's weather monitoring and forecasting capacity.

51. One of the LDCF-financed project's interventions consists of the purchasing of a climate modelling software that will be used to generate accurate downscaled weather forecasting on a national scale. An assessment of the software currently used by Mali-Météo and the demands from the private and public sectors will be undertaken to determine the climate modelling software required. Officials of Mali-Météo will be trained on the use of the software, methods for data monitoring and the interpretation of the information to generate weather forecasts for the use of the public. Journalists will also be trained to accurately convey the downscaled weather forecasts generated by Mali-Météo to the population via the radio and TV broadcasting channels – which will require working agreements between Mali-Météo and radio and TV broadcasters. These agreements will be facilitated by a national communication specialist, who will also be responsible for establishing agreements with potential sponsors.

34. In addition to generating information for the general public, the climate modelling software will allow Mali-Météo to produce tailor-made forecasts for specific sectors as a paid service. The need for tailor-made weather forecasts will be determined by a market analyst to identify the opportunities in the private weather forecasting industry in Mali. The market analysis will determine the likely revenue that can be derived from such a service by Mali-Météo. Based on the findings of the market analysis, the appointed international meteorologists will train the relevant Mali-Météo officials on generating such information. The income stream generated by this service will contribute towards maintaining, repairing and/or purchasing new weather stations to improve the quality and quantity of weather-related information in the country.

Indicative activities under Output 1.1 include:

**1.1.1.** Procure and install 10 meteorological and hydrological stations in the intervention sites – i.e. two stations in communes I, IV and VI of Bamako (six in total), and one in each selected commune in the districts of Kayes and Mopti (4 in total). Training material and guidelines on the operation and maintenance of the equipment installed will be developed and disseminated to the relevant stakeholders. In addition, a long-term maintenance plan for the meteorological and hydrological stations will be developed and implemented.

- 1.1.2. Procure and install 150 pluviographs in the intervention sites - 50 pluviographs per district. Training material and technical guidelines on the operation as well as a long-term maintenance plan will be developed and disseminated to all the stakeholders operating the pluviographs.
- 1.1.3. Undertake an equipment needs assessment of the existing weather stations in Kita, Sotuba, Douentza and Bandiagara and repair equipment as required to improve data monitoring and transmission.
- 1.1.4. Generate accurate downscaled daily, weekly and seasonal weather forecasts for the public. Undertake a market analysis to determine the needs and opportunities in the private weather forecasting industry in the country for downscaled tailor-made weather forecasts. Train the technical staff of Mali-Météo on generating and communicating such information to the private sector.

***Output 1.2.: Early warning and quick-response systems are developed to increase the resilience of vulnerable local communities in the intervention sites.***

35. LDCF resources will be used to design and implement site-specific EWSs and quick-response systems at the intervention sites. A total of 32 sensors and audible warning devices will be installed as part of the site-specific flood EWSs. These systems will be carefully designed by an international flood EWS specialist to ensure maximum efficiency and coverage. This EWS specialist will also oversee the implementation of the EWSs in each intervention district. The relevant technical staff from Mali-Météo, DNH, DGPC, DNUH, ENI-ABT, as well as municipal and village authorities, will receive training and technical guidelines on operating and maintaining the EWSs. In addition, a long-term maintenance plan will be developed and implemented by the appointed flood EWS specialist to support the sustainability of these systems beyond the project's lifespan. Mali-Météo will assume primary responsibility for the operation and maintenance of the EWSs implemented under this output during and after the project's lifespan. The relevant municipal and village authorities will enter into agreements with Mali-Météo to undertake some of the small-scale maintenance duties on the EWSs.

36. To promote the effectiveness of early warnings, a detailed flood risks and hazards communication strategy will be developed under this output. The design of this communication strategy will involve extensive consultation with local communities to ensure that the early warnings that are generated are in local communities' preferred language and are standardised across all communication platforms. In addition, to increase the capacity of communities to understand and respond to flood warnings, the LDCF-financed project will undertake awareness-raising activities on the interpretation and response to early warning messages.

37. The design of this project's interventions will benefit from the experiences of multiple past and ongoing initiatives – particularly the UNDP Africa Climate Information and Early Warning Project. Although the project mentioned above did not address early warning in Mali, it generated climate information and will provide useful experience-based knowledge and lessons learned on the analysis and dissemination of these information. The LDCF-financed project will also build on the approaches used by existing EWSs in the country – such as the seasonal rainfall forecasts issued by the Mali-Météo – while promoting the use of new and innovative methods for communicating early warnings, such as *inter alia* sirens, SMS, and rural radio broadcasts. To use these channels of communication, MoUs will be developed with radio broadcasters and telecommunication companies. In addition to these communication channels, early warnings will reach rural communities through the monitoring and management committees established under this output – which will target the members of their respective local communities who are outside of reach of the coverage area. Consequently, the use of multiple communication media will support the delivery of warnings to a diverse audience – including local communities located in remote rural areas who might otherwise not receive warnings via conventional media (e.g. newspapers or television broadcasts). Warnings will be adapted based on the level of literacy, education of the target audience, and other variants such as access to technology.

38. The monitoring and management committees established under this output – comprising of representatives of local communities – will work in collaboration with Mali-Météo. These committees will be developed with the appropriate structures and will enter MoUs with Mali-Météo. The primary functions of the monitoring and management committees includes: i) holding regular training workshops with the local communities to increase their understanding of flood risks, their severity and the corresponding response to be adopted, as well as the different categories of warnings according to the flood risks and hazards communication strategy; and ii) disseminating early warnings to members of their respective local communities that are outside of the coverage zone of early warnings. A national communication specialist will be appointed to facilitate the establishment and functioning of the monitoring and management committees and will identify and implement measures – where possible – to make them operational beyond the project's lifespan.

39. Multiple awareness-raising activities and training workshops with representatives of local communities and the media, as well as NGOs and local government authorities will be undertaken. The awareness-raising activities will emphasise the role of the media as an important tool for public education and for disseminating accurate information on the effects of climate change, with a particular emphasis on floods. Training workshops will enhance the capacity of journalists to convey early warnings and other climate-related information in a coherent and accessible approach to the general public.

40. Under this output, a gender specialist will be appointed to support the development of a gender-responsive flood risks and hazards communication strategy. This strategy will be developed in consultation with women from the selected local communities to support: i) the dissemination of early warnings which are readily accessible to women; and ii) the formulation of responses to the different warning categories which are determined by the needs of women. The role of the gender specialist will not be limited to this output alone, but will develop a roadmap for mainstreaming gender considerations into the LDCF-financed activities. This will subsequently support gender equality in the implementation of the project and to promote the participation of women in a meaningful and equitable manner to the project resources. Please refer to Section II for more information.

Indicative activities under Output 1.2 include:

- 1.2.1. Implement flood early warning audio alert systems comprising 32 sensors and audible warning devices – a total of seven in Bamako, 11 in Kayes and 14 in Mopti – to generate early warnings.
- 1.2.2. Develop a detailed flood risks and hazards communication strategy based on the input of a gender specialist and in collaboration with the local communities. This strategy will comprise: i) preferred methods of communication of potential flood risks and hazards per commune; ii) the preferred language of warnings; iii) the description of a standardised category of warnings to be used across all means of communication; and iv) commune-specific responses to be implemented by the local authorities and communities for each warning category such as evacuation plans.
- 1.2.3. Establish monitoring and management committees between the selected communes and Mali Météo to effectively disseminate flood warnings. These committees will also periodically provide training to local communities on the different warning categories and the appropriate responses to be adopted.

***Output 1.3.: Risk mapping combining flood risks with socio-economic indicators – including inter alia population-related indices, land value, land uses, assets – is undertaken.***

41. A flood risk analysis will be undertaken for each intervention site in Bamako, Kayes and Mopti based on flood risks and exposure – determined under this output. This risk analysis will comprise three datasets, namely: i) flood risks and exposure of local communities within the intervention sites in the short- to medium-term; ii) socio-economic information including *inter alia* population-related indices and sources of livelihoods; and iii) land use information including *inter alia* the location of assets such as public infrastructure – e.g. buildings, roads and bridges – and human settlements. By combining the three datasets mentioned above in GIS, maps showing the location of the vulnerable communities in the short- to medium-term will be

produced. In addition to these maps, the contributing factors to the vulnerability of local communities will be determined from the GIS datasets created. Furthermore, a flood risk index will be developed to facilitate the identification and prioritisation of the local communities requiring DRM interventions to reduce the impacts of floods in the short to medium term. The flood risk maps in conjunction with the index developed under this output will increase the technical capacity of the local government authorities to plan and implement DRM interventions in the intervention sites and to guide development planning. The technical staff from the DGPC, DNP, AEDD, Mali-Météo, DNH, ENI-ABT, other line ministries as well as municipal and village authorities will receive training on the: i) collection and analysis of the relevant data; ii) development of the datasets; iii) generation of the flood risk maps; and iv) the use of the flood risk maps as planning tools for DRM interventions and development planning.

42. The detailed geospatial datasets developed through the flood risks and exposure analyses undertaken in the intervention sites will be integrated into the AEDD Information System during the project's lifespan. This system was established by the SLWM program, which manages online environmental information. By integrating information – such as the predicted short- to medium-term vulnerability of local communities to floods – into the AEDD Information System, this output will support the consideration of climate change adaptation into the ongoing activities of the AEDD Information System, particularly related to management of land and water resources. The information generated under this output and provided to the AEDD will be made available and accessible to the public. Flood risk maps will be displayed in schools, display boards and municipal and village authorities' offices as part of the awareness-raising campaigns under Activity 1.4.2. These maps will allow members of local communities to enhance their understanding of their vulnerabilities to floods.

43. Under this output, the socio-economic costs of floods will be quantified in the short- to medium-term. Quantifying these costs will inform an assessment of the financial vulnerabilities<sup>39</sup> of the local economy to effectively implement DRM interventions within the interventions sites. In addition, by providing a clear understanding of the costs associated with floods – to both local communities and relevant government authorities – the project's activities will incentivise DRM-related government structures to reduce the risks, and improve the management, of floods. Alternative adaptation options for flood management within the Malian context will also be developed and assessed in collaboration with academic partners such as local universities or research centres. The results of such an assessment will be communicated to the relevant national and local partners at communication events to be included in future NAP-related activities.

Indicative activities under Output 1.3 include:

- 1.3.1.** Determine short- and medium-term flood risks and exposure based on updated and downscaled climate models. Map the areas that are exposed to floods are at risk in the short to medium term.
- 1.3.2.** Collect and analyse socio-economic data based on indicators – including *inter alia* population-related indices, settlement patterns, land uses and value, sources of livelihoods and infrastructure – and determine any projected change scenarios in these variables in the short and medium term.
- 1.3.3.** Develop an inventory of flood-vulnerable assets in the communes selected for LDCF interventions to prioritise DRM interventions.
- 1.3.4.** Undertake short- to medium-term risk mapping using GIS to overlay flood risks and exposure data (as determined in Activity 1.3.1), socio-economic information (Activity 1.3.2) and flood-vulnerable assets (Activity 1.3.3.). Develop a flood risk index to facilitate planning of DRM interventions. The flood risk maps will be made accessible to the public.
- 1.3.5.** Quantify the socio-economic costs of floods in the short to medium term based on risks, vulnerability of local communities and potential loss or damage to assets located in flood-prone areas. The costs and benefits of alternative adaptation options for flood management will be analysed with the engagement of local universities/research centres. The results of this work will be integrated into the ongoing NAP-related activities.

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<sup>39</sup> Financial vulnerability is a function of the distribution of risks and financial capacities to absorb the costs to be incurred as a result of climate-related hazards and natural disasters.

**Output 1.4: An education programme and awareness-raising campaign is undertaken within schools and local communities to build a culture of safety and resilience to floods.**

44. The interventions of this output will entail the integration of an education programme on local climate-related hazards, particularly floods, into the curriculum of schools. This integration will be accomplished through i) raising awareness of inspectors, educational advisors and directors of primary schools about flood risks and the need for climate change adaptation; and ii) producing educational booklets on flood risk and climate change, including modules and manuals for teachers and children. In addition, training workshops will be conducted with the public on designing and implementing locally-appropriate interventions to build resilience to floods. The school curriculum and training workshops discussed above will be developed in collaboration with the DGPC, Ministry of Education, municipal and village authorities, NGOs and CSOs.

Indicative activities under Output 1.4 include:

- 1.4.1. Develop and integrate an education programme in the school curriculum and raise awareness on the prevention of climate risks, in particular floods, to decrease the vulnerability of school children.
- 1.4.2. Conduct awareness-raising campaigns through training workshops, radio and TV broadcasts, display boards, skits and SMS on building resilience of local communities to floods.

**COMPONENT 2: ADDRESSING FLOOD RISK MANAGEMENT INTO MEDIUM AND LONG TERM PLANNING PROCESS AT THE LOCAL LEVEL**

**OUTCOME 2: Effective flood risk management mainstreamed into the relevant development planning policies and budgetary processes to increase the resilience of local communities.**

Co-financing amounts for Outcome 2: US\$ 10,249,381  
LDCF project grant requested: US\$ 1,514,000

Without LDCF interventions (baseline):

*Institutional and technical capacity to address disaster risk management*

45. Existing legislation, such as the Local Governance Regulations of 2012, was drafted to promote a decentralised approach to governance in Mali. Under these regulations, local government authorities are empowered to provide public services and infrastructure and participate in activities such as disaster management, as well as to maintain and improve livelihoods under conditions of climate change<sup>40</sup>. In addition, these regulations highlight the potential role that can be played by local government authorities within Mali's 703 communes as part of the national response to climate change<sup>41</sup>. However, the potential contribution of local authorities to decentralised governance is undermined by the limited influence of these stakeholders on high-level decision-making, which is traditionally undertaken by national authorities. For example, the national climate planning process in Mali and the associated funds are managed by national authorities. Local authorities therefore have limited input into the planning process and the allocation of funds for climate change adaptation and mitigation within their respective communes. In addition, although the management of natural resources has been entrusted to local government authorities, they are generally managed by national agencies within the MEA<sup>42</sup>. This has resulted in a limited transfer of skills from national to local government authorities<sup>43</sup>, thereby hindering effective DRM planning and implementation at the local level.

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<sup>40</sup> National Consultant's Report..

<sup>41</sup> Ibid.

<sup>42</sup> Ibid.

<sup>43</sup> EU. 2014. Update of Mali's environmental profile.

46. In Mali, several government institutions are responsible for developing and implementing DRM activities. These institutions include *inter alia* DGPC, DNH, ABFN, DNACPN, AEDD and DNGR. The DGPC also facilitates the participation of regional and local authorities – such as governors of circles, municipal staff and village advisors – in decentralised DRM activities. However, many of these local authorities have limited capacity to implement DRM interventions. To address this, both the national government and UNDP have delivered training to selected local government authorities to increase their capacity to develop and implement measures to improve local governance and the safety of communities. The training activities are largely related to: i) improving the core competencies of local government authorities and officials to consolidate local development; ii) strengthening social cohesion; and iii) implementing the necessary measures to enhance community safety.

47. Despite ongoing efforts by the GoM and UNDP to increase the institutional and technical capacity of local government structures such as municipalities and village authorities, these institutions have insufficient institutional resources and technical skills to effectively address the adverse effects of climate change in the country. The limited knowledge on topics such as climate change and climate-related hazards within government authorities has led to the inconsistent and *ad hoc* planning of DRM. As a result, climate change and climate-related hazards are not meaningfully considered in development planning. Measures to avoid or manage climate-related risks – particularly floods – have also not been integrated into existing building codes in Mali. The resulting effect is the increased vulnerability of communities in Bamako, Kayes and Mopti – particularly in those areas within these districts where rapid urbanisation has resulted in the expansion of settlements into unsuitable low-lying or flood-prone areas. Under the predicted conditions of climate change, an increase in human deaths, the loss of livelihoods and livestock as well as damage to structures and public infrastructure will occur as the frequency<sup>44</sup> and severity of floods increases in Mali<sup>44</sup>.

