



REQUEST FOR PROJECT PREPARATION GRANT (PPG)
PROJECT TYPE: Medium-sized Project
THE GEF TRUST FUND

Submission date: February 16, 2010

GEF PROJECT ID¹:

GEF AGENCY PROJECT ID: 609942

COUNTRY(IES): Ghana and Côte d'Ivoire

PROJECT TITLE: SPWA - Development of a trans-frontier conservation area linking forest reserves and protected areas in Ghana and Côte d'Ivoire

GEF AGENCY(IES): FAO

OTHER EXECUTING PARTNER(S): Forestry Commission (Ghana); SODEFOR (Cote d'Ivoire); and Conservation Alliance (Ghana)

GEF FOCAL AREA(s): Biodiversity

GEF-4 STRATEGIC PROGRAM(s): BD-SP 3

NAME OF PARENT/PROGRAM/UMBRELLA PROJECT: SPWA: Sub-component on Biodiversity

A. PROJECT PREPARATION TIMEFRAME

Start date of PPG	March 2010
Completion date of PPG	September 2010

B. PAST PROJECT PREPARATION ACTIVITIES (\$)

List of Past Project Preparation Activities	Output of the Activities	Project Preparation Amount (a)	Co-financing (b)	Total c = a + b
Not applicable	Not applicable	0	0	0
Total Project Preparation Financing		0	0	0

C. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)

<p>Describe the PPG activities and justifications: The PPG will generate the specific outputs needed to complete the full project design to be submitted to the GEF. Project preparation will comprise four main components. The first three broadly match the three components of the proposed project and the fourth will cover the GEF-specific, non-technical aspects of project preparation (synthesis of results, development of Results Framework, incremental reasoning and cost-effectiveness analysis, consultation with potential partners and raising cofinancing, etc.).</p> <p>Project preparation for each of the first three components is likely to be similar, comprising the following activities: collection of baseline data; review and assessment of the current situation (including lessons learned from past and existing activities); technical analysis of options for future activities; consultation on options with stakeholders (in particular, local communities), technical experts and potential partners; and production of recommendations for cost-effective activities to be implemented during the full project (including any necessary capacity building activities).</p> <p>In addition to this, the output from most of these activities will also contribute to strengthening the incremental reasoning, cost-effectiveness and risks and mitigation sections of the project proposal. Consultation with stakeholders and project partners will account for a major share of PPG activities to ensure that activities proposed for the full project are feasible, will be effective and are agreed by all concerned.</p> <p>The final step will be to consolidate these outputs in the full proposal and add the institutional framework, financing plan, detailed formulation of outputs and indicators and other technical details required for GEF funding and co-funding of project partners. These PPG activities will be integrated with the preparation of the associated FAO project document. Further elaboration of the PPG activities is given in Annex B.</p>				
List of Proposed Activities	Output of the PPG Activities	PPG	Cofin.	Total
1.1 Analysis of the policy, institutional and legal framework for the proposed Trans-frontier Conservation Area (including stakeholder consultation).	1.1 Recommendations for improvement of the policy, legal and institutional framework for the management of the proposed Bia-Goaso-Djambarakoru Trans-frontier Conservation Area.	6,000	6,000	12,000

¹ Project ID number will be assigned by GEFSEC. If PIF has already been submitted, please use the same ID number as PIF.

