



## GEF SECRETARIAT REVIEW FOR FULL/MEDIUM-SIZED PROJECTS\* THE GEF/LDCF/SCCF TRUST FUNDS

GEF ID:	<b>5015</b>		
Country/Region:	<b>Malawi</b>		
Project Title:	<b>Implementing Urgent Adaptation Priorities Through Strengthened Decentralized and National Development Plans.</b>		
GEF Agency:	<b>UNDP</b>	GEF Agency Project ID:	<b>4958 (UNDP)</b>
Type of Trust Fund:	<b>Least Developed Countries Fund (LDCF)</b>	GEF Focal Area (s):	<b>Climate Change</b>
GEF-5 Focal Area/ LDCF/SCCF Objective (s):	<b>CCA-1; CCA-2; CCA-1; Project Mana;</b>		
Anticipated Financing PPG:	<b>\$100,000</b>	Project Grant:	<b>\$4,500,000</b>
Co-financing:	<b>\$6,561,341</b>	Total Project Cost:	<b>\$11,161,341</b>
PIF Approval:	<b>August 23, 2012</b>	Council Approval/Expected:	<b>November 30, 2012</b>
CEO Endorsement/Approval	Expected Project Start Date:		
Program Manager:	<b>Knut Sundstrom</b>	Agency Contact Person:	<b>Benjamin Larroquette</b>

Review Criteria	Questions	Secretariat Comment at PIF (PFD)/Work Program Inclusion <sup>1</sup>	Secretariat Comment At CEO Endorsement(FSP)/Approval (MSP)
Eligibility	1. Is the participating country eligible?	YES. Malawi is an LDC Party to the UNFCCC and it has completed its NAPA.	YES. No change from PIF.
	2. Has the operational focal point endorsed the project?	YES. A Letter of Endorsement, signed by the Operational Focal Point and dated May 10, 2012, has been attached to the submission.	
Agency's Comparative Advantage	3. Is the Agency's comparative advantage for this project clearly described and supported?	YES. UNDP has a comparative advantage in technical assistance for climate change adaptation, including institutional capacity building and policy support, as well as community-based adaptation.	YES. No change from PIF.

\*Some questions here are to be answered only at PIF or CEO endorsement. No need to provide response in gray cells.

<sup>1</sup> Work Program Inclusion (WPI) applies to FSPs only. Submission of FSP PIFs will simultaneously be considered for WPI.

FSP/MSP review template: updated 11-22-2010

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	4. If there is a non-grant instrument in the project, is the GEF Agency capable of managing it?	NA	NA
	5. Does the project fit into the Agency's program and staff capacity in the country?	YES. The proposed project is fully aligned with UNDP's Country Programme and UNDAF 2012-2016. UNDP has an office and adequate staff capacity in Malawi.	YES. No change from PIF.
Resource Availability	6. Is the proposed Grant (including the Agency fee) within the resources available from (mark all that apply):		
	• the STAR allocation?		
	• the focal area allocation?		
	• the LDCF under the principle of equitable access	YES. The proposed grant is available under the LDCF in accordance with the principle of equitable access.	YES. No change from PIF.
	• the SCCF (Adaptation or Technology Transfer)?		
	• Nagoya Protocol Investment Fund		
Project Consistency	7. Is the project aligned with the focal /multifocal areas/ LDCF/SCCF/NPIF results framework?	YES. The proposed project is aligned with the LDCF/SCCF results framework.	NOT CLEAR. Please refer to Section 8 below.  08/25/2014 -- NOT CLEAR. Please refer to Section 8 below.  10/17/2014 -- YES.
	8. Are the relevant GEF 5 focal/ multifocal areas/LDCF/SCCF/NPIF objectives identified?	NOT CLEAR. The proposed project would contribute towards CCA-1 and CCA-2 and, specifically, CCA-1.1 on mainstreaming adaptation across broader development frameworks and CCA-2.2 on strengthening adaptive capacity to reduce climate change risks.	NOT CLEAR. The Focal Area Strategy Framework (Table A) excludes outcome CCA-1.2, which was identified at PIF, without any justification in Section A.2 of the Request for CEO Endorsement. Moreover, given that Component 3 would introduce diversified, climate-resilient livelihood options, the

