



PROJECT PREPARATION GRANT (PPG)

PROJECT TYPE: Full-sized Project

TYPE OF TRUST FUND: LDCF

Resubmission date: 03 April 2012

GEF PROJECT ID: 4453

GEF AGENCY PROJECT ID:

COUNTRY(IES): Lesotho

PROJECT TITLE: Adaptation Of Small-Scale Agriculture Production (ASAP)

GEF AGENCY(IES): IFAD

GEF FOCAL AREA(s): Climate Change

A. PROJECT PREPARATION TIMEFRAME

Start date of PPG	06/01/2012
Completion date of PPG	05/01/2013

B. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)

Describe the PPG activities and justifications: The main objective of the proposed IFAD/LDCF project is to increase the resilience of small scale agriculture to climate change impacts by promoting climate-proofed investments for agriculture-based development, as well as by enhancing the resilience of agricultural productivity under increased climate variability. The LDCF-supported activities will aim to integrate NAPA-based measures that will mitigate the impact and reduce the risk of climate change on agricultural production.

The PPG will finance the activities identified in the PIF required to produce a full project design. These include preparation of assessments and studies that will ultimately aim to identify the most suitable activities and adaptation options for each component of the full sized project. It will also help in defining the project implementation structure and linkages with additional adaptation value to development baseline - the Smallholder Agricultural Development Programme for Lesotho. The project design team will make sure that gender-related considerations are fully taken into account during the project design phase.

List of Proposed Project Preparation Activities	Output of the PPG Activities	Trust Fund	Grant Amount (a)	Co-financing (b)	Total c = a + b
Definition of project components, feasibility analysis and budget. This will include: 1.1 Identification of other climate change and agriculture-related initiatives in the country and develop a coordination strategy that will maximize the synergies with the	- Draft project document developed Full project logical framework including outcome impact indicators; incremental cost analysis; risks mitigation and replication strategy. Project sites precisely defined.	LDCF	43,000	50,000	93

<p>baseline intervention to avoid duplications.</p> <p>1.2 Technical and institutional design of adaptation investment needs, implementation modalities and integration of the LDCF component within the development baseline</p> <p>1.3 Draft results based management framework</p>	<p>Agreed details of project outcomes, outputs and activities based on a logical framework analysis.</p> <p>Detailed work plan. Costed baseline.</p> <p>Detailed costing of the expected Outcomes and Outputs - itemised FSP budget.</p> <p>Full project co- funding secured.</p> <p>Procurement plan.</p>				
<p>2. Assessment of institutional coordination requirements, stakeholder participation for uptake, support and mainstreaming climate adaptation options for the FSP implementation. This will include a detailed assessment of effective project management costs, taking into account the level of salaries in Lesotho, exchange rate trends, the minimum equipment and other logistics costs.</p>	<p>Assessment of the capacity of different stakeholder groups, with a gender focus.</p> <p>The responsibility of each stakeholder for project implementation developed and agreed upon.</p> <p>Establishment of the full project management and implementation arrangements.</p> <p>ToRs for project management staff, Steering Committee and the Technical Advisory Board.</p> <p>Communication strategy for the FSP.</p> <p>National Training plan developed.</p>	LDCF	28,000	43,000	71
<p>3. Project development and stakeholder consultation workshop</p>	<p>Revised draft project document endorsed by all key project partners.</p>	LDCF	28,000	33,000	61
<p>4. Preparation of complete project</p>	<p>Project document and CEO request form</p>	LDCF	18,000	18,000	36

documentation for submission to GEF: 4.1 Finalization of results based management framework 4.2 Completion of tracking tools 4.3 Planning and designing M&E systems. 4.4 Economic and financial analysis 4.5 Project Management structure and implementation arrangements finalised.	finalised including all annexes and co-financing letters				
5. PPG management costs	PPG timely implemented within GEF timelines	LDCF	0	8,000	8
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
Total Project Preparation Financing			117	152	269

C. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)

	Project Preparation	Agency Fee
Grant Amount	117,000	11,700
Co-financing	152,000	
Total	269,000	11,700

D. PPG AMOUNT REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)¹

Trust Fund	GEF Agency	Focal Area	Country Name/ Global	(in \$)		
				PPG (a)	Agency Fee (b)	Total c = a + b
LDCF	IFAD	Climate Change	Lesotho	117,000	11,700	128,700
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0

(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
Total PPG Amount			117,000	11,700		128,700

¹ No need to provide information for this table if it is a single focal area, single country and single GEF Agency project.

