

ANNEX M: ENVIRONMENTAL AND SOCIAL SAFEGUARDS CHECKLIST

As part of the GEFs evolving Fiduciary Standards that Implementing Agencies have to address 'Environmental and Social Safeguards'. To fill this checklist:

- STEP 1: Initially assess E&S Safeguards as part of PIF development. The checklist is to be submitted for the CRC.
- STEP 2: Check list is reviewed during PPG project preparation phase and updated as required
- STEP 3: Final check list submitted for PRC showing what activities are being undertaken to address issues identified

UNEP/GEF Environmental and Social Safeguards Checklist

Project Title:	Creation of Conkouati Dimonika PA Complex and Development of Community Private Sector Participation Model to Enhance PA Management Effectiveness		
GEF project ID and UNEP ID/IMIS Number	5537	Version of checklist	1
Project status (preparation, implementation, MTE/MTR, TE)	Preparation	Date of this version:	29 February 2016
Checklist prepared by (Name, Title, and Institution)			

In completing the checklist both short- and long-term impact shall be considered.

Section A: Project location

If negative impact is identified or anticipated the Comment/Explanation field needs to include: Project stage for addressing the issue; Responsibility for addressing the issue; Budget implications, and other comments.

	<i>Yes/No/N.A.</i>	<i>Comment/explanation</i>
- Is the project area in or close to -		
- densely populated area	No	
- cultural heritage site	No	
- protected area	Yes	The project will support effective management of a number of existing PAs, as well as create a new forest reserve (Réserve Forestière de Ntombo)
- wetland	No	
- mangrove	No	
- estuarine	No	
- buffer zone of protected area	Yes	In order to develop the Forêt de Dimonika-Ntombo - Tchimpounga biological corridor, buffer zones around PAs will be incorporated
- special area for protection of biodiversity	Yes	Part of Mayombe forest. Project aims to improve its management and protection.
- Will project require temporary or permanent support facilities?	No	
<i>If the project is anticipated to impact any of the above areas an Environmental Survey will be needed to determine if the project is in conflict with the protection of the area or if it will cause significant disturbance to the area.</i>		

Section B: Environmental impacts

If negative impact is identified or anticipated the Comment/Explanation field needs to include: Project stage for addressing the issue; Responsibility for addressing the issue; Budget implications, and other comments.

	Yes/No/N.A.	Comment/explanation
- Are ecosystems related to project fragile or degraded?	Yes	Presence of critical habitats, presence of biodiversity with threatened status. Activities are to monitor the conservation targets with conservation activities-related budgets
- Will project cause any loss of precious ecology, ecological, and economic functions due to construction of infrastructure?	No	
- Will project cause impairment of ecological opportunities?	No	
- Will project cause increase in peak and flood flows? (including from temporary or permanent waste waters)	No	
- Will project cause air, soil or water pollution?	No	
- Will project cause soil erosion and siltation?	No	
- Will project cause increased waste production?	No	
- Will project cause Hazardous Waste production?	No	
- Will project cause threat to local ecosystems due to invasive species?	No	
- Will project cause Greenhouse Gas Emissions?	No	
- Other environmental issues, e.g. noise and traffic	No	
<i>Only if it can be carefully justified that any negative impact from the project can be avoided or mitigated satisfactorily both in the short and long-term, can the project go ahead.</i>		

Section C: Social impacts

If negative impact is identified or anticipated the Comment/Explanation field needs to include: Project stage for addressing the issue; Responsibility for addressing the issue; Budget implications, and other comments.

	Yes/No/N.A.	Comment/explanation
- Does the project respect internationally proclaimed human rights including dignity, cultural property and uniqueness and rights of indigenous people?	Yes	
- Are property rights on resources such as land tenure recognized by the existing laws in affected countries?	Yes	
- Will the project cause social problems and conflicts related to land tenure and access to resources?	Yes (risk of)	Possible risk of frustration felt by some who are (unintentionally) not involved enough by the project. A participatory approach with repeated public consultations during the delimitation of the new PA will be used in order to reduce this risk. It is necessary to put an office for the collection, processing, and follow-up of public (written or oral) complaints to be able to see the project impact at the end. The presence of two Stakeholder Engagement Officers regularly interacting at project sites also aims to monitor and develop mitigation measures for this risk.
- Does the project incorporate measures to allow affected stakeholders' information and consultation?	Yes	Throughout Component 2, especially Output 2.1.5. Risks to local populations will be closely monitored and pro-actively addressed, for example that restrictions on

		use of forest products could limit livelihood activities or food, with mitigatory measures discussed and agreed with community levels to offset any hardships experienced.
- Will the project affect the state of the targeted country's (-ies') institutional context?	No	
- Will the project cause change to beneficial uses of land or resources? (incl. loss of downstream beneficial uses (water supply or fisheries)?	No	
- Will the project cause technology or land use modification that may change present social and economic activities?	No	
- Will the project cause dislocation or involuntary resettlement of people?	No	
- Will the project cause uncontrolled in-migration (short- and long-term) with opening of roads to areas and possible overloading of social infrastructure?	No	
- Will the project cause increased local or regional unemployment?	No	
- Does the project include measures to avoid forced or child labour?	No	
- Does the project include measures to ensure a safe and healthy working environment for workers employed as part of the project?	Yes	
- Will the project cause impairment of recreational opportunities?	No	
- Will the project cause impairment of indigenous people's livelihoods or belief systems?	No	
- Will the project cause disproportionate impact to women or other disadvantaged or vulnerable groups?	No	
- Will the project involve and or be complicit in the alteration, damage or removal of any critical cultural heritage?	No	
- Does the project include measures to avoid corruption?	Yes	Strengthening of good governance through capacity building of stakeholders and monitoring and evaluation activities are proposed for most of the activities, as well as consultant deliverables and bids.
<i>Only if it can be carefully justified that any negative impact from the project can be avoided or mitigated satisfactorily both in the short and long-term, can the project go ahead.</i>		

Section D: Other considerations

If negative impact is identified or anticipated the Comment/Explanation field needs to include: Project stage for addressing the issue; Responsibility for addressing the issue; Budget implications, and other comments.

	<i>Yes/No/N.A.</i>	<i>Comment/explanation</i>
- Does national regulation in affected country (-ies) require EIA and/or ESIA for this type of activity?	No	
- Is there national capacity to ensure a sound implementation of EIA and/or SIA requirements present in affected country (-ies)?	Yes	
- Is the project addressing issues, which are already addressed by other alternative approaches and projects?	Yes	It helps in the conservation of biodiversity and critical habitats through linkages with other relevant initiatives and GEF projects
- Will the project components generate or contribute to cumulative or long-term environmental or social impacts?	Yes (positive)	Especially on positive socio-economic impacts generated by the project. As above, the project will encourage close

		monitoring of any impacts on local and indigenous communities related to the PA establishment or operations, leading to an increased level of communication and problem-solving than would otherwise exist in the area.
- Is it possible to isolate the impact from this project to monitor E&S impact?	Yes	By monitoring the conservation targets and management tools. The PSC provides a platform for stakeholder input on the impacts at multiple levels.