

Development of Costa Rica's First Biennial Transparency Report to the UNFCCC

Review CEO Endorsement and Make a recommendation

Basic project information

GEF ID

10747

Countries

Costa Rica

Project Name

Development of Costa Rica's First Biennial Transparency Report to the UNFCCC

Agencies

UNDP

Date received by PM

12/9/2020

Review completed by PM

3/1/2022

Program Manager

Patricia Marcos Huidobro

Focal Area

Climate Change

Project Type

EA

Expedited Enabling Activity req (CEO) □

Part 1: Project Information

Focal area elements

Is the enabling activity aligned with the relevant GEF focal area elements as indicated in Table A and as defined by the GEF 7 Programming Directions?

Secretariat comment at CEO Endorsement Request

3/1/2022 PM:

Cleared.

2/15/2022 PM:

Yes, with comments. This project is aligned with the GEF-7 climate change focal area strategy. Enabling Activities are usually marked as Rio Markers CCM2 and CCA1. Please clarify and/or update accordingly.

Agency Response

Response 28 Feb 2022:

The comment has been addressed in the GEF portal. Rio markers are set up as CCM2 and CCA1.

Project description summary

Is the project structure/design appropriate to achieve the expected outcomes and outputs as in Table B and described in the project document?

Secretariat comment at CEO Endorsement Request

3/9/2022 PM:

Cleared.

3/3/2022 PM:

Please address the following comments:

- Given the UNDP 4 week circulation, please update the expected start date (3/31/2022) to a future date
- On Table B: please include the expected outcomes and outputs for the M&E component.

2/15/2022 PM:

Yes.

Agency Response

Response 8 March 2022:

The start date has been shifted from 31 March 2022 to 1 June 2022.

Outcomes and outputs for M&E component have been included in the table B.

Co-financing

Are the confirmed expected amounts, sources and types of co-financing adequately documented, with supporting evidence and a description on how the breakdown of co-financing was identified [and meets the definition of investment mobilized, and a description of any major changes from PIF, consistent with the requirements of the Co-Financing Policy and Guidelines?]

Secretariat comment at CEO Endorsement Request

2/15/2022 PM:

N/A. Co-financing is not required for EAs. No co-finance has been confirmed for this project.

Agency Response

GEF Resource Availability

Is the proposed GEF financing in Table D (including the Agency fee) in line with GEF policies and guidelines?

Secretariat comment at CEO Endorsement Request

2/15/2022 PM:

Yes, Table D is properly filled out.

Agency Response

Are they within the resources available from:
The STAR allocation?

Secretariat comment at CEO Endorsement Request

2/15/2022 PM:

N/A.

Agency Response

The focal area allocation?

Secretariat comment at CEO Endorsement Request

2/15/2022 PM:

N/A.

Agency Response

The LDCF under the principle of equitable access

Secretariat comment at CEO Endorsement Request

2/15/2022 PM:

N/A.

Agency Response

The SCCF (Adaptation or Technology Transfer)?

Secretariat comment at CEO Endorsement Request

2/15/2022 PM:

N/A.

Agency Response

Focal area set-aside?

Secretariat comment at CEO Endorsement Request

2/15/2022 PM:

Yes. There are sufficient resources to support this project from the climate change focal area set aside.

Agency Response

Is the financing presented adequate and demonstrate a cost-effective approach to meet the project objectives?

Secretariat comment at CEO Endorsement Request

2/15/2022 PM:

Yes, the financing requested is based on full-cost basis for reporting obligations.

Agency Response

Part 2: Enabling Activity Justification

Background and Context.

Are the achievements of previously implemented enabling activities cited since the country(ies) became a party to the Convention?

Secretariat comment at CEO Endorsement Request

2/15/2022 PM:

Yes. This is well and clearly described.

Agency Response

Goals, Objectives, and Activities.

Is the project framework sufficiently described?

Secretariat comment at CEO Endorsement Request

3/1/2022 PM:

Cleared.

2/15/2022 PM:

Yes, with suggestions. Please address the following comments:

- As the CBIT project is under implementation, please provide more detail on how this EA project will utilize capacity-enhancement on transparency of the country by the CBIT project. Will the current project have any particular contribution to the ongoing CBIT project in Costa Rica?

- Please indicate in the description of the activities that the project will be using the common tabular formats adopted by Decision 5/CMA.3 for the electronic reporting of the information.

Agency Response

Response 28 Feb 2022:

More information on how this EA project will utilize the capacity-enhancement facilitated by the CBIT project has been added under the relevant components.

Further reference to the use of common reporting tables and tabular formats adopted by Decision 5/CMA.3 has been added under each component.

Stakeholders.

