



**PROJECT PREPARATION GRANT (PPG)**

**PROJECT TYPE: Full-sized Project**

**TYPE OF TRUST FUND: GEF Trust Fund**

**GEF PROJECT ID:** 4827

**Submission Date:** 13 April 2012

**GEF AGENCY PROJECT ID:** 4490

**COUNTRY:** Kenya

**PROJECT TITLE:** Enhancing Wildlife Conservation in the Productive Southern Kenya Rangelands through a landscape approach

**GEF AGENCY:** UNDP

**GEF FOCAL AREA:** Biodiversity

**A. PROJECT PREPARATION TIMEFRAME**

Start date of PPG	1 May 2012
Completion date of PPG	30 March 2013

**B. PROPOSED PROJECT PREPARATION ACTIVITIES**

**1. Describe the PPG activities and justifications:**

The Project Preparation Grant will be used to carry out stakeholder consultations, assessments and other groundwork that will inform the preparation of the Full Sized Project. The project title is: “Enhancing Wildlife Conservation in the Productive Southern Kenya Rangelands through a landscape approach”; and will be submitted to the GEF in April/May 2013. Co-financing for the PPG activities has been secured from UNDP, the Government (through the Kenya Wildlife Services) and the CSOs Wilderness Conservation Trust (MWCT) and the African Conservation Center (ACC). Project activities will be carried out together with partners to enable full cost-sharing and meaningful participation during project design. The KWS with the MWCT and ACC will lead collection of most of the baseline information and preparation of the full proposal, with assistance from several consultants. All other project partners and co-financers will be involved in the project design phase through one-on-one consultations, working group meetings, and participating in project development workshops. This will ensure full ownership of the project. Three major activities are envisaged:

**1.1: BASELINE DATA COLLECTION AND INFORMATIONAL GAP ANALYSIS:**

This component is relevant for all PIF components and will finance collection of baseline information including,

- Assessment of land use types in the buffer zones, types of crops on farms, estimate of the livestock numbers, overstocking and overgrazing, issues related to biodiversity loss due to the land use types and levels of degradation;
- Mapping of wildlife dispersal corridors and challenges posed by the current land use on the effective functioning of the corridors;
- An assessment of CBNRM and conservancies in the Southern Africa region, identifying key success factors and lessons relevant to the proposed project;
- An initial/preliminary assessment of relevant policies (Agriculture, tourism, forestry, environment, trade and industry, land and resettlement, economic planning and development, and others deemed relevant) and their implications on the proposed project outcomes;
- Assessment of current levels of human wildlife conflicts and compensation schemes world-wide, identifying success factors and lessons relevant to the proposed project;
- Analysis of opportunities for alternative income generating activities for communities in the buffer zones;
- Analysis of the tourism business and market chains to identify critical factors for effective beneficiation by communities and lessons for the proposed project;
- An analysis of climate change scenarios, likely impacts on the Amboseli ecosystem and the biodiversity therein, livelihoods, livestock production and its implications on project interventions and their sustainability;
- A gender analysis to identify the relationships between the various groups (men, women, youth) to natural resources, and the influence these relations have on control and access to resources, natural resource management, and implications to the project initiatives.

**1.2:** Assessment of institutional arrangements and capacity of different agencies to support economic increased and equitable economic beneficiation and BD conservation

This component is relevant for all PIF outcomes, and is designed to ensure that implementation arrangements, partnership strategies and capacities are in place and adequate for the successful project implementation and its sustainability. The PPG will finance the following:

- At communal group ranches/farming level: Analysis of governance and organization at community level, focusing particularly on existing mechanisms for planning, monitoring and regulation of group ranches and range resources, effective participation in the tourism business, and their effectiveness;
- Policy and regulatory framework: Analysis of the institutional arrangements for effective land use for economic development and biodiversity conservation and their capacity, focusing particularly on existing mechanisms for empowerment, planning, monitoring and regulation of biodiversity conservation as it relates to Protected Areas, land management and livelihoods support systems;
- At civil society organizations level: Analysis of civil society organizations, their capacity in terms of resources and their governance and organization, particularly effectiveness in relation to biodiversity conservation and livelihood support systems;
- Identification of needs and opportunities for strengthening biodiversity management and livelihood support systems in the Amboseli ecosystem in tandem, and at each of the above levels;
- Development of recommendations of how these can be improved, how to set up an effective governance system for the effective conservation of biodiversity in the Amboseli Ecosystem in tandem with improving livelihoods of the communities in the group ranches for the long term sustainable economic development and survival of biodiversity.

