



PROJECT PREPARATION GRANT (PPG)
PROJECT TYPE: Full-Sized Project
TYPE OF TRUST FUND: GEF Trust Fund

Submission date: 21 September 2012

GEF PROJECT ID: 4848
GEF AGENCY PROJECT ID: 4943
COUNTRY: South Africa
PROJECT TITLE: Improving Management Effectiveness of the Protected Area Network
GEF AGENCY: UNDP
GEF FOCAL AREA(S): Biodiversity

A. PROJECT PREPARATION TIMEFRAME

Start date of PPG	OCTOBER-2012
Completion date of PPG	OCTOBER-2013

B. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)

Describe the PPG activities and justifications

PPG ACTIVITY 1. BASELINE DATA COLLECTION AND INFORMATION GAP ANALYSIS:

This activity is relevant for all PIF components. It will specifically finance the following:

a) For PIF Component 1: Baseline data for each proposed PA site

Richtersveld Coastal Community Park (50,000 ha)

- Collate available biodiversity, social and institutional information for the proposed community park.
- Collate and update various existing implementation proposals and plans, with an estimated costings.
- Undertake a rapid assessment of planning, information and implementation requirements with all partners in this project.

Mountain Zebra to Camdeboo Corridor (45,000 ha).

- Collate spatial data on landowner willingness in the Mountain Zebra to Camdeboo Corridor area collected by the CEPF project and conservation agencies.
- Collate available biodiversity, social, economic and institutional information for the proposed corridor, highlighting barriers to protected area expansion and means to address them.
- Undertake a rapid assessment of planning, information and implementation requirements with all partners in this project.

Kruger to Canyons (50,000 ha.)

- Collate baseline spatial data on conservation tenure of private conservation areas, communal conservation areas and unproclaimed state reserves in the buffer zone of Kruger National Park and the broader Kruger to Canyons area.
- Review existing initiatives in the area to allow the GEF project additionality and niche to be clearly defined.
- Undertake a rapid assessment of planning, information and implementation requirements with all partners in this project, with particular focus on factors which have hindered securing conservation tenure on these areas in the past.

Riverlands-Pella (12,000 ha)

- Collate available biodiversity, social and institutional information for the proposed expanded Riverlands-Pella Protected Area.
- Collate various existing implementation proposals and plans.
- Collate spatial data on landowner willingness, community willingness and available state land in the biodiversity priority areas proposed expanded Riverlands-Pella Protected Area.
- Undertake a rapid assessment of planning, information and implementation requirements with all partners in this project.

- Identify and map socio-economic opportunities within the corridor that support local economic development.
- Identify key governance structures to support implementation through effective coordination.

Various Marine Protected Areas (20, 000h).

- Rapid legal and institutional review of Marine Protected Area proclamation process. Recent possible institutional responsibility change for MPAs potentially means that the marine component of the project may not be feasible.
- Should the above review suggest that the project remains feasible, spatial biodiversity and socio-economic data should be collated on the MPA expansion areas to support initial zoning proposals for the potential reserves.
- Undertake a rapid assessment of planning, institutional arrangements, information and implementation requirements with all partners in this project.

For PIF Component 2: Baseline Data related to management effectiveness within PAs and Buffer Zones

- Evaluate current METT-SA project outputs, as well as other management effectiveness assessments undertaken across all the protected areas management agencies
- Revise METT-SA evaluation for all protected areas involved to provide current management effectiveness at the start of the project as project baseline (i.e. three years after the national evaluation).
- Undertake a needs analysis by institution with reference to gaps identified in the METT-SA process and identify most effective interventions required to improve management effectiveness and consequently METT-SA scores.
- Collate data on current broad range of management planning, monitoring and evaluation methods used by various agencies in contractual protected areas (as well as existing traditional protected areas), and rapidly assess most practical methods to be consolidated, piloted, and developed as national norms and standards, and then rolled out more broadly in contractual protected areas as part of the current project.
- Collate available spatial information on implementation priorities and thematic area priorities (required to plan project implementation focus areas), with estimated costs of implementation and sustainability of activity.
- Collate data on current levels of poaching
- Rapidly review available technology and specialized equipment with potential to improve information gathering, intelligence, anti-poaching and law enforcement, in order to identify most feasible technologies to pilot in the GEF programme.

Buffer zones

- Collate baseline data on biodiversity priorities, threats to this biodiversity, land use, ownership and land use controls in buffer zones around West Coast, Mountain Zebra and Camdeboo National Parks, including review of current and existing spatial planning instruments in these areas such as strategic environmental assessments .
- Develop baseline indicators of current land use controls (including appropriate zonation and land use planning) and baseline rate of loss of habitat around these reserves.
- Rapidly review available buffer zone interventions used around other reserves and by all agencies across South Africa, in order to define priority implementation activities for buffer interventions, both in this area as well as in the other low cost reserve expansion components.

