

## ANNEX 9: UNEP Environmental, Social and Economic Review Note (ESERN)

### I. Project Overview

<b>Identification</b>	<i>Addis Project # 01578</i>
<b>Project Title</b>	Support to Eligible Parties to Produce the Sixth National Report to the CBD (Africa-I)
<b>Managing Division</b>	<i>Ecosystems Division</i>
<b>Type/Location</b>	<i>National</i>
<b>Region</b>	<i>Africa</i>
<b>List Countries</b>	Botswana, Burundi, Central African Republic, Chad, Comoros, Congo, Democratic Republic of Congo, Djibouti, Eritrea, Ethiopia, Gabon, Kenya, Rwanda, South Sudan, Sudan, Tanzania, Uganda
<b>Project Description</b>	To project will provide financial and technical support to GEF-eligible Parties to the Convention on Biological Diversity (CBD) in their work to develop high quality, data driven sixth national reports (6NR) that will improve national decision-making processes for the implementation of NBSAPs; that report on progress towards achieving the Aichi Biodiversity Targets (ABTs) and inform both the fifth Global Biodiversity Outlook (GBO5) and the Global Biodiversity Strategy of 2021 – 2030.
<b>Estimated duration of project:</b>	<i>2017-2019</i>
<b>Estimated cost of the project :</b>	<i>GEF Grant: 1,963,500 Co-finance: 1,116,060</i>

### II. Environmental Social and Economic Screening Determination

#### A. Summary of the Safeguard Risks Triggered

<b>Safeguard Standard Triggered by the Project</b>	<b>Impact of Risk<sup>1</sup> (1-5)</b>	<b>Probability of Risk (1-5)</b>	<b>Significance of Risk (L, M, H)</b>
SS 1: Biodiversity, natural habitat and Sustainable Management of Living Resources	1	1	L
SS 2: Resource Efficiency, Pollution Prevention and Management of Chemicals and Wastes	1	1	L
SS 3: Safety of Dams	1	1	L

<sup>1</sup> Refer to UNEP Environment, Social and Economic Sustainability (ESES): Implementation Guidance Note to assign values to the Impact of Risk and the Probability of Risk to determine the overall significance of Risk (Low, Moderate or High).

SS 4: Involuntary resettlement	2	2	L
SS 5: Indigenous peoples	1	1	L
SS 6: Labor and working conditions	1	1	L
SS 7: Cultural Heritage	2	1	L
SS 8: Gender equity	1	2	L
SS 9: Economic Sustainability	2	2	M
Additional Safeguard questions for projects seeking GCF-funding (Section IV)			

**B. ESE Screening Decision<sup>2</sup>** (Refer to the UNEP ESES Framework (Chapter 2) and the UNEP's ESES Guidelines.)

Low risk  Moderate risk  High risk  Additional information required

**C. Development of ESE Review Note and Screening Decision:**

Prepared by: \_\_\_\_\_ Name: Mohamed F. Sessay Date: 21 April 2017

Safeguard Advisor: Name: \_\_\_\_\_ Date: \_\_\_\_\_

Project Manager: Name: \_\_\_\_\_ Date: \_\_\_\_\_

**D. Recommended further action from the Safeguard Advisor:**

<sup>2</sup> **Low risk:** Negative impacts negligible: no further study or impact management required.

**Moderate risk:** Potential negative impacts, but less significant; few if any impacts irreversible; impact amenable to management using standard mitigation measures; limited environmental or social analysis may be required to develop a ESEMP. Straightforward application of good practice may be sufficient without additional study.

**High risk:** Potential for significant negative impacts, possibly irreversible, ESEA including a full impact assessment may be required, followed by an effective safeguard management plan.

### III. ESES Principle and Safeguard checklist

(Section III and IV should be retained in UNEP)

<b>Precautionary Approach</b>
The project will take precautionary measures even if some cause and effect relationships are not fully established scientifically and there is risk of causing harm to the people or to the environment.
<b>Human Rights Principle</b>
The project will make an effort to include any potentially affected stakeholders, in particular vulnerable and marginalized groups; from the decision making process that may affect them.
The project will respond to any significant concerns or disputes raised during the stakeholder engagement process.
The project will make an effort to avoid inequitable or discriminatory negative impacts on the quality of and access to resources or basic services, on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups. <sup>3</sup>

Screening checklist	Y/N/ Maybe	Comment
<b>Safeguard Standard 1: Biodiversity, natural habitat and Sustainable Management of Living Resources</b>		
Will the proposed project support directly or indirectly any activities that significantly convert or degrade biodiversity and habitat including modified habitat, natural habitat and critical natural habitat?	N	Not anticipated, on the contrary the project will improve all habitats and ecosystems.
Will the proposed project likely convert or degrade habitats that are legally protected?	N	No negative impacts are expected to existing Pas. On the contrary the project seeks to improve decision-making on implementation of National Biodiversity Strategic Action Plans (NBSAPs) and the Aichi Biodiversity Targets in countries.
Will the proposed project likely convert or degrade habitats that are officially proposed for protection? (e.g.; National Park, Nature Conservancy,	N	No negative impacts are anticipated.

