



**PROJECT PREPARATION GRANT (PPG)**

**PROJECT TYPE: Medium-sized Project**

**TYPE OF TRUST FUND: GEF Trust Fund**

**Submission date: 11/10/2011**

**GEF PROJECT ID: 4618**

**GEF AGENCY PROJECT ID: 729**

**COUNTRY(IES): Guatemala**

**PROJECT TITLE: Abs Guatemala: Access To And Benefit Sharing And Protection Of Traditional Knowledge To Promote Biodiversity Conservation And Sustainable Use**

**GEF AGENCY(IES): UNEP, (select), (select)**

**GEF FOCAL AREA(S): Biodiversity**

**A. PROJECT PREPARATION TIMEFRAME**

Start date of PPG	01/01/2012
Completion date of PPG	09/01/2012

**B. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)**

Describe the PPG activities and justifications:

Guatemala has initiated the process to implement access to genetic resources and associated traditional knowledge (ABS), as well to ensure the equitable benefit sharing. The principles of equity and the issue of collective traditional knowledge represent highly complex issues to be addressed; however, this complexity contains inward two large national challenges: a) the social legitimacy of the process and b) the full and effective participation of the indigenous peoples and communities that own traditional knowledge. The ABS project of Guatemala aims to initiate the national dialogue on the issue of ABS, beginning with and informed citizenry, so that the instruments of human rights such as prior informed consent -PIC- are the cognitive basis of the participatory construction of this project.

In order to bring together the variables needed to ensure a participatory approach, solid baseline and a project designed to move Guatemala closer to ratification of the ABS Protocol, a number of preparatory activities are foreseen. These include 1) stakeholder consultations to ensure project design is in adherence to GEF's Public Involvement Policy; 2) gathering of existing baseline data and identification of gaps; and 3) developing criteria and methodologies to clearly link biodiversity management to local and rural development.

List of Proposed Project Preparation Activities	Output of the PPG Activities	Trust Fund	Grant Amount (a)	Co-financing (b)	Total c = a + b
1. (a) Stakeholder Analysis, (b) Participatory Methodology and (c) Stakeholders Meetings at local and national levels bringing together technical staff and key	(A) Stakeholder profiling (b) Participatory methodology participation; (c) Stakeholder consultative meetings.	GEF TF	24,590	27,450	52,040

stakeholders to deliberate on; (d) baseline circumstances, project design, implementation, and monitoring and evaluation arrangements					
2. Baseline data collection and analysis	Review of previous studies and reports as well as recent policy instruments to determine (i) the current status of ABS mechanisms in the country; (ii) the central elements to be addressed via policy, and (iii) the legal gaps that still need to be filled.	GEF TF	6,000	7,000	13,000
Linking biodiversity management to rural/ local development	Set of criteria and strategic methods which will guide project design and pilot site selection	GEF TF	4,000	4,000	8,000
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
		(select)			0
<b>Total Project Preparation Financing</b>			<b>34,590</b>	<b>38,450</b>	<b>73,040</b>

**C. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)**

	<b>Project Preparation</b>	<b>Agency Fee</b>
Grant Amount	34,590	3,459
Co-financing	38,450	
<b>Total</b>	<b>73,040</b>	<b>3,459</b>

**D. PPG AMOUNT REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)<sup>1</sup>**

<b>Trust</b>	<b>GEF Agency</b>	<b>Focal Area</b>	<b>Country Name/</b>	<b>(in \$)</b>
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<b>Fund</b>			<b>Global</b>	<b>PPG (a)</b>	<b>Agency Fee (b)</b>	<b>Total c = a + b</b>
GEF TF	UNEP	Biodiversity	Guatemala	25,942	2,594	28,536
GEF TF	UNEP	Biodiversity	Global	8,648	865	9,513
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
<b>Total PPG Amount</b>				<b>34,590</b>	<b>3,459</b>	<b>38,049</b>

<sup>1</sup> No need to provide information for this table if it is a single focal area, single country and single GEF Agency project.


#### E. PPG BUDGET

<b>Cost Items</b>	<b>Total Estimated Person Weeks for Grant (PW)</b>	<b>Grant Amount (\$)</b>	<b>Co-financing (\$)</b>	<b>Total(\$)</b>
Local consultants *	36.00	22,020		22,020
International consultants*				0
Travel		3,000		3,000
Communication costs, printing and publications, office supplies, computers and accessories, overhead		4,570		4,570
National and regional stakeholder consultations		5,000		5,000
Project Personnel of CONAP; office space, maintenance and utilities;			38,450	38,450
<b>Total PPG Budget</b>		34,590	38,450	73,040

\* Annex A for Consultant cost details should be prepared first before completing this table. See notes on Annex A for the required detailed information. This table is the sum of all local and international consultants presented in Annex A.

#### F. GEF AGENCY(IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF LDCF/SCCF Trust Fund criteria for project identification and preparation.
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Agency Coordinator, Agency Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Maryam Niamir-Fuller GEF Coordinator		10/11/2011	Kristin McLaughlin	1(202)974-1312	Kristin.mclaughlin@unep.org

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**Annex A**

**Consultants Financed by the Project Preparation Grant (PPG)**

<b>Type of Consultant</b>	<b>Position / Titles</b>	<b>\$/ Person Week<sup>1</sup></b>	<b>Estimated PWs<sup>2</sup></b>	<b>Tasks to be Performed</b>
Local	Technical & Consultative Coordinator	630	24.00	Program, organize and coordinate all PPG deliverables; including baseline data collection and analysis, stakeholder analysis and organization of stakeholder workshops. Develop SMART indicators for project interventions, costed M & E Plan and complete baseline Tracking Tool.
Local	Biodiversity And Rural Development Expert	575	6.00	Analyze criteria and potential (including strategic alliances) for pilot sites. Develop strategy and methodologies for pilot interventions in the four intervention areas outlined in the PIF: *non commercial: conservation *commercial use: biotrade; *commercial use: value chain *merging scientific and traditional knowledge.
Local	Traditional Knowledge Expert	575	6.00	Consultations to determine needs to develop a protocol for Traditional Knowledge (TK) inventory. Develop recommendations and capacity development indicators for (2) pilot transfers of TK at institutional level. Assist with the facilitation of stakeholder workshops.
(Select)				
(Select)				
(Select)				
(Select)				
(Select)				

<sup>1</sup> Provide dollar amount per person week.

<sup>2</sup> Provide person weeks needed to carry out the task