48. Mali has limited access to software and tools to support decision-making related to the forecasting of floods. The limited availability of skilled human resources, modern equipment and the up-to-date transfer of data from the observation network has undermined the capacity of the country's public authorities to accurately forecast climate-related hazards, such as floods at a local scale. This limited capacity to forecast floods reduces the effectiveness of the DRM interventions. Furthermore, restricted availability of downscaled maps detailing site-specific vulnerabilities to hazards such as floods hinders the development of locally-appropriate flood risk reduction interventions, thereby undermining the effectiveness of Mali's current approach to DRM and climate change adaptation.

#### *Disaster risk management financing mechanism*

49. The National Investment Agency for Local Communities (ANICT) was created in 2000 and has invested in a total of 11,792 projects in Mali. Funding from ANICT is used by local government authorities to undertake a suite of interventions to increase access to service delivery in local communities. These interventions, implemented by local government authorities, include improving access to drinking water by constructing wells and boreholes. However, the resources available from ANICT that are prioritised for disaster risk management and emergency relief are limited. In addition, there is currently limited information on the costs associated with the losses and damage caused by floods in the country. As a result, the financial vulnerabilities of the affected communities are not well understood, particularly their: i) exposure to risk; and ii) financial capacity to absorb risks. As a consequence of the knowledge gap on flood-related loss and damage costs in Mali, the Ministry of Finance (MoF) and local financing institutions are insufficiently equipped to use risk financing<sup>45</sup> and risk transfer tools<sup>46</sup> to decrease the financial vulnerabilities of

<sup>44</sup> Pan African Climate Justice Alliance. 2009. The economic cost of climate change in Africa.

<sup>45</sup> Risk financing is defined as “strategies and instruments used to manage the financial impact of disasters, ensuring adequate capacity to manage and mitigate the costs of disaster risk, thereby reducing the financial burden and economic costs of disasters and enabling rapid recovery in economic activity.” Source: G20/OECD. 2012. Disaster Risk Assessment and Risk Financing: A G20/OECD methodological framework.

<sup>46</sup> Risk transfer tools are a means to reduce the potentially crippling financial consequences of disasters and to ensure rapid recovery. Source: G20/OECD. 2012. Disaster Risk Assessment and Risk Financing: A G20/OECD methodological framework.

government authorities, businesses and households. Furthermore, the existing DRM financing mechanism comprises state budget allocations, relief funds from municipalities and reallocations from other state departments following disasters. This financing mechanism is not sufficiently developed to address the current and future needs for the implementation of DRM interventions such as flood protection measures in Bamako, Kayes and Mopti. The existing mechanism is also not flexible enough to mobilise the necessary funds for effective disaster management. The establishment of site-specific financial strategies is therefore required to increase the resilience of the local communities to rapid onset hazards such as floods.

*With LDCF interventions (adaptation alternative)*

50. Under the predicted conditions of climate change in Mali, there will be an increase in the severity and frequency of climate-related hazards. The LDCF-financed project's interventions under Outcome 2 are therefore designed to promote effective DRM planning and implementation in Mali. Activities under this Outcome include reforms to existing policies to increase the effectiveness of flood risk management in the country. This policy reform will include the development and implementation of Flood Risk Reduction Plans (FRRPs) in the project's intervention sites in Bamako, Kayes and Mopti. These plans are fine-scale decision support tools, particular in the context of the municipalities and villages in Bamako, Kayes and Mopti. The FRRPs will include site-specific measures developed in collaboration with local communities to reduce their vulnerability and exposure to floods. This policy reform will support the integration of the FRRPs into local development planning in the districts Bamako, Kayes and Mopti to increase the resilience of the local communities to floods in the short and medium term.

51. Funds from the LDCF will be used to develop financial strategies to facilitate timely access to economic resources by local government authorities to address climate hazards. This increased financial capacity will empower the MoF and local financing institutions to: i) establish a financial mechanism in the country to fund climate-induced DRM interventions including flood protection, response to disasters and reconstruction following climate-related hazards such as floods; and ii) increase the financial resources allocated to climate-induced DRM-related activities in Mali. This will be supported by developing and implementing a suite of complementary interventions as described below.

52. The LDCF-financed project will strengthen the technical capacity of national, regional and local government authorities to develop and implement climate-induced DRM strategies and interventions in response to the current and predicted effects of climate change, particularly floods. Capacity-building will be undertaken within the DGPC and decentralised government authorities in circles and communes. The project's capacity-building activities targeted at the aforementioned authorities will include increasing the technical understanding of climate change, climate variability and climate-related hazards among staff. Through the enhanced technical capacity supported by the project, decision-making processes relating to DRM will be informed by climate-related risks, the vulnerabilities of communities and their adaptive capacities. The technical capacity of government authorities will improve the planning and implementation of DRM-related activities throughout the country. Consequently, the vulnerability of Malians to floods will decrease.

**Output 2.1: Commune-specific Flood Risk Reduction Plans (FRRPs) with locally-appropriate strategies and interventions to decrease the vulnerability of local communities to floods are developed.**

53. Under this output, FRRPs will be developed for the LDCF intervention sites in Bamako, Kayes and Mopti, in consultation with local communities. In particular, flood risk reduction strategies that are cost-effective and suitable to the Malian context will be developed. The FRRPs will therefore include a suite of cost-effective soft and hard interventions to decrease the vulnerability of the local communities. These plans will also provide the associated indicative costs of the flood risk reduction interventions. To effectively implement these plans, municipal and village authorities, the DGPC, AEDD, DNPd and the ENI-ABT will be

trained. Under this output, simple technical guidelines will also be compiled and disseminated to the municipal and village authorities beyond the interventions sites so that these interventions can be replicated.

54. These plans will provide a framework to coordinate activities related to flood risk reduction and will include detailed descriptions of locally-appropriate interventions to effectively reduce the vulnerability of communities in the interventions sites to floods. These interventions will be based on the underlying causes of the vulnerability of local communities as determined under Activity 1.3.4. The FRRPs will provide detailed strategies to respond to floods – including *inter alia* the establishment of flood reduction measures such as protective barriers – based on the flood risk maps and index. However no resettlement of vulnerable communities will be supported by this LDCF-financed project. Based on the flood risk maps and index produced, national or local authorities may select to resettle vulnerable communities, however, no LDCF resources will be allocated for such activities.

55. To support the increased effectiveness of DRM planning, the plans under this output will be developed in collaboration with a diverse range of participating stakeholders including: i) local communities at Bamako, Kayes and Mopti; ii) regional and local government authorities; and iii) technical government departments related to hydrology, meteorology, rural development, forestry and civil protection. The participation of local communities will promote the adoption of locally-appropriate measures, including traditional methods for flood protection and flood management, and will facilitate a long-term sense of ownership of the project's activities by participating communities. Through the process of engaging local communities in the project's activities, the participation of women will be prioritised wherever possible. This will promote the consideration of gender equality in the design of the FRRPs.

56. The overarching objective of the FRRPs is to promote effective DRM before, during and after floods. To support effective DRM in Bamako, Kayes and Mopti, a clear organisational structure will be established, including the allocation of responsibilities between national, regional and local authorities. The development of the FRRPs will include the consideration of updated weather-related data generated by the hydro-meteorological network, to be strengthened under Component 1 of the LDCF-financed project. Furthermore, the FRRPs will be periodically reviewed and updated – if necessary – to include newly updated information.

57. In addition to the development of FRRPs under this output, LDCF resources will be used to improve the solid waste removal practices in Bamako. Firstly, the existing waste transit depots in Bamako will be reinforced through fencing to prevent the establishment of settlements and the over-spilling of solid waste onto surrounding areas. Secondly, an assessment of the solid waste management and removal practices – including the current operation mode of waste transit depots in Bamako – will be undertaken. The assessment will focus on the practices implemented by local government authorities and by local communities. The findings of this assessment will facilitate the identification of gaps and opportunities in the system. Consequently, a long-term solid waste management plan for the effective removal and processing of solid waste will be developed in collaboration with local government authorities in Bamako. In addition to the local government authorities, the DGPC, AEDD, DNPD and ENI-ABT will be consulted in the development of the long-term solid waste management plan for Bamako. The government authorities mentioned above and the DGCT will be consulted to develop a long-term financing strategy to support the implementation of the solid waste management plan.

Indicative activities under Output 2.1 include:

**2.1.1.** Conduct a technical assessment of the existing stormwater drainage systems in the selected communes.

**2.1.2.** Develop commune-specific FRRPs in a participatory manner with local communities. These plans will provide a roadmap to local authorities for the development of best-practice soft and hard adaptation interventions to reduce flood risks.

**2.1.3.** Improve solid waste management in Bamako by: i) undertaking an assessment of the current waste removal and management systems to identify gaps; ii) reinforcing the fencing around existing waste



transit depots; and iii) undertaking awareness-raising campaigns with local communities on adopting appropriate waste disposal practices to reduce flood risks.

**2.1.4.** Integrate short- to medium-term flood risks into the existing Economic, Social and Cultural Development Programme (PDESC) for the selected communes.

**Output 2.2: Design, harmonise and enhance existing building and settlement codes to decrease vulnerability of local communities to floods.**

58. The LDCF-financed project will revise and strengthen existing building and settlement codes by including considerations related to the management and avoidance of short- to medium-term flood risks. Building codes provide the regulations for the construction of buildings and other infrastructure, and settlement codes specify the location of human settlements. In Mali, settlement codes determine land-use plans, which act as development planning tools by the DNP, DNUH as well as municipal and village authorities. The revised settlement code will restrict development in flood-prone areas in the intervention sites.

59. To revise the existing building and settlement codes in Mali, an assessment of these documents will be undertaken to determine entry points for flood considerations. As a result of this assessment, the necessary revisions will be proposed to the existing building and settlement codes and submitted for approval during the project's lifespan. The land-use plans associated with settlement codes will also be amended and integrated into the PDESC of Bamako, Kayes and Mopti. If deemed necessary by means of the assessment mentioned above, new building and settlement codes will be proposed for the intervention sites. Policy briefs detailing the proposed revisions to the existing building and settlement codes will be compiled and disseminated to the relevant national and local authorities. The DGPC, DNP, DNUH, AEDD, DGCT, DNAT, as well as municipal and village authorities, will be trained on the proposed revisions made to the codes mentioned above and on their implementation on the ground.

60. The adoption of improved building and settlement codes will support flood-resilient development and reduce the flood-related risk of future loss of lives and damage to infrastructure. The integration of the revised land-use plans into the PDESC will strengthen them as development planning tools. The revisions to building codes – combined with the development of FRRPs under Output 2.1 – will significantly decrease the vulnerability of local communities in Bamako, Kayes and Mopti to current and predicted flood risks.

Indicative activities under Output 2.2 include:

**2.2.1.** Assess and propose revisions to strengthen existing building, and settlement codes and the associated land-use plans by integrating considerations relating to flood risks into them.

**2.2.2.** Develop policy briefs to detail the proposed revisions and submit the revised documents for approval. If necessary, develop new building and settlement codes for the intervention sites. Integrate the revised land-use plans into the existing PDESC.

**Output 2.3: Financial strategies are developed and implemented to improve the financial capacity of local authorities to respond timely to climate-related hazards, in particular floods.**

61. Under this output, an economic impact analysis will be undertaken to assess the financial capacity of local government authorities engaging in risk financing, which will improve their financial resilience to disasters. The analysis will also identify opportunities for comprehensive financial protection strategies for local government authorities and public-private partnerships to undertake risk financing in the Malian context. The results of this analysis will inform improved decision-making in the management of financial resources targeted to addressing climate-related hazards.

62. This output of the LDCF-financed project will also promote the development and implementation of sustainable financial strategies to improve the management of risks related to climate-related hazards, such

as floods. These strategies will be developed based on assessment of the current financing mechanism used by local government authorities to fund disaster relief in Mali. The development and implementation of these strategies will increase the capacity of the MoF and other local financing institutions to effectively contribute to DRM planning and implementation, primarily by increasing the availability of funds for emergency relief efforts in the event of disasters. Through the development of these financial strategies – in collaboration with the MoF, DGCP and DGCT – national and local authorities will have increased availability of funds to support immediate responses to climate-related hazards and natural disasters, such as floods. Funds will also be made available for activities such as emergency response, targeted financial assistance for vulnerable communities, as well as the reconstruction of public assets and infrastructure.

63. Local government authorities – including the MoF, DGPC and the DGCT – will be trained on the financial strategies developed and implemented through the LDCF-financed project. In particular, they will be trained on accessing funds in the event of climate-related hazards and natural disasters. In addition, training workshops will be held with the government authorities mentioned above on the effective management of these funds for disaster relief and to support the prioritisation of finances to those affected by climate-related hazards and natural disasters.

Indicative activities under Output 2.3 include:

**2.3.1.** Undertake an economic impact analysis to assess risk financing in the Malian context and the financial capacity of the local government authorities within the intervention sites.

**2.3.2.** Develop and implement rapid commune-specific financial strategies to facilitate assistance to local communities affected by climate-related hazards, in particular floods, and for the reconstruction of public infrastructure.

**Output 2.4: The technical capacity of the relevant national and local authorities on climate risk management planning as well as flood prevention and reduction measures is enhanced.**

64. Under this output, the technical staff of relevant national government authorities – including *inter alia* the DGPC, Mali-Météo, DNH, and the AEDD – and decentralised government authorities in circles and communes as well as the ENI-ABT will be provided with training on forecasting and predicting climate-related hazards. The training held under this output of the LDCF-financed project will promote increased accuracy and effectiveness of forecasting climate-related hazards, such as floods, in other outputs of the project. These training activities will be guided by: i) the application of updated climate and weather data information generated by the national hydro-meteorological network, enhanced under Component 1; and ii) information generated by the flood risk mapping under Output 1.3. The training will also focus on increasing the effectiveness of DRM interventions currently implemented by GoM, with a particular focus on flood protection and flood management. Training will include methods to develop and implement effective flood prevention interventions in consideration of the predicted increased frequency and severity of climate-related hazards such as floods.

Indicative activity under Output 2.4 include:

**2.4.1.** Provide training to the relevant national and local government officials within the targeted communes on climate risk management, preventing and minimising the negative effects of climate-related hazards, in particular floods, on vulnerable local communities.

**COMPONENT 3: CLIMATE-RESILIENT INVESTMENT TO REDUCE RISKS OF HIGHLY EXPOSED COMMUNITIES.**

**OUTCOME 3: Climate-resilient flood risk management and reduction techniques transferred to local communities within the targeted communes to decrease their vulnerability.**

Co-financing amounts for Outcome 3: US\$ 20,498,763

LDCF project grant requested: US\$ 3,851,000

Without LDCF Intervention (baseline):

*Limited technical capacity and tools to manage floods*

65. Historically, Mali has been affected by droughts and locust invasion hazards, and these events have largely been the focus of DRM interventions in the country. These interventions include *inter alia* the generation of climate information and EWSs to detect, predict, monitor and assess droughts and locust invasions. To strengthen the capacity of the GoM to develop and implement DRM interventions in the country, several initiatives have been established. For example, PRECARIA – a partnership between the GoM, UNDP and the Danish Cooperation – was initiated in 2009 to enhance the capacity of the government to assess natural disaster risk at the community level. In addition to PRECARIA, a UNDP/GEF project entitled “Enhancing national disaster and emergency preparedness, response, and recovery capacity in Mali through a disaster reduction advisor” was implemented to strengthen the capacity of the government to mainstream climate risks in local development plans targeting rural communities.

66. In 2012, a cross-sectoral capacity assessment of existing systems, policies and programs relating to DRR was undertaken under by UNDP, UN Office for the Coordination of Humanitarian Affairs (UN-OCHA), United Nations Children’s Fund (UNICEF), World Food Programme (WFP) and Food and Agriculture Organisation (FAO). This assessment resulted in the formulation of a plan to address challenges faced by Mali, including *inter alia* flood risk, food insecurity and locust infestation. Despite the aforementioned initiatives, the DGPC, DNH, and the AEDD have limited technical capacity to manage flood risks and hazards. This is a result of the considerable gaps in flood risk assessment, monitoring and mapping in the country. For example, there is limited understanding of the vulnerability and the level of exposure of local communities and infrastructure to floods, as well as the potential socio-economic damage and losses, in Mali. Consequently, these gaps hamper the capacity of the GoM to effectively plan and implement DRM interventions.

