



## GEF SECRETARIAT REVIEW FOR FULL/MEDIUM-SIZED PROJECTS\* THE GEF/LDCF/SCCF/NPIF TRUST FUNDS

GEF ID:	<b>5537</b>		
Country/Region:	<b>Congo</b>		
Project Title:	<b>Creation of Conkouati-Dimonika PA complex and Development of Community Private Sector Participation Model to enhance PA Management Effectiveness-CDC&amp;CPSPM</b>		
GEF Agency:	<b>UNEP</b>	GEF Agency Project ID:	
Type of Trust Fund:	<b>GEF Trust Fund</b>	GEF Focal Area (s):	<b>Biodiversity</b>
GEF-5 Focal Area/ LDCF/SCCF Objective (s):	<b>BD-1; BD-2;</b>		
Anticipated Financing PPG:	<b>\$106,000</b>	Project Grant:	<b>\$2,889,434</b>
Co-financing:	<b>\$15,000,000</b>	Total Project Cost:	<b>\$17,995,434</b>
PIF Approval:		Council Approval/Expected:	
CEO Endorsement/Approval		Expected Project Start Date:	
Program Manager:	<b>Charlotte Gobin</b>	Agency Contact Person:	<b>Adamou Bouhari</b>

Review Criteria	Questions	Secretariat Comment at PIF (PFD)/Work Program Inclusion	Secretariat Comment At CEO Endorsement(FSP)/Approval (MSP)
<b>Eligibility</b>	1. Is the participating <b>country eligible</b> ?	08/22: Yes.	
	2. Has the <b>operational focal point</b> endorsed the project?	08/22: Yes, in a letter dated August 7, 2013.	
<b>Resource Availability</b>	3. Is the proposed Grant (including the Agency fee) within the <b>resources available</b> from (mark all that apply):		
	• the STAR allocation?	08/22: BD and LD resources remaining to be allocated are \$3,910,000. Congo is a flexible country. Cleared.	
	• the focal area allocation?	08/22: see above	
	• the LDCF under the principle of equitable access	08/22: N/A	
	• the SCCF (Adaptation or	08/22: N/A	

	<ul style="list-style-type: none"> <li>the Nagoya Protocol Investment Fund</li> </ul>	08/22: N/A	
	<ul style="list-style-type: none"> <li>focal area set-aside?</li> </ul>	08/22: N/A	
Strategic Alignment	<p>4. Is the project aligned with the focal area/multifocal areas/ LDCF/SCCF/NPIF <b>results framework and strategic objectives</b>?</p> <p><i>For BD projects: Has the project explicitly articulated which Aichi Target(s) the project will help achieve and are SMART indicators identified, that will be used to track progress toward achieving the Aichi target(s).</i></p>	<p>08/22: Yes, the project is well aligned with BD1 and BD2 objectives. The project has explicitly articulated which Aichi targets the project will help achieve. Table B lists a number of products expected but please identified SMART indicators for each expected outcomes (e.g. METT, species status, % of revenue increase...).</p> <p>10/02: Table B, Column "Expected Outcomes", further detailed have been provided under the title "indicators". This information, describing some of the expected outputs is useful, however cannot replace the definition of SMART indicators. For example, the indicators could be formulated as followed:  Component 1 (i) the PA network will be expanded by % (from % to % of total national superfcy); (ii) the MEET of existing PA will increase from x to y; (iii) population of x will remains stable / increase by %. Component 2: (i) the rate of poaching incident has decreased by % from the baseline, (ii) the number of ranger patrols has increase by x% from the baseline, (iii) the number of arrest and conviction have increased by % from the baseline, (iii) the fragmentation of natural habitat around PA has decrease by % from the baseline due to sustainable activities.</p> <p>11/06: addressed. Indicators have been developed for each components. It is noted that, for some indicators, baseline and targets will be defined at CEO</p>	