### 1.3. Project feasibility analysis, strategy, budget and consolidation of the project documentation for submission

This component will utilize the findings from the two components above to refine the threat-root cause-barrier analysis and define project interventions. The four key outputs will be (i) a detailed project strategy, including incremental threats to resources, barriers to effective maintenance of the ecological integrity of the Amboseli in tandem with increased and more equitable beneficiation from BD conservation by all stakeholders (particularly the Maasai communities), cost analysis, cost-effectiveness, and risks; (ii) a detailed budget (iii) a detailed monitoring and evaluation plan iv) consolidated project document and relevant supporting documents for submission, including a filled BD Tracking Tool.

The activities will include:

- A detailed threat-route cause-barrier analysis;
- Detailed incremental-cost analysis as per GEF guidance: precise definition of baseline projects, activities, budgets, goals and co-financial links to GEF outcomes; definition of GEF incremental value per outcome and output; presentation of results of the incremental cost-analysis in matrices;
- Assessment of the social, economic and financial sustainability of proposed project activities, including gender aspects;
- A deeper on the ground analysis of the major risks to project effectiveness;
- Development of the project monitoring and evaluation plan, and budget;
- Costing the expected project outcomes and outputs, identifying co-finance, sources and secure co-financing commitments (letters);
- ToRs for the key consultants/contracts to be employed by the project;
- Preparation of the BD Tracking Tool

### 2: Detailed activities and Budgets

Proposed PPG activities	Outputs of the PPG Activities	GEF Grant	Co-fin	Total
<b>Component 1. Baseline Data Collection</b>	Baseline reports with information on: <ul style="list-style-type: none"> <li>• Land use types in the buffer zones, types of crops on farms, estimate of the livestock numbers, overstocking and overgrazing, issues related to biodiversity loss due to the land use types and levels of degradation and recommendations for the proposed project;</li> <li>• Updated maps of wildlife dispersal corridors and challenges posed by the current land use on the effective functioning of the corridors and recommendations for the proposed project;</li> <li>• Key lessons on CBNRM and conservancies in the Southern Africa region for the proposed project based on the key success factors and lessons generated in the region, and conditions in Kenya, and recommendations for the proposed project;</li> <li>• An initial/preliminary assessment of relevant policies (Agriculture, tourism, forestry, environment, trade and industry, land and</li> </ul>	45,000	200,000	245,000