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		<p>The Focal Area Strategy Framework has not identified CCA-1.2, which would best correspond to the investments proposed under Component 2.</p> <p>RECOMMENDED ACTION: Please ensure that Table A identifies all principle LDCF/SCCF objectives and outcomes towards which the proposed project would contribute, along with the associated grant and co-financing amounts.</p> <p>07/24/2012 -- YES. The re-submission includes CCA-1.2 in the Focal Area Strategy Framework, and Table A along with the indicative grant and co-financing amounts has been revised accordingly.</p>	<p>proposed project would clearly contribute towards outcome CCA-1.3 as well.</p> <p>RECOMMENDED ACTION: Please (i) ensure that Table A identifies all principle LDCF/SCCF objectives and outcomes towards which the proposed project would contribute; and (ii) justify any changes in alignment with the LDCF/SCCF results framework in Section A.2 of the Request for CEO Endorsement.</p> <p>08/25/2014 -- NOT CLEAR. The revised Focal Area Strategy Framework (Table A) includes outcomes 1.2 and 1.3, and takes note of relevant changes in Section A.2 of the Request for CEO Endorsement. Table A should, however, provide a breakdown of grant and co-financing amounts by focal area outcome.</p> <p>RECOMMENDED ACTION: Please provide, in Table A, a breakdown of grant and co-financing amounts by focal area outcome.</p> <p>10/17/2014 -- YES.</p>
	9. Is the project consistent with the recipient country's national strategies and plans or reports and assessments under relevant conventions, including NPFE, NAPA, NCSA, or NAP?	YES. The proposed project is closely aligned with Malawi's MDG Strategy and UNDAF, as well as national policies and legislation associated with disaster risk management, agriculture, forestry, water resources management, the environment, as well as local	YES. No change from PIF.

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		government and decentralization. The project would address Malawi's NAPA priorities in the areas of climate-resilient agricultural production and rural livelihoods, as well as adaptation to more frequent and more intense floods and drought.	
	10. Does the proposal clearly articulate how the capacities developed, if any, will contribute to the sustainability of project outcomes?	<p>NOT CLEAR. Please refer to Section 13 below.</p> <p>RECOMMENDED ACTION: Upon addressing the recommendations under Section 13, please demonstrate that the proposed project would achieve meaningful and sustainable benefits in terms of enhancing the adaptive capacity of key institutions and stakeholders, as well as reducing the vulnerability of rural communities and their livelihoods.</p> <p>07/24/2012 -- YES. Please refer to Section 13 below.</p>	<p>NOT CLEAR. Please refer to sections 11, 13 and 18 below.</p> <p>RECOMMENDED ACTION: Upon addressing the recommendations under sections 11, 13 and 18, please revisit and strengthen the sustainability strategy presented in Section 2.7 of the UNDP project document.</p> <p>08/25/2014 -- YES. The revised Request for CEO Endorsement and Project Document provide a clear description of how the proposed project would seek to ensure sustainability and scaling up.</p>
Project Design	11. Is (are) the baseline project(s), including problem (s) that the baseline project(s) seek/s to address, sufficiently described and based on sound data and assumptions?	<p>NOT CLEAR. The proposed project would build on the UNDP Country Programme, which in turn is aligned with the UNDAF 2012-2016. The Country Programme would comprise UNDP projects on climate change, disaster risk reduction, natural resources management, rural development and economic governance.</p> <p>Noting that the baseline projects and programs are currently still being formulated, the PIF provides no information regarding their scope,</p>	<p>NOT CLEAR. The co-financing associated with relevant baseline projects has been reduced by some 60 per cent from what was indicated at PIF. This implies a considerable change in the baseline scenario and the baseline initiatives on which the proposed project would build. These have not been adequately described in the Request for CEO Endorsement.</p> <p>In addition to the baseline initiatives identified at PIF, the baseline scenario could consider the ongoing efforts to</p>