67. The GoM developed a disaster response tool, namely ORSEC, which is a relief plan to be implemented at the national, district, circle and community levels. However, ORSEC primarily addresses drought risks, with limited information provided on long-term flood risk reduction. Consequently, decision-makers within the relevant government institutions, especially at the district, circle and commune levels, have limited information available to effectively plan and implement interventions to reduce flood risks.

68. The traditional flood management measures used in the country include *inter alia* dykes. There are predominantly three types of dykes used, namely: i) traditional dykes without intake or outtake structure; ii) traditional dykes with intake or outtake structure; and iii) large dykes. Traditional dykes without intake or outtake structure are used to protect low-lying areas and are generally destroyed to evacuate water following recession of flood waters. Dykes with intake and outtake structures are generally used to protect flood plains. Large dykes differ from the other two types as they are used to protect large areas, such as agricultural lands and urban areas<sup>47</sup>. Beyond these traditional measures, there is no other flood management infrastructure in the country.

*Poor stormwater drainage systems in place*

69. In Mali, the majority of peri-urban and rural areas do not have stormwater drainage systems in place<sup>48</sup>. Where there are present, stormwater is managed through a drainage system consisting of canals, open drains and retention ponds. However, inadequate land-use planning and management has led to many areas

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<sup>47</sup> Diarra, S., Kuper, M. & Mahé, G. 2004. Integrated flood management case study – Mali: Flood management – Niger River inland delta. Associated Programme on Flood Management, World Meteorological Organisation, Global Water Partnership, Geneva.

<sup>48</sup> Ibid.

dedicated to stormwater management becoming inhabited, resulting in stormwater drainage system being used for solid waste, industrial waste and sewage disposal<sup>49</sup>. These ongoing practices block waterways causing: i) water stagnation, which promotes the breeding of disease vectors such as mosquitoes; ii) degradation of the stormwater drainage system; and iii) floods<sup>50</sup>. As a result of poor maintenance systems, stormwater drains are usually only maintained just before the beginning of the rainy season, which leads to the degradation of the components of the stormwater drainage system.

*With LDCF Intervention (adaptation alternative)*

70. LDCF finances will be used to transfer flood-resilient practices to national, sub-national and local communities within the intervention sites. To manage flood risks, these practices will comprise both soft and hard interventions to decrease the exposure of local communities to floods. This will provide the DGPC, DNM, and sub-national authorities with enhanced technical capacity to develop and implement flood risk reduction measures to decrease the vulnerability of local communities to floods. Local communities will also be trained on flood risks, as well as the operation and maintenance of the interventions implemented under this Outcome.

71. Increased migration of Mali's population from the north to the south of the country has resulted in many people settling in flood plains formed by river beds and basins, especially in Bamako. It is therefore important to strengthen the resilience of the local communities within flood-prone areas to floods. As vulnerability is a factor of exposure to hazards, LDCF interventions will be implemented to reduce flood risks through interventions that aim to increase water infiltration and decrease soil erosion. To reduce flood risks in the intervention sites in the districts of Bamako, Kayes and Mopti, LDCF finances will be used for revegetation of riparian areas using climate-resilient indigenous species to buffer wetland ecosystems against the negative effects of climate change. The interventions of the project will result in the revegetation of at least 10 km of riparian areas in each of the following communes: I, IV, VI, Tomora, Sébékoro, Pignari Bana and Fatoma. In addition, to prevent floods resulting from the overflowing of waterways after intense rainfalls, a wetland in Pignari Bana – located in the district of Mopti – will be rehabilitated to increase its water holding capacity.

72. The interventions mentioned above will be complemented by hard interventions such as the construction of permeable rock dams, as well as extended and rehabilitated stormwater drainage systems. LDCF financed will be used to extend the stormwater drainage system by 5 km in each commune, as well as rehabilitate and maintain existing drains. This improvement of stormwater drains is required particularly in Bamako where intense rainfalls often result in the flooding of low-lying areas. To optimise the stormwater drainage system, a long-term management plan will be developed and implemented within the intervention sites.

***Output 3.1: Flood risk reduction interventions are implemented to increase water infiltration and reduce soil erosion.***

73. LDCF finances will be used to decrease flood risks by promoting water infiltration and reducing soil erosion in the intervention sites. To do so, the management of riparian areas of wetlands will be undertaken within the intervention sites. This will largely consist of revegetation of riparian areas, and an assessment will be undertaken to: i) determine the status of vegetation in the riparian areas of wetlands in the intervention sites; ii) determine the extent of revegetation required to effectively reduce flood risks in each intervention site; and iii) identify the appropriate plant species with climate-resilient properties to be used in this initiative. This activity will require the establishment of three plant nurseries – one per intervention district – and will involve the training of members of the local communities to undertake revegetation in the riparian areas of wetlands in their respective communities. Approximately 70 km (at least 10 km per commune) of riparian

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<sup>49</sup> pS-Eau. 2013. Stormwater management in the context of urban areas on developing countries.

<sup>50</sup> Ibid.

areas of wetlands in the intervention sites will be managed with LDCF resources. Climate-resilient indigenous plant species will be selected based on their soil binding properties and community-based planting will be undertaken.

74. In addition to the management of riparian areas, the wetland in Pignari Bana (Mopti) will be rehabilitated. Based on consultation with local communities, this wetland was identified as requiring expansion to increase its water holding capacity, thereby reducing flood risks. As a response to the needs of the local community in Pignari Bana (Mopti), LDCF resources will be used to double the water holding capacity of the wetland from ~1,000 m<sup>3</sup> to ~2,000 m<sup>3</sup> by increasing its depth by one metre. To determine the viability of increasing the capacity of this wetland, a feasibility assessment will be undertaken. Moreover, an Environmental Impact Assessment (EIA) will be undertaken to determine the impact of deepening of the wetland on water quality and aquatic life. The rehabilitation of the wetland will be managed in line with the recommendations of the EIA and corrective actions where required.

75. Commune IV in Bamako is surrounded on its western border by Mount Madingues. Local communities located at the bottom of the Mount are flooded regularly as a result of surface runoff following intense rains. To protect these local communities located in flood-prone areas, a network of canals will be created to divert surface runoff into wetlands in Wowowowanko, Farako and Diafaranako, thereby preventing water runoff from reaching local communities situated at the bottom of the slopes of Mount Madingues in commune IV in Bamako. The network of canals will be designed in collaboration with municipal and village authorities, as well as the DNH, the DGPC and ENI-ABT. A feasibility assessment will be undertaken in collaboration with ENI-ABT to determine the viability of the design of the network of canals and the capacity of the wetlands in Wowowowanko, Farako and Diafaranako to hold additional water runoff. The feasibility assessment will also ensure that the network of canals will be designed to reduce current and future flood risks under the predicted conditions of climate change as determined by the downscaled information generated in Output 1.3. An EIA will also be undertaken to determine; i) any potential impacts of the construction and operation of the network of canals on the environment; and ii) the impact on aquatic life as a result of increased runoff in the wetlands in Wowowowanko, Farako and Diafaranako. If required, the design of the network of canals will be amended to conform to the recommendation of the EIA.

76. A long-term maintenance plan will be developed and implemented to ensure the sustainability of the network beyond the project's lifespan. Training on the long-term maintenance plan will be provided to: i) government authorities including the DNH, DGPC, and municipal and village authorities; ii) representatives from ENI-ABT; iii) NGOs; and iv) CSOs. This plan will detail the role of each stakeholder involved in maintaining the network of canals, their respective responsibilities and allocated timeframes to undertake their tasks.

Indicative activities under Output 3.1 include:

**3.1.1.** Rehabilitate the wetland in Pignari Bana to increase its water holding capacity.

**3.1.2.** Rehabilitate at least 10 km of riparian areas of wetlands with climate-resilient indigenous plant species in each of the seven communes selected for LDCF intervention (70 km in total).

**3.1.3.** Develop a network of canals to channel water runoff from Mount Madingues in commune IV in Bamako into wetlands located in Wowowowanko, Farako and Diafaranako.

***Output 3.2: Flood risk reduction interventions are implemented to reduce the vulnerability of human lives and infrastructure.***

77. Hard interventions such as permeable rock dams and stormwater drains will be constructed to decrease the vulnerability of local communities to floods, thereby securing their lives, livelihoods and protecting current and future infrastructure. Permeable rock dams are low rock walls spread over a long distance that cause runoff to spread from a waterway. This technique reduces the velocity and the erosive potential of floodwaters while enhancing groundwater recharge within the enclosed area. The spreading of

floodwaters causes siltation of fertile deposits which promotes increased crop production after the water has receded. This intervention will require a feasibility assessment to determine the appropriate location of these permeable rock dams to maximise their efficiency, thereby decreasing the vulnerability of local communities to floods.

78. Technical guidelines will be produced on the purpose of these rock dams and disseminated to other municipal and village authorities. In addition, training of the technical staff of the DNH, DGPC, and municipal and village authorities, as well as members of local communities, will be held on maintaining the network of canals to ensure their effectiveness as per the long-term maintenance plan developed and implemented for the permeable rock dams, financed by the LDCF. This plan will detail the role of each stakeholder involved in maintaining the permeable rock dam, their respective responsibilities and allocated timeframes to undertake their tasks. The development and implementation of this plan will occur during the project's lifespan.

79. As functioning stormwater systems reduce flood risks, LDCF finances will be used to extend, rehabilitate and maintain the existing systems in the communes I, IV, VI, Sébékoro and Fatoma. The system in each of the above mentioned communes will be extended by 5 km to improve stormwater reticulation, thereby reducing flood risks. A feasibility assessment will be undertaken to determine the best location for the construction of the drains based on the maps generated under Activity 2.1.2. These maps will also be used to determine the priority areas in which drains need to be rehabilitated and maintained for optimum operation. Following the identification of the priority areas, rehabilitation and maintenance of the existing stormwater drainage systems will be undertaken. To ensure the long-term viability of the systems, commune-specific long-term maintenance plans will be developed and implemented. These plans will be developed in collaboration with local communities and sub-national government authorities and will formalise partnerships between the relevant stakeholders. These partnerships will promote the ongoing maintenance of stormwater drainage systems. Similarly to the long-term maintenance plan for the permeable rock dams discussed in this output, this plan will detail the role of each stakeholder involved in maintaining the canals, their respective responsibilities and allocated timeframes to undertake their tasks.

Indicative activities under Output 3.2 include:

- 3.2.1.** Undertake a feasibility assessment for the construction of permeable rock dams in the intervention sites.
- 3.2.2.** Undertake a feasibility assessment for the construction of 25 kilometres of stormwater drains. This activity will be based on Output 2.1. and will include the construction of five kilometres of stormwater drains in communes I, IV, VI, Sébékoro and Fatoma.
- 3.2.3.** Clean and maintain existing stormwater drains in the targeted communes.

#### **4) Innovativeness, sustainability and potential for scaling up.**

80. The concept of sustainability has been a central tenet in the design and development of this LDCF-financed project. The following paragraphs describe how the sustainability of the project interventions has been promoted during their design:

81. The project interventions were developed through extensive consultation with various government stakeholders at the national level including the DGPC, DNH, Mali-Météo, DGCT, DNPD and the AEDD. As a result, development priorities of the relevant sectors have been considered in the design of the project. In addition to the consultation of central government stakeholders, the following groups were involved in the development of this project including: i) sub-national institutions such as communal, municipal and village authorities; ii) members of the local communities from each intervention site; iii) NGOs, in particular women's groups; iv) CSOs; and v) tertiary education institutions (see Appendix 5 for the mission report). Stakeholder consultations that were undertaken during the PPG phase and will continue during project implementation support country ownership of the project thereby promoting the maintenance of the project outputs beyond the duration of the project.

82. The focus on improved DRM planning and implementation in this LDCF-financed project will strengthen the capacity of national and local government authorities to plan and implement climate-risk reduction measures in the short, medium and long term. Planning tools such as flood risk maps and FRRPs developed in this LDCF-financed project will improve decision-making capacity of government authorities by enabling them to prioritise DRM interventions in the most vulnerable communities.

83. As there is limited technical capacity to address flood risks within national and local government authorities, this LDCF-financed project emphasises training of government officials in several activities. Under Component 1, the technical staff from Mali-Météo, DNM, DGPC, AEDD, DNPD as well as communal, municipal and village authorities will receive training on monitoring and forecasting climate-related risks. Similarly, under Component 2, the relevant government officials will receive training on developing and interpreting flood risk maps as a flood risk planning tool to secure lives and livelihoods as well as protect existing infrastructure. Component 3 will deliver several training activities to government officials on developing and implementing on-the-ground flood risk reduction interventions to increase the resilience of local communities. The three components of the LDCF-financed project will collectively increase the technical capacity of national and local government authorities to address flood risks effectively.

84. The sustainability of LDCF-financed interventions pertaining to new equipment – for example the meteorological and hydrological stations under Component 1, and hard infrastructures built under Components 2 and 3 – is promoted through delivering training activities, the dissemination of simple technical guidelines as well as long-term maintenance plans. To promote sustainability of the interventions implemented, these plans will be developed in a participatory manner with the relevant stakeholders to increase their support for the long-term maintenance of the equipment installed. The long-term maintenance plans will detail the Terms of Reference (ToRs) along with the relevant timelines for each stakeholder involved in maintaining the equipment – both at the technical and the financial levels – financed by the LDCF. These plans will be implemented during the project's lifespan. Moreover, support will be provided to the stakeholders involved in maintaining the equipment through the implementation of training activities. The training activities, technical guidelines and participatory development of the long-term maintenance plans will be targeted at the government or non-government stakeholders.

85. Ownership of the project by the beneficiaries will be promoted by embedding local communities into decision-making throughout the project implementation phase. This intense participation process will ensure that on-the-ground project interventions directly address community needs. Additionally, an education programme and an awareness-raising campaign will be implemented under the project. The education programme will target 1 to 2 schools in each commune where multiple and varied activities will be implemented. The awareness-raising campaign will use several communication tools and streams such as pamphlets, advertising sign, creative workshops, radio and TV. These interventions will raise the support of local communities within and outside of the intervention sites thereby promoting the sustainability of – and supporting the upscaling of – the LDCF-financed project interventions.

86. This country-driven LDCF-financed project addresses major national priorities. It is therefore assumed that national and local government authorities will be willing to replicate best DRM practices in other vulnerable sites. To enable and facilitate the replication of the relevant project interventions, government officials from several ministries – including *inter alia* the environment, civil protection, forestry, water, decentralisation and planning – will receive extensive training (~US\$ 400,000 of LDCF funds allocated to training and capacity-building) on the approach in the project to reduce vulnerability of local communities to floods in the intervention sites. For example, training workshops will be complemented by the dissemination of informative material such as technical guidelines on the construction and maintenance of permeable rock dams to widen stakeholders' coverage of such information and extend the benefits of training activities. Along the same line, training activities on monitoring and forecasting of climate-related hazards, flood risk mapping as well as the development and implementation of flood risk reduction interventions will support the

implementation of flood-resilient development planning in other vulnerable sites in Mali. Additionally, it is expected that the strengthening of institutional and financial capacity among main government stakeholders will promote the mainstreaming of flood risks into cross-sectoral and sectoral policies, and into sectoral planning and decision-making in the long term. This increased capacity is likely to promote the implementation of flood risk reduction interventions on the ground.

87. Under Component 2 of the LDCF-financed project, commune-specific financial strategies will be developed to expedite disaster relief and for the reconstruction of public infrastructure. The increased financial capacity of the local government authorities within the intervention sites will increase their access to funds to effectively manage the effects of climate-related hazards and natural disasters. This will support the timely response to climate-related hazards and natural disasters. The replication of such financial strategies to other local government authorities in the country will significantly strengthen Mali's response to climate-related hazards and natural disasters. Timely disaster relief has several benefits including the prevention of the spreading of diseases and the rapid reconstruction of public infrastructure to enable the undertaking of economic activities.

**A.2. Child Project?** If this is a child project under a program, describe how the components contribute to the overall program impact.

**A.3. Stakeholders.** Identify key stakeholders and elaborate on how the key stakeholders engagement is incorporated in the preparation and implementation of the project. Do they include civil society organizations (yes  /no )? and indigenous peoples (yes  /no )?

88. The implementation strategy for the LDCF-financed project includes extensive stakeholder participation. Details of the stakeholder participation during the PPG phase are provided in Section II. Table 2 below proposes a stakeholder involvement plan for the implementation phase. This will be further developed and validated during the project inception workshop.

