



PROJECT PREPARATION GRANT (PPG)
PROJECT TYPE: Full-Sized Project
TYPE OF TRUST FUND: GEF Trust Fund

Submission date: September 19, 2012

GEF PROJECT ID: 5058
GEF AGENCY PROJECT ID: 4719
COUNTRY: South Africa
PROJECT TITLE: Mainstreaming Biodiversity into Land Use Regulation and Management at the Municipal Scale
GEF AGENCY: UNDP
GEF FOCAL AREA(S): Biodiversity

A. PROJECT PREPARATION TIMEFRAME

Start date of PPG	November-2012
Completion date of PPG	November-2013

B. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)

Describe the PPG activities and justifications

PPG Activity 1. ESTABLISHMENT OF BASELINE: - This activity will establish baselines for activities proposed under PIF component 1 and 2. Baseline data to be collected include:-

- Number of hectares of biodiversity (including threatened ecosystems) impacted by illegal land use practices
- Quality of biodiversity information provided by applicants in permit applications
- Amount of resources (in US\$) allocated to biodiversity management
- Number of jobs (including temporary and permanent jobs) created by target municipalities to support ecosystem restoration and maintenance
- Level (measured by %) of unsustainable utilization of threatened and protected species.
- Identification of focal biodiversity areas in target municipalities necessary to maintain ecosystem functioning
- Rate of loss of priority biodiversity areas (measured in %)
- Extent of degradation resulting from extensive incompatible land uses e.g. overstocking (in %)
- Number of ha of private and communal land under poor grazing management
- Number of ha of production landscapes in target municipalities under internationally or nationally recognized certification schemes that incorporate biodiversity considerations
- Amount of US\$ flowing to land owners annually as a result of trade in goods and services related to the wildlife economy.

PPG Activity 2:- INSTITUTIONAL ANALYSIS AND ASSESSMENT: - This activity will determine the capacity at state national, provincial and municipal level as well as in the private sector to effectively implement proposed project activities. The institutional analysis and assessment will determine the:-

- Capacity of regulatory authorities, law enforcement agencies and courts to identify and prosecute biodiversity crimes
- Capacity across institutions to work collaboratively to identify crimes and apprehend and prosecute offenders
- Effectiveness of existing cross institutional coordination mechanisms that enable the sharing of information between institutions to support tracking of crimes
- Capacity of staff of regulatory authorities to apply the criteria to mitigate and offset biodiversity in land use permitting
- Capacity of regulatory authorities to incorporate innovative financial mechanisms for supporting biodiversity management
- Capacity of private and communal land owners to implement thresholds, self-monitor and enforce sanctions against defaulters
- Extent to which biodiversity standards are or can be incorporated into industry wide certification standards
- Capacity of Agricultural boards and/ or industry associations to implement and monitor compliance with biodiversity

friendly certification standards.

PPG Activity 3. PROJECT STRATEGY:- This component will finance the development of (I) a detailed project strategy, including incremental cost analysis, cost-effectiveness, and risks; (ii) a detailed log frame (iii) a detailed budget, and (iii) a detailed monitoring and evaluation plan. (Based on input from activities 1 and 2). Specific activities include:

- Development of a log frame for all the project activities- based on inputs from PPG activity 1 and 2
- Assessment of technical feasibility, cost-effectiveness and incrementality of proposed project activities
- Setting milestones for project activities and establishing the timeline for implementation
- Assessment of project risk and the sustainability of proposed activities and recommendations of applicable measures to minimise and mitigate risk and to ensure sustainability
- Development of recommendations/proposals for addressing the gaps in line with the objectives of the project
- Definition of project monitoring and evaluation indicators
- Preparation of a budget for the project, and for all inputs (consultants, contractual services, travel, etc.), and identify and secure co-financing commitments
- Preparation of ToRs for the key consultants/contracts to be employed by the project
- Completion of all relevant tracking tools, including the UNDP Capacity Scorecard.

NB: Data will be collected in partnership with all key stakeholders, noting that some data may already exist in institutions. Co-financing for the PPG activities has been secured from key stakeholders (included as Annex B). All other project partners and co-financers will be involved in the project design phase through consultations, working group meetings, and participating in project development workshops.

List of Proposed Project Preparation Activities	Output of the PPG Activities	Trust Fund	Grant Amount (a)	Co-financing (b)	Total c = a + b
1. Baseline/Feasibility Assessment	Baseline Data	GEF TF	33,500	117,250	150,750
2. Institutional analysis and assessment	Institutional assessment	GEF TF	18,500	64,750	83,250
3. Project scoping and strategy development	Project strategy, including log frame, budget and monitoring and evaluation plan.	GEF TF	48,000	168,500	216,500
Total Project Preparation Financing			100,000	350,500	450,500

C. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)

	Project Preparation	Agency Fee
Grant Amount	100,000	10,000
Co-financing	350,500	
Total	450,500	10,000

D. PPG AMOUNT REQUESTED BY AGENCY, FOCAL AREA AND COUNTRY

Trust Fund	GEF Agency	Focal Area	Country	(in \$)		
				PPG (a)	Agency Fee (b)	Total c = a + b
GEF TF	UNDP	Biodiversity	South Africa	100,000	10,000	110,000
Total PPG Amount				100,000	10,000	110,000


E. PPG BUDGET

Cost Items	Total Estimated Person Weeks for Grant (PW)	Grant Amount (\$)	Co-financing (\$)	Total (\$)
Local consultants *	52	78,000	273,000	351,000
International	0			

Cost Items	Total Estimated Person Weeks for Grant (PW)	Grant Amount (\$)	Co-financing (\$)	Total (\$)
consultants*				
Travel		22,000	77,500	99,500
Total PPG Budget		100,000	350,500	450,500

F. GEF AGENCY (IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF Trust Fund criteria for project identification and preparation.