	<p>resettlement, economic planning and development, and others deemed relevant) and their implications on the proposed project outcomes; and, and recommendations for the proposed project;</p> <ul style="list-style-type: none"> <li>• Current levels of human wildlife conflicts and compensation schemes world-wide, identifying success factors and lessons relevant to the proposed project;</li> <li>• Opportunities for alternative income generating activities for communities in the buffer zones; and, recommendations for the proposed project;</li> <li>• Analysis of the tourism business and market chains to identifying critical factors for effective beneficiation by communities and lessons for the proposed project; and, recommendations for the proposed project;</li> <li>• An analysis of climate change scenarios, likely impacts on the Amboseli ecosystem and the biodiversity therein, livelihoods, livestock production and its implications on project interventions and their sustainability; and, recommendations for the proposed project;</li> <li>• A gender analysis to identify the relationships between the various groups (men, women, youth) to natural resources, and the influence these relations have on control and access to resources, natural resource management, and implications to the project initiatives; and, recommendations for the proposed project;</li> </ul>			
<p><b>Component 2.</b> Assessment of institutional arrangements and capacity of different agencies to support economic increased and equitable economic beneficiation and BD conservation.</p>	<p>Baseline reports with information on:</p> <ul style="list-style-type: none"> <li>• Analysis of governance and organization at community level, focusing particularly on existing mechanisms for planning, monitoring and regulation of group ranches and range resources, effective participation in the tourism business, and their effectiveness; and, recommendations for the project;</li> <li>• Analysis of the institutional arrangements for effective land use for economic development and biodiversity conservation and their capacity, focusing particularly on existing mechanisms for empowerment, planning, monitoring and regulation of biodiversity conservation as it relates to Protected Areas, land management and livelihoods support systems; and, recommendations for the project;</li> <li>• Analysis of civil society organizations, their capacity in terms of resources and their governance and organization, particularly effectiveness in relation to biodiversity conservation and livelihood support systems; and, recommendations for the project;</li> <li>• Identification of needs and opportunities for strengthening biodiversity management and livelihood support systems in the Amboseli ecosystem in tandem, and at each of the above levels; and, recommendations for the project;</li> <li>• Development of recommendations of how these can be improved, how to set up an effective governance system for the effective conservation of biodiversity in the Amboseli Ecosystem in tandem with improving livelihoods of the communities in the group ranches for the long term sustainable economic development and survival of biodiversity</li> <li>• Definition of indicators of project impacts on institutional capacities, together with corresponding baseline values and targets to be used in monitoring, including the filling of the BD Tracking Tool.</li> </ul>	25,00 0	100,0 00	125,0 00
<p><b>Component 3.</b> Feasibility analysis, budget</p>	<ul style="list-style-type: none"> <li>• Detailed project strategy, including incremental cost analysis, cost-effectiveness, and risks;</li> <li>• Detailed budget</li> <li>• Detailed implementation arrangements;</li> <li>• Detailed monitoring and evaluation plan, including the a filled BD</li> </ul>	30,00 0	100,0 00	130,0 00

	Tracking Tool;			
<b>Total Project Preparation Financing</b>		<b>100,000</b>	<b>400,000</b>	<b>500,000</b>

**C. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)**

	<b>Project Preparation</b>	<b>Agency Fee</b>
Grant Amount	100,000	10,000
Co-financing	400,000	
<b>Total</b>	<b>500,000</b>	<b>10,000</b>

**D. PPG AMOUNT REQUESTED BY AGENCY, FOCAL AREA AND COUNTRY**


<b>Trust Fund</b>	<b>GEF Agency</b>	<b>Focal Area</b>	<b>Country</b>	<b>PPG \$</b>	<b>Agency Fee \$</b>	<b>Total \$</b>
GEFTF	UNDP	BD	Kenya	100,000	10,000	110,000
<b>Total PPG Amount (US \$)</b>				<b>100,000</b>	<b>10,000</b>	<b>110,000</b>

**E. PPG BUDGET**

<b>Cost Items</b>	<b>Total Estimated Person Weeks for Grant (PW)</b>	<b>Grant Amount (\$)</b>	<b>Co-financing (\$)</b>	<b>Total (\$)</b>
Local consultants *	25	40,000	150,000	190,000
International consultants*1	12	30,000	160,000	190,000
Travel		30,000	90,000	120,000
Miscellaneous**				0
<b>Total PPG Budget</b>		<b>100,000</b>	<b>400,000</b>	<b>500,000</b>

**F. GEF AGENCY(IES) CERTIFICATION**

This request has been prepared in accordance with GEF policies and procedures and meets the GEF LDCF/SCCF/NPIF Trust Fund criteria for project identification and preparation.