**Table 2: Relevant stakeholders identified for engagement by project output.**

Outcome	Output	Stakeholder	Key responsibilities
Outcome 1: Strengthened technical capacity of municipal and village authorities to improve flood early warning systems and dissemination of climate-related risk information.	<b>Output 1.1.</b> A sound climate information system comprising devices operating 24 hours a day to monitor and forecast flood risks and hazards is established.	Mali-Météo; DNH; DGPC; AEDD; Municipal and village authorities; and ENI-ABT.	Install new and rehabilitate existing meteorological and hydrological stations. Monitor climate-related information. Develop meteorological services.
	<b>Output 1.2.</b> Early warning and quick-response systems are developed to increase the resilience of vulnerable local communities in the intervention sites.	DGPC; Mali-Météo; DNH; Municipal and village authorities; DNUH; and ENI-ABT.	Implement a flood EWS in the intervention sites. Develop and disseminate a plan for the communication of flood risks and hazards. Develop commune-specific responses such as evacuation plans.
	<b>Output 1.3.</b> Flood risk mapping combining risks with socio-economic indicators – including inter alia population size, land value, land uses, assets – is undertaken.	DGPC; DNPDP; DNH; AEDD; Mali-Météo; ENI-ABT; DGCT; Other line ministries; and Municipal and	Use climate models to determine short- to medium-term climate risks, particularly for floods. Collect and analyse socio-economic data in the communities selected for LDCF interventions. Undertake flood risk mapping by combining flood risks and socio-economic data in the intervention sites.



		village authorities.	
	<b>Output 1.4.</b> An awareness-raising campaign is undertaken within local communities and schools to build a culture of safety and resilience to floods.	DGPC; Ministry of Education; and Municipal and village authorities.	Conduct awareness-raising campaigns in schools on the impact of floods and decreasing climate risks. Provide training workshops to members of local communities on decreasing their vulnerability to floods. Use other means of communication and platforms to raise awareness of local communities to floods.
Outcome 2: Effective flood risk management mainstreamed into the relevant development planning policies and budgetary processes to increase the resilience of local communities.	<b>Output 2.1.</b> Commune-specific Flood Risk Reduction Plans (FRRP) with locally-appropriate strategies and interventions to decrease the vulnerability of local communities to floods are developed.	Municipal and village authorities; DGPC; AEDD; DNPD; ENI-ABT; and DGCT.	Propose the necessary revisions to the Economic, Social and Cultural Development Programme (PDESC) to incorporate short- to medium-term flood risks. Undertake a technical assessment of the existing stormwater drainage system. Conduct awareness-raising campaigns on appropriate waste disposal and sanitation methods. Secure and manage waste transit depots to improve solid waste management in Bamako.
	<b>Output 2.2.</b> Design, harmonize and enhance existing building and settlement codes to decrease vulnerability of local communities to floods.	DGPC; DNPD; AEDD; DNUH; Mali-Météo; DGCT; DNAT; and Municipal and village authorities.	Evaluate the existing building codes in the selected communes. Determine entry points to strengthen the building codes by mainstreaming climate considerations into them. Propose revisions to existing building codes to prevent flood-related damages.
	<b>Output 2.3.</b> Financial strategies are developed and implemented to improve the financial capacity of local authorities to respond timely to climate-related hazards, in particular floods.	MoF; DGPC; DGCT; ENI-ABT; DGCT; and Municipal and village authorities.	Assess the current financing mechanism used for disaster relief. Develop and implement financing strategies.
	<b>Output 2.4.</b> The technical capacity of the relevant national and local authorities on climate risk management planning as well as flood prevention and reduction measures is enhanced.	AEDD; DGPC; ENI-ABT; DGCT; Mali-Météo; DNH; and Municipal and village authorities.	Targeted training on planning, developing and implementing risk reduction measures.
	<b>Output 3.1.</b> Flood risk reduction interventions are implemented to increase water infiltration and reduce soil erosion.	DGPC; DNH; DNACPN; NGOs; ENI-ABT; CSOs; and Municipal and village authorities.	Rehabilitate and increase the water holding capacity of an existing wetland in Pignari Bana. Revegetation of riparian areas with climate-resilient indigenous species.
Outcome 3: Climate-resilient flood risk management and reduction techniques transferred to local communities within the targeted communes to			

decrease their vulnerability.	<b>Output 3.2.</b> Flood risk reduction interventions are implemented to reduce the vulnerability of human lives and infrastructure.	DGPC; DNH; ENI-ABT; NGOs; CSOs; and Municipal and village authorities.	Extend the existing stormwater drainage system. Improve the existing stormwater drainage system by cleaning and maintaining the drains.
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**A.4. Gender Equality and Women's Empowerment.** Elaborate on how gender equality and women's empowerment issues are mainstreamed into the project implementation and monitoring, taking into account the differences, needs, roles and priorities of women and men. In addition, 1) did the project conduct a gender analysis during project preparation (yes  /no )?; 2) did the project incorporate a gender responsive project results framework, including sex-disaggregated indicators (yes  /no )?; and 3) what is the share of women and men direct beneficiaries (women 50%, men 50%)?

89. In 2014, Mali was ranked as a country with a “very high level of discrimination” in the Social Institutions and Gender Index developed by the Organisation for Economic Co-operation Development Centre<sup>51</sup>. Although the National Gender Policy of Mali was adopted in 2010, customary practices in the country support gender inequalities and disparities<sup>52</sup>. Women in Mali have different access to income-generating activities than men. Indeed, women's roles are predominantly related to childcare and domestic life including raising children, water collection and wood harvesting<sup>53</sup>. Furthermore, as a result of unequal access to education and the labour market, women are more vulnerable to climate-related hazards.

90. The LDCF-financed project will take gender consideration into account and encourage as much as possible women participation to and benefits from the project interventions. Therefore, particular attention will be given to addressing the vulnerability and limited adaptive capacity to floods of both men and women. For example, women will be supported to participate to the monitoring and management committees to be established under Output 1.2. As a result of women participation, decision-making processes regarding the implementation of a strategy to decrease community vulnerability to climate-related hazards will take into consideration men and women livelihoods, expectations and priorities. In addition, to support gender-sensitive interventions, the collaboration initiated with CAFO at PPG phase will continue throughout the implementation phase.

91. As women's domestic life in Mali relate largely to raising children, water collection and wood harvesting, they become relatively more burdened than men in the event of floods. During floods, potable water sources – surface- and groundwater – become susceptible to contamination by microorganisms such as bacteria, sewage, agricultural or industrial waste, chemicals and other substances that can cause serious illnesses. Consequently, women have to walk relatively longer distances to collect water from areas that are not affected by floods to access safe potable water. In addition, water- and vector-borne diseases, such as cholera and malaria<sup>54</sup> respectively, spread rapidly after floods which affect children more than other population groups. As it is largely women's role to raise children, they are further burdened by floods as they have to attend to sick children. In light of the above, this LDCF-financed project has adopted a gender-sensitive approach by reducing and managing the impacts of floods in the country. The LDCF-financed project is therefore intrinsically gender-sensitive and will improve the quality of life of the women in the

<sup>51</sup> The following parameters were measured as part of this index: i) discriminatory family code including lower age of marriage, parental authority and inheritance for women (Mali score: very high); ii) restricted physical integrity that assess the legal framework against domestic violence, rape, sexual harassment as well as genital mutilation and reproductive autonomy (Mali score: very high); iii) son bias measuring female infanticide or health conditions (Mali score: high); iv) restricted resources and assets including access to land and non-land assets, and financial services (Mali score: medium); and v) restricted civil liberties comparing access to public place and political voice (Mali score: very high). <http://www.oecd.org/dev/development-gender/BrochureSIGI2015-web.pdf>

<sup>52</sup> UNDP. 2012. Gender equality and women's empowerment in public administration. Mali: Case Study.

<sup>53</sup> USAID. 2015. Addendum to the 2012 Gender Assessment.

<sup>54</sup> Ministry of Environment and Sanitation: Environment and Sustainable Development Agency. 2011. Mali climate audit.

intervention sites. The needs and priorities of women have therefore been considered and integrated in the design of this project.

92. A local gender specialist will be appointed under Activity 1.2.2 to support the use of a gender-sensitive and gender-responsive approach in developing the flood risk communication strategy. The scope of the works of the gender specialist will not be limited to Activity 1.2.2 alone but will undertake an evaluation of the LDCF-financed activities and provide a roadmap for promoting a gender-responsive approach throughout the project. The project team will report to the project board on how these recommendations have been included in the subsequent implementation of the LDCF-financed interventions. The gender specialist will develop additional gender-sensitive indicators for the relevant activities which will be monitored by the appointed M&E specialist in the Project Management Unit (PMU). Based on an assessment of the gender-responsiveness of the LDCF-financed activities, gender equality in accessing project's resources and the meaningful participation of women in the implementation phase, corrective interventions will be recommended. Moreover, the gender specialist will develop detailed indicators for the activities undertaken under Outputs 1.3 and 2.1 which pertain to flood risk mapping and the development of flood risk reduction plans, respectively. The flood risk mapping exercise will determine the flood risk reduction interventions to be prioritised and implemented by local government authorities. It is therefore crucial that the socio-economic information collected under Output 1.3 is gender-sensitive as it will enable the development of gender-sensitive and gender-responsive flood risk reduction plans to decrease the vulnerability of women to floods in the future.

93. In addition to the flood risk maps and flood risk reduction plans, gender-responsive campaigns to raise awareness on building resilience to floods and adopting adequate solid waste disposal practices under Activities 1.4.2 and 2.1.5 will be undertaken. As their role pertain largely to raising children, women in Mali are likely to instil their increased knowledge on floods – including the underlying causes of floods and adaptation options – to their children. For example, as women adopt the adequate solid waste disposal practices following awareness-raising campaigns, their children – exposed to such practices – are likely to embrace them in the future.

94. Gender-sensitive indicators and targets have been developed to monitor the progress of the LDCF-financed project and will be refined by the baseline study. Gender-disaggregated data will be collected during project implementation to inform and update the project indicators. Furthermore, up to 50% participation of women (to be determined by the baseline study) will be targeted for each training activity as a prerequisite to hold the event. Trainers appointed by this project will be required to have the required skills and experience to plan and facilitate gender-sensitive training. The set of communication tools under Output 1.4 will also be selected in order to reach men and women similarly. Lastly, awareness raising on gender equity in the context of climate change will be incorporated into the training of government officials to encourage the implementation of gender-sensitive initiatives beyond the project lifespan.

**A.5 Risk.** Elaborate on indicated risks, including climate change, potential social and environmental risks that might prevent the project objectives from being achieved, and, if possible, the proposed measures that address these risks at the time of project implementation.(table format acceptable):

**Table: Risk table.**

Project risks					
Description	Type	Impact & Probability	Mitigation measures	Owner	Status
Unclear distribution of the roles for the maintenance of meteorological and hydrological stations,	Operational	P= 3 I= 3	- Simple technical guidelines on operation and maintenance will be compiled by the relevant specialist and/or supplier. - Training workshops on the operation and maintenance of equipment, stations and	AEDD	No change

pluviographs, network of canals to divert water, permeable rock dams, and stormwater drains.			<p>systems implemented under the LDCF-financed project.</p> <ul style="list-style-type: none"> <li>- Long-term maintenance plans including identification of stakeholder roles and funding sources will be developed for meteorological and hydrological stations, pluviographs, network of canals to divert water, permeable rock dams, and stormwater drains implemented under this project to promote their maintenance and/or management by the relevant authorities beyond the project lifespan.</li> </ul>		
Insufficient support from the beneficiary communities to implement the project successfully as benefits are not apparent immediately and only become evident in the event of floods.	Organisational	P= 2 I= 4	<ul style="list-style-type: none"> <li>- The LDCF-financed interventions were developed through multiple stakeholder consultations with local communities in November 2015.</li> <li>- Extensive engagement of local communities in decision making will be pursued throughout the implementation phase.</li> <li>- Where possible, local labour will be sourced to increase their support.</li> <li>- Tangible and visible activities that addressed community priorities will be implemented early during the project implementation phase.</li> <li>- The Technical Assistants appointed as part of the Project Management Unit in each site will maintain strong communication link with the project beneficiaries, manage expectations of local communities, and ensure alignment of project results, targets and benefits with communities' needs.</li> </ul>	AEDD DGPC	Increasing
Limited coordination among government authorities.	Operational & Strategic	P= 2 I= 4	<ul style="list-style-type: none"> <li>- The project will be implemented according to management arrangements as described in Section VII of this Project Document. The project management arrangement as currently established promote coordination of government authorities – including <i>inter alia</i> the DGPC, Mali-Météo, DNH, DGCT, and municipal and village authorities – on the Project Board. The chair of the Project Board, the CNCC, will intervene and implement the remedial actions if limited coordination among government authorities hinder the progress of the LDCF-financed project.</li> </ul>	AEDD	Decreasing
Inadequate coordination between national and local (communal, municipal and village) authorities.	Operational & Strategic	P= 2 I= 3	<ul style="list-style-type: none"> <li>- Among the roles of the Project Coordinating Unit (see Section VII of this Project Document), it is clearly stated that it is responsible for the coordination between national government departments and decentralised institutions such as communal, municipal and village authorities.</li> <li>- Representatives of national and local authorities will participate in the Project Management Unit meetings which will be used as a platform to ensure sufficient communication between and within institutions.</li> </ul>	AEDD	Decreasing

Project interventions are not gender-sensitive and gender-responsive.	Strategic	P= 1 I= 3	<ul style="list-style-type: none"> <li>- Ensure that the project team is familiar with the gender mainstreaming manual developed by UNDP.</li> <li>- Promote the inclusion of women groups in the Project Board to support the implementation of the project activities in a gender-sensitive manner.</li> <li>- The inclusion of gender-sensitive indicators in the results framework promotes gender considerations in the development and implementation of LDCF-financed interventions (see Section II of this Project Document).</li> </ul>	AEDD	Decreasing
Insufficient political and financial support from the GoM.	Political	P= 1 I= 3	<ul style="list-style-type: none"> <li>- The LDCF-financed project is country-driven and was developed based on the consultations of government departments.</li> <li>- Reducing vulnerability to floods to secure lives and assets in Mali is a priority of the GoM.</li> </ul>	AEDD MoF DGCT	No change
Interventions in the district of Mopti are delayed by ongoing conflicts.	Political	P= 1 I= 3	- Although there have been a few incidents with insurgent groups in Mopti over the last year, the majority of conflicts occur in the northern parts of the country. The Technical Assistant based in Mopti will monitor the local situation and report to the Project Coordinator and the Project Board to determine if LDCF-financed interventions needs to be amended for security reasons.	AEDD DGPC	Increasing
Climate hazards delay the implementation of project interventions.	Environmental	P= 2 I= 4	- Project activities that are particularly sensitive to climate conditions such as the construction of hard infrastructure will be carefully scheduled taking into account rainfall partners and forecast. This will include collaboration with Mali-Météo.	Mali-Météo DNH AEDD DGPC	Increasing

The UNDP Social and Environmental and Social Screening Template is attached as Appendix 7.

**A.6. Institutional Arrangement and Coordination.** Describe the institutional arrangement for project implementation. Elaborate on the planned coordination with other relevant GEF-financed projects and other initiatives.

95. Roles and responsibilities of the project's governance mechanism: The project will be implemented following UNDP's National Implementation Modality, according to the Standard Basic Assistance Agreement between UNDP and the GoM, and the Country Program Action Plan (CPAP). The project will be implemented over a period of five years (60 months) by the AEDD which is the **Implementing Partner** (also known as the Project Executive). The AEDD will be responsible for the planning, coordination and implementation of the LDCF-financed project. As the Implementing Partner, it will also be responsible for reporting to the UNDP Country Office in Mali. The Implementing Partner is responsible and accountable for managing this project, including the monitoring and evaluation of project interventions, achieving project outcomes, and for the effective use of UNDP resources. The AEDD will establish a Project Management Unit in Bamako which will comprise a Project Coordinator, Project Finance and Administration Officer, Monitoring and Evaluation Officer as well as three Technical Assistants (also known as focal points).

