



GEF-6 GEF SECRETARIAT REVIEW FOR FULL-SIZED/MEDIUM-SIZED PROJECTS THE GEF/LDCF/SCCF TRUST FUND

GEF ID:	9442		
Country/Region:	Global (Antigua And Barbuda, Burundi, Bahamas, Central African Republic, Congo, Cameroon, Djibouti, Iraq, Kyrgyz Republic, St. Kitts And Nevis, Mauritania, Maldives, Niger, Nepal, Nauru, Pakistan, Saudi Arabia, Swaziland, Chad, Turkmenistan, Tanzania, Uganda, Uzbekistan, Congo DR, Zimbabwe)		
Project Title:	Umbrella Programme for Preparation of National Communications and Biennial Update Reports to the UNFCCC		
GEF Agency:	UNEP	GEF Agency Project ID:	
Type of Trust Fund:	GEF Trust Fund	GEF Focal Area (s):	Climate Change
GEF-6 Focal Area/ LDCF/SCCF Objective (s):	CCM-EA;		
Anticipated Financing PPG:		Project Grant:	\$13,946,200
Co-financing:	\$1,374,000	Total Project Cost:	\$15,320,200
PIF Approval:	May 04, 2016	Council Approval/Expected:	June 09, 2016
CEO Endorsement/Approval		Expected Project Start Date:	
Program Manager:	Milena Vasquez	Agency Contact Person:	Geordie Colville

PIF Review			
Review Criteria	Questions	Secretariat Comment	Agency Response
Project Consistency	1. Is the project aligned with the relevant GEF strategic objectives and results framework? ¹	Yes, the project is aligned with GEF-6 CCM strategy, Program 5 - Integrate Convention obligations and enabling activities into national planning and contributions.	
	2. Is the project consistent with the recipient country's national strategies and plans or reports and assessments under relevant conventions?	Yes. Please provide further information at CEO endorsement on a country level.	
Project Design	3. Does the PIF sufficiently indicate the		

PIF Review

Review Criteria	Questions	Secretariat Comment	Agency Response
	drivers ² of global environmental degradation, issues of sustainability, market transformation, scaling, and innovation?		
	4. Is the project designed with sound incremental reasoning?		
	5. Are the components in Table B sound and sufficiently clear and appropriate to achieve project objectives and the GEBs?	Yes, the components are sufficiently clear and appropriate.	
	6. Are socio-economic aspects, including relevant gender elements, indigenous people, and CSOs considered?	Yes, gender elements will be included during project preparation following guidance developed by the GSP. Please provide further information on public participation, including CSOs and indigenous peoples at CEO Endorsement.	
Availability of Resources	7. Is the proposed Grant (including the Agency fee) within the resources available from (mark all that apply):		
	• The STAR allocation?	NA	
	• The focal area allocation?	NA	
	• The LDCF under the principle of equitable access	NA	
	• The SCCF (Adaptation or Technology Transfer)?	NA	
• Focal area set-aside?	Yes, the project is requesting \$10,530,720 from the CCM set aside.		

¹ For BD projects: has the project explicitly articulated which Aichi Target(s) the project will help achieve and are SMART indicators identified, that will be used to track the project's contribution toward achieving the Aichi Target(s)?

² Need not apply to LDCF/SCCF projects.

PIF Review			
Review Criteria	Questions	Secretariat Comment	Agency Response
Recommendations	8. Is the PIF being recommended for clearance and PPG (if additional amount beyond the norm) justified?	PM recommends for clearance.	
Review Date	Review		
	Additional Review (as necessary)		
	Additional Review (as necessary)		

CEO endorsement Review			
Review Criteria	Questions	Secretariat Comment at CEO Endorsement	Response to Secretariat comments
Project Design and Financing	1. If there are any changes from that presented in the PIF, have justifications been provided?	<p>MGV, May 5, 2017: Yes, there are changes from PIF but they are justified.</p> <p>There is a major amendment - the project is requesting additional resources in order to include additional participating countries in the umbrella programme, from 18 to 25, increasing the expected outputs to 20 BURs and 13 NCs. The CEO Endorsement will thus be circulated to Council.</p> <p>There is also a minor change in</p>	

CEO endorsement Review

Review Criteria	Questions	Secretariat Comment at CEO Endorsement	Response to Secretariat comments
		project design. The CEO Endorsement has added a component to support country teams to carry out a self-assessment and stocktaking exercise after completion of NCs and BURs, re-allocating some of the funds from Component 1 for PIP preparation.	
	2. Is the project structure/ design appropriate to achieve the expected outcomes and outputs?	MGV, May 5, 2017: Yes	
	3. Is the financing adequate and does the project demonstrate a cost-effective approach to meet the project objective?	MGV, May 5, 2017: Yes	
	4. Does the project take into account potential major risks, including the consequences of climate change, and describes sufficient risk response measures? (e.g., measures to enhance climate resilience)	MGV, May 5, 2017: Yes	
	5. Is co-financing confirmed and evidence provided?	MGV, May 5, 2017: Co-financing is not required for this type of project, but \$1,374,000 from UNEP and national governments is listed.	
	6. Are relevant tracking tools completed?	MGV, May 5, 2017: Yes	
	7. <i>Only for Non-Grant Instrument:</i> Has a reflow calendar been presented?		
	8. Is the project coordinated with other related initiatives and	MGV, May 5, 2017: Yes	

CEO endorsement Review

Review Criteria	Questions	Secretariat Comment at CEO Endorsement	Response to Secretariat comments
	national/regional plans in the country or in the region?		
	9. Does the project include a budgeted M&E Plan that monitors and measures results with indicators and targets?	MGV, May 5, 2017: Yes	
	10. Does the project have descriptions of a knowledge management plan?	MGV, May 5, 2017: Yes	
Agency Responses	11. Has the Agency adequately responded to comments at the PIF ³ stage from:		
	<ul style="list-style-type: none"> • GEFSEC 	<p>We note that the following countries have not submitted yet their respective NCs to the UNFCCC on which this project builds on: Antigua and Barbuda, Democratic Republic of Congo, Guinea Bissau, Madagascar, Maldives, Gambia, Kyrgyzstan, Swaziland, Uzbekistan and Zimbabwe. Please ensure they are submitted before CEO Endorsement.</p> <p>Please note that this project cannot be endorsed unless the respective national communications have been submitted to the UNFCCC and are on the UNFCCC website</p> <p>In addition please provide additional information by CEO Endorsement on a country level:</p>	

³ If it is a child project under a program, assess if the components of the child project align with the program criteria set for selection of child projects.

CEO endorsement Review

Review Criteria	Questions	Secretariat Comment at CEO Endorsement	Response to Secretariat comments
		-consistency with recipient country's national strategies and plans or reports -public participation, including CSOs and indigenous peoples MGV, May 5, 2017: Yes. All countries included have submitted their respective national communication to the UNFCCC and are on the UNFCCC website.	
	<ul style="list-style-type: none"> • STAP 		
	<ul style="list-style-type: none"> • GEF Council 	MGV, May 5, 2017: Yes. The project will provide technical assistance for executing agencies to enhance capacity for sustainable reporting at the national level.	
	<ul style="list-style-type: none"> • Convention Secretariat 		
Recommendation	12. Is CEO endorsement recommended?	MGV, May 5, 2017: Yes, PM recommends CEO Endorsement after Council circulation.	
Review Date	Review	May 05, 2017	
	Additional Review (as necessary)		
	Additional Review (as necessary)		