	5. Is the project consistent with the recipient <b>country's national strategies and plans</b> or reports and assessments under relevant conventions, including NPFE, NAPA, NCSA, NBSAP or NAP?	08/22: Yes, the project is in line with country's national strategies and plans, including NBSAP, National Environment Action Plan, and Strategic Document for Growth, Employment and Poverty Reduction. Cleared.	
Project Design	6. Is (are) the <b>baseline project(s)</b> , including problem(s) that the baseline project(s) seek/s to address, sufficiently described and based on sound data and assumptions?	<p>08/22: The baseline gives a good overview of pressures and on-going related initiatives. Please provide more details regarding the level of investments of these initiatives, including the regional UNEP-IUCN initiative. Please provide further details of scale or magnitude regarding pressures that the project will address (illegal exploitation of wood, mining, poaching, overfishing, artisanal timber...). For example, how much habitat is lost due to illegal exploitation of wood, how much of land is degraded due to subsistence agriculture, how many species is threaten by poaching?</p> <p>10/02: Addressed at PIF stage. It is noted that Component 3, through the development of the biodiversity observatory will improve the knowledge of the biodiversity status and its threats/pressures. However, at CEO endorsement, the project will have to further develop the baseline, based on PPG outputs.</p>	
	7. Are the components, outcomes and outputs in the <b>project framework</b> (Table B) clear, sound and appropriately detailed?	08/22: The project design is clear and focused on few FA outcomes. However, please develop SMART indicators for each outcomes and be more explicit with the expected outputs (see comment Item4). METT for each of the PA will have to be provided at CEO endorsement. P9, it is mentioned that the local communities and private sector interest will be assess during the PPG. PPG helps	

		<p>implementation arrangement; consultation with local communities and partners has to be taken at the PIF stage. Please clarify and confirm the interest of partners listed under Table C and local communities for this project.</p> <p>Under section A.1.4 and A.1.5, the list of expected outputs is not sufficient to appreciate the project's logic and approach. Please further detail the content of each component.</p> <p>Component 1: does the project will equip and staff the new PA? Is there any capacity building plan for PA agents and local communities?</p> <p>Component 2: describe further the approach developed/ replicated by the project and make reference of concrete success from the pilot site (Lossi). Explain why this approach is sustainable.</p> <p>USLAB: please further explain the partnership with the private sector. What is the added-value of the project in this on-going partnership?</p> <p>CCC and CGCC: please describe these entities, their role, their governance. Why the project will support them?</p> <p>Table B: What is the difference between output 2.1.4 and 2.1.6?</p> <p>In the text, p9 reference is made of local development fund, please explain what is this fund, why and how the project will support it.</p> <p>Component 3: the list of outputs is not sufficient to understand the purpose of this component, please further detail the expected activities.</p> <p>P14: SFM is mentioned as a source of financing solicited for the project p19 but not in the OFP letter neither in Table A, B, or D; please clarify.</p>	
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	8. (a) Are global environmental/adaptation benefits identified? (b) Is the description of the incremental/additional reasoning sound and appropriate?	08/22: The key Global Environmental Benefits is the extension of PA network over 93,300ha and the sustainable management of the surrounding PAs in line with approved land use plan; second is the increase of connectivity and reduce pressure through the development of protected corridors. Cleared.	
	9. Is there a clear description of: a) the <b>socio-economic benefits</b> , including gender dimensions, to be delivered by the project, and b) how will the delivery of such		

