



GEF SECRETARIAT REVIEW FOR FULL/MEDIUM-SIZED PROJECTS* THE GEF/LDCF/SCCF TRUST FUNDS

GEF ID:	4729		
Country/Region:	Namibia		
Project Title:	Strengthening the Capacity of the Protected Area System to Address New Management Challenges		
GEF Agency:	UNDP	GEF Agency Project ID:	4623 (UNDP)
Type of Trust Fund:	GEF Trust Fund	GEF Focal Area (s):	Biodiversity
GEF-5 Focal Area/ LDCF/SCCF Objective (s):	BD-1; BD-1; Project Mana;		
Anticipated Financing PPG:	\$100,000	Project Grant:	\$4,000,000
Co-financing:	\$14,500,000	Total Project Cost:	\$18,600,000
PIF Approval:	December 15, 2011	Council Approval/Expected:	February 29, 2012
CEO Endorsement/Approval		Expected Project Start Date:	
Program Manager:	Jaime Cavalier	Agency Contact Person:	Alice Ruhweza

Review Criteria	Questions	Secretariat Comment at PIF (PFD)/Work Program Inclusion	Secretariat Comment At CEO Endorsement(FSP)/Approval (MSP)
Eligibility	1. Is the participating country eligible?	12-12-11 Yes. Namibia is eligible for GEF funding. Cleared	10-16-13 Cleared
	2. Has the operational focal point endorsed the project?	12-12-11 Yes. There is LoE from the OFP for \$4,510,000 dated 9-07-11. The project is for \$4,400,000. Cleared	
Agency's Comparative Advantage	3. Is the Agency's comparative advantage for this project clearly described and supported?	12-12-11 Yes. Information provided on p.10 of PIF. Cleared	10-16-13 Cleared
	4. If there is a non-grant instrument in the project, is the GEF Agency capable of managing it?	NA	NA
	5. Does the project fit into the Agency's program and staff capacity in the country?	12-12-11 Yes. Information provided on p.10 of PIF.	NA

Resource Availability	6. Is the proposed Grant (including the Agency fee) within the resources available from (mark all that apply):		
	• the STAR allocation?	12-12-11 Yes. Namibia has a BD allocation of \$6.8 million. The project is for \$4.4 million. Cleared	10-16-13 Cleared
	• the focal area allocation?	12-12-11 Yes. Namibia has a BD allocation of \$6.8 million. The project is for \$4.4 million. Cleared	10-16-13 Cleared
	• the LDCF under the principle of equitable access	NA	NA
	• the SCCF (Adaptation or Technology Transfer)?	NA	NA
	• Nagoya Protocol Investment Fund	NA	NA
	• focal area set-aside?	NA	NA
Project Consistency	7. Is the project aligned with the focal /multifocal areas/ LDCF/SCCF/NPIF results framework?	12-12-11 Yes. BD-1 Cleared	10-16-13 Cleared
	8. Are the relevant GEF 5 focal/ multifocal areas/LDCF/SCCF/NPIF objectives identified?	12-12-11 Yes.	10-16-13 Cleared
	9. Is the project consistent with the recipient country's national strategies and plans or reports and assessments under relevant conventions, including NPFE, NAPA, NCSA, or NAP?	12-13-11 Yes. The project was selected after comprehensive GEF 5 Prioritization consultations. The project is in line with The Strategic Plan for 2007â€“2008 and 2011â€“2012 of the Ministry of Environment and Tourism (MET), and Vision 2030. Cleared	10-16-13 Cleared

	10. Does the proposal clearly articulate how the capacities developed, if any, will contribute to the sustainability of project outcomes?	12-13-11 Yes. Addressed on page 8 of PIF. Cleared	10-16-13 Cleared
	11. Is (are) the baseline project(s), including problem (s) that the baseline project(s) seek/s to address, sufficiently described and based on sound data and assumptions?	12-13-11 Yes. The baseline project is properly described on pages 3-6 of PIF. Cleared	10-16-13 Cleared
Project Design	12. Has the cost-effectiveness been sufficiently demonstrated, including the cost-effectiveness of the project design approach as compared to alternative approaches to achieve similar benefits?		10-16-13 Cleared
	13. Are the activities that will be financed using GEF/LDCF/SCCF funding based on incremental/ additional reasoning?	12-13-11 Yes. As stated in the PIF, "The GEF funding will provide greater conservation security by addressing new management challenges such as fire and poaching that, if left unchecked, will erase recent conservation gains and result into loss of the aforementioned global environmental benefits. This will be achieved through three complementary components: a) Improving Current Systems for Revenue Generation and Developing New mechanisms for revenue generation, b) Cost-effective law enforcement through applying sound principles of the Enforcement Economic Model c)	10-16-13 Cleared

		Cleared	
	14. Is the project framework sound and sufficiently clear?	<p>12-13-11</p> <p>Yes. The project is structured around the following components and related outcomes:</p> <p>1. 1. Improving Current Systems for Revenue Generation and Developing New and Innovative Mechanisms: Increased PA financing opportunities for new PAs covering 33,530 sq km and new Communal conservancies covering an area of 30,837 km2, by developing and implementing new and innovative revenue generation mechanisms.</p> <p>2. Cost Effective enforcement through application of sound Enforcement Economics principles: Effective enforcement in PAs and deterrence of biodiversity -related crimes over a PA estate area of 136,796 km2 and an area of 123,347 km2 comprising Communal Conservancies.</p> <p>3. Integrated Fire Management: Effective Fire Management leading to reduced degradation of wildlife habitats</p> <p>Cleared</p>	<p>10-16-13</p> <p>Yes. The project is composed of the following components and outcomes.</p> <p>1. 1. Improving Current Systems for Revenue Generation and Developing New and Innovative Mechanisms: Increased PA financing opportunities for new PAs covering 33,530 sq km and new Communal conservancies covering an area of 30,837 km2, by developing and implementing new and innovative revenue generation mechanisms. Protected Area funding gap (currently at US\$ 14 million) reduced by 50 percent due to system wide automation and reconciliation of revenue collection, implementation of revised fee and licensing structure and exploring unexploited revenue opportunities (increase in revenue measured by the Protected Area financing score card).</p> <p>2. Cost Effective enforcement through application of sound Enforcement Economics principles: Effective enforcement in PAs and deterrence of biodiversity -related crimes over a PA estate area of 136,796 km2 and an area of 123,347 km2 comprising Communal Conservancies. A state of the art detection and enforcement system is in place, with a harmonized enforcement chain and a</p>

