



PROJECT PREPARATION GRANT (PPG)

PROJECT TYPE: MEDIUM-SIZED PROJECT

TYPE OF TRUST FUND: NAGOYA PROTOCOL IMPLEMENTATION
FUND

Submission date: January 4, 2013

GEF PROJECT ID: 5160

GEF AGENCY PROJECT ID: 5139

COUNTRY(IES): Colombia

PROJECT TITLE: The Development and Production of Natural Dyes in the Choco Region of Colombia for the Food, Cosmetics and Personal Care Industries Under the Provisions of the Nagoya Protocol

GEF AGENCY(IES): UNDP

GEF FOCAL AREA(S): BIODIVERSITY

A. PROJECT PREPARATION TIMEFRAME

| | |
|------------------------|---------------|
| Start date of PPG | February 2013 |
| Completion date of PPG | May 2013 |

B. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)

Describe the PPG activities and justifications: The PPG funds will be used to undertake in-depth analysis of key issues, carry out consultations through strong participation of all related government sectors, communities, private sector and other key stakeholders in order to complete the baseline of the project. The proposed activities to be developed are listed as follows:

1. *Situation analysis about Genipa americana blue colorant value chain*: PPG resources will be used to define the legal/political baseline and development/value chain of access and sustainable use of *Genipa americana*, identifying threats, barriers and opportunities. The project will also examine risks, barriers and roles derived from the relationship amongst ECOFLORA, local communities, NGOs and national authorities found in the two sites where samples will be collected. This activity will contribute to prevent conflicts among local stakeholders and ECOFLORA and ensure a successful implementation of the proposal.
2. *Assessment of existing capacity and needs related to access to genetic resources and benefit sharing in the Ministry for Environment and Sustainable Development (MADS)*: This activity will establish a baseline for capacity and training needs of staff on ABS within MADS.
3. *Obtaining free and prior informed consent (FPIC)*: PPG funds will support efforts to obtain FPIC from the two communities that participate in the project. The PIF will be socialized amongst these communities and evidence of FPIC will be obtained. This effort will build on current consultation activities carried out by ECOFLORA with these communities in order to comply with national legislation on the matter.
4. *Final proposal preparation (budget and key project design elements)*: Based on the above analysis and detailed information the project document will be prepared, taking into account the incremental cost analysis and project cost-effectiveness, risks and mitigation measures in order to establish clear and achievable results framework and budget and its corresponding monitoring and evaluation plan. A detailed sustainability strategy will be defined in order to ensure replication of benefits beyond the lifetime of the project.

| List of Proposed Project Preparation Activities | Outputs of the PPG Activities | Trust Fund | Grant Amount (a) | Co-financing (b) | Total c = a + b |
|--|---|------------|------------------|------------------|--------------------|
| Situation analysis about <i>Genipa Americana</i> /blue colorant value chain | <ul style="list-style-type: none"> Baseline for legal/political access to <i>Genipa Americana</i> Analysis of threats, barriers and opportunities for the sustainable use of <i>Genipa Americana</i> Stakeholders engagement plan, including a clear definition of roles and responsibilities | NPIF | 6,000 | 3,000 | 9,000 |
| Assessment of existing capacity and needs related to access to genetic resources and benefit sharing in MADS | <ul style="list-style-type: none"> Assessment of current capacities and needs on ABS Baseline on capacity development indicators for government stakeholders | NPIF | 4,091 | 3,000 | 7,091 |
| Obtaining free, prior and informed consent (FPIC) | <ul style="list-style-type: none"> Written evidence (e.g., agreements, meeting minutes or documents) demonstrating that local communities and other relevant stakeholders understand ABS issues and give their consent for project implementation in their territories | NPIF | | 12,000 | 12,000 |
| Final proposal preparation (budget and key project design elements) | <ul style="list-style-type: none"> Detailed project strategy, including incremental cost analysis, cost-effectiveness to identify optimal interventions. Risks and mitigation measures Project results framework Project M&E plan including baseline levels, indicators, methodologies and targets to track project progress and effectiveness. Detailed budget and a sound replication strategy Execution arrangements Sustainability assessment and strategy | NPIF | 10,000 | 2,000 | 12,000 |
| Total Project Preparation Financing | | | 20,091 | 20,000 | 40,091 |

C. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)

| | Project Preparation | Agency Fee |
|--------------|---------------------|--------------|
| Grant Amount | 20,091 | 1,909 |
| Co-financing | 20,000 | |
| Total | 40,091 | 1,909 |

D. PPG AMOUNT REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)

| Trust Fund | GEF Agency | Focal Area | Country Name/ Global | (in \$) | | |
|-------------------------|------------|--------------|-------------------------|---------------|----------------|--------------------|
| | | | | PPG (a) | Agency Fee (b) | Total c = a + b |
| NPIF | UNDP | Biodiversity | Colombia | 20,091 | 1,909 | 22,000 |
| Total PPG Amount | | | | 20,091 | 1,909 | 22,000 |


E. PPG BUDGET

| Cost Items | Total Estimated Person Weeks for Grant (PW) | Grant Amount (\$) | Co-financing (\$) | Total (\$) |
|----------------------------|---|-------------------|-------------------|---------------|
| Local consultants * | | 5,691 | | 5,691 |
| International consultants* | | 10,000 | | 10,000 |
| Travel** | | 3,000 | 3,000 | 6,000 |
| Workshops & consultation | | 1,400 | 9,000 | 10,400 |
| Ecoflora staff | | | 8,000 | 8,000 |
| Total PPG Budget | | 20,091 | 20,000 | 40,091 |

* Annex A for Consultant cost details should be prepared first before completing this table. See notes on Annex A for the required detailed information.

** Travel costs: Travel costs will cover: a) local travel for local consultants to the project areas; and b) travel to Colombia and per day for international expert on monitoring and evaluation.

F. GEF AGENCY CERTIFICATION

| This request has been prepared in accordance with GEF policies and procedures and meets the GEF/LDCF/SSCF/NPIF Trust Fund criteria for project identification and preparation. | | | | | |
|--|--|-------------------------------|--|-------------------|--|
| Agency Coordinator, Agency Name | Signature | Date (Month, day, year) | Project Contact Person | Telephone | Email Address |
| Yannick Glemarec, UNDP/GEF Executive Coordinator |  | January 4, 2013 | Santiago Carrizosa, Regional Technical Advisor, EBD | +507 302- 4510 | Santiago.carrizosa@undp.org |

Annex A

Consultants Financed by the Project Preparation Grant (PPG)

| Type of Consultant | Position / Titles | \$/Person Week¹ | Estimated PWs² | Total | Tasks to be Performed |
|---------------------------|--|-----------------------------------|----------------------------------|--------------|--|
| International | 1 Monitoring and Evaluation Expert , with knowledge on ABS | 2,500 | 4 | 10,000 | Final proposal preparation (budget and key project design elements): <ul style="list-style-type: none"> • <i>Providing methodological guidance for data collection related to project monitoring.</i> • <i>Providing a precise definition of the GEF incremental value per outcome and output and developing of incremental cost matrices.</i> • <i>Developing a cost-effectiveness analysis protocol to identify optimal interventions, assess lessons learned and outline replication strategies.</i> • <i>Preparing a detailed M&E plan and budget.</i> • <i>Coordinating with local expert collection of relevant information</i> |
| Local | 1 Socioeconomic expert | 813 | 7 | 5,691 | <ul style="list-style-type: none"> • <i>Carrying out the situation analysis about Genipa Americana / blue colorant value chain</i> • <i>Assessing existing capacity and needs related to access to genetic resources and benefit sharing in MADS</i> • <i>Holding meetings and consultations with relevant institutions</i> • <i>Organizing relevant workshops</i> |

¹ Dollar amount per person week.

² Person weeks needed to carry out the task