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		<p>intended beneficiaries, or indicative outcomes and outputs. The most important adverse effects of climate change and associated vulnerabilities are presented only in a general manner, but not in relation to the baseline initiatives on which the proposed project would build. Consequently, the relevance of the proposed baseline initiatives cannot be assessed at this stage.</p> <p>RECOMMENDED ACTION: Please describe the baseline initiatives on which the proposed project would build, their scope and intended beneficiaries, as well as indicative outcomes, outputs and financing. In addition, please indicate the extent to which these initiatives are vulnerable to climate change or unable to adequately address the effects of climate change.</p> <p>07/24/2012 -- NOT CLEAR. The re-submission specifies the five baseline initiatives on which the proposed project would build, namely the (i) Malawi Local Government Strengthening and Investment Programme; (ii) the Democracy Consolidation Programme; (iii) the Disaster Risk Management Support Programme; (iv) the Climate Change Adaptation and Mitigation Support Programme; and (v) the Environment and Natural Resources Support Programme.</p>	<p>advance Malawi's national adaptation plan process, particularly as these relate to the proposed Component 3.</p> <p>RECOMMENDED ACTION: Please provide, in Section A.4 of the Request for CEO Endorsement, a clear description of changes in the baseline scenario; relevant baseline initiatives; and associated, confirmed sources, types and amounts of co-financing.</p> <p>08/25/2014 -- YES. The revised Request for CEO Endorsement adequately clarifies, in Section A.4, changes to the baseline scenario and co-financing.</p>

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		<p>It is not clear how the Democracy Consolidation Programme is vulnerable to climate change and what entry-points it would offer for additional adaptation measures. Similarly, the Climate Change Adaptation and Mitigation Support Programme does not appear to be directly vulnerable to effects of climate change and hence does not represent a relevant baseline initiative. This program should instead be included among other related initiatives, with which coordination and complementarity will be sought, in Section B.6 of the PIF.</p> <p>RECOMMENDED ACTION: Please (i) clarify how the Democracy Consolidation Programme is vulnerable to climate change and what entry points it would offer for additional adaptation measures; (ii) remove the Climate Change Adaptation and Mitigation Support Programme among the baseline initiatives and revise the indicative co-financing figures and additional cost reasoning accordingly; and (iii) include the latter among other related initiatives in Section B.6 of the PIF.</p> <p>08/21/2012 -- YES. The Democracy Consolidation Programme and the Climate Change Adaptation and Mitigation Support Programmes have been removed among the baseline initiatives and the co-financing figures and additional cost reasoning have been</p>	

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		revised accordingly. The latter has been included among other related initiatives in Section B.6 of the PIF.	
	12. Has the cost-effectiveness been sufficiently demonstrated, including the cost-effectiveness of the project design approach as compared to alternative approaches to achieve similar benefits?		<p>NOT CLEAR. Please refer to Sections 13 and 15 below.</p> <p>RECOMMENDED ACTION: Upon addressing the recommendations under sections 13 and 15, please revisit and strengthen the description of cost-effectiveness in relevant sections of the Request for CEO Endorsement and project document.</p> <p>08/25/2014 -- YES. Cost-effectiveness has been adequately described.</p>
	13. Are the activities that will be financed using GEF/LDCF/SCCF funding based on incremental/ additional reasoning?	<p>NOT CLEAR. Please refer to Section 11 above. In absence of a clear description of the baseline initiatives on which the proposed project would build, the additional reasoning cannot be adequately assessed at this stage.</p> <p>As for Component 1, there is a discrepancy between the project framework and the description of the component in Section II.B.2 of the PIF. The former mentions a professional training program under Output 1.2, which is not described on page 13 of the PIF. Moreover, the proposal could provide further information regarding the scope of the training and the adaptation plans to justify the rather high indicative cost of this TA component. Finally, it would be helpful to know which districts would be</p>	<p>NOT CLEAR. In absence of a clear description of changes to the baseline scenario, the additional reasoning cannot be adequately assessed at this time.</p> <p>Specifically, given the fact that several baseline initiatives are no longer relevant, it is unclear whether the proposed project would have a meaningful impact on ongoing and future investments in the targeted districts. The project relies to a significant degree on district-level planning and budgeting processes, as well as the Local Development Fund; the future of which appears uncertain given the recent developments described on pp. 5-6 of the Request for CEO Endorsement. (Please refer also to Section 18 below)</p>