	of incremental/ additional benefits?		
	10. Is the role of public participation, including CSOs, and indigenous peoples where relevant, identified and explicit means for their engagement explained?	<p>08/22: A range of organizations is listed in Table A2. However, local communities, CSO will play a key role in component 1 and 2, please provide further information on how they will be involved, how their capacity will be strengthened.</p> <p>10/02: This is sufficient at PIF stage but at CEO endorsement, further details are expected of how these groups will be engaged.</p>	
	11. Does the project take into account potential major risks, including the consequences of climate change, and describes sufficient risk mitigation measures? (e.g., measures to enhance climate resilience)	08/22: Yes, initial information on potential risks is provided. Further detail, including mitigation measures, is expected at CEO endorsement. Cleared.	
	12. Is the <b>project consistent and properly coordinated</b> with other related initiatives in the country or in the region?	08/22: Yes, the project is consistent with other related initiatives in the country and the region. The project will facilitate the creation of periodic review of the GEF national portfolio. Cleared.	
	<p>13. Comment on the project's <b>innovative aspects, sustainability, and potential for scaling up.</b></p> <ul style="list-style-type: none"> <li>Assess whether the project is innovative and if so, how, and if not, why not.</li> <li>Assess the project's strategy for sustainability, and the likelihood of achieving this based on GEF and Agency</li> </ul>	08/22: The project will support the development of a landscape approach by creating a complex which will include PA, ecological corridors, and productive landscape. The model could be replicated at the national and regional level. To secure the sustainability of this model, the project will help the government developing the enabling institutional environment.	

	<ul style="list-style-type: none"> <li>Assess the potential for scaling up the project's intervention.</li> </ul>		
	14. Is the project structure/design sufficiently close to what was presented at PIF, with clear justifications for changes?		
	15. Has the cost-effectiveness of the project been sufficiently demonstrated, including the cost-effectiveness of the project design as compared to alternative approaches to achieve similar benefits?		
Project Financing	16. Is the GEF funding and co-financing as indicated in Table B appropriate and adequate to achieve the expected outcomes and outputs?	<p>08/22: The level of information is not sufficient to evaluate the project financing. Please address comment in Item 7.</p> <p>10/02: The GEF funding and co-financing as indicated in Table B is appropriate. Cleared.</p>	
	17. <u>At PIF</u> : Is the indicated amount and composition of co-financing as indicated in Table C adequate? Is the amount that the Agency bringing to the project in line with its role? <u>At CEO endorsement</u> : Has co-financing been confirmed?	<p>08/22: The co-financing ratio is about 1: 5,1; which is fine. The Agency is bringing \$1,215M in cash. P.12, WB and IFAD are mentioned as co-financier but they are not listed in Table C, please clarify.</p> <p>10/02: Cleared at PIF stage.</p>	
	18. Is the funding level for <b>project management cost</b> appropriate?	08/22: The project management is about 4.9%; which is fine. Cleared.	
	19. <u>At PIF</u> , is PPG requested? If the requested amount deviates from the norm, has the Agency provided adequate justification that the level requested is in line with project design needs? <u>At CEO endorsement/ approval</u> , if PPG is completed, did Agency	08/22: Yes, PPG is requested. The amount requested is under the norm. Cleared.	

	PPG fund?		
	20. If there is a <b>non-grant instrument</b> in the project, is there a reasonable calendar of reflows included?	08/22: N/A	
Project Monitoring and Evaluation	21. Have the appropriate <b>Tracking Tools</b> been included with information for all relevant indicators, as applicable?		
	22. Does the proposal include a <b>budgeted M&amp;E Plan</b> that monitors and measures results with indicators and targets?		
Agency Responses	23. Has the Agency adequately responded to comments from:		
	• STAP?		
	• Convention Secretariat?		
	• The Council?		
	• Other GEF Agencies?		
Secretariat Recommendation			
Recommendation at PIF Stage	24. <b>Is PIF clearance/approval being recommended?</b>	<p>08/22: The project cannot be recommended at this stage. Please address the issues raised in above items.</p> <p>10/02: The project cannot be recommended at this stage. Please address the remaining issues raised in above items.</p> <p>11/06: The project is technical cleared and recommended for inclusion into future work program.</p>	
	25. Items to consider at CEO endorsement/approval.		
Recommendation at CEO Endorsement/ Approval	26. <b>Is CEO endorsement/approval being recommended?</b>		
	First review*	August 22, 2013	

Review Date (s)	Additional review (as necessary)	October 02, 2013	
	Additional review (as necessary)	November 06, 2013	

\* This is the first time the Program Manager provides full comments for the project. Subsequent follow-up reviews should be recorded. For specific comments for each section, please insert a date after comments. Greyed areas in each section do not need comments.