<b>Agency Coordinator, Agency Name</b>	<b>Signature</b>	<b>Date (Month, day, year)</b>	<b>Project Contact Person</b>	<b>Telephone</b>	<b>Email Address</b>
Yannick Glemarec UNDP GEF Executive Coordinator		April 13, 2012	Veronica Muthui Regional Advisor (Ecosystems and BD); Pretoria, RSA	+2712 3548124;	veronica.muthui@undp.org

**Annex A**

**Consultants Financed by the Project Preparation Grant (PPG)**

Type of Consultant	Position / Titles	\$/Person Week <sup>1</sup>	Estimated PWs <sup>2</sup>	Tasks to be Performed
Local/ Regional	Conservation expert	1600	18	<p>This consultancy will supervise the baseline data collection - activities under component one of table B: As explained in the text, the real data collection and analysis will be undertaken by the technical staff of KWS, the MWCT and ACC. The three institutions will either contract a consultant or use these budget lines to support the data collection. The consultant (if one is contracted) will provide the overall guidance to the studies. His/her input will be on sampling methodologies, guiding data analysis and incorporating regional and global best practices into the data interpretation. Together with the technical teams from the three organizations, the consultancy will therefore provide the updated data on which the project initiatives will be based, on the themes listed below. This information will be used to refine the threat root cause-barrier analysis, and identification of project interventions. The themes/topics are:</p> <ul style="list-style-type: none"> <li>• Collate and analyze data on land use (particularly livestock, farming, tourism), identifying threats, challenges and opportunities for improved beneficiation and ecological integrity of the Amboseli for the long term biodiversity conservation;</li> <li>• Collate and assess national and district development plans, programs and projects, identifying issues that are relevant to the economic beneficiation of the Maasai community from the tourism/biodiversity utilization and long-term ecological viability of the Amboseli;</li> <li>• Updated maps of wildlife dispersal corridors and challenges posed by the current land use on the effective functioning of the corridors and recommendations for the proposed project;</li> <li>• Provide key lessons on CBNRM and conservancies in the Southern Africa region for the proposed project based on the key success factors and lessons generated in the region, and conditions in Kenya, and recommendations for the proposed project;</li> <li>• Undertake initial/preliminary assessment of relevant policies (Agriculture, tourism, forestry, environment, trade and industry, land and resettlement, economic planning and development, and others deemed relevant) and their implications on the proposed project outcomes; and, and recommendations for the proposed project;</li> <li>• Current levels of human wildlife conflicts and compensation schemes world-wide, identifying success factors and lessons relevant to the proposed project;</li> <li>• Identify opportunities for alternative income generating activities for communities in the buffer zones; and, recommendations for the proposed project;</li> <li>• Provide lessons on PES schemes (particularly related to watershed services) relevant for the proposed project based on lessons generated world-wide; and, recommendations for the proposed project;</li> <li>• Undertake an analysis of the tourism business and market chains to identifying critical factors for effective beneficiation by communities and lessons for the proposed project; and, recommendations for the proposed project;</li> <li>• Undertake an analysis of climate change scenarios, likely impacts on the Amboseli ecosystem and the biodiversity therein, livelihoods, livestock production and its implications on project interventions and their sustainability; and, recommendations for the proposed project;</li> </ul>