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		<p>targeted under the proposed component.</p> <p>Component 2 forms the core of the proposed project, yet the PIF provides very limited little about the adaptation investments to be carried out. As a result, the additional reasoning and cost effectiveness of the component cannot be assessed at this stage. Moreover, the PIF should provide at least an indication of the districts and regions to be targeted under this component.</p> <p>On Component 3, finally, please refer to Section 19 on coordination with other LDCF projects, the Africa Adaptation Programme (AAP) and the Malawi Climate Change Programme (CCP).</p> <p>Overall, the proposed project appears quite complex, with entry points at the national, provincial, district and community levels. Moreover, with only \$2 million or 44 per cent of the proposed LDCF grant allocated towards investments in tangible adaptation measures, it is unclear whether and to what extent the project would be able to translate improved planning and institutional capacities into meaningful and sustainable adaptation benefits for local communities and their livelihoods.</p> <p>RECOMMENDED ACTION: Upon addressing the recommendations under Section 11, please revisit the additional reasoning provided in Section II.B.2 of</p>	<p>In addition, it is not clear how the proposed Component 3 would build on and add value to ongoing and planned efforts to advance Malawi's national adaptation plan process.</p> <p>RECOMMENDED ACTION: Upon addressing the recommendations under Section 11, please (i) provide a clear description of changes to the additional reasoning; (ii) clarify to what extent the proposed project, and components 1 and 2 in particular, would leverage and enhance current and future baseline investments carried out in the targeted districts; (iii) describe how the proposed Component 3 would build on and add value to ongoing and planned efforts to advance Malawi's national adaptation plan process.</p> <p>08/25/2014 -- YES. The revised Request for CEO Endorsement clarifies adequately the relevant changes to the additional reasoning.</p>



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		<p>the PIF. In particular, (i) ensure that the description of the components, outcomes, outputs and activities proposed for LDCF financing is consistent with the project framework (Table B), and that it provides indicative targets, intended beneficiaries, and information about the targeted regions or districts where applicable; (ii) ensure that the costs of the proposed components are justified and proportional to their expected results; (iii) justify the complexity of the proposed project approach, or consider streamlining the proposal; and (iv) demonstrate that the project would deliver meaningful and sustainable adaptation benefits for local communities and their livelihoods, and raise the share of resources allocated towards Component 2, if necessary.</p> <p>07/24/2012 -- NOT CLEAR. Please refer to sections 11 above and 19 below.</p> <p>The additional cost reasoning, along with the intervention logic and targeting principles of the proposed project have been clarified and justified in the re-submission. The indicative costs associated with components 1 and 2 have been adjusted, with a greater focus on tangible, community-based investments under Component 2. Overall, the project design places considerable emphasis on establishing a basis for sustainable and replicable</p>	

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		<p>adaptation at the national, district and community levels.</p> <p>RECOMMENDED ACTION: Upon addressing the recommendations under Section 11, please revise the additional cost reasoning accordingly, including the baseline investments and associated co-financing cited for each component of the proposed project.</p> <p>By CEO Endorsement, please (i) confirm the districts to be targeted by the proposed project; (ii) provide further information as to the adaptation measures to be financed under Component 2 and the intended beneficiaries of these measures; and (iii) clarify the operational interface between the proposed LDCF project and the baseline initiatives on which it would build.</p> <p>08/21/2012 -- YES. The additional cost reasoning has been adjusted as recommended.</p>	
	14. Is the project framework sound and sufficiently clear?	<p>NOT CLEAR. Please refer to Section 13 above.</p> <p>RECOMMENDED ACTION: Upon addressing the recommendations under Section 13, please revise the project framework as appropriate.</p> <p>07/24/2012 -- NOT CLEAR. Please refer to Section 11 above.</p>	<p>NOT CLEAR. Please refer to Sections 11, 13 and 15.</p> <p>RECOMMENDED ACTION: Upon addressing the recommendations under sections 11, 13 and 15, please adjust the project framework accordingly, as appropriate.</p> <p>08/25/2014 -- YES. The project framework is sound and sufficiently</p>