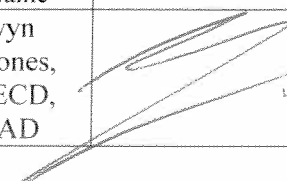
E. PPG BUDGET

Cost Items	Total Estimated Person Weeks for Grant (PW)	Grant Amount (\$)	Co-financing (\$)	Total(\$)
Local consultants *	40.00	32,000	32,000	64
International consultants*	40.00	44,000	100,000	144
Travel		32,000	12,000	44
Translation		9,000	0	9
PPG Management		0	8,000	8
				0
Total PPG Budget		117,000	152,000	269,000

* Annex A for Consultant cost details should be prepared first before completing this table. See notes on Annex A for the required detailed information. This table is the sum of all local and international consultants presented in Annex A.

F. GEF AGENCY(IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF LDCF/SCCF/NPIF Trust Fund criteria for project identification and preparation.

Agency Coordinator, Agency Name	Signature	Date	Project Contact Person	Telephone	Email Address
Mr. Elwyn Grainer-Jones, Director ECD, PMD IFAD		03 April 2012	Stephen Twomlow, ECD, PMD IFAD	+254 20 7625076	s.twomlow@ifad.org

Annex A

Consultants Financed by the Project Preparation Grant (PPG)

Type of Consultant	Position / Titles	\$/ Person Week¹	Estimated PWs²	Tasks to be Performed
Local	Socio-Economics and Gender Mainstreaming Experts	2000	12.00	Advise on socio-economic and gender-related issues. Collect, review and analyse existing information for input in the project documentation, in line with GOM, LDCF and IFAD policies. Draft relevant sections of the project documentation, including the social safeguards section. Lead/support/facilitate consultation with all stakeholders in this specific field.
Local	Climate Adaptation Specialist	2000	12.00	Identification of other climate change and agriculture-related initiatives in the country and develop a coordination strategy that will maximize the synergies with the baseline intervention to avoid duplications between on-going activities in the Met Services and the Min of Agriculture. Technical and institutional design of adaptation investment needs, implementation modalities and integration of the LDCF component within the development baseline.
International	Agricultural Climatologist	3500	12.00	Identification of other projects in the country attempting downscaling of climate information for smallholders and develop a coordination strategy that will maximize the synergies with the baseline intervention to avoid duplications between on-going activities in Met. Services and the Ministry of Agriculture. Technical and institutional design of communication investment needs, implementation modalities and integration of the LDCF component within the development baseline.
International	Communications and M&E Expert	3500	12.00	Develop a project communications and stakeholder identification and outreach strategy for inclusion in the project document. Provide support to the other PPG consultants and national governmental staff at key moments in the project design phase to prepare the M&E

				plans. This support includes: a) guidance on the description of global, national and local benefits derived from different project components and the contribution of different funding sources to each based on incremental cost assessment; b) overall orientation to the PPG consultants and the consultation group in relation to LDCF principles and information requirements particularly as regards incremental costs; c) detailed formulation of incremental cost matrices and the review of the strategic workplan and cost efficiency analysis and develop the detailed financial plan for the project and supervise project budget implementation and monitoring, and d) develop key site and country-specific indicators and monitoring strategy for the FSP, formulate the project M&E plan and budget, and complete the project tracking tools.
Local	PPG Assistant	1000	16.00	Assist the PPG coordinator in all aspects of project implementation, including i.e. administration and financial reporting, logistics and operations, HR management, reporting and communications, translation and liaison with all partners and IFAD.
International	PPG Coordinator and LDCF Expert	3750	16.00	Lead the consultants' team and help with specific technical inputs. Control quality of inputs and outputs of all consultants. Clarify the additionality of proposed outcomes and activities. Investigate potential options for the project's institutional and implementation arrangements. Develop the project's sustainability strategy.

¹ Provide dollar amount per person week.

² Provide person weeks needed to carry out the task