96. The Implementing Partner will take overall responsibility for the project implementation, and the timely and verifiable attainment of project objectives and outcomes. It will provide support to, and inputs for, the

implementation of all project activities. The highest authority of the Implementing Partner will serve as the National Project Director (NPD) for the project implementation. The NPD will chair the Project Steering Committee (PSC), and be responsible for providing government oversight and guidance to the project implementation. The NPD will not be paid from the project funds, but will represent a government in kind contribution to the Project. The NPD will be technically supported by an international Chief Technical Adviser (CTA). The CTA will support the provision of the required technical inputs, reviewing and preparing Terms of Reference and reviewing the outputs of consultants and other sub-contractors. The CTA will be recruited using standard UNDP-CO recruitment procedures and will report directly to the NPD.

97. The **National Steering Committee** is the group responsible for making by consensus management decisions for a project when guidance is required by the Project Coordinator, including recommendation for UNDP/AEDD approval of project plans and revisions. In order to ensure UNDP's ultimate accountability, NSC decisions should be made in accordance to standards<sup>55</sup> that shall ensure best value to money, fairness, integrity transparency and effective international competition. In case a consensus cannot be reached, final decision shall rest with the UNDP Programme Manager. Project reviews by this group are made at designated decision points during the running of a project, or as necessary when raised by the Project Coordinator. This group is consulted by the Project Coordinator for decisions when Project Coordinator's tolerances (normally in terms of time and budget) have been exceeded. The terms of reference for the NSC are contained in Appendix 8. The NSC is comprised of the following individuals:

**Composition and organisation:** The NSC contains three roles, including:

- i. An **Executive**: individual representing the project ownership to chair the group. The Executive is the AEDD who will report to the NSC twice a year on the progress of the project and the emerging results.
- ii. **Senior Supplier**: individual or group representing the interests of the parties concerned which provide funding and/or technical expertise to the project. The Senior Supplier's primary function within the NSC is to provide guidance regarding the technical feasibility of the project. The Senior Suppliers include representatives from Mali-Météo, DNH, and DGPC, NGOs, CSOs, municipal and village authorities, and local communities.
- iii. **Senior Beneficiary**: individual or group of individuals representing the interests of those who will ultimately benefit from the project. The Senior Beneficiary's primary function within the NSC is to ensure the realization of project results from the perspective of project beneficiaries. The Senior Beneficiary group includes representatives of AEDD, Mali-Météo, municipal and village authorities, local communities, NGOs, and CSOs.

98. Based on the approved Annual Work Plan (AWP), the NSC may review and approve project quarterly plans when required and authorises any major deviation from these agreed quarterly plans. It is the authority that signs off the completion of each quarterly plan as well as authorizes the start of the next quarterly plan. It ensures that required resources are committed and arbitrates on any conflicts within the project or negotiates a solution to any problems between the project and external bodies. In addition, it approves the appointment and responsibilities of the Project Coordinator and any delegation of its Project Assurance responsibilities.

99. Potential members of the NSC are reviewed and recommended for approval during the PAC meeting. For example, the Executive role can be held by a representative from the Government Cooperating Agency or UNDP, the Senior Supplier role is held by a representative of the AEDD and/or UNDP, and the Senior

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<sup>55</sup> UNDP Financial Rules and Regulations: Chapter E, Regulation 16.05: a) The administration by executing entities or, under the harmonized operational modalities, implementing partners, of resources obtained from or through UNDP shall be carried out under their respective financial regulations, rules, practices and procedures only to the extent that they do not contravene the principles of the Financial Regulations and Rules of UNDP. b) Where the financial governance of an executing entity or, under the harmonized operational modalities, implementing partner, does not provide the required guidance to ensure best value for money, fairness, integrity, transparency, and effective international competition that of UNDP shall apply.

Beneficiary role is held by a representative of the government or civil society. Representative of other stakeholders can be included in the NSC as appropriate.

100. The project organisation structure is as follows:

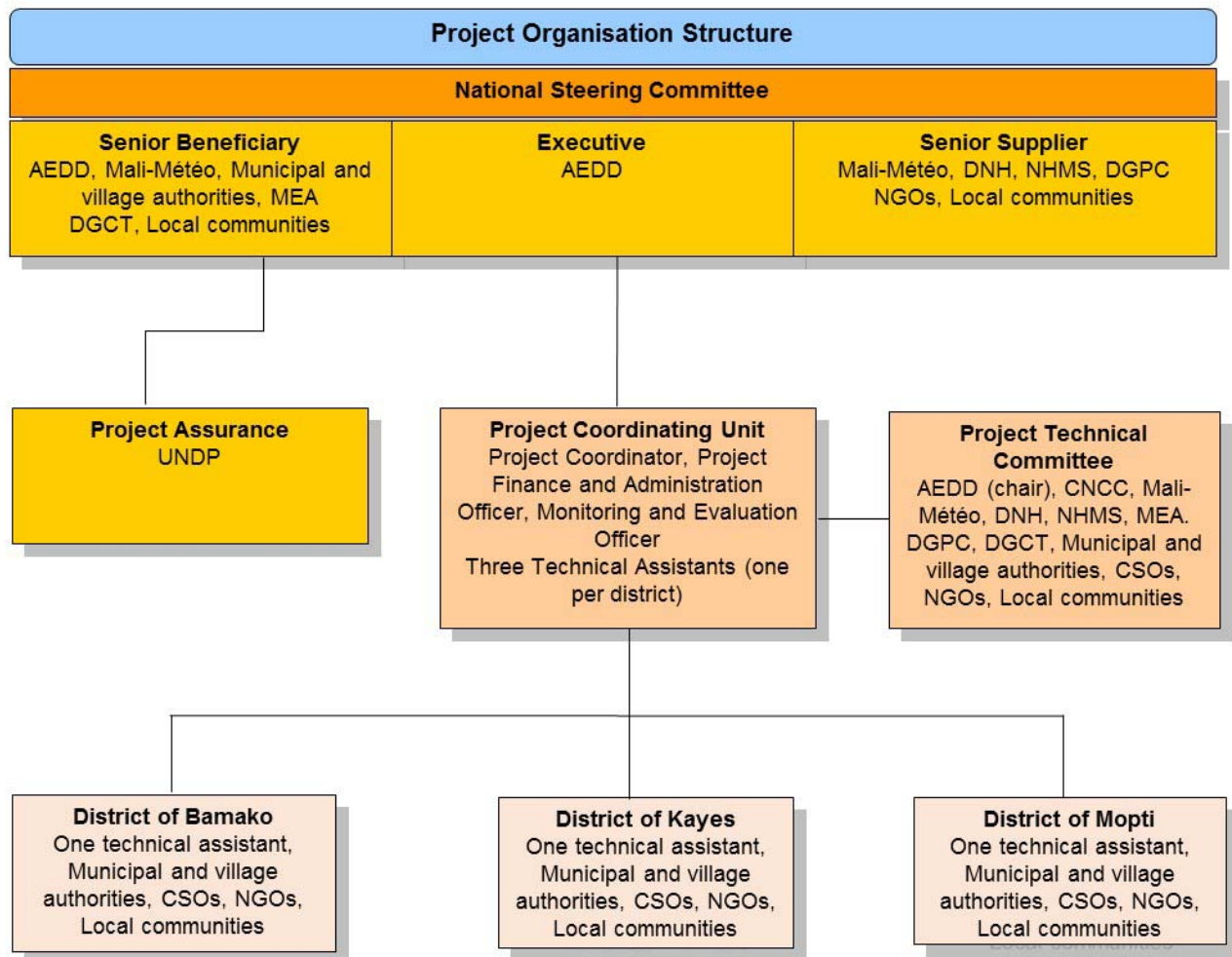


Figure 1: Organogram of management arrangements for the LDCF-financed project.

101. The specific responsibilities of the NSC are as follows:

*Defining a project*

- Review and approve the Initiation Plan (if such plan was required and submitted to the PAC).

*Initiating a project*

- Agree on Project Coordinator's responsibilities, as well as the responsibilities of the other members of the Project Coordinating Unit (PCU).
- Delegate any Project Assurance function as appropriate.
- Review the Progress Report for the Initiation Stage (if an Initiation Plan was required).
- Review and appraise detailed Project Plan and AWP, including Atlas reports covering activity definition, quality criteria, issue log, updated risk log and the monitoring and communication plan.

*Running a project*

- Provide overall guidance and direction to the project, ensuring it remains within any specified constraints.
- Address project issues as raised by the Project Coordinator.
- Provide guidance and agree on possible countermeasures/management actions to address specific risks.
- Agree on Project Coordinator's tolerances in the AWP and quarterly plans when required.
- Conduct regular meetings to review the Project Quarterly Progress Report and provide direction and recommendations to ensure that the agreed deliverables are produced satisfactorily according to plans.
- Review Combined Delivery Reports prior to certification by the Implementing Partner.
- Appraise the Project Annual Review Report, make recommendations for the next AWP, and inform the Outcome Board about the results of the review.
- Review and approve end project report, make recommendations for follow-on actions.
- Provide *ad-hoc* direction and advice for exception situations when project Coordinator's tolerances are exceeded.
- Assess and decide on project changes through revisions.

#### *Closing a project*

- Assure that all Project deliverables have been produced satisfactorily.
- Review and approve the Final Project Review Report, including lessons-learned.
- Make recommendations for follow-on actions to be submitted to the Outcome Board.
- Commission project evaluation (only when required by partnership agreement)
- Notify operational completion of the project to the Outcome Board.

#### 130. Executive

The **Executive** – AEDD – is ultimately responsible – for the project, supported by the Senior Beneficiary and Senior Supplier. The Executive's role is to ensure that the project is focused throughout its life cycle on achieving its objectives and delivering outputs that will contribute to higher level outcomes. The Executive has to ensure that the project gives value for money, ensuring a cost-conscious approach to the project, balancing the demands of beneficiary and supplier. The specific responsibilities (as part of the above responsibilities for the NSC) are:

- Ensure that there is a coherent project organisation structure and logical set of plans
- Set tolerances in the AWP and other plans as required for the Project Coordinator.
- Monitor and control the progress of the project at a strategic level.
- Ensure that risks are being tracked and mitigated as effectively as possible.
- Brief Outcome Board and relevant stakeholders about project progress.
- Organise and chair NSC meetings.

102. The Executive is responsible for overall assurance of the project as described under the section entitled "Project assurance". If the project warrants it, the Executive may delegate some responsibility for the project assurance functions.

#### 103. Senior Beneficiary

The **Senior Beneficiary** is responsible for validating the needs and for monitoring that the solution will meet those needs within the constraints of the project. The role represents the interests of all those who will benefit from the project, or those for whom the deliverables resulting from activities will achieve specific output targets. The Senior Beneficiary role monitors progress against targets and quality criteria. This role may require more than one person to cover all the beneficiary interests. For the sake of effectiveness the role should not be split between too many people. The specific responsibilities of the Senior Beneficiary are (as part of the above responsibilities for the NSC):

- Ensure the expected output(s) and related activities of the project are well defined.



- Make sure that progress towards the outputs required by the beneficiaries remains consistent from the beneficiary perspective.
- Promote and maintain focus on the expected project output(s).
- Prioritise and contribute beneficiaries' opinions on NSC decisions on whether to implement recommendations on proposed changes.
- Resolve priority conflicts.

104. The assurance responsibilities of the Senior Beneficiary are to check that:

- Specification of the Beneficiary's needs is accurate, complete and unambiguous.
- Implementation of activities at all stages is monitored to ensure that they will meet the beneficiary's needs and are progressing towards that target.
- Impact of potential changes is evaluated from the beneficiary point of view.
- Risks to the beneficiaries are frequently monitored.

105. Where the project's size, complexity or importance warrants it, the Senior Beneficiary may delegate the responsibility and authority for some of the assurance responsibilities (see the section entitled "Project assurance")

106. Senior Supplier

The **Senior Supplier** represents the interests of the parties which provide funding and/or technical expertise to the project (designing, developing, facilitating, procuring, implementing). The Senior Supplier's primary function within the NSC is to provide guidance regarding the technical feasibility of the project. The Senior Supplier role must have the authority to commit or acquire supplier resources required. If necessary, more than one person may be required for this role. Typically, the implementing partner, UNDP and/or donor(s) would be represented under this role. The specific responsibilities of the Senior Supplier are (as part of the above responsibilities for the NSC):

- Make sure that progress towards the outputs remains consistent from the supplier perspective.
- Promote and maintain focus on the expected project output(s) from the point of view of supplier management.
- Ensure that the supplier resources required for the project are made available.
- Contribute supplier opinions on NSC decisions on whether to implement recommendations on proposed changes.
- Arbitrate on, and ensure resolution of, any supplier priority or resource conflicts.

107. The Senior Supplier assurance role responsibilities are to:

- Advise on the selection of strategy, design and methods to carry out project activities.
- Ensure that any standards defined for the project are met and used to good effect.
- Monitor potential changes and their impact on the quality of deliverables from a supplier perspective.
- Monitor any risks in the implementation aspects of the project.

108. If warranted, some of this assurance responsibility may be delegated (see the section entitled "Project assurance").

**Project Coordinator**

109. **Overall responsibilities:** The Project Coordinator has the authority to run the project on a daily basis on behalf of the NSC within the constraints laid down by the committee. The Project Coordinator is responsible for daily management and decision-making for the project. The Project Coordinator's prime responsibility is to ensure that the project produces the results specified in the project document, to the required standard of quality and within the specified constraints of time and cost.

110. The Implementing Partner, the AEDD, appoints the Project Manager, who should be different from the Implementing Partner's representative in the Outcome Board. Prior to the approval of the project, the Project Developer role is the UNDP staff member responsible for project management functions during formulation until the Project Coordinator from the Implementing Partner is in place. The **specific responsibilities** would include:

*Overall project management:*

- Manage the realization of project outputs through activities.
- Provide direction and guidance to project team(s)/ responsible party/ies.
- Liaise with the NSC or its appointed Project Assurance roles to assure the overall direction and integrity of the project.
- Identify and obtain any support and advice required for the management, planning and control of the project.
- Responsible for project administration.
- Liaise with any suppliers.
- May also perform Team Manager and Project Support roles.

*Running a project*

- Plan the activities of the project and monitor progress against the initial quality criteria.
- Mobilize goods and services to initiative activities, including drafting TORs and work specifications.
- Monitor events as determined in the Monitoring & Communication Plan, and update the plan as required.
- Manage requests for the provision of financial resources by UNDP, using advance of funds, direct payments, or reimbursement using the FACE (Fund Authorization and Certificate of Expenditures).
- Monitor financial resources and accounting to ensure accuracy and reliability of financial reports.
- Manage and monitor the project risks as initially identified in the Project Brief appraised by the PAC, submit new risks to the NSC for consideration and decision on possible actions if required; update the status of these risks by maintaining the Project Risks Log.
- Be responsible for managing issues and requests for change by maintaining an Issues Log.
- Prepare the Project Quarterly Progress Report (progress against planned activities, update on Risks and Issues, expenditures) and submit the report to the NSC and Project Assurance.
- Prepare the Annual review Report, and submit the report to the NSC and the Outcome Board.
- Based on the review, prepare the AWP for the following year, as well as Quarterly Plans if required.

*Closing a Project*

- Prepare Final Project Review Reports to be submitted to the NSC and the Outcome Board.
- Identify follow-on actions and submit them for consideration to the NSC.
- Manage the transfer of project deliverables, documents, files, equipment and materials to national beneficiaries.
- Prepare final CDR/FACE for signature by UNDP and the Implementing Partner.

111. The Project Coordinator's function will end when the final project TE report, and other documentation required by the GEF and UNDP, have been completed and submitted to UNDP (including operational closure of the project).

## **Project Assurance**

112. **Overall responsibility:** Project Assurance is the responsibility of each NSC member, however the role can be delegated. The Project Assurance role supports the NSC by carrying out objective and independent project oversight and monitoring functions. This role ensures appropriate project management milestones are managed and completed.

113. Project Assurance has to be independent of the Project Coordinator; therefore the NSC cannot delegate any of its assurance responsibilities to the Project Coordinator. A UNDP Programme Officer typically holds the Project Assurance role.

114. The implementation of the assurance responsibilities needs to answer the question “What is to be assured?”. The following list includes the key suggested aspects that need to be checked by the Project Assurance throughout the project as part of ensuring that it remains relevant, follows the approved plans and continues to meet the planned targets with quality.