				<ul style="list-style-type: none"> <li>• Undertake a gender analysis to identify the relationships between the various groups (men, women, youth) to natural resources, and the influence these relations have on control and access to resources, natural resource management, and implications to the project initiatives; and, recommendations for the proposed project;</li> <li>• Make recommendations on project intervention and suggest indicators and baselines for the biophysical part of the BD tracking tool.</li> </ul> <p>The consultant and the technical teams will work closely with the local resource users to ensure a participatory problem analysis, as a building block to a participatory project formulation, ownership and implementation. This will be critical for sustaining project impacts, particularly after the GEF financed project ends.</p>
Local /Regional	Institutional/Governance Expert as it relates to community conservation	1600	7	<p>This consultancy will provide the information on capacity of different agencies and institutions to support stronger participation of the group ranches in long-term beneficiation from biodiversity conservation/ tourism and the implementation of project activities. Similarly to the first consultancy, much of the work will be undertaken in close collaboration with the technical staff of the three institutions. The consultant will however provide targeted input on identifying relevant data collection and analysis methodologies, actual data analysis and interpretation, particularly ensuring that regional and global best practices are used to inform the process. Specifically, the team of the consultant will:</p> <ul style="list-style-type: none"> <li>• <u>At communal group ranches/farming level:</u> Analysis of governance and organization at community level, focusing particularly on existing mechanisms for planning, monitoring and regulation of group ranches and range resources, effective participation in the tourism business, and their effectiveness;</li> <li>• <u>Policy and regulatory framework:</u> Analysis of the institutional arrangements for effective land use for economic development and biodiversity conservation and their capacity, focusing particularly on existing mechanisms for empowerment, planning, monitoring and regulation of biodiversity conservation as it relates to Protected Areas, land management and livelihoods support systems;</li> <li>• <u>At civil society organizations level:</u> Analysis of civil society organizations, their capacity in terms of resources and their governance and organization, particularly effectiveness in relation to biodiversity conservation and livelihood support systems;</li> <li>• Identification of needs and opportunities for strengthening biodiversity management and livelihood support systems in the Amboseli ecosystem in tandem, and at each of the above levels;</li> <li>• Development of recommendations of how these can be improved, how to set up an effective governance system for the effective conservation of biodiversity in the Amboseli Ecosystem in tandem with improving livelihoods of the communities in the group ranches for the long term sustainable economic development and survival of biodiversity.</li> <li>• Identify needs and opportunities for strengthening capacity for of the institutions that will support project implementation on planning, monitoring and regulation at each level; Make recommendations on project strategies for capacity development in these areas; including an implementation strategy for the effective governance at the group ranch level;</li> <li>• Define indicators of project impacts on institutional capacities, together with corresponding baseline values and targets, and contribute to the feeding of this baseline information in the tracking tool;</li> </ul>
International		2500	12	<p>The international consultant will support the local/regional consultants on both the two components above, ensuring that the selected data collection</p>

				<p>and analysis methods are in line with international best practices, including sampling and data analysis and interpretation procedures and process. Specifically, the international consultant will undertake the following:</p> <ul style="list-style-type: none"> <li>• Lead the process of collating all the baseline data, and its use in refining the threat-root cause-barrier analysis;</li> <li>• Support the identification of opportunities for addressing the barriers identified in the analysis above;</li> <li>• Lead the process of identifying project interventions, including targets and indicators;</li> <li>• Lead the formulation of the project ensuring compliance with the GEF V templates and guidelines. This will include the following: <ul style="list-style-type: none"> <li>➤ Detailed incremental-cost analysis as per GEF guidance: precise definition of baseline projects, activities, budgets, goals and co-financial links to GEF outcomes; definition of GEF incremental value per outcome and output; presentation of results of the incremental cost-analysis in matrices;</li> <li>➤ Assessment of the social, economic and financial sustainability of proposed project activities, including gender aspects;</li> <li>➤ Assessment of the alternatives to the project strategy and detailed definition of the cost effectiveness of the preferred strategy and suite of activities;</li> <li>➤ Quantification and detailed presentation of the global environmental benefits of the project;</li> <li>➤ Development of the project monitoring and evaluation plan, and budget;</li> <li>➤ Costing the expected project outcomes and outputs, identifying co-finance, sources and secure co-financing commitments (letters);</li> <li>➤ ToRs for the key consultants/contracts to be employed by the project;</li> <li>➤ Finalized project logical framework, with particular emphasis on ecological indicators;</li> </ul> </li> </ul> <p>The international consultant will also:</p> <ul style="list-style-type: none"> <li>• Lead the process of formulating of a practical, participatory M&amp;E process for monitoring the impacts of the project on rangelands and livelihoods, and, use the information to prepare the Tracking Tools.</li> </ul>
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<sup>1</sup> Dollar amount per person week for the local consultant is consistent with the UN Rates for consultants

2. Exchange rates are subject to change

3. Dollar amount per person week for the international consultant is consistent with the UN Rates for international consultants