For PIF Component 3: Baseline Data related to PA Economics and Finance

- Collate baseline data on economic and social benefits of current contractual and partnerships based protected areas in order to develop indicators for project and help define project scope.
- Rapidly review available models for these partnerships, and assess most practical methods to be consolidated and piloted as part of the current project.
- Review status quo and develop accurate baseline of current PA finance models, e.g. state subsidies, and revenue generated through tourism, generation of green jobs, game sales, conservation trust funds and other emerging potential income streams.
- Rapidly review available models and assess most practical methods to be the focus of the current project.
- Review the National Stewardship Business Case (currently near completion).

PPG ACTIVITY 2. ASSESSMENT OF THE CAPACITY OF DIFFERENT AGENCIES TO SUPPORT THE IMPLEMENTATION OF PROJECT ACTIVITIES

This PPG component is relevant for all PIF outcomes, and is designed to ensure that implementation arrangements, partnership strategies and capacities are in place and adequate for the successful project implementation and its sustainability. The PPG will finance:

- At Protected Area agency level: Analyses of all partner protected area management agencies, focusing particularly on existing mechanisms for planning, implementing, and monitoring protected area expansion and

management activities.

- At Protected Area level: analyses of the individual PAs targeted for intervention in the identified programmes, in order to ensure project design and implementation is effective, focussing on existing management structures and their effectiveness.
- At provincial and national government level: analyses of the legal and administrative capacity required to support project implementation e.g. for Marine Protected Area proclamation or proclamation of low cost expansion areas.
- At Municipal level: Analyses of local governance and organization at district and community level, focusing particularly on existing mechanisms for planning, monitoring and regulation of biodiversity in buffer regions around reserves.
- At law enforcement level: analyses of the law enforcement capacity focusing on surveillance, intelligence gathering, intelligence analysis, and information sharing among all relevant agencies; existing databases and mechanisms for updating them with current information, and a clear cost-benefit analysis for the proposed activities including rationale and cost-effectiveness.
- At civil society level: Analyses of civil society conservation modalities (NGOs, NPOs, support groups, associations etc), their governance and organization, particularly its effectiveness as it relates to biodiversity management.
- Identification of needs and opportunities for strengthening biodiversity management related to the protected area network at each of the above levels.
- Development of recommendations of how these can be improved, how to set up an effective district coordination mechanism can in order for the project to be implemented successfully.
- Evaluate capacity requirements of PA agencies and staff to ensure improved implementation of robust and low cost protected area expansion.
- Review of implementation effectiveness of low cost reserve expansion mechanisms across all partner agencies to identify key blockages in the contractual process including facilitating contract negotiation; declaration of PAs; the identification, transfer and declaration of state land (including state forest and/ exit lands); completion of Protected area register; management planning, monitoring and evaluation of newly expanded PAs.

PPG ACTIVITY 3. PROJECT STRATEGY DEVELOPMENT

The main output of this component will be a project strategy, a detailed budget and a detailed monitoring and evaluation plan. Activities to be financed include:

- Development of the project logical framework, - based on inputs from PPG activity;
- Detailed incremental-cost analysis as per GEF guidance;
- Assessment of the social, economic and financial sustainability of proposed project activities, including gender aspects;
- Assessment of the alternatives to the project strategy and detailed definition of the the cost effectiveness of the preferred strategy and suite of activities;
- Project implementation framework including identification of the most appropriate project management and financial administration approach given the complex range of implementation activities and the number of different types of implementing agencies;
- Development of the project monitoring and evaluation plan;
- Costing the expected project outcomes and outputs, identify co-financing sources and secure co-financing commitments (letters);
- Develop a detailed project implementation plan, budget and application document;
- ToRs for the key consultants/contracts to be employed by the project.

Co-financing for the PPG activities will be sourced from the operational funds of all the agencies concerned (i.e. South African National Parks, Mpumalanga Tourism and Parks Agency, CapeNature, East Cape Parks and Tourism Agency, Limpopo Department of Economic Development, Environment and Tourism), as well as via existing specific data collation and gap assessment activities which being initiated in specific areas within the project domain (e.g. in the Kruger to Canyons Lowveld Region). All other project partners will be involved in the project design phase through one-on-one consultations, working group meetings, and participating in project development workshops.