Agency Coordinator, Agency Name	Signature	Date	Project Contact Person	Telephone	Email Address
Yannick Glemarec UNDP-GEF Executive Coordinator		September 19, 2012	Alice Ruhweza, Regional Technical Advisor, EBD	+ 27 12 354 8120 +27 71 874 4992	alice.ruhweza@undp.org

Annex A

Consultants Financed by the Project Preparation Grant (PPG)

Type of Consultant	Position / Titles	\$/ Person Week ¹	Estimated PWs ²	Tasks to be Performed
Local/ Regional	Biodiversity Monitoring and Evaluation Expert	1500	18	<p>This consultant will be responsible for establishing a baseline for the proposed project activities. Specifically the consultant will establish baseline data for the following:</p> <ul style="list-style-type: none"> - Number of hectares of biodiversity impacted by illegal land use practices - Quality of biodiversity information provided by applicants in permit applications - Amount of resources (in US\$) allocated to biodiversity management - Number of jobs (including temporary and permanent jobs) created by target municipalities to support ecosystem restoration and maintenance - Level (measured by %) of unsustainable utilization of threatened and protected species - Identification of focal biodiversity areas in target municipalities necessary to maintain ecosystem functioning - Rate of loss of priority biodiversity areas (measured in %) - Extent of degradation resulting from extensive incompatible land uses e.g. overstocking (in %) - Number of ha of private and communal land under poor grazing management - Number of ha of production landscapes in target municipalities under internationally or nationally recognized certification schemes that incorporate biodiversity considerations - Amount of US\$ flowing to land owners annually as a result of trade in goods and services related to the wildlife economy.
Local/ Regional	Institutional Strengthening and Governance expert	1500	10	<p>This consultant will be responsible for undertaking an institutional analysis and assessment to determine the capacity of government and the private sector to effectively implement proposed project activities. Specifically, the consultant will determine the:</p> <ul style="list-style-type: none"> - Capacity of regulatory authorities, law enforcement agencies and courts to identify and prosecute biodiversity crimes - Capacity across institutions to work collaboratively to identify crimes and apprehend and prosecute offenders - Effectiveness of existing cross institutional coordination mechanisms that enable the sharing of information between institutions to support tracking of crimes - Capacity of staff of regulatory authorities to apply the criteria to mitigate and offset biodiversity in land use permitting - Capacity of regulatory authorities to incorporate innovative financial mechanisms for supporting biodiversity management - Capacity of private and communal land owners to implement thresholds, self-monitor and enforce sanctions against defaulters - Extent to which biodiversity standards are or can be

Type of Consultant	Position / Titles	\$/ Person Week ¹	Estimated PWs ²	Tasks to be Performed
				incorporated into industry wide certification standards - Capacity of Agricultural boards and/ or industry associations to implement and monitor compliance with biodiversity friendly certification standards.
Local/ Regional	Biodiversity Programme Development Expert	1500	24	Specifically, the consultant will: - Develop a log frame for all project activities - based on inputs from PPG activity 1 and 2 - Assess technical feasibility, cost-effectiveness and incrementality of proposed project activities - Set milestones for project activities and establish the timeline for implementation - Assess project risk and the sustainability of proposed activities and recommend applicable measures to minimise and mitigate risk and to ensure sustainability - Assess shortcomings and gaps in the institutional, policy and legal framework and related risks that may affect the efficacy of project activities - Provide recommendations/proposals for addressing the gaps in line with the objectives of the project - Define recommended project monitoring and evaluation indicators - Prepare a budget for the project, and for all inputs (consultants, contractual services, travel, etc) and identify and secure co-financing commitments - Prepare ToRs for the key consultants/contracts to be employed by the project - Complete all relevant tracking tools, in particular the UNDP Capacity Scorecard This consultant will also function as team leader and will guide the other consultants (both local and international) in the production of their deliverables.

¹ Dollar amount per person week for the local and international consultants is consistent with the National UN Rates for consultants

Annex B

Key Implementers contributing co-financing towards Project Preparation

South African National Biodiversity Institute
Department of Environmental Affairs
Council for Scientific and Industrial Research
Mpumalanga Tourism and Parks Agency
CapeNature
Eastern Cape Parks and Tourism Agency
Western Cape Department of Environmental Affairs and Development Planning
Eastern Cape Department of Economic Development and Environment Affairs
Mpumalanga Department of Economic Development, Environment and Tourism
WWF South Africa
Conservation South Africa
Endangered Wildlife Trust