<sup>3</sup> Prohibited grounds of discrimination include race, ethnicity, gender, age, language, disability, sexual orientation, religion, political or other opinion, national or social or geographical origin, property, birth or other status including as an indigenous person or as a member of a minority. References to “women and men” or similar is understood to include women and men, boys and girls, and other groups discriminated against based on their gender identities, such as transgender people and transsexuals.

Screening checklist	Y/N/ Maybe	Comment
Indigenous Community Conserved Area, (ICCA); etc.)		
Will the proposed project likely convert or degrade habitats that are identified by authoritative sources for their high conservation and biodiversity value?	N	No negative impacts are anticipated. On the contrary, the project activities are designed to enhance national decision-making processes for the implementation of National Biodiversity Strategic Action Plans (NBSAPs) and the Aichi Biodiversity Targets.
Will the proposed project likely convert or degrade habitats that are recognized- including by authoritative sources and /or the national and local government entity, as protected and conserved by traditional local communities?	N	Not anticipated.
Will the proposed project approach possibly not be legally permitted or inconsistent with any officially recognized management plans for the area?	N	Not anticipated.
Will the proposed project activities result in soils deterioration and land degradation?	N	On the contrary, the project activities are designed to improve biodiversity and ecosystems in countries
Will the proposed project interventions cause any changes to the quality or quantity of water in rivers, ponds, lakes or other wetlands?	Y	It will contribute to conservation of ecosystems through improved decision-making and funding
Will the proposed project possibly introduce or utilize any invasive alien species of flora and fauna, whether accidental or intentional?	N	Not anticipated.
<b>Safeguard Standard 2: Resource Efficiency, Pollution Prevention and Management of Chemicals and Wastes</b>		
Will the proposed project likely result in the significant release of pollutants to air, water or soil?	N	Not anticipated.
Will the proposed project likely consume or cause significant consumption of water, energy or other resources through its own footprint or through the boundary of influence of the activity?	N	Not anticipated.
Will the proposed project likely cause significant generation of Green House Gas (GHG) emissions during and/or after the project?	N	Not anticipated.
Will the proposed project likely generate wastes, including hazardous waste that cannot be reused, recycled or disposed in an environmentally sound and safe manner?	N	Not anticipated.
Will the proposed project use, cause the use of, or manage the use of, storage and disposal of hazardous chemicals, including pesticides?	N	Not anticipated.
Will the proposed project involve the manufacturing, trade, release and/or use of hazardous materials subject to international action bans or phase-outs,	N	Not anticipated.

Screening checklist	Y/N/ Maybe	Comment
such as DDT, PCBs and other chemicals listed in international conventions such as the Stockholm Convention on Persistent Organic Pollutants or the Montreal Protocol?		
Will the proposed project require the procurement of chemical pesticides that is not a component of integrated pest management (IPM) <sup>4</sup> or integrated vector management (IVM) <sup>5</sup> approaches?	N	Not anticipated.
Will the proposed project require inclusion of chemical pesticides that are included in IPM or IVM but high in human toxicity?	N	Not anticipated.
Will the proposed project have difficulty in abiding to FAO's International Code of Conduct <sup>6</sup> in terms of handling, storage, application and disposal of pesticides?	N	Not anticipated.
Will the proposed project potentially expose the public to hazardous materials and substances and pose potentially serious risk to human health and the environment?	N	Not anticipated.
<b>Safeguard Standard 3: Safety of Dams</b>		
Will the proposed project involve constructing a new dam(s)?	N	Not anticipated.
Will the proposed project involve rehabilitating an existing dam(s)?	N	Not anticipated.
Will the proposed project activities involve dam safety operations?	N	Not anticipated.
<b>Safeguard Standard 4: Involuntary resettlement</b>		
Will the proposed project likely involve full or partial physical displacement or relocation of people?	N	Not anticipated.
Will the proposed project involve involuntary restrictions on land use that deny a community the use of resources to which they have traditional or recognizable use rights?	Maybe	As a consequence of improved decision-making on implementation of NBSAPs to improve biodiversity and ecosystems.

<sup>4</sup> "Integrated Pest Management (IPM) means the careful consideration of all available pest control techniques and subsequent integration of appropriate measures that discourage the development of pest populations and keep pesticides and other interventions to levels that are economically justified and reduce or minimize risks to human health and the environment. IPM emphasizes the growth of a healthy crop with the least possible disruption to agro-ecosystems and encourages natural pest control mechanisms <http://www.fao.org/agriculture/crops/thematic-sitemap/theme/pests/ipm/en/>

<sup>5</sup> "IVM is a rational decision-making process for the optimal use of resources for vector control. The approach seeks to improve the efficacy, cost-effectiveness, ecological soundness and sustainability of disease-vector control. The ultimate goal is to prevent the transmission of vector-borne diseases such as malaria, dengue, Japanese encephalitis, leishmaniasis, schistosomiasis and Chagas disease." ([http://www.who.int/neglected\\_diseases/vector\\_ecology/ivm\\_concept/en/](http://www.who.int/neglected_diseases/vector_ecology/ivm_concept/en/))