List of Proposed Project Preparation Activities	Output of the PPG Activities	Trust Fund	Grant Amount (a)	Co-financing (b)	Total c = a + b
1. Baseline Data Collection and Information Gap Analysis	Baseline information	GEF TF	40,000	125,000	165,000
2. Capacity Assessment	Capacity Assessment	GEF TF	20,000	30,000	50,000

3. Project strategy development	Project strategy	GEF TF	40,000	161,000	201,000
Total Project Preparation Financing			100,000	316,000	416,000

C. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)

	Project Preparation	Agency Fee
Grant Amount	100,000	10,000
Co-financing	316,000	
Total	416,000	10,000

D. PPG AMOUNT REQUESTED BY AGENCY, FOCAL AREA AND COUNTRY


Trust Fund	GEF Agency	Focal Area	Country	(in \$)		
				PPG (a)	Agency Fee (b)	Total c = a + b
GEF TF	UNDP	Biodiversity	South Africa	100,000	10,000	110,000
Total PPG Amount				100,000	10,000	110,000

E. PPG BUDGET

Cost Items	Total Estimated Person Weeks for Grant (PW)	Grant Amount (\$)	Co-financing (\$)	Total (\$)
Local consultants *	60	90,000	246,000	336,000
Travel		10,000	70,000	80,000
Total PPG Budget		100,000	316,000	416,000

F. GEF AGENCY (IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF Trust Fund criteria for project identification and preparation.

Agency Coordinator, Agency Name	Signature	Date	Project Contact Person	Telephone	Email Address
Yannick Glemarec UNDP-GEF Executive Coordinator		September 21, 2012	Alice Ruhweza, Regional Technical Advisor, EBD	+ 27 12 354 8120 +27 71 874 4992	alice.ruhweza@undp.org

Annex A

Consultants Financed by the Project Preparation Grant (PPG)

Type of Consultant	Position / Titles	\$/ Person Week ¹	Estimated PWs ²	Tasks to be Performed
Local	Protected Area Planning Expert	1500	20	<p>This consultant will function as team leader and will guide other consultants in the production of their deliverables. Specifically, this consultant will:</p> <ul style="list-style-type: none"> • Undertake rapid prioritization exercise to identify which PAs will be the focus of the project interventions. • Prioritise and cost the recommended interventions. • Review all existing initiatives in the area to allow the GEF project additionality and niche to be clearly defined. • Quantification and detailed presentation of the global environmental benefits of the project. • Develop a log frame for all the project activities- based on inputs from PPG activity 1 and 2. • Assess technical feasibility, cost-effectiveness and incrementality of proposed project activities. • Set milestones for project activities and establish the timeline for implementation. • Assess project risk and the sustainability of proposed activities and recommend applicable measures to minimise and mitigate risk and to ensure sustainability. • Assess shortcomings and gaps in the institutional, policy and legal framework and related risks that may affect the efficacy of project activities, • Provide recommendations/proposals for addressing the shortcomings, gaps and risks in line with the objectives of the project. • Propose project monitoring and evaluation indicators. • Prepare a budget for the project, and for all inputs (consultants, contractual services, travel, etc.). • Document secured co-financing commitments. • Preparation of ToRs for the key consultants/contracts to be employed by the project. • Complete project baseline assessments in all relevant tracking tools, in particular the METT, PA finance score cards; the UNDP Capacity Scorecard.
Local	PA Business Planning/ Finance Expert	1500	10	<p>The PA economics/financing expert will:</p> <ul style="list-style-type: none"> • Collate baseline data on economic and social benefits of current contractual and partnership based protected areas in order to develop indicators for the project and help define project scope. • Rapidly review available models for these partnerships, and rapidly assess most practical methods to be consolidated and piloted as part of the current project. • Review status quo and develop accurate baseline of current PA finance models. • Rapidly review available models, and assess most practical methods to be the focus of the current project. • Complete the PA Finance Sustainability Scorecard for each of the targetted PAs. <p>The PA economics expert shall work in close collaboration with the rest of the experts , and other stakeholders as needed.</p>
Local	Institutional Strengthening and Governance expert	1500	10	<p>The expert will lead the component 2 of the PPG on assessment of the capacity of different agencies to support the implementation of project activities to ensure that implementation arrangements, partnership strategies and capacities are in place and adequate for the successful project implementation and its sustainability. This will include:</p>

