



GEF-6 GEF SECRETARIAT REVIEW SHEET FOR MEDIUM-SIZED PROJECT

GEF ID:	9283		
Country/Region:	Costa Rica		
Project Title:	Development of a Market for Energy Efficient Lighting, Air Conditioners and Refrigerators in Costa Rica		
GEF Agency:	UNEP	GEF Agency Project ID:	
Type of Trust Fund:	GEF Trust Fund	GEF Focal Area (s):	Climate Change
GEF-6 Focal Area/ LDCF/SCCF Objective (s):	CCM-1 Program 1;		
Anticipated Financing PPG:	\$50,000	Project Grant:	\$2,000,000
Co-financing:	\$4,972,452	Total Project Cost:	\$7,022,452
PIF Approval:		Council Approval/Expected:	
CEO Endorsement/Approval		Expected Project Start Date:	
Program Manager:	Milena Vasquez	Agency Contact Person:	Ruth Coutto,

Review Criteria	Questions	Secretariat Comments	Agency Response
Project Consistency	1. Is the project aligned with the relevant GEF strategic objectives and results framework? ¹		
	2. Is the project structure/ design appropriate to achieve the expected outcomes and outputs?	MGV, May 5, 2017: Yes.	
	3. Is the project consistent with the recipient country's national strategies and plans or reports and assessments under relevant conventions?		
	4. Does the project sufficiently		

¹ For BD projects: has the project explicitly articulated which Aichi Target(s) the project will help achieve and are SMART indicators identified, that will be used to track the project's contribution toward achieving the Aichi Target(s)?

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Project Design	indicate the drivers ² of global environmental degradation, issues of sustainability, market transformation, scaling, and innovation?		
	5. Is the project designed with sound incremental reasoning?		
	6. Are the components in Table B sound and sufficiently clear and appropriate to achieve project objectives and the GEBs?		
	7. Are socio-economic aspects, including relevant gender elements, indigenous people, and CSOs considered?		
	8. Is the financing adequate and does the project demonstrate a cost-effective approach to meet the project objective?	MGV, July 17, 2017: Yes.	
	9. Does the project take into account potential major risks, including the consequences of climate change, and describes sufficient risk response measures? (e.g., measures to enhance climate resilience)	MGV, July 17, 2017: Climate change risks are not addressed, please review as needed. MGV, August 24, 2017: Climate change risks have been added. Comment cleared.	
	10. Is co-financing confirmed and evidence provided?	MGV, July 17, 2017: Yes. However, all co-financing is listed as in-kind. Also CABELI is listed as "others" but it is a private sector entity. Please revise as appropriate. MGV, August 24, 2017: Co-financing has	

² Need not apply to LDCF/SCCF projects.

Review Criteria	Questions	Secretariat Comments	Agency Response
		been updated with additional in-kind co-financing from Mabe. CABEL is non-profit and thus not listed as private, but rather 'others'. Comment cleared.	
	11. Are relevant tracking tools completed?	MGV, July 17, 2017: Yes. However, there is no indirect GHG emissions estimate. Additional tracking tool indicators may be relevant such as Indicator 7 and Indicator 10. Please revise. MGV, August 24, 2017: Indirect GHG emissions estimate has been added. Tracking tool has been updated with additional indicators. Comment cleared.	
	12. <i>Only for Non-grant Instrument</i> : Has a reflow calendar been presented?	N/A	
	13. Is the project coordinated with other related initiatives and national/regional plans in the country or in the region?	MGV, July 17, 2017: Yes. There is strong coordination the GEF global project to leapfrog markets to energy efficient lighting, appliances and equipment, #9337 and the other child projects in the leapfrogging program.	
	14. Does the project include a budgeted M&E Plan that monitors and measures results with indicators and targets?	MGV, July 17, 2017: Yes.	
	15. Does the project have description of knowledge management plan?	MGV, July 17, 2017: Yes.	
Availability of Resources	16. Is the proposed Grant (including the Agency fee) within the resources available from (mark all that apply):		

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	<ul style="list-style-type: none"> The STAR allocation? 		
	<ul style="list-style-type: none"> The focal area allocation? 		
	<ul style="list-style-type: none"> The LDCF under the principle of equitable access 		
	<ul style="list-style-type: none"> The SCCF (Adaptation or Technology Transfer)? 		
	<ul style="list-style-type: none"> Focal area set-aside? 		
Recommendations	17. Is the MSP being recommended for approval?	<p>MGV, July 17, 2017: Not yet. Please address the comments in boxes: 4, 5 and 6.</p> <p>MGV, August 24, 2017: Comments in boxes 4, 5 and 6 have been cleared. However, there are two additional operational comments that need to be addressed:</p> <p>1. By GEF policies, remaining PPG funds cannot be "rolled over to the implementation phase of the project and used to conduct the inception workshop as well as the 1st steering committee meeting". Thus the PPG balance of US\$ 12,651 must either be returned to the GEF Secretariat or be used for other eligible PPG expenditures within one year after the project has been CEO Endorsed/Approved.</p> <p>2. The Audit and Evaluations costs presented in Table B should be included in the PMC and not as an individual expenditure prior to the subtotal. Please</p>	

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		<p>address accordingly, while ensuring PMC does not exceed 10% of the Subtotal.</p> <p>MGV, October 5, 2017: All comments have been addressed. P.M. recommends CEO Approval.</p>	
Review Dates	First Review	July 17, 2017	
	Additional Review (as necessary)	August 24, 2017	
	Additional Review (as necessary)	October 05, 2017	