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		<p>RECOMMENDED ACTION: Upon addressing the recommendations under Section 11, please revise the indicative co-financing amounts in the Project Framework accordingly.</p> <p>08/21/2012 -- YES. The Project Framework has been adjusted as recommended.</p>	clear.
	15. Are the applied methodology and assumptions for the description of the incremental/additional benefits sound and appropriate?	<p>NOT CLEAR. Please refer to Section 13 above.</p> <p>RECOMMENDED ACTION: Upon addressing the recommendations under Section 13, please describe the adaptation benefits associated with the activities proposed for LDCF financing.</p> <p>07/24/2012 -- YES. The adaptation benefits are sufficiently well described for this stage of project development.</p> <p>By CEO Endorsement, please elaborate on the expected adaptation benefits of the proposed project.</p> <p>Update 8/9/2012: No change to previous recommendations as action still pending on Section 13.</p>	<p>NOT CLEAR. Please refer to sections 8, 11 and 13 above. In absence of a clear description of changes in the baseline scenario and additional reasoning, the adaptation benefits cannot be adequately assessed at this time.</p> <p>Specifically, the baselines and indicators for Component 2 could be clarified. It is not clear what the "indicator score" refers to and how the baseline value was obtained. As per the recommendation in Section 8 above, adaptation benefits under this component could also be captured in terms of reduced vulnerability of assets, as well as diversified livelihoods. For clarity, the baseline for the share of targeted households regularly receiving climate risk information could also be expressed as a percentage.</p> <p>RECOMMENDED ACTION: Upon addressing the recommendations under sections 8, 11 and 13, (i) please clarify the expected adaptation benefits along with associated indicators, baselines and targets.</p>

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			<p>08/25/2014 -- YES. The adaptation benefits have been adequately clarified.</p> <p>Please refer to Section 27 below, however.</p>
	16. Is there a clear description of: a) the socio-economic benefits, including gender dimensions, to be delivered by the project, and b) how will the delivery of such benefits support the achievement of incremental/additional benefits?	<p>NOT CLEAR. In absence of information regarding the tangible adaptation measures to be carried out, the intended beneficiaries and the targeted regions or districts, the socio-economic benefits and gender dimensions of the proposed project cannot be adequately assessed at this stage.</p> <p>RECOMMENDED ACTION: Upon addressing the recommendations under Section 13, please revisit the description of socio-economic benefits and gender dimensions in Section II.B.3 of the PIF. In particular, describe the intended beneficiaries of the project or clarify how these will be selected during project preparation.</p> <p>07/24/2012 -- YES. The socio-economic benefits and gender dimensions are sufficiently well described for this stage of project development.</p> <p>By CEO Endorsement, please elaborate on the expected socio-economic benefits of the proposed project, and describe in detail how gender dimensions would be systematically considered in the proposed adaptation plans and</p>	<p>NOT CLEAR. Please refer to Section 15 above.</p> <p>The Request for CEO Endorsement does not disaggregate the number of direct beneficiaries by gender.</p> <p>RECOMMENDED ACTION: Please address the recommendations in Section 15 and provide the number of direct beneficiaries disaggregated by gender, if possible.</p> <p>08/25/2014 -- YES. Socio-economic benefits have been adequately clarified in the revised Request for CEO Endorsement.</p>