Type of Consultant	Position / Titles	\$/ Person Week ¹	Estimated PWs ²	Tasks to be Performed
				<ul style="list-style-type: none"> • At Protected Area Agency level: Analyses of all partner protected area management agencies, focusing particularly on existing mechanisms for planning, implementing, and monitoring protected area expansion and management activities. • At Protected Area level: analyses of the individual PAs targetted for intervention in the identified programmes, in order to ensure project design and implementation is effective, focussing on existing management structures and their effectiveness. • At provincial and national government level: analyses of the legal and administrative capacity required to support project implementation e.g. for Marine Protected Area proclamation or proclamation of low cost expansion areas. • At local Government level: Analyses of local governance and organization at district and community level, focusing particularly on existing mechanisms for planning, monitoring and regulation of biodiversity in buffer regions around reserves. • At law enforcement level: analyses of the law enforcement capacity focusing on surveillance, intelligence gathering, and information sharing among all relevant agencies; existing databases and mechanisms for updating them with current information, and a clear cost-benefit analysis for the proposed activities including rationale and cost-effectiveness. • At civil society level: Analyses of civil society conservation modalities (NGOs, NPOs, support groups, associations etc), their governance and organization, particularly its effectiveness as it relates to biodiversity management. • Identification of needs and opportunities for strengthening biodiversity management related to the protected area network at each of the above levels. • Development of recommendations of how these can be improved, how to set up an effective district coordination mechanism can in order for the project to be implemented successfully. • Prepare the Capacity Development Scorecard. <p>The Institutional Strengthening and Governance expert shall work in close collaboration with the rest of the experts, and other stakeholders as needed.</p>
Local	Spatial Planning Expert	1500	10	<p>The expert will provide GIS and spatial planning support to all the appropriate project components. In particular:</p> <ul style="list-style-type: none"> • Prepare baseline maps for targeted PAs. • Collate spatial data on landowner willingness collected by existing projects in the Mountain Zebra to Camdeboo corridor. • Collate spatial data on landowner willingness, community willingness and available state land in the biodiversity priority areas in the proposed expanded Riverlands-Pella Protected Area. • Collate spatial biodiversity and socio-economic data on the Benguela MPA expansion areas to support initial zoning proposals and guide project planning for the potential reserves. • Collate baseline spatial data on conservation tenure of private conservation areas, communal conservation areas and unproclaimed state reserves in the buffer zone of Kruger National Park and the broader Kruger to Canyons area.

Type of Consultant	Position / Titles	\$/ Person Week ¹	Estimated PWS ²	Tasks to be Performed
				<ul style="list-style-type: none"> Collate available spatial information on implementation priorities for low cost reserve expansion to serve as the basis for planning of project implementation focus areas. Collate baseline data on biodiversity priorities, threats to this biodiversity, land use and land use controls in buffer zones around West Coast, Mountain Zebra and Camdeboo National Parks. <p>The expert shall work in close collaboration with the Biodiversity and PA Planning Expert.</p>
Local	Biodiversity Monitoring and Evaluation Expert	1500	5	<p>The expert will collate required biodiversity and PA data for all required project components. Key additional tasks will include:</p> <ul style="list-style-type: none"> Evaluate and revise current METT-SA project outputs, as well as other management effectiveness assessments undertaken across all the protected areas management agencies, and identify most effective interventions required to improve management effectiveness and consequently METT-SA scores. Undertake rapid prioritization exercise to identify which PAs will be the focus of the project management effectiveness interventions. Undertake rapid prioritization exercise to identify which PAs will be the focus of the rhino poaching reduction interventions. Rapidly review of implementation effectiveness of low cost reserve expansion mechanisms across all partner agencies to identify key blockages in the contractual process including facilitating contract negotiation; declaration of PAs; the identification, transfer and declaration of state land (including state forest and/ exit lands); completion of Protected area register; management planning, monitoring and evaluation of newly expanded PAs. Identify key challenges and bottlenecks in the biodiversity stewardship process in partnership with biodiversity stewardship practitioners. Collate data on current broad range of management planning, monitoring and evaluation methods used by various agencies in contractual protected areas (as well as existing traditional protected areas), and rapidly assess methods to be focus for current project. <p>The expert shall work in close collaboration with the other experts and other stakeholders as needed.</p>
Local/ Regional	Socio-Economic Expert	1500	5	<p>The expert will collate required socio-economic data for all required project components. Key additional tasks will include:</p> <ul style="list-style-type: none"> Collate baseline data on economic and social benefits of current contractual and partnership based protected areas in order to develop indicators for project and help define project scope. Rapidly review available models for current contractual and partnership based protected areas, and rapidly assess most practical methods to be consolidated and piloted as part of the current project. <p>The expert shall work in close collaboration with the other experts and other stakeholders as needed.</p>

¹ Dollar amount per person week for the local and international consultants is consistent with the National UN Rates for consultants