Does the project include detailed report on stakeholders engaged during the design phase? Is there an adequate stakeholder engagement plan or equivalent documentation for the implementation phase, with information on Stakeholders who will be engaged, the means of engagement, and dissemination of information?

Secretariat comment at CEO Endorsement Request

3/1/2022 PM:

Cleared.

2/15/2022 PM:

Yes, with suggestions. The Organization of Tropical Studies, which is a not-for-profit consortium is listed as a Governmental Institution. Please explain and/or correct accordingly.

Agency Response

Response 28 Feb 2022:

Corrected. Organization of Tropical Studies (OTS) has been listed under Academia/NGO in the Stakeholder table.

Gender equality and women's empowerment.

Has the gender analysis been completed? Did the gender analysis identify any gender differences, gaps or opportunities linked to project/program objectives and activities? If so, does the project/program include gender-responsive activities, gender-sensitive indicators and expected results?

Secretariat comment at CEO Endorsement Request

2/15/2022 PM:

Yes.

Agency Response

Monitoring and Evaluation.

Does the project include a budgeted M&E Plan?

Secretariat comment at CEO Endorsement Request

2/15/2022 PM:

Yes.

Agency Response

Cost Effectiveness.

Is the project cost effective?

Secretariat comment at CEO Endorsement Request

2/15/2022 PM:

Yes, with suggestions. Similar to the comment related to ?Goals, Objectives, and Activities?, we invite you to elaborate more on the contribution between the current project and the ongoing CBIT project

Agency Response

Response 28 Feb 2022:

More information on the contribution of the CBIT project to the BTR project has been added under the section on Cost effectiveness.

Cost Ranges

If there was a deviation in the cost range, was this explained?

Secretariat comment at CEO Endorsement Request

2/15/2022 PM:

N/A. Please check the Project Budget Table in Annex A of the Portal Entry which is off the margins.

Agency Response

Response 28 Feb 2022:

The budget table in the GEF portal has been adjusted to fit with the margins.

Part III. Endorsement/Approval by OFP

Country endorsement

Has the project been endorsed by the country's GEF Operational Focal Point and has the name and position been checked against the GEF database?

Secretariat comment at CEO Endorsement Request

3/1/2022 PM:

Cleared.

2/15/2022 PM:

Yes, with comments. Please address the following comments:

- An older version of the Country Letter of Endorsement has been uploaded under Part III, Section A of the GEF Portal Entry. Please remove and upload the latest version.

- The latest version of the Letter of Endorsement states that the project will be implemented by the Secretary of Energy and Ecological Transition, while the Organization of Tropical Studies will be the Executing Agency as per the CEO Endorsement document. The information in Portal should reflect exactly what the OFP has endorsed in the letter. Thus, this can be amended in two ways: (i) with a new LoE; or (ii) with an email from the OFP confirming that there is an error in the Letter of Endorsement, and that the Organization of Tropical Studies is indeed the Executing Agency for the project.

Agency Response

Response 28 Feb 2022:

The correct new version of OFP Letter of Endorsement has been uploaded in the GEF portal.

The new OFP Letter of Endorsement clearly confirms that Organization of Tropical Studies (OTS) is an Executing Agency.

Response to Comments

Are all the comments adequately responded to? (only as applicable)

GEF Secretariat Comment

2/15/2022 PM:

N/A.

Agency Response

Other Agencies comments?

Secretariat comment at CEO Endorsement Request

2/15/2022 PM:

N/A.

Agency Response

Council comments

Secretariat comment at CEO Endorsement Request

2/15/2022 PM:

N/A.

Agency Response

STAP Comments

Secretariat comment at CEO Endorsement Request

2/15/2022 PM:

N/A.

Agency Response

Convention Secretariat comments

Secretariat comment at CEO Endorsement Request

2/15/2022 PM:

N/A.

Agency Response

CSOs comments

Secretariat comment at CEO Endorsement Request

2/15/2022 PM:

N/A.

Agency Response

GEFSEC DECISION

RECOMMENDATION

Is CEO Endorsement/approval recommended?

Secretariat comment at CEO Endorsement Request

3/9/2022 PM:

Cleared.

3/3/2022 PM:

Please address the following pending comments:

- Given the UNDP 4 week circulation, please update the expected start date (3/31/2022) to a future date
- On Table B: please include the expected outcomes and outputs for the M&E component.

2/15/2022 PM:

No. Please address the comments above.

Review Dates

	Secretariat Comment at CEO Endorsement	Response to Secretariat comments
First Review	12/23/2020	
Additional Review (as necessary)		
Additional Review (as necessary)		

**Secretariat Comment at
CEO Endorsement**

**Response to
Secretariat comments**

**Additional Review
(as necessary)**

**Additional Review
(as necessary)**

CEO Recommendation

Brief reasoning for CEO Recommendations