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		investments.	
	17. Is public participation, including CSOs and indigeneous people, taken into consideration, their role identified and addressed properly?	<p>NOT CLEAR. CSOs and local communities are not found among the stakeholders listed in Section II.B.5 of the PIF.</p> <p>RECOMMENDED ACTION: Please describe, at least in an indicative manner, how CSO and local communities would participate in the design and implementation of the proposed project.</p> <p>07/24/2012 -- YES. Public participation has been adequately considered for this stage of project development.</p>	<p>NOT CLEAR. Section 2.9 of the UNDP Project Document provides a clear description of stakeholder involvement, including CSOs, but Section B.1 has been omitted from the Request for CEO Endorsement.</p> <p>RECOMMENDED ACION: Please include, in Section B.1 of the Request for CEO Endorsement, a description of how stakeholders will be engaged in project implementation.</p> <p>08/25/2014 -- YES. Section B.1 has been included in the Request for CEO Endorsement, including a clear description of stakeholder involvement in project implementation.</p>
	18. Does the project take into account potential major risks, including the consequences of climate change and provides sufficient risk mitigation measures? (i.e., climate resilience)	<p>YES. The PIF identifies the principle risks and relevant mitigation measures.</p>	<p>NOT CLEAR. Please refer to Section 13 above. Given the recent developments described in the Request for CEO Endorsement, as well as the political and institutional risks identified, it is not clear whether the proposed components 1 and 2 would be able to meaningfully leverage and enhance baseline development investments provided that they builds solely on district budgets and the Local Development Fund.</p> <p>RECOMMENDED ACTION: Upon addressing the recommendations in</p>

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			<p>Section 13 above, please clarify how the project design would mitigate the political and institutional risks associated with decentralized planning and budgeting, as well as the Local Development Fund.</p> <p>08/25/2014 -- YES. Please refer to Section 13 above.</p>
	19. Is the project consistent and properly coordinated with other related initiatives in the country or in the region?	<p>NOT CLEAR. Given its strong focus on district and national level planning and policy support, the PIF should better demonstrate that the project would not duplicate similar efforts carried out under CARLA, the UNDP-LDCF Climate-Proofing Local Development project, CCP and AAP. The proposed components 1 and 3 should clearly consider the achievements, targets and limitations of these past and ongoing initiatives.</p> <p>RECOMMENDED ACTION: Please describe, in greater detail, how the proposed project would complement and build on, rather than duplicate, CARLA, the UNDP-LDCF Climate-Proofing Local Development project, CCP and AAP, all of which include components and activities similar to those proposed under components 1 and 3.</p> <p>NOT CLEAR. Please refer to Section 11 above.</p> <p>The re-submission clarifies that the</p>	<p>YES. Coordination and complementarity with other relevant initiatives has been adequately described for this stage of project development.</p>

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		<p>proposed project will build on and complement past and ongoing adaptation initiatives in Malawi.</p> <p>RECOMMENDED ACTION: Upon addressing the recommendations under Section 11, please describe, in Section B.6 of the PIF, how the proposed project would be coordinated with the Climate Change Adaptation and Mitigation Support Programme.</p> <p>08/21/2012 -- YES. Adequate information on the coordination with the climate change adaptation and mitigation support program has been provided.</p>	
	20. Is the project implementation/ execution arrangement adequate?	YES.	YES.
	21. Is the project structure sufficiently close to what was presented at PIF, with clear justifications for changes?		<p>NOT CLEAR. Please refer to sections 8, 11 and 23.</p> <p>08/25/2014 -- NOT CLEAR. Please refer to Section 23 below.</p> <p>10/17/2014 -- YES.</p>
	22. If there is a non-grant instrument in the project, is there a reasonable calendar of reflows included?		NA
Project Financing	23. Is funding level for project management cost appropriate?	YES. At \$200,000 or 4.66 per cent of the sub-total for components 1 through 3, the proposed funding level for project management is appropriate.	<p>NOT CLEAR. The LDCF funding request towards project management has increased by 12.5 per cent from PIF without any explanation of the proposed change.</p> <p>RECOMMENDED ACTION: Please</p>