2.1 Participatory assessment of the current forest and land management practices used in the target area (see below).	2.1 Baseline data produced, describing current forest and land management practices and socio-economic conditions in the target area.	17,000	16,000	33,000
2.2 Development of options for community-based ecosystem restoration.	2.2 Detailed options for ecosystem restoration described and formulated (e.g. locations of proposed project activities, quantification and costing of proposed activities, expected benefits to local livelihoods and global environment).			
2.3 Assessment of local capacity development needs and development of options to meet these needs.	2.3 Capacity development programme for sustainable land management in forest margins (including proposals for development of best practices).			
	2.4 Local community members consulted and agree to proposed activities and are prepared to commence with project activities.			
3.1 Review conservation status of forest areas in the locality, prioritise and select locations for more detailed interventions (i.e. more clearly specify and define the "target area" for project activities).	3.1 Report on current status of conservation in the proposed conservation area and selection of the target area for project activities.	20,000	23,000	43,000
3.2 Assess management effectiveness of PAs and HCVF identified above.	3.2 Assessment of status of management effectiveness in the target area (GEF Biodiversity Tracking Tool).			
3.3 Develop proposals for activities to strengthen management effectiveness and support biodiversity conservation through mainstreaming in surrounding areas.	3.3 Assessment of the main threats to biodiversity conservation in the target area and proposals for options to increase management effectiveness and support biodiversity conservation in forest margins (e.g. activities to mitigate human-animal conflict, control hunting and other unregulated extractive activities, strengthen capacity of PA managers, etc.).			
3.4 Formulation of awareness raising programme for wider adoption across the area.	3.4 Proposals for awareness raising (target groups, locations, effective means to raise awareness etc.).			
4.1 Synthesise and analyse the results of the surveys and assessments, to prepare the cost-effectiveness analysis, project Results Framework, incremental reasoning and risk analysis and develop the activities timeline, unit costs and budget.	4.1 Project proposal includes a comprehensive cost-effectiveness analysis, Results Framework, incremental reasoning and risk analysis (as noted in GEFSEC review of the PIF).	7,000	5,000	12,000
	4.2 Also noted here, for information, is that FAO (as part of the Agency Fee) will contact other potential partners during preparation (e.g. EU, GTZ, USAID, IUCN, etc.) to strengthen collaboration and cofinancing.			
Total Project Preparation Financing		50,000	50,000	100,000

D. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)

	Project Preparation	Agency Fee
GEF financing	50,000	5,000
Co-financing	50,000	
Total	100,000	5,000

E. PPG REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)

GEF Agency	Focal Area	Country Name	(in \$)		
			PPG	Agency Fee	Total
FAO	Biodiversity	Ghana	31,818	3,182	35,000
FAO	Biodiversity	Côte d'Ivoire	18,182	1,818	20,000
Total PPG Requested			50,000	5,000	55,000

F. PPG BUDGET REQUEST

Cost Items	Total Estimated Person Weeks for GEF Grant (PW)	GEF (\$)	Co-financing (\$)	Total (\$)
Local consultants	24	12,000	16,000	28,000
International consultants	8	13,630	21,000	34,630
Travel		13,370	8,000	21,370
Workshops		11,000	5,000	16,000
Total PPG Budget		50,000	50,000	100,000

G. GEF AGENCY(IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF criteria for project identification and preparation.

Agency Coordinator, Agency name	Signature	Date (Month, day, year)
Charles Riemenschneider Director Investment Centre Division Technical Cooperation Dep. FAO 00100 Rome, Italy Barbara Cooney GEF Coordinator Tel: +3906 5705 5478 Email: Barbara.Cooney@fao.org		February 16, 2010

Project Contact Person	Telephone	Email Address
Fernando Salinas Forestry Officer Sub-regional Office for West Africa FAO	+233 21 675 000	Fernando.Salinas@fao.org

Consultants Financed by the Project Preparation Grant (PPG)