- Maintenance of thorough liaison throughout the project between the members of the NSC.
- Beneficiary needs and expectations are being met or managed.
- Risks are being controlled.
- Adherence to the Project Justification (Business Case).
- Projects fit with the overall Country Programme.
- The right people are being involved.
- An acceptable solution is being developed.
- The project remains viable.
- The scope of the project is not “creeping upwards” unnoticed.
- Internal and external communications are working.
- Applicable UNDP rules and regulations are being observed.
- Any legislative constraints are being observed.
- Adherence to RMG monitoring and reporting requirements and standards.
- Quality management procedures are properly followed.
- NSC’s decisions are followed and revisions are managed in line with the required procedures.

115. Specific responsibilities would include:

- Initiating a project.
- Ensure that project outputs definitions and activity definition including description and quality criteria have been properly recorded in the Atlas Project Management module to facilitate monitoring and reporting.
- Ensure that people concerned are fully informed about the project.
- Ensure that all preparatory activities, including training for project staff, logistic supports are timely carried out.

#### *Running a project*

- Ensure that funds are made available to the project.
- Ensure that risks and issues are properly managed, and that the logs in Atlas are regularly updated.
- Ensure that critical project information is monitored and updated in Atlas, using the Activity Quality log in particular.
- Ensure that Project Quarterly Progress Reports are prepared and submitted on time, and according to standards in terms of format and content quality.
- Ensure that CDRs and FACE are prepared and submitted to the NSC and Outcome Board.
- Perform oversight activities, such as periodic monitoring visits and “spot checks”.
- Ensure that the Project Data Quality Dashboard remains “green”.

#### *Closing a project*

- Ensure that the project is operationally closed in Atlas.
- Ensure that all financial transactions are in Atlas based on final accounting of expenditures.
- Ensure that project accounts are closed and status set in Atlas accordingly.

### **Project Support**

116. **Overall responsibilities:** The **Project Support** role provides project administration, management and technical support to the Project Coordinator as required by the needs of the individual project or Project Coordinator. The provision of any Project Support on a formal basis is optional. It is necessary to keep Project Support and Project Assurance roles separate in order to maintain the independence of Project Assurance.

**Specific responsibilities:** Some specific tasks of the Project Support would include:

*Provision of administrative services:*

- Set up and maintain project files.
- Collect project related information data.
- Update plans.
- Administer the quality review process.
- Administer NSC meetings.

*Project documentation management:*

- Administer project revision control.
- Establish document control procedures.
- Compile, copy and distribute all project reports.

*Financial Management, Monitoring and reporting*

- Assist in the financial management tasks under the responsibility of the Project Manager.
- Provide support in the use of Atlas for monitoring and reporting.

*Provision of technical support services*

- Provide technical advices.
- Review technical reports.
- Monitor technical activities carried out by responsible parties.

117. Agreement on intellectual property rights and use of logo on the project's deliverables: In order to accord proper acknowledgement to the GEF for providing funding, the GEF logo will appear together with the UNDP logo on all promotional materials, other written materials like publications developed by the project, and project hardware. Any citation on publications regarding projects funded by the GEF will also accord proper acknowledgement to the GEF.

**A.7 Benefits.** Describe the socioeconomic benefits to be delivered by the project at the national and local levels. How do these benefits translate in supporting the achievement of global environment benefits (GEF Trust Fund) or adaptation benefits (LDCF/SCCF)?

118. *National benefits:* At the national level, the adaptation benefits generated by the LDCF-financed project will include building the overall capacity of the GoM to plan for and respond to floods. This will be achieved through training and capacity-building of government officials within Mali-Météo, DNH and DGPC at the national, district and commune levels. Targeted beneficiaries of the project's capacity-building activities will, at a minimum, include: i) 50 national-level representatives; ii) 50 district-level representatives (in each of three targeted districts); and iii) 100 commune-level representatives (in each of the seven targeted communes).

119. These stakeholders will benefit directly from capacity-building activities to improve the planning, design and implementation of GoM's activities to respond to climate-related hazards, particularly floods. In addition, the benefits of the LDCF-financed project will include: i) an increase in the total geospatial coverage of the national hydrological and meteorological monitoring (hydromet) network by at least 10%; and ii) the development of an enhanced EWS for monitoring and issuing flood warnings to vulnerable communities in

Bamako, Kayes and Mopti. The total size of the population to be reached by the proposed EWS – designed specifically for floods – will include at least 20% of the population.

120. *Local benefits:* At the local level, the LDCF-financed project activities will deliver targeted adaptation benefits to 51 vulnerable local communities in the districts of Bamako, Kayes and Mopti, resulting in direct benefits for at least 1,200,000 people which amounts to 120,000 households. Within these target intervention sites, the project will introduce multiple measures to reduce vulnerability to flood risks, including both measures to increase resilience as well as decrease exposure to floods. Vulnerable local communities will benefit directly from the establishment of physical measures for flood protection including *inter alia* permeable rock dams and stormwater drains for water evacuation at priority flood-prone areas. In addition to providing physical flood protection, the project will implement awareness-raising activities on the management of floods to at least 500,000 people within communes I, IV and VI in Bamako, as well as Tomora in Kayes. The project's awareness-raising activities will also include campaigns to increase the knowledge of municipal and village officials on the management of public risks related to floods.

121. At the local level, the vulnerability of project beneficiaries to floods will be reduced through several measures including *inter alia*: i) dissemination of early warnings; ii) long-term resilience to floods as a result of the mainstreaming of flood risks in governing document such as the PDESC; and iii) improved capacity of stormwater drainage systems, through maintenance and extension of existing drainage systems in communes I, IV and VI of Bamako (please see Section III for the list of the activities selected to be implemented per site under the LDCF-financed project).

122. Municipal and village authorities in the seven communes that have been selected for LDCF-financed interventions will benefit from capacity-building activities. As a result, the communes' institutional, technical and financial capacity to develop and implement site-specific adaptation interventions will be strengthened. This will improve the management of flood risks and hazards thereby decreasing the vulnerability of local communities to these disasters. To promote a gender-sensitive approach in the development of the LDCF-financed project, representatives of CAFO<sup>56</sup> – an association of women's NGO groups in Mali – participated in several of the regional and local workshops in Bamako, Kayes and Mopti. The participation of CAFO ensures that a gender-sensitive approach recognising that floods affect men and women differently has been considered in the design of the LDCF-financed project. This project will also consider the effect of floods on other vulnerable groups such as children and the elderly. In so doing, the project will increase the adaptive capacity of the most vulnerable groups of Mali's society to floods.

**A.8 Knowledge Management.** Elaborate on the knowledge management approach for the project, including, if any, plans for the project to learn from other relevant projects and initiatives (e.g. participate in trainings, conferences, stakeholder exchanges, virtual networks, project twinning) and plans for the project to assess and document in a user-friendly form (e.g. lessons learned briefs, engaging websites, guidebooks based on experience) and share these experiences and expertise (e.g. participate in community of practices, organize seminars, trainings and conferences) with relevant stakeholders.

**123. Knowledge Management:** Through the LDCF-financed project, appropriate climate change adaptation interventions will be implemented in 51 vulnerable local communities in Bamako, Kayes and Mopti. Therefore, knowledge will be generated collectively with the 51 selected local communities, CBOs and NGOs as well as government institutions – national and local – in the Bamako, Kayes and Mopti. The LDCF-financed project will also strengthen the technical, institutional and financial capacity of national and local government institutions, thereby contributing to alleviating the shortage of extension personnel. In addition, the project will improve knowledge management among targeted institutions and local communities by:

- Developing a detailed flood risks and hazards communication strategy – through a participatory approach – to be implemented in the vulnerable local communities after the installation of EWSs;

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<sup>56</sup> CAFO stands for Coordination of Associations and Women's Organisations.

- Developing short- to medium-term flood risk maps which are composites of socio-economic information including *inter alia* population size and density, settlement patterns, land uses and value, sources of livelihoods and infrastructure – and flood-vulnerable assets ;
- Implementing an education programme in the school curriculum and raising awareness on the prevention of flood risks to decrease the vulnerability of school children;
- Developing commune-specific FRRPs in a participatory manner with local communities;
- Compiling awareness-raising materials on flood risks, climate change adaptation and appropriate solid waste disposal practices;
- Developing policy briefs to detail the proposed revisions to the existing building and settlement codes for the intervention sites.
- Compiling training material for the relevant national and local government officials within the targeted communes on climate risk management, preventing and minimising the negative effects of floods, on vulnerable on local communities.
- Developing long-term maintenance plans – in a participatory manner – and simple technical guidelines, where necessary, to support the long-term maintenance of the LDCF-financed soft and hard interventions.

124. The LDCF project management team is encouraged to engage with the supporting staff of the following GEF and non-GEF ongoing country interventions to exchange knowledge and lessons learned :

- LDCF-financed project entitled **“Strengthening the resilience of women’s producer groups and vulnerable communities in Mali”** is currently executed by UNDP in the districts of Sikasso, Kayes and Koulikoro. The objective of the project is to build the resilience of women subsistence farmers to the negative effects of climate change by improving access to water, promoting climate-smart agriculture, and diversifying their income. The project activities include *inter alia*: i) increasing the knowledge of women’s subsistence farming groups on adaptation options; ii) restoring communal forests; iii) demonstrating land and water conservation techniques; and iv) developing and increasing access to agro-meteorological information. The implementation period is 2015–2019 with a budget of US\$ 5,460,000. Lessons learned on best-practice adaptation options in the Malian context will inform the awareness-raising campaigns for the public and the training to be provided to government officials on reducing and managing the impacts of floods.
- Additionally to the aforementioned LDCF-financed project, UNDP is one of the executing partners of the project entitled **“Programme for the support of the National Adaptation Strategy to Climate Change in Mali”**, financed by Government of Germany and commissioned by the Federal Ministry for the Environment, Nature Conservation, Building and Nuclear Safety (BMUB). The project has a budget of US\$ 5,500,000 for the period 2014–2019. The objective of the project is to increase the resilience of vulnerable communities to climate change using an integrated approach to adaptation including ecosystem restoration and strengthening of production chains for ecosystem-based resources. Activities financed by the project include *inter alia*: i) the mainstreaming of climate change considerations into existing policies as well as planning and monitoring instruments; and ii) the identification of best-practice interventions to effectively manage the negative effects of climate change on local communities in collaboration with municipal authorities. In addition, the project activities include the expansion of the network of weather stations. The LDCF-financed project will build on the work undertaken by the project described above by increasing the network of weather stations to improve the quality and quantity of weather-related information in the country. The lessons learned in the mainstreaming of climate change considerations into existing policies will inform the activities under Component 2 of the LDCF-financed project.
- The project entitled **“Programme support for climate change adaptation in the vulnerable regions of Mopti and Timbuktu”** is financed by the Adaptation Fund – to the value of ~\$8,500,000 – and implemented by UNDP over the period 2016–2018. The main objective of the project is to increase the resilience of vulnerable communities in Mopti and Timbuktu, and their adaptive capacity to climate

change. In particular, Component 3 seeks to strengthen the capacity of local government institutions and communities on adaptation options. The knowledge gathered on the effectiveness of adaptation options implemented in the project described above will be shared with the LDCF project team and presented to government officials to increase their knowledge on climate change and adaptation options. The lessons learned in the awareness-raising campaigns undertaken in 20 local communities in Mopti and Timbuktu will inform the campaigns to be undertaken within the LDCF-financed project.

- The project entitled “**Enhancing adaptive capacity and resilience to climate change in Mali’s agriculture sector**” is financed by LDCF and Canada UNDP Climate Change Adaptation Facility. It is implemented by the National Directorate of Agriculture (DNA) and UNDP with a budget of \$ 2,340,000 over the period 2010-2014. However, the project is still under implementation. The objective of the project is to enhance the adaptive capacities of vulnerable rural populations to the additional risks posed by climate change on agricultural production and food security in the country. The project targets several municipalities in the districts of Kayes, Koulikoro, Sikasso, Ségou, Mopti and Gao to increase their technical and financial capacity to manage the impacts of climate change on agricultural production and food security. Output 1.3 of the project described above pertains to developing adaptation financing strategies which will inform those to be developed under Output 2.3 of the LDCF-financed project. This exchange of lessons learned with the LDCF-financed project will be valuable in developing effective financial strategies that allow funds to be readily available and accessible by local government authorities to reduce and manage floods impacts in the country.
- In 2007, the GoM established a fund entitled “**National support fund to local authorities**” which is managed by the ANICT. The establishment of this fund followed the decision to undertake decentralised governance in Mali in 1992. The fund supports the transfer of technical, institutional and financial capacities from the national government to decentralised authorities such as municipalities. It is used to: i) strengthen the capacities of regional, communal and local government authorities to promote socio-economic development in their respective area of jurisdiction; and ii) promote the development of the environment, education, water and health sectors at the regional, communal, and municipal and village scales. The technical and financial capacity of local government authorities will be further strengthened by the LDCF-financed project.

## **B. DESCRIPTION OF THE CONSISTENCY OF THE PROJECT WITH:**

B.1 *Consistency with National Priorities.* Describe the consistency of the project with national strategies and plans or reports and assessments under relevant conventions such as NAPAs, NAPs, ASGM NAPs, MIAs, NBSAPs, NCs, TNAs, NCSAs, NIPs, PRSPs, NPFE, BURs, etc.:

125. The LDCF-financed project has been designed to respond to Mali’s national priorities and will support the implementation of national policies, plans and strategies. In addition to the national priorities as detailed in policies, plans and strategies, the project’s activities are well-aligned with the GEF-LDCF and UNDP strategic objectives.

### *Policy conformity*

126. The management of climate risks and floods in particular is addressed in Axis 2 of the **Poverty Reduction and Growth Strategy Paper** (CSCR, 2012-2017). This axis, entitled “Strengthening long-term basis for development and equitable access to quality social services”, aims to develop human resources while simultaneously addressing gender inequalities, access to basic social services and the preservation of the environment in the context of climate change.

127. The **National Plan for Multi Risk Preparation and Emergency Disaster Response** (PNMRRC) was developed to increase the speed of responses in the event of natural disaster events, including the release of emergency funding. The main objectives of the plan are to: i) facilitate the coordination of disaster prevention

and management actions and enable the coherence of sectoral plans; ii) clarify the relationships/responsibilities between participating government agencies and humanitarian partners; iii) identify and address the most probable risks of natural disasters; iv) integrate protocols for disaster/emergency response measures into sectoral plans and development programmes; and v) reduce the time taken to respond to crises. In line with the ongoing activities of the PNMRRRC, the LDCF-financed project will generate recommendations for policy reforms to manage settlements in flood-prone areas thereby reducing exposure to flood risks. Policy reforms will pertain to existing building, and settlement codes and the associated land-use plans which will inform future development planning. Managing settlements will consist of developing and implementing the necessary interventions – including both soft and hard – to reduce flood risks in flood-prone areas. In addition, the project will develop innovative financial strategies to increase the availability and speed of the release of funds to support relief efforts in the event of climate-related hazards such as floods.

128. Although the **National Adaptation Programme of Action (NAPA)** recognises floods as the third most important climatic risk – after droughts and strong winds – in the country, there are no NAPA priority developed to specifically address floods. However, the LDCF-financed project supports the implementation of some priorities in Mali’s NAPA that can contribute to flood management. LDCF financed will be used to contribute to NAPA priorities 12 and 16. NAPA priority 12 is entitled “Awareness and organisation of populations to preserve local natural resources through the development of local conventions and regulations”. The project will support the preservation and restoration of riparian areas of wetlands to increase groundwater infiltration and reduce soil erosion, which would in turn reduce flood risks. This will be achieved through the rehabilitation of riparian areas of wetlands in the intervention sites. NAPA priority 16 is entitled “Communication with the people on the effects of climate change through the adoption of positive behaviours for adaptation.” In line with this NAPA priority, the project will raise public awareness on the proposed EWS and the appropriate solid waste disposal practices. Educational tools will also be developed for schools to build a culture of safety in Mali and decrease the vulnerability of children to floods.