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			<p>adjust the proposed LDCF funding request for project management to ensure consistency with the approved PIF, or provide a clear justification of the proposed change.</p> <p>08/25/2014 -- NOT CLEAR. The Agency's response argues that the proposed increase in LDCF financing for project management is required for a comprehensive vulnerability assessment. Such an assessment, however, should not form part of what the GEF finances as part of project management. Instead, it would seem to fall under Output 1.4. Also, the proposed increase (\$25,000) is 12.5 per cent, rather than 5 per cent as the Agency's response suggests.</p> <p>RECOMMENDED ACTION: Please adjust the proposed LDCF funding request for project management to ensure consistency with the approved PIF and ensure that adequate resources are allocated towards the proposed vulnerability assessment under Output 1.4, as necessary.</p> <p>10/17/2014 -- YES. The proposed LDCF funding for project management has been adjusted down to \$200,000, consistent with the approved PIF.</p>
	24. Is the funding and co-financing per objective appropriate and adequate to achieve the expected outcomes and outputs?	<p>NOT CLEAR. Please refer to Section 13 above.</p> <p>RECOMMENDED ACTION: Upon</p>	<p>NOT CLEAR. Please refer to sections 11, 13, 14 and 23.</p> <p>RECOMMENDED ACTION: Upon</p>



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		<p>addressing the recommendations under Section 13, please adjust the indicative grant and co-financing amounts per component accordingly, if necessary.</p> <p>07/24/2012 -- NOT CLEAR. Please refer to Section 11 above.</p> <p>RECOMMENDED ACTION: Upon addressing the recommendations under Section 11, please revise the indicative co-financing amounts per component accordingly.</p> <p>08/21/2012 -- YES. The indicative co-financing amounts have been adjusted as recommended.</p>	<p>addressing the recommendations under sections 11, 13, 14 and 23, please adjust the grant and co-financing amounts per component accordingly, as appropriate.</p> <p>08/25/2014 -- NOT CLEAR. Please refer to Section 23 above.</p> <p>10/17/2014 -- YES. The grant and co-financing amounts per component seem adequate and appropriate.</p>
	25. At PIF: comment on the indicated cofinancing; At CEO endorsement: indicate if confirmed co-financing is provided.	<p>NOT CLEAR. Please refer to Section 11 above. In absence of a clear description of the baseline initiatives on which the proposed project would build, the associated co-financing cannot be adequately assessed at this stage.</p> <p>RECOMMENDED ACTION: Upon addressing the recommendations under Section 11, please revise the indicative co-financing figures accordingly, if necessary.</p> <p>07/24/2012 -- NOT CLEAR. Please refer to Section 11 above.</p> <p>RECOMMENDED ACTION: Upon addressing the recommendations under Section 11, please revise the indicative co-financing figures accordingly.</p>	<p>NOT CLEAR. Please refer to Section 11 above.</p> <p>The letter of co-financing for Ntcheu District seems to lack a signature.</p> <p>RECOMMENDED ACTION: Upon addressing the recommendations under Section 11, please adjust the sources, amounts and types of co-financing in Table C and provide additional confirmation, as appropriate.</p> <p>08/25/2014 -- YES.</p>

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		08/21/2012 -- The indicative co-financing amounts have been adjusted as recommended and the level of co-financing is adequate at \$15.5 million.	
	26. Is the co-financing amount that the Agency is bringing to the project in line with its role?	<p>NOT CLEAR. Please refer to sections 11 and 25 above.</p> <p>RECOMMENDED ACTION: Upon addressing the recommendations under sections 11 and 25 above, please adjust the indicative Agency co-financing accordingly, if necessary.</p> <p>07/24/2012 -- NOT CLEAR. Please refer to Section 11 above.</p> <p>RECOMMENDED ACTION: Upon addressing the recommendations under Section 11, please revise the indicative Agency co-financing accordingly.</p> <p>08/21/2012 -- YES. The indicative co-financing amounts have been adjusted as recommended and the level.</p>	YES. At \$800,000, UNDP's co-financing is in line with the Agency's role.
Project Monitoring and Evaluation	27. Have the appropriate Tracking Tools been included with information for all relevant indicators, as applicable?		<p>NOT CLEAR. Please refer to Section 8 above.</p> <p>RECOMMENDED ACTION: Upon addressing the recommendations in Section 8, please adjust the tracking tool with baselines and targets for indicators corresponding to all relevant focal area objectives and outcomes.</p> <p>08/25/2014 -- NOT CLEAR. The tracking tool does not provide baselines</p>