Position / Titles	\$/PW	Est. PWs	Tasks to be performed
Local			
<p>A regional NGO specialized in conservation and natural resource management will be contracted through a letter of agreement to provide the following local consultant services:</p> <ul style="list-style-type: none"> - Forestry, wildlife mgt. and biodiversity - Community-based protected area mgt. - Land mgt. practices - Capacity building and awareness raising <p>The first two consultants will focus on tasks i-v, the third will cover tasks vi-vii and the fourth consultant will focus on the last task.</p>	<p>500</p> <p>500</p> <p>500</p> <p>500</p>	<p>8</p> <p>8</p> <p>4</p> <p>4</p>	<p>Contribution to Outputs 1, 2 and 3, Table C:</p> <ul style="list-style-type: none"> (i) Assessment and review of the policy, legal and institutional frameworks for the proposed trans-frontier conservation area; (ii) Identification and definition of the intervention area and of the key stakeholders; (iii) Accurate baseline data on forests, agro-forestry and wildlife resources and management practices (including biodiversity situation), land use, and socio-economic situation through appropriate desk work, field surveys and consultative processes; (iv) Assessment of the current status of management effectiveness of the protected areas (including completion of the GEF Tracking Tools); (v) Identification of main threats to sustainable forest, wildlife and land management; (vi) First proposal on possible best practices sustainable land management; (vii) Proposition of possible solutions to optimize production-conservation balance and mainstream biodiversity into productive activities; (viii) Programme for capacity development and awareness raising to ensure sustainability of the proposed conservation area;
Subtotal	12,000	24	
International			
Team leader	1,800	6	<p>Final reports covering Outputs 1, 2, and 3, Table C:</p> <ul style="list-style-type: none"> (i) Recommendations for improvement of current policy, legal and institutional frameworks for the management of the trans-frontier conservation areas; (ii) Intervention area, key stakeholders and current cocoa agro-forestry and land management practices well identified; (iii) Information (including previous assessments) on the state of forests and wildlife in the reserves and surrounding areas and socio-economic conditions collected and analyzed, and management recommendations formulated
Financial/budget specialist	1,415	2	<ul style="list-style-type: none"> (i) Analyze options for project implementation (cost-effectiveness) (ii) Prepare incremental cost reasoning, activity scheduling and budgeting
Subtotal	13,630	8	

Project preparation activities and draft work plan

Project preparation activities

More details about project preparation activities are given below. Whereas in Table C the proposed activities are arranged by project component, the activities described below are more in the order in which they will occur.

1. Review of policy, legal and institutional arrangements (Table C, Act 1.1)

Project preparation will examine how to develop and implement a bilateral cooperative framework for management of the proposed Bia-Goaso-Djambarakoru Trans-frontier Conservation Area. Through analysis and consultations, it will review the legal status of the area in national legislation, policies and institutional frameworks in both countries and recommend how to establish a more effective framework (including co-operation between national working groups for the development of local management strategies, regulations and procedures). Finally, it will examine if and how system-based approaches to conservation can be used to integrate national level conservation and development strategies into this broader regional framework.

2. Collection of baseline data (Table C, Act 3.1, 3.2)

The current status of forest conservation in the area will be reviewed to prioritise areas for intervention on the project (i.e. to more clearly focus and define the project target area). Baseline information will then be collected on biodiversity, land use and on local socio-economic circumstances to identify options to strengthen management effectiveness and direct other supporting conservation and SLM activities. This will include completion of the appropriate GEF Biodiversity Tracking Tools.

3. Development of conservation measures in forests and forest margins (Table C, Act 3.3)

Opportunities for mainstreaming biodiversity into productive activities will be assessed through the socio-economic surveys. This activity will comprise the assessment of existing productive activities at target sites (linked to following activity) and the opportunities to mainstream biodiversity into these activities through improved practices and market development. The scope for introduction of new activities and development of existing activities will be discussed with local communities in target areas and critical problems related to conservation in these areas (e.g. Human-Wildlife conflict situations and their mitigation) will be addressed.

4. Development and implementation of SLM practices in forest margins (Table C, Act 2.1)

This activity will start by assessing current forest and wildlife management practices in the target area with, in particular, a focus on how well biodiversity issues are integrated into these practices. Following this, it will examine and assess options for sustainable land management, including practices that conserve soil and water resources as well as practices that may have a positive impact on biodiversity. In addition to examining current practices, it will also examine how the project can achieve a suitable balance between wildlife conservation and agricultural production in forest margins through, for example, improved land use planning at the local level. Potential SLM activities (for development in the full project) will be identified that both enhance people's livelihoods and reduce poverty as well as reduce land degradation in the long-term. Due to the very strong linkages, this activity will be strongly co-ordinated with the previous activity.