#### *LDCF conformity*

129. This project is consistent with the strategic objectives of the LDCF, which are to: i) reduce vulnerability to the adverse effects of climate change; ii) increase the adaptive capacity to respond to the effects of climate change; and iii) promote the transfer and adoption of adaptation technologies. This project is in alignment with these LDCF objectives in that it will: i) increase the capacity of the GoM and local government authorities to effectively plan and implement DRM activities to decrease the vulnerability of communities that are at risk of climate-related hazards and natural disasters such as floods; ii) undertake a policy reform to support the integration of the predicted effects of climate change into existing development and planning policies, plans and strategies; and iii) implement on-the-ground flood protection measures including *inter alia* dykes, levees and floodwalls in pilot sites within Bamako, Kayes and Mopti.

#### *Alignment with the objectives of UNDP*

130. The LDCF-financed project’s activities support the implementation of Outcome 3 of UNDP’s Strategic Plan, which is “Resilience-building by facilitating the integration of disaster risk reduction with adaptation to climate change and addressing differentiated social and economic impacts; and preparedness for disaster management and recovery at the sub-national and national levels”. This project will also support the Common Framework in Support to the Transition (CCAT) that was developed to strengthen UN operational activities in Mali following political instability in 2012.

### **C. DESCRIBE THE BUDGETED M & E PLAN:**

131. The project results as outlined in the project results framework will be monitored annually and evaluated periodically during project implementation to ensure the project effectively achieves these results.



132. Project-level monitoring and evaluation will be undertaken in compliance with standard UNDP requirements as outlined in the [UNDP POPP](#) and [UNDP Evaluation Policy](#). Though these UNDP requirements are not detailed in this section of the project document, the UNDP Country Office will ensure UNDP M&E requirements are met in a timely fashion and to high quality standards. The additional and mandatory GEF-specific M&E requirements as outlined in this section will be undertaken in accordance with the [GEF M&E policy](#) and GEF guidance materials. In addition to these mandatory UNDP and GEF M&E requirements, other M&E activities deemed necessary to support project-level adaptive management, and the exact role of project target groups and other stakeholders in project M&E activities, will be finalised during the Inception Workshop and will be detailed in the Inception Report.

**Oversight and monitoring responsibilities:**

133. The primary responsibility for daily project implementation and regular monitoring rests with the Project Coordinator. The Project Coordinator will develop annual work plans based on the multi-year work plan included in Appendix 9, including annual targets at the output level to ensure the efficient implementation of the project. The Project Coordinator will ensure that the standard UNDP and GEF M&E requirements are fulfilled to the highest quality. This includes, but is not limited to, ensuring the results framework indicators are monitored annually in time for reporting (i.e. GEF PIR), and reporting to the NSC at least twice a year on project progress. The Project Coordinator will inform the NSC and the UNDP Country Office of any delays or difficulties as they arise during implementation, including the implementation of the M&E plan, so that the appropriate support and corrective measures can be adopted. The Project Coordinator will also ensure that all project staff maintain a high level of transparency, responsibility and accountability in monitoring and reporting project results.

134. The UNDP Country Office will support the Project Coordinator as needed, including through annual supervision missions. The UNDP Country Office is responsible for complying with all UNDP project-level M&E requirements as outlined in the [UNDP POPP](#). This includes ensuring the UNDP Quality Assurance Assessment during implementation is undertaken annually; that annual targets at the output level are developed, and monitored and reported using UNDP corporate systems; and, updating the UNDP gender marker on an annual basis based on progress reported in the GEF PIR and UNDP ROAR reporting. Any quality concerns flagged by the process must be addressed by project management. Additional M&E and implementation quality assurance and troubleshooting support will be provided by the UNDP-GEF Regional Technical Advisor and the UNDP-GEF Unit as needed. The project target groups and stakeholders including the GEF Operational Focal Point will be involved as much as possible in project-level M&E.

135. **Audit Clause:** The project will be audited according to UNDP Financial Regulations and Rules and applicable audit policies.

**136. Additional GEF monitoring and reporting requirements:**

Inception Workshop and Report: A project inception workshop will be held after the project document has been signed by all relevant parties to: i) re-orient project stakeholders to the project strategy and discuss any changes in the overall context that influence project implementation; ii) discuss the roles and responsibilities of the project team, including reporting and communication lines and conflict resolution mechanisms; iii) review the results framework and discuss reporting, monitoring and evaluation roles and responsibilities and finalise the M&E plan; iv) review financial reporting procedures and mandatory requirements, and agree on the arrangements for the annual audit; v) plan and schedule NSC meetings and finalise the first year annual work plan. The Project Coordinator will prepare the inception report no later than two weeks after the inception workshop. The final inception report will be cleared by the UNDP Country Office and the UNDP-GEF Regional Technical Adviser, and will be approved by the NSC.

137. GEF Project Implementation Report (PIR): The Project Coordinator, the UNDP Country Office, and the UNDP-GEF Regional Technical Adviser will provide objective input to the annual GEF PIR covering the reporting period July (previous year) to June (current year) for each year of project implementation. The

Project Coordinator will ensure that the indicators included in the project results framework are monitored annually well in advance of the PIR submission deadline and are reported on accordingly in the PIR. The PIR that is submitted to the GEF each year must also be submitted in English and shared with the NSC. The UNDP Country Office will coordinate the input of the GEF Operational Focal Point and other stakeholders to the PIR. The quality rating of the previous year's PIR will be used to inform the preparation of the subsequent PIR. The project's terminal PIR along with the terminal evaluation (TE) report and corresponding management response will serve as the final project report package. The final project report package shall be discussed with the NSC during an end-of-project review meeting to discuss lesson learned and opportunities for scaling up.

138. GEF Focal Area Tracking Tools: In line with its objective and the corresponding GEF Focal Areas/ Programs, this project will prepare the following GEF Tracking Tool(s): 2,6,8,10,13, *as agreed with the UNDP-GEF RTA*. The baseline/CEO Endorsement GEF Focal Area Tracking Tool(s) – submitted in Appendix 10 – will be updated by the Project Coordinator /Team and shared with *the mid-term review consultants* and terminal evaluation consultants before the required *review/evaluation* missions take place. The updated GEF Tracking Tool(s) will be submitted to the GEF along with the completed *Mid-term Review report* and Terminal Evaluation report.

139. Mid-term Review (MTR): An independent mid-term review process will begin after the second PIR has been submitted to the GEF, and the final MTR report will be submitted to the GEF in the same year as the 3<sup>rd</sup> PIR. The MTR findings and responses outlined in the management response will be incorporated as recommendations for enhanced implementation during the final half of the project's duration. The terms of reference, the review process and the final MTR report will follow the standard templates and guidance available on the UNDP Evaluation Resource Center (ERC). Additional quality assurance support is available from the UNDP-GEF Directorate. The final MTR report will be available in English and will be cleared by the UNDP Country Office and the UNDP-GEF Regional Technical Adviser, and approved by the NSC.

140. Terminal Evaluation (TE): An independent TE will take place before operational closure of the project. The Project Coordinator will remain on contract until the TE report and management response have been finalised. The terms of reference, the evaluation process and the final TE report will follow the standard templates and guidance available on the UNDP Evaluation Resource Center. Additional quality assurance support is available from the UNDP-GEF Directorate. The final TE report will be cleared by the UNDP Country Office and the UNDP-GEF Regional Technical Adviser, and will be approved by the NSC. The TE report will be publically available in English on the UNDP ERC.

141. The UNDP Country Office will include the planned project TE in the UNDP Country Office evaluation plan, and will upload the final TE report in English and the corresponding management response to the UNDP ERC. Once uploaded to the ERC, the UNDP Independent Evaluation Office will undertake a quality assessment and validate the findings and ratings in the TE report, and rate the quality of the TE report. The UNDP IEO assessment report will be sent to the GEF Independent Evaluation Office along with the project TE report.

142. The UNDP Country Office will retain all M&E records for this project for up to seven years after project financial closure in order to support ex-post evaluations undertaken by the UNDP Independent Evaluation Office and/or the GEF Independent Evaluation Office.

**Table 3: GEF M&E budget.**

GEF M&E requirements	Primary responsibility	Indicative costs to be charged to the Project Budget <sup>57</sup> (US\$)	Time frame
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<sup>57</sup> Excluding project team staff time and UNDP staff time and travel expenses.


		GEF grant	Co-financing	
<b>Inception Workshop</b>	UNDP Country Office	USD 3,000	UNDP	Within two months of project document signature
<b>Inception Report</b>	Project Manager	None	None	Within two weeks of inception workshop
<b>Standard UNDP monitoring and reporting requirements as outlined in the UNDP POPP</b>	UNDP Country Office	None	None	Quarterly, annually
<b>Monitoring of indicators in project results framework</b>	Project Manager	Per year: USD 4,000	UNDP	Annually
<b>GEF Project Implementation Report (PIR)</b>	Project Manager and UNDP Country Office and UNDP-GEF team	None	None	Annually
<b>NEX Audit as per UNDP audit policies</b>	UNDP Country Office	Per year: USD 3,000	UNDP	Annually or other frequency as per UNDP Audit policies
<b>Supervision missions</b>	UNDP Country Office	None <sup>58</sup>	UNDP	Annually
<b>Oversight missions</b>	UNDP-GEF team	None <sup>58</sup>	UNDP	Troubleshooting as needed
<b>GEF Secretariat learning missions/site visits</b>	Project Manager and UNDP-GEF team	None	UNDP	To be determined.
<b>Mid-term GEF Tracking Tool to be updated by project M&amp;E specialist</b>	Project Manager	USD 10,000	UNDP	Before mid-term review mission takes place.
<b>Independent Mid-term Review (MTR)</b>	UNDP Country Office and Project team and UNDP-GEF team	USD 35,000	UNDP	Between 2 <sup>nd</sup> and 3 <sup>rd</sup> PIR.
<b>Final GEF Tracking Tool to be updated by project M&amp;E specialist</b>	Project Manager	USD 10,000	UNDP	Before terminal evaluation mission takes place
<b>Independent Terminal Evaluation (TE) included in UNDP evaluation plan</b>	UNDP Country Office and Project team and UNDP-GEF team	USD 35,000	UNDP	At least three months before operational closure
<b>Translation of MTR and TE reports into English</b>	UNDP Country Office	USD 10,000	UNDP	As required. GEF will only accept reports in English.
<b>TOTAL indicative COST</b> Excluding project team staff time, and UNDP staff and travel expenses		USD 138,000		

<sup>58</sup> The costs of UNDP Country Office and UNDP-GEF's participation and time are charged to the GEF Agency Fee.

**PART III: CERTIFICATION BY GEF PARTNER AGENCY(IES)**

**A. GEF Agency(ies) certification**

This request has been prepared in accordance with GEF policies<sup>59</sup> and procedures and meets the GEF criteria for CEO endorsement under GEF-6.

<b>Agency Coordinator, Agency Name</b>	<b>Signature</b>	<b>Date (MM/dd/yyyy)</b>	<b>Project Contact Person</b>	<b>Telephone</b>	<b>Email Address</b>
Adriana Dinu Executive Coordinator UNDP-GEF		21 March 2016	Ms Mame Diop RTA, CCA	+25191939 6499	<a href="mailto:mame.diop@undp.org">mame.diop@undp.org</a>

<sup>59</sup> GEF policies encompass all managed trust funds, namely: GEFTF, LDCF, and SCCF  
GEF6 CEO Endorsement /Approval Template-Dec2015

**ANNEX A: PROJECT RESULTS FRAMEWORK** (either copy and paste here the framework from the Agency document, or provide reference to the page in the project document where the framework could be found).

<b>Intended Outcome as stated in the UNDAF/Country Programme Results and Resources Framework:</b> <i>Outcome 2: Disadvantaged groups, particularly women and young people, benefit from increased capacities and productive opportunities in a healthy and sustainable environment conducive to poverty reduction.</i>						
<b>Outcome indicators as stated in the Country Programme Results and Resources Framework, including baseline and targets:</b> <i>Output 2, Indicator 2: Percentage of vulnerable people pursuing disaster risk and climate-resilient economic activities.</i> <i>Output 3, Indicator 2: Number of disaster risk reduction and sanitation action plans developed at national, regional and local level.</i>						
<b>Applicable Outputs from the 2014 – 2017 UNDP Strategic Plan: choose one!</b> Output 1.4: Scaled up action on climate change adaptation and mitigation cross sectors which is funded and implemented.						
<b>Applicable Output Indicators from the UNDP Strategic Plan Integrated Results and Resources Framework:</b> Output 1.4 indicator 1.4.1: a) Extent to which climate finance is being accessed b) Extent to which there is a system in place to access, deliver, monitor, report on and verify climate finance. Output 1.4 indicator 1.4.2: Extent to which implementation of comprehensive measures – plans, strategies, policies, programmes and budgets – to achieve low-emission and climate-resilient development objectives has improved.						
	<b>Objective and Outcome Indicators</b>	<b>Baseline<sup>60</sup></b>	<b>Mid-term Target<sup>60</sup></b>	<b>End of Project Target<sup>60</sup></b>	<b>Source of verification</b>	<b>Assumptions<sup>61</sup></b>
<b>Project Objective:</b>  <b>To strengthen the capacity of national and local government authorities to effectively manage the negative effects of floods on local communities and infrastructure in Mali.</b>	Technical and institutional capacity of municipal and village authorities, Mali-Météo, DNH and DGPC to effectively manage flood risks [adapted from AMAT Indicator 10].	Currently, there is low capacity within national and local government authorities to assess flood risks as well as develop and implement flood risk reduction interventions. Scorecard rating at baseline is estimated at 2.	LDCF-financed interventions are implemented to increase the technical and institutional capacity of municipal and village authorities, Mali-Météo, DNH and DGPC to plan and manage flood risks is increased. Scorecard rating of at least	By the end of the project, municipal and village authorities, Mali-Météo, DNH and DGPC have the technical and institutional capacity to assess flood risks as well as implement risk management and reduction interventions to decrease the vulnerability of local communities at the intervention sites. Scorecard rating of	Capacity scorecard assessment of the technical officials within municipal and village authorities, Mali-Météo, DNH and DGPC. The following criteria will be used:  1. Ability to analyse data from weather stations and disseminate flood early warnings to vulnerable communities timely. 2. Capacity to assess flood risks under the predicted conditions of climate change (given the necessary tools such as the flood risk maps). 3. Capacity to develop and effectively implement flood risk management and reduction interventions to decrease the	<u>Risk:</u> Insufficient political and financial support from the GoM. <u>Assumption:</u> The GoM has the financial capacity to support the maintenance of the project interventions.  <u>Risk:</u> Limited coordination among government authorities. <u>Assumption:</u> Adequate involvement of and coordination between government authorities will enable the maintenance of a good progress rate for the project implementation and promote sustainability.

<sup>60</sup> Baseline, mid-term and end of project levels must be expressed in the same neutral unit of analysis as the corresponding indicator.

<sup>61</sup> Risks must be outlined in the Feasibility section of this project document.