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			and targets for any indicator corresponding to CCA Outcome 1.2, although the latter has been included in Table A.  RECOMMENDED ACTION: Please include baselines and targets for relevant indicators corresponding to CCA Outcome 1.2.  10/17/2014 -- YES. The tracking tool has been revised as recommended.
	28. Does the proposal include a budgeted M&E Plan that monitors and measures results with indicators and targets?		YES.
Agency Responses	29. Has the Agency responded adequately to comments from:		
	• STAP?	NA	NA
	• Convention Secretariat?	NA	NA
	• Council comments?		NA
	• Other GEF Agencies?	NA	NA
Secretariat Recommendation			
Recommendation at PIF Stage	<b>30. Is PIF clearance/approval being recommended?</b>	NOT YET. Please refer to sections 8, 10, 11, 13, 14, 15, 16, 17, 19, 24, 25 and 26.  07/24/2012 -- NOT YET. Please refer to sections 11, 13, 14, 19, 24, 25 and 26.  08/21/2012 -- YES.	
	31. Items to consider at CEO endorsement/approval.	07/24/2012 -- Please refer to sections 13, 15 and 16.	
Recommendation at CEO Endorsement/ Approval	32. At endorsement/approval, did Agency include the progress of PPG with clear information of		YES.

Review Criteria	Questions	Secretariat Comment at PIF (PFD)/Work Program Inclusion <sup>1</sup>	Secretariat Comment At CEO Endorsement(FSP)/Approval (MSP)
	commitment status of the PPG?		
	<b>33. Is CEO endorsement/approval being recommended?</b>		NOT YET. Please refer to sections 7, 8, 10, 11, 12, 13, 14, 15, 16, 18, 21, 23, 24, 25 and 27.  08/25/2014 -- NOT YET. Please refer to sections 7, 8, 21, 23, 24 and 27.  10/17/2014 -- YES.
Review Date (s)	First review*	June 22, 2012	July 22, 2014
	Additional review (as necessary)	July 24, 2012	August 25, 2014
	Additional review (as necessary)	August 21, 2012	October 17, 2014
	Additional review (as necessary)		
	Additional review (as necessary)		

\* **This is the first time the Program Manager provides full comments for the project. Subsequent follow-up reviews should be recorded. For specific comments for each section, please insert a date after comments. Greyed areas in each section do not need comments.**

#### REQUEST FOR PPG APPROVAL

Review Criteria	Decision Points	Program Manager Comments
PPG Budget	1. Are the proposed activities for project preparation appropriate?	YES. The PPG includes two principle components, namely a (i) vulnerability and adaptation assessment; and (ii) project scoping and institutional arrangements. The activities proposed are relevant and appropriate.
	2. Is itemized budget justified?	YES. The proposed grant (\$100,000) would be allocated in equal shares between the two components. The proposed rates for local and international consultants are appropriate at \$2,000 and \$3,000 per week respectively.
Secretariat Recommendation	<b>3. Is PPG approval being recommended?</b>	NOT YET. The PPG will be recommended once the PIF is ready for approval.  08/21/2012 -- YES.
	4. Other comments	
Review Date (s)	First review*	June 22, 2012
	Additional review (as necessary)	August 21, 2012

\* This is the first time the Program Manager provides full comments for the project. Subsequent follow-up reviews should be recorded. For specific comments for each section, please insert a date after comments.