5. Ecosystem restoration (Table C, Act 2.2)

Project preparation will also identify degraded forest landscapes that could be restored to provide connectivity between the fragmented forest blocks (as wildlife corridors) and potential sites for demonstration activities. Priority will be given to ecosystem restoration with appropriate indigenous species in riverine areas and forest margins as a contribution to reversing forest fragmentation and to support sustainable harvesting of non-timber forest products.

6. Capacity development (Table C, Act 2.3)

Project preparation will also elaborate the requirements for capacity development in sustainable land management. This will start by assessing the skills required in these areas and the current levels of capacity in both countries. It will then identify appropriate, cost-effective actions to increase capacity to the required levels including, for example, number of people to be trained, skills required and cost-effective modalities for providing the required training.

7. Awareness raising (Table C, Act 3.4)

To encourage replication, the project will partner with local civil society organizations in Ghana and Côte d'Ivoire to raise awareness and educate other communities in the project area about the feasibility of adopting more sustainable forest and land management practices. It will identify the most important groups for awareness raising activities and determine the most cost-effective means to communicate with these groups. It will result in elaboration of a programme of awareness raising activities to be implemented in both countries during the project.

Methodology to be followed

Local consultation meetings. Meetings will be held during project preparation activities. The inception meeting will be used to brief consultants and all stakeholders about the aims and objectives of the project preparation phase and familiarize everyone involved with the GEF project preparation process and procedures. This opportunity will also be used to get feedback and suggestions on the proposed approach and workplan. Subsequent consultations will be used to fine tune the project objectives, outcomes, outputs and activities, selection of project sites, and financial and institutional arrangements for project implementation (including commitments for cofinancing).

Extensive consultation with local communities in the trans-frontier conservation area will take place. The purpose of this will be inform local people about the project and seek their views about important issues such as the potential for income generation and sustainable land management activities, risks and mitigation measures. It will also be used to assess local capacities to implement proposed project activities and formulate the capacity development programmes in different parts of the project.

Data collection. Data collection will include small-scale surveys in the area (using participatory rural appraisal techniques) to collect basic information about forest management practices, current land-uses, land management practices and socio-economic circumstances. Small-scale biological surveys may also be used to fill important existing gaps in knowledge. The results of these surveys will assist with the statistical design of larger baseline surveys that will occur under the full project.

Draft work plan

The draft work plan for the project preparation phase is outlined below:

Activities	Mar	Apr	May	Jun	Jul	Aug	Sept
<u>Preparatory activities</u> - Documentation review (desk work) - Selection and recruitment of consultants/partner organizations - Agree project preparation implementation arrangements							
<u>Field work including inception meetings</u> - Meetings with relevant stakeholders - Briefing consultants							
<u>Technical studies and analysis</u> - Review of policy, legislation and institutional arrangements - Description and definition of the conservation area and key stakeholders - Participatory assessment on current forest and land management practices and level of community involvement - Surveys on protected area management effectiveness including state of forests and wildlife, biodiversity, and local socio-economic conditions - Assess activities to increase local income from sustainable use - Assess capacity building needs in protected area assessment and management and prepare capacity development and awareness raising programmes							
<u>Baseline, monitoring and evaluation</u> - Synthesis of baseline data collected in the technical studies							
<u>Financial analysis and resource mobilization</u> - Assess alternative options and prepare cost-effectiveness analysis - Preparation of incremental cost/reasoning analysis - Preparation of institutional arrangements for project management, project financial plan and resource mobilization (commitments for co-financing)							
<u>Finalization of project formulation</u> - Development of final project document - Final editing of the project document							
<u>Submission of project document to GEF Secretariat</u> - Internal (FAO) review and submission to GEF							