			3.	at least 4.	<p>vulnerability of local communities in the intervention sites.</p> <p>The scorecard rating is as follows:</p> <p>1 = No capacity or very limited capacity at the individual level and within the respective government institution.</p> <p>2 = Partially developed capacity at the individual level.</p> <p>3 = Partially developed capacity at the individual level and within the respective government institution.</p> <p>4 = Fully developed and demonstrated capacity at the individual level.</p> <p>5 = Fully developed and demonstrated capacity at the individual level and within the respective government institution.</p>	
<p><b>Component 1/ Outcome 1: Strengthened technical capacity of municipal and village authorities to improve flood early warning systems and dissemination of climate-related risk information.</b></p>	<p>Number of people (% of whom are women) with access to improved flood EWS [adapted from AMAT 8].</p>	<p>Currently, 0 people are covered by the existing EWS system. However, the system is not well developed for rapid-onset events such as floods.</p>	<p>By Mid-Term, at least 600,000 people have access to improved flood EWS in the intervention sites (50% of whom are women).</p>	<p>By the end of the project, 1,200,000 people have access to improved flood EWS (50% of whom are women).</p>	<p>Analysis of maps (at least one per commune) delineating the extent of the flood EWS and the population size covered.</p>	<p><b>Risk:</b> Inadequate coordination between national and local (communal, municipal and village) authorities. <u>Assumption:</u> Coordination between national and local government will enable successful implementation of the project.</p> <p><b>Risk:</b> Insufficient political and financial support from the GoM. <u>Assumption:</u> The GoM has the financial capacity to support the maintenance of the project interventions.</p> <p><b>Risk:</b> Unclear distribution of the roles for the</p>

						<p>maintenance of meteorological and hydrological stations, pluviographs, network of canals to divert water, permeable rock dams, and stormwater drains.</p> <p><u>Assumption:</u> The development and implementation of long-term maintenance plans will strengthen the technical and financial capacity of the relevant stakeholders to maintain the new equipment.</p>
	<p>Number of monitoring and management committees established (composed of at least 50% women).</p>	<p>There is currently no monitoring and management committee in place to act as an intermediary link between local communities and Mali-Météo for the effective dissemination of climate risk and hazard information.</p>	<p>At least five of the monitoring and management committees established and composed of 50% women.</p>	<p>At least seven monitoring and management committees established (1 per commune), composed of at least 50% women, for the effective dissemination of information on climate risk and hazard between Mali-Météo and the relevant communities.</p>	<p>Review of the official list of committee members which is to be included in the MoU with Mali-Météo.</p>	<p><u>Risk:</u> Project interventions are not gender-sensitive.</p> <p><u>Assumption:</u> The participation of women's groups in the design and implementation of the project promotes the distribution of benefits in a gender-sensitive manner.</p>
<p><b>Component 2/ Outcome 2: Effective flood risk management mainstreamed into the relevant development planning policies and budgetary processes to increase the</b></p>	<p>Number of Economic, Social and Cultural Development Programme (PDESC) revised to include short- to medium-term flood risks [adapted from AMAT 13].</p>	<p>There is currently one PDESC per district and they make little to no mention of flood risks and locally-appropriate reduction measures. At present, there are several incoherent building codes</p>	<p>At least one PDESC revised.</p>	<p>Three PDESC revised (one for each targeted district) to include short- to medium-term flood risks.</p>	<p>Review of the revised PDESC.</p>	<p><u>Risk:</u> Limited coordination among government authorities.</p> <p><u>Assumption:</u> Adequate involvement of and coordination between government authorities will enable the maintenance of a good progress rate for the project implementation and promote sustainability</p> <p><u>Risk:</u> Inadequate</p>

<b>resilience of local communities.</b>		that prescribe different minimum standards for flood-resilient infrastructure.				coordination between national and local (communal, municipal and village) authorities. <u>Assumption:</u> Coordination between national and local government will enable successful implementation of the project.
	Number of commune-specific Flood Risk Reduction Plans (FRRPs) developed in a participatory manner with local communities [adapted from AMAT 13].	There are currently no commune-specific FRRPs to provide a roadmap to decrease the vulnerability of local communities in the selected communes to floods.	At least two FRRPs developed.	At least seven FRRPs (one per selected commune) is developed in a participatory manner. The FRRPs will detail locally-appropriate and cost-effective flood risk reduction interventions to be implemented.	Review of the FRRPs produced.	<u>Risk:</u> Project interventions are not gender-sensitive. <u>Assumption:</u> The participation of women's groups in the design and implementation of the project promotes the distribution of benefits in a gender-sensitive manner.
<b>Component 3/ Outcome 3: Climate-resilient flood risk management and reduction techniques transferred to local communities within the targeted communes to decrease their vulnerability.</b>	Number of km of stormwater drains constructed and rehabilitated to decreased flood risks [adapted from AMAT 2].	Drains in communes I, IV, VI, Sébékoro and Fatoma and/or the inadequate maintenance of existing ones.	By Mid-Term, at least 10 km of stormwater drain is constructed.	Drains constructed in five of the selected communes and at least 20 km of existing stormwater drains within the selected communes cleaned and rehabilitated to improve water drainage.	Interviews with local communities. Measurement of the length of stormwater drains constructed and rehabilitated. Review of the maintenance systems in place. Review of construction and maintenance progress reports to be provided by the contracted company to the Project Management Unit.	<u>Risk:</u> Unclear distribution of the roles for the maintenance of meteorological and hydrological stations, pluviographs, network of canals to divert water, permeable rock dams, and stormwater drains. <u>Assumption:</u> The development and implementation of long-term maintenance plans will strengthen the technical and financial capacity of the relevant stakeholders to maintain the new equipment.  <u>Risk:</u> Insufficient support from the beneficiary communities to implement the project successfully as benefits are not apparent



						<p>immediately and only become evident in the event of floods.  <u>Assumption:</u> Involvement in the design of project interventions and ongoing communication on the expected benefits of the activities for local communities will result in the support of the project by these communities.</p> <p><u>Risk:</u> Project interventions are not gender-sensitive.  <u>Assumption:</u> The participation of women's groups in the design and implementation of the project promotes the distribution of benefits in a gender-sensitive manner.</p> <p><u>Risk:</u> Interventions in the district of Mopti are delayed by ongoing conflicts  <u>Assumption:</u> There is no civil unrest in the intervention sites during the implementation of the project.</p> <p><u>Risk:</u> Climate hazards delay the implementation of project interventions.  <u>Assumption:</u> Scheduling of activities based on potential climate risks enables climate-induced delays in the implementation of project interventions to be prevented.</p>
	Number of km of riparian areas revegetated with climate-resilient species.	Approximately 25 km of riparian areas are revegetated.	By Mid-Term, at least 20 km of riparian areas are revegetated with climate-	At least 35 km of riparian areas revegetated with climate-resilient species to increase	Site visits to verify the extent of riparian area revegetated. Review of progress reports on revegetation interventions. Interviews with the relevant	<u>Risk:</u> Insufficient support from the beneficiary communities to implement the project successfully as benefits are not apparent

			resilient species.	water infiltration and reduce soil erosion.	implementing organisations.	immediately and only become evident in the event of floods. <u>Assumption:</u> Involvement in the design of project interventions and ongoing communication on the expected benefits of the activities for local communities will result in the support of the project by these communities.
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**ANNEX B: RESPONSES TO PROJECT REVIEWS** (from GEF Secretariat and GEF Agencies, and Response Comments from Council at work program inclusion and the Convention Secretariat and STAP at PIF).

Comments	Response
<b>STAP Comments</b>	
<p>STAP recommends describing how different flood risk scenarios will be developed and suggests adding socioeconomic elements to these scenarios, particularly a range of possible future development plans that could increase or decrease the amount of infrastructure at risk and the magnitude and pattern of population vulnerability.</p>	<p>Under Output 1.3, the process of developing different flood risk scenarios is outlined. This process will include the following: Firstly, different flood risk scenarios will be developed based on updated and downscaled climate models. Secondly, socioeconomic data based on indicators – including <i>inter alia</i> population-related indices, settlement patterns, land uses will be collected and analysed. Thirdly, the flood risk scenarios will be combined with socioeconomic data to develop a short to medium risk map. On this map, a flood risk index will be developed to facilitate the identification of DRM interventions. Finally, the socio-economic costs of flood risk in the short to medium term will be quantified based on risks, vulnerabilities of local communities and potential loss or damage to assets in flood-prone areas and analyze the costs and benefits of different adaptation options for flood management. This will include an analysis of the additional risks incurred by possible future development.</p>
<p>The full proposal should describe the criteria for selecting the meteorological equipment to be purchased by the project, including the criteria for the number of devices. A summary of the assessment of the current situation would be helpful.</p>	<p>A summary assessment of the current situation has been provided under the baseline for component 1. Output 1.1 and 1.2 – under which the procurement meteorological equipment is conducted – are detailed in the full proposal. The meteorological devices will be acquired as part of output 1.1 based on the capacity gap of Mali’s hydro-meteorological network. Seven local communities have been identified for the procurement of this equipment and a national meteorologist will be engaged to determine the appropriate type of meteorological and hydrological stations required to extend and strengthen Mali-Météo’s network. Under output 1.2, the meteorological equipment will be procured through extensive consultation with local communities, national experts, and with the support of a flood EWS specialist. The selection will build on the experience of a number of previous initiatives supported by the LDCF Africa Climate Information and Early Warning Systems.</p>
<p>STAP looks forward to further details on the how the risk mapping will be conducted, including the geographic scale, data sources, and engagement of local stakeholders</p>	<p>The risk mapping is detailed in the full proposal under Component 1. This risk analysis will cover the intervention sites in Bamako and Mopti and will comprise three datasets, namely: i) flood exposure of local communities within the intervention sites in the short- to medium-term; ii) socio-economic information including <i>inter alia</i> population-related indices and sources of livelihoods; and iii) use information including <i>inter alia</i> the location of assets and public infrastructure – e.g. buildings, roads and bridges – in the settlements.</p>
<p>Additional detail should be added of the approach for conducting the economic impact analysis; for assessing the adequacy of risk financing and financial capacities; and for assessing the need for government intervention on risk financing.</p>	<p>Details on the approach for conducting the economic impact analysis have been provided under output 2.3. The analysis will assess the current financial capacity of local government authorities to undertake risk financing. Additionally, opportunities for comprehensive financial strategies for local government authorities and partnerships will be identified to undertake risk financing in the Malian context. The results of this analysis will inform decision-making in the management of financial resources.</p>

	<p>addressing climate-related hazards.</p> <p>The precise approach to assess the components of this analysis – risk financing, financial capacity and the need for government intervention – will be developed during the project implementation.</p>
<p>STAP welcomes the engagement with the Malian scientific community and relevant scientific platforms, and looks forward to reading more details on how that engagement will be structured through the project</p>	<p>The scientific community will be represented principally by ENI-ABT (Abderhame Baba Touré National Education Institution for Engineers) and involved during the project lifetime. ENI-ABT will receive a number of training workshops to increase their knowledge on: i) climate monitoring (output 1.1); ii) operating and maintaining the EWSs (Output 1.2); iii) developing and using flood risk maps (Output 1.3); iv) implementing FRRPs (Output 2.1); and v) forecasting and predicting climate-related hazards (Output 2.2). In addition, the ENI-ABT will also support the development of the long-term maintenance plan for the meteorological stations installed under output 1.1, and the design of flood risk reduction strategies for the targeted communities (Output 3.1).</p>
<p>STAP also welcomes the inclusion of teaching on risks and hazards in school curricula, and looks forward to reading how that will be further developed.</p>	<p>Output 1.4 will support the inclusion of awareness raising in school curricula by: i) raising awareness of inspectors, educational advisors and directors of primary schools about flood risks and the need for climate change adaptation; and ii) producing educational booklets on flood risk and climate change, including modules and manuals for teachers and children. However the school curriculum will be fully developed as part of the project implementation through consultations with the DGPC, the Ministry of Education, municipal and village authorities, NGOs and CSOs.</p>
<p>In Component 2, training could include components not just on climate change, but also on how development choices will affect future vulnerability in ways that could increase or decrease the risks of climate change</p>	<p>This aspect is addressed under output 2.1 through awareness raising campaigns on for example the improvement of solid waste removal and management systems. Awareness raising campaigns will inform local communities on the impacts of uncontrolled solid waste management on their vulnerability in terms of access to clean water and climate change. The revision on building codes under output 2.2 will also provide alternative development choices that will reduce the risks of climate change and will highlight the vulnerability and risks arising from floods with the existing building codes.</p>
<p>The PIF mentions the need for drainage and sanitation systems in urban areas. This is undoubtedly the case, so it would be helpful for the full proposal to discuss the extent to which the project will address this need.</p>	<p>The barriers, identified as part of the “Development Challenge” section, explained the challenges resulting from the inadequate consideration of the expected annual floodwaters in the drainage systems. These annual floodwaters are leading to widespread sanitation problems and damage resulting in floodwaters of up to four metres in Bamako’s urban areas. In addition, the majority of peri-urban and rural areas do not have stormwater drainage systems in place. At those locations where such systems are present, inadequate land-use planning and management has led to many areas dedicated to stormwater management becoming inhabited, resulting in stormwater drainage system being used for solid waste, industrial waste and sewage disposal.</p> <p>To address the need for proper drainage and sanitation systems in urban areas, the project will include such consideration during the revision of building codes as well as by incorporating measures to ensure that future drainage systems are designed to take the predicted frequency and severity of future flood risks into account (output 2.2). The design of drainage systems will be complemented by a technical assessment of the existing stormwater drainage systems in the selected</p>

	communes (output 2.1) that will support the extension of stormwater drainage system by 5 km in each commune (communes I, IV, VI, Sébékoro and Fatoma), as well as the rehabilitation and maintainance of existing drains (output 3.2)
In Outputs 3.1 and 3.2, it would be helpful to discuss how the project will ensure the choices made are robust to additional climate change.	Both outputs are conducting comprehensive assessments during project implementation that will take into account expected changes in climate. For example, under output 3.1, the assessment will include the identification of the appropriate plant species with climate-resilient properties to be used in this initiative according to expected future climate impacts. Similarly, under output 3.2 a feasibility assessment will be undertaken to determine the appropriate location of these permeable rock dams to maximise their efficiency in the context of climate change.
STAP appreciates the efforts to include gender into the proposed project, and looks forward to further development of this aspect in the full project proposal	A section on gender considerations in the project has been included as part of the “Strategy” section. A gender-sensitive approach has been adopted and will be followed during the project implementation to address the issue of gender inequality in Mali. The project will take gender consideration into account by encouraging as much as possible women participation to and benefits from the project interventions. For example, women will be supported to participate to the monitoring and management committees to be established under Output 1.2. Also, a gender specialist will be appointed under Activity 1.2.2 to support the use of a gender-sensitive and gender-responsive approach in developing the flood risk communication strategy.
STAP encourages including an explicit activity to develop a plan for scaling-up, including the amount of human and financial resources required	An explicit activity has not been added. Instead the development of plans and trainings that will ensure the continuation and scale-up of adaptation activities have been included. In particular the outputs under outcome 2 – namely: 2.1) the development of FRRPs; 2.2) the integration of flood risks into settlement and building codes; 2.3) the development and implementation of financial strategies to respond to climate related hazards; and 2.4) the enhancement of the technical capacity of the relevant national and local authorities on climate risk management planning as well as flood prevention and reduction measures – will provide relevant decision makers – including local authorities, DGPC, DNP, DNUH, AEDD, DGCT and DNAT – with the tools and recommendations to scale-up the activities implemented by the project.
<b>PIF Review sheet</b>	
By CEO Endorsement, in absence of a clear NAPA priority on flood risk management, please demonstrate that the proposed project nevertheless addresses a national adaptation priority that has been identified through inclusive, multistakeholder consultation.	As stated in Annex 8, although there are no NAPA priority developed to specifically address floods, floods have been recognized as the third most important climatic risk in the NAPA. In addition, the project is aligned with two national policies, namely: “Poverty Reduction and Growth Strategy Paper (CSCR, 2012-2017)” and “the National Plan for Multi Risk Preparation and Emergency Disaster Response (PNMRRC)”.

**ANNEX C: STATUS OF IMPLEMENTATION OF PROJECT PREPARATION ACTIVITIES AND THE USE OF FUNDS<sup>62</sup>**

A. Provide detailed funding amount of the PPG activities financing status in the table below:

<b>PPG Grant Approved at PIF: 150,000</b>			
<b><i>Project Preparation Activities Implemented</i></b>	<b><i>GEF/LDCF/SCCF Amount (\$)</i></b>		
	<b><i>Budgeted Amount</i></b>	<b><i>Amount Spent To date</i></b>	<b><i>Amount Committed</i></b>
Needs assessment and technical feasibility of adaptation options and measures	55,296.61	25,296.61	30,000.00
Project document development	34,611.34	-	34,611.34
Stakeholder consultation and engagement	60,092.05	60,092.05	-
<b>Total</b>	<b>150,000.00</b>	<b>85,388.66</b>	<b>64,611.34</b>

<sup>62</sup> If at CEO Endorsement, the PPG activities have not been completed and there is a balance of unspent fund, Agencies can continue to undertake the activities up to one year of project start. No later than one year from start of project implementation, Agencies should report this table to the GEF Secretariat on the completion of PPG activities and the amount spent for the activities. Agencies should also report closing of PPG to Trustee in its Quarterly Report.

**ANNEX D: CALENDAR OF EXPECTED REFLOWS (if non-grant instrument is used)**

Provide a calendar of expected reflows to the GEF/LDCF/SCCF Trust Funds or to your Agency (and/or revolving fund that will be set up)