GEF-6 GEF SECRETARIAT REVIEW FOR FULL-SIZED/MEDIUM-SIZED PROJECTS
THE GEF/LDCF/SCCF TRUST FUND

GEF ID:	**10019**		
Country/Region:	**Global (Cote d'Ivoire, Ghana, Kenya, St. Lucia, Moldova, Madagascar, Mongolia, Mauritius, Niue, Qatar, Saudi Arabia, Solomon Islands, Vietnam)**		
Project Title:	**Umbrella Programme for Preparation of National Communications and Biennial Update Reports to the UNFCCC**		
GEF Agency:	**UNEP**	GEF Agency Project ID:	
Type of Trust Fund:	**GEF Trust Fund**	GEF Focal Area (s):	**Climate Change**
GEF-6 Focal Area/ LDCF/SCCF Objective (s):		**CCM-3 Program 5;**	
Anticipated Financing PPG:		Project Grant:	**$7,383,040**
Co-financing:	**$740,000**	Total Project Cost:	**$8,123,040**
PIF Approval:		Council Approval/Expected:	
CEO Endorsement/Approval		Expected Project Start Date:	
Program Manager:	**Milena Vasquez**	Agency Contact Person:	**Geordie Colville**

PIF Review

Review Criteria	Questions	Secretariat Comment	Agency Response
Project Consistency	1. Is the project aligned with the relevant GEF strategic objectives and results framework?[1]	MGV, March 19, 2018: Yes, the project is aligned with CCM-3, Program 5.	
	2. Is the project consistent with the recipient country's national strategies and plans or reports and assessments under relevant conventions?	MGV, March 19, 2018: Yes, the project is consistent with the 13 countries' national strategies and plans as it will assist them to meet reporting requirements under the UNFCCC.	

[1] For BD projects: has the project explicitly articulated which Aichi Target(s) the project will help achieve and are SMART indicators identified, that will be used to track the project's contribution toward achieving the Aichi Target(s)?

PIF Review			
Review Criteria	Questions	Secretariat Comment	Agency Response
		We note however that the following reports have not been yet submitted to the UNFCCC: Cote d'Ivoire BUR1, Ghana BUR2, Saudi Arabia BUR1, and Mongolia NC3 and must be submitted to the UNFCCC before CEO Endorsement. We also request the agency for additional country-level information by CEO Endorsement.	
Project Design	3. Does the PIF sufficiently indicate the drivers[2] of global environmental degradation, issues of sustainability, market transformation, scaling, and innovation?	MGV, March 19, 2018: Yes.	
	4. Is the project designed with sound incremental reasoning?	MGV, March 19, 2018: Yes, the project will assist 13 countries in preparing and submitting national communications and biennial update reports to comply with UNFCCC reporting requirements.	
	5. Are the components in Table B sound and sufficiently clear and appropriate to achieve project objectives and the GEBs?	MGV, March 19, 2018: Yes, table B is sound and clear.	
	6. Are socio-economic aspects, including relevant gender elements, indigenous people, and CSOs considered?	MGV, March 19, 2018: Yes, the project will involve CSOs and when applicable indigenous people in the stocktaking exercises. Gender elements will be integrated into the project implementation plans and training on supporting gender-equity	

[2] Need not apply to LDCF/SCCF projects.

PIF Review			
Review Criteria	Questions	Secretariat Comment	Agency Response
		measures in NC and BUR processes, among other things.	
Availability of Resources	7. Is the proposed Grant (including the Agency fee) within the resources available from (mark all that apply):		
	• The STAR allocation?	MGV, March 19, 2018: N/A. The project is requesting $8,084,428 from the CCM global set aside.	
	• The focal area allocation?	MGV, March 19, 2018: N/A. The project is requesting $8,084,428 from the CCM global set aside.	
	• The LDCF under the principle of equitable access		
	• The SCCF (Adaptation or Technology Transfer)?		
	• Focal area set-aside?	MGV, March 19, 2018: The project is requesting $8,084,428 from the CCM global set aside. There are enough resources to cover this amount.	
Recommendations	8. Is the PIF being recommended for clearance and PPG (if additional amount beyond the norm) justified?	MGV, March 19, 2018: P.M. recommends CEO PIF clearance. We note that by CEO Endorsement it is expected that the NC and/or BURs that have not yet been submitted to the UNFCCC, will have been submitted. Otherwise, CEO Endorsement may have to be delayed pending their submission or amended to only include those countries that have already submitted their previous report to the UNFCCC.	
Review Date	Review	March 19, 2018	

PIF Review			
Review Criteria	Questions	Secretariat Comment	Agency Response
	Additional Review (as necessary)		
	Additional Review (as necessary)		

CEO endorsement Review			
Review Criteria	Questions	Secretariat Comment at CEO Endorsement	Response to Secretariat comments
Project Design and Financing	1. If there are any changes from that presented in the PIF, have justifications been provided?		
	2. Is the project structure/ design appropriate to achieve the expected outcomes and outputs?		
	3. Is the financing adequate and does the project demonstrate a cost-effective approach to meet the project objective?		
	4. Does the project take into account potential major risks, including the consequences of climate change, and describes sufficient risk response measures? (e.g., measures to enhance climate resilience)		

CEO endorsement Review			
Review Criteria	Questions	Secretariat Comment at CEO Endorsement	Response to Secretariat comments
	5. Is co-financing confirmed and evidence provided?		
	6. Are relevant tracking tools completed?		
	7. *Only for Non-Grant Instrument:* Has a reflow calendar been presented?		
	8. Is the project coordinated with other related initiatives and national/regional plans in the country or in the region?		
	9. Does the project include a budgeted M&E Plan that monitors and measures results with indicators and targets?		
	10. Does the project have descriptions of a knowledge management plan?		
Agency Responses	11. Has the Agency adequately responded to comments at the PIF[3] stage from:		
	• GEFSEC	MGV, March 19, 2018: We note that the following countries have not submitted yet their respective NCs or BURs to the UNFCCC on which this project builds on: Cote d'Ivoire, Ghana, Mongolia and Saudi Arabai. Please ensure they are submitted before CEO Endorsement. Please note that this project cannot be	

[3] If it is a child project under a program, assess if the components of the child project align with the program criteria set for selection of child projects.

CEO endorsement Review			
Review Criteria	Questions	Secretariat Comment at CEO Endorsement	Response to Secretariat comments
		endorsed unless the respective national communications and/or biennial update reports have been submitted to the UNFCCC and are on the UNFCCC website. Otherwise, CEO Endorsement may have to be delayed pending their submission or amended to only include those countries that have already submitted their previous report to the UNFCCC. In addition please provide additional information by CEO Endorsement on a country level: -consistency with recipient country's national strategies and plans or reports -public participation, including CSOs and indigenous peoples	
	• STAP		
	• GEF Council		
	• Convention Secretariat		
Recommendation	12. Is CEO endorsement recommended?		
Review Date	Review		
	Additional Review (as necessary)		
	Additional Review (as necessary)		