<sup>6</sup> Find more information from [http://www.fao.org/fileadmin/templates/agphome/documents/Pests\\_Pesticides/Code/CODE\\_2014Sep\\_ENG.pdf](http://www.fao.org/fileadmin/templates/agphome/documents/Pests_Pesticides/Code/CODE_2014Sep_ENG.pdf)

Screening checklist	Y/N/ Maybe	Comment
Will the proposed project likely cause restrictions on access to land or use of resources that are sources of livelihood?	Maybe	As a consequence of improved decision-making on implementation of NBSAPs to improve biodiversity and ecosystems.
Will the proposed project likely cause or involve temporary/permanent loss of land?	N	Not anticipated.
Will the proposed project likely cause or involve economic displacements affecting their crops, businesses, income generation sources and assets?	N	Not anticipated.
Will the proposed project likely cause or involve forced eviction?	N	Not anticipated.
Will the proposed project likely affect land tenure arrangements, including communal and/or customary/traditional land tenure patterns negatively?	Maybe	As a consequence of improved decision-making on implementation of NBSAPs to improve biodiversity and ecosystem management.
<b>Safeguard Standard 5: Indigenous peoples<sup>7</sup></b>		
Will indigenous peoples be present in the proposed project area or area of influence?	N	Not anticipated.
Will the proposed project be located on lands and territories claimed by indigenous peoples?	N	Not anticipated.
Will the proposed project likely affect livelihoods of indigenous peoples negatively through affecting the rights, lands and territories claimed by them?	N	Not anticipated.
Will the proposed project involve the utilization and/or commercial development of natural resources on lands and territories claimed by indigenous peoples?	N	Not anticipated.
Will the project negatively affect the development priorities of indigenous peoples defined by them?	N	Not anticipated.
Will the project potentially affect the traditional livelihoods, physical and cultural survival of indigenous peoples?	N	Not anticipated.
Will the project potentially affect the Cultural Heritage of indigenous peoples, including through the commercialization or use of their traditional knowledge and practices?	N	Not anticipated.
<b>Safeguard Standard 6: Labor and working conditions</b>		
Will the proposed project involve the use of forced labor and child labor?	N	Not anticipated.
Will the proposed project cause the increase of local or regional unemployment?	N	Not anticipated.

<sup>7</sup> Refer to the Toolkit for the application of the UNEP Indigenous Peoples Policy Guidance for further information.

Screening checklist	Y/N/ Maybe	Comment
<b>Safeguard Standard 7: Cultural Heritage</b>		
Will the proposed project potentially have negative impact on objects with historical, cultural, artistic, traditional or religious values and archeological sites that are internationally recognized or legally protected?	N	Not anticipated.
Will the proposed project rely on or profit from tangible cultural heritage (e.g., tourism)?	N	Not anticipated.
Will the proposed project involve land clearing or excavation with the possibility of encountering previously undetected tangible cultural heritage?	N	Not anticipated.
Will the proposed project involve in land clearing or excavation?	N	Not anticipated.
<b>Safeguard Standard 8: Gender equity</b>		
Will the proposed project likely have inequitable negative impacts on gender equality and/or the situation of women and girls?	N	Not anticipated
Will the proposed project potentially discriminate against women or other groups based on gender, especially regarding participation in the design and implementation or access to opportunities and benefits?	N	No, on the contrary it will enhance role of women in natural resources management and the sharing of benefits from conservation
Will the proposed project have impacts that could negatively affect women's and men's ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services?	N	The project will identify the policy actions needed to boost women's empowerment so that they can play a more effective role in conservation and creating wealth for their families.
<b>Safeguard Standard 9: Economic Sustainability</b>		
Will the proposed project likely bring immediate or short-term net gain to the local communities or countries at the risk of generating long-term economic burden (e.g., agriculture for food vs. biofuel; mangrove vs. commercial shrimp farm in terms of fishing, forest products and protection, etc.)?	Y	The project will generate policies that encourage employment of local population in conservation.
Will the proposed project likely bring unequal economic benefits to a limited subset of the target group?	N	Not anticipated

#### IV. Additional Safeguard Questions for Projects seeking GCF-funding

<b>Community Health, Safety, and Security</b>			
Will there be potential risks and negative impacts to the health and safety of the Affected Communities during the project life-cycle?			
Will the proposed project involve design, construction, operation and decommissioning of the structural elements such as new buildings or structures?			
Will the proposed project involve constructing new buildings or structures that will be accessed by public?			
Will the proposed project possibly cause direct or indirect health-related risks and impacts to the Affected Communities due to the diminution or degradation of natural resources, and ecosystem services?			
Will the proposed project activities potentially cause community exposure to health issues such as water-borne, water-based, water-related, vector-borne diseases, and communicable diseases?			
In case of an emergency event, will the project team, including partners, have the capacity to respond together with relevant local and national authorities?			
Will the proposed project need to retain workers to provide security to safeguard its personnel and property?			
<b>Labor and Supply Chain</b>			
Will UNEP or the implementing/executing partner(s) involve suppliers of goods and services who may have high risk of significant safety issues related to their own workers?			