

GEF-8 PROJECT IDENTIFICATION FORM (PIF)

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General Project Information

Pro		

GEF-8 Inclusive Conservation Initiative

WWF-US	GEF Agency	
Executing Partner	Executing Partner Type	
WWF-US	G0065	
GEF Agency(ies):	GEF Agency ID	
Global	FSP	
Country(ies)	Type of Project	
Global	11761	
Region	GEF Project ID	

Project Sector (CCM Only)

Taxonomy

Focal Areas, Biodiversity, Protected Areas and Landscapes, Community Based Natural Resource Mngt, Productive Seascapes, Terrestrial Protected Areas, Coastal and Marine Protected Areas, Productive Landscapes, Financial and Accounting, Conservation Trust Funds, Influencing models, Strengthen institutional capacity and decision-making, Demonstrate innovative approache, Stakeholders, Local Communities, Indigenous Peoples, Beneficiaries, Gender Equality, Gender results areas, Gender Mainstreaming, Women groups, Sex-disaggregated indicators, Gender-sensitive indicators, Capacity Development, Knowledge Generation and Exchange, Participation and leadership, Awareness Raising, Access to benefits and services, Access and control over natural resources, Capacity, Knowledge and Research, Knowledge Generation, Training, Knowledge Exchange, Partnership, Type of Engagement, Information Dissemination, Consultation

Project Duration (Months)
60
GEF Project Non-Grant: (b)
0.00
Agency Fee(s) Non-Grant (d)
0.00
Total Co-financing
83,799,902.00
PPG Agency Fee(s): (f)
36,000.00
Total GEF Resources: (a+b+c+d+e+f)

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436,000.00 25,000,000.00

Project Tags

CBIT: No NGI: No SGP: No