			<p>intelligence gathering among customs, police, army, parks, communities and wildlife authorities. Overall wildlife crime related monitoring systems are improved.</p> <p>3. Integrated Fire Management: Effective Fire Management leading to reduced degradation of wildlife habitats. Standard Operating Procedures for all the National Parks and Game Reserves based on the Fire Management Strategy developed and implemented.</p> <p>Cleared</p>
	15. Are the applied methodology and assumptions for the description of the incremental/additional benefits sound and appropriate?	12-13-11 Yes. See pages 6-7 of PIF. Cleared	10-16-13 Cleared
	16. Is there a clear description of: a) the socio-economic benefits, including gender dimensions, to be delivered by the project, and b) how will the delivery of such benefits support the achievement of incremental/additional benefits?	12-13-11 Yes Cleared	10-16-13 Cleared
	17. Is public participation, including CSOs and indigenous people, taken into consideration, their role identified and addressed properly?	12-13-11 Listed in Table under B.5 (p.8 of PIF). Cleared	10-16-13 Cleared
	18. Does the project take into account potential major risks, including the consequences of climate change and provides sufficient risk mitigation measures? (i.e., climate resilience)	12-13-11 Yes. Cleared	10-16-13 Cleared
	19. Is the project consistent and properly coordinated with other related initiatives in the country or in the region?	12-13-11 Yes. There is detailed information on the elements of the Action Plan for Financing PAs to be supported by this	10-16-13 Cleared

		reference to other GEF supported projects in Namibia. Cleared.	
	20. Is the project implementation/ execution arrangement adequate?	12-13-11 Yes. The Ministry of Environment and Tourism will execute the project. Cleared	10-16-13 Cleared
	21. Is the project structure sufficiently close to what was presented at PIF, with clear justifications for changes?		10-16-13 Yes. Cleared
	22. If there is a non-grant instrument in the project, is there a reasonable calendar of reflows included?		NA
Project Financing	23. Is funding level for project management cost appropriate?	12-13-11 The project management is 5.5% of the GEF funding. The maximum for projects more than \$2 million should be 5%. Please reduce 12-14-11 Properly addressed in revised PIF. Cleared	10-16-13 Cleared
	24. Is the funding and co-financing per objective appropriate and adequate to achieve the expected outcomes and outputs?	12-13-11 Yes. Cleared	10-16-13 Cleared
	25. At PIF: comment on the indicated cofinancing; At CEO endorsement: indicate if confirmed co-financing is provided.	12-13-11 Co-financing ratio is 1:4 Cleared	10-16-13 Cleared
	26. Is the co-financing amount that the Agency is bringing to the project in line with its role?	12-13-11 UNDP is providing \$500,000 in co-financing (cash). Cleared	10-16-13 Co-financing was reduced to \$0.
Project Monitoring and Evaluation	27. Have the appropriate Tracking Tools been included with information for all relevant indicators, as applicable?		10-16-13 Yes Cleared

	28. Does the proposal include a budgeted M&E Plan that monitors and measures results with indicators and targets?		10-16-13 Cleared
Agency Responses	29. Has the Agency responded adequately to comments from:		
	• STAP?		
	• Convention Secretariat?		
	• Council comments?		
	• Other GEF Agencies?		
Secretariat Recommendation			
Recommendation at PIF Stage	30. Is PIF clearance/approval being recommended?	12-13-11 Yes. This PIF is recommended for Clearance.	
	31. Items to consider at CEO endorsement/approval.		
Recommendation at CEO Endorsement/ Approval	32. At endorsement/approval, did Agency include the progress of PPG with clear information of commitment status of the PPG?		10-16-13 Cleared
	33. Is CEO endorsement/approval being recommended?		10-16-13 Yes.
Review Date (s)	First review*	December 13, 2011	October 16, 2013
	Additional review (as necessary)		
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* **This is the first time the Program Manager provides full comments for the project. Subsequent follow-up reviews should be recorded. For specific comments for each section, please insert a date after comments. Greyed areas in each section do not need comments.**

REQUEST FOR PPG APPROVAL

Review Criteria	Decision Points	Program Manager Comments
PPG Budget	1. Are the proposed activities for project preparation appropriate?	1-25-12 Yes. the proposed activities are consistent with PIF and are GEF eligible:

		<p>1. Capacity needs assessment and baseline information for development of an enforcement.</p> <p>2. Capacity needs assessment and baseline information required for development of an Integrated Fire Management Strategy.</p> <p>3. Project scoping and strategy development including including log frame, incremental cost analysis, cost-effectiveness, and risks, detailed budget and monitoring and evaluation plan.</p>
	2. Is itemized budget justified?	<p>1-25-12</p> <p>Yes.</p> <p>Cleared</p>
Secretariat Recommendation	3. Is PPG approval being recommended?	<p>1-25-12</p> <p>Yes. This PPG is recommended for approval.</p>
	4. Other comments	
Review Date (s)	First review*	January 25, 2012
	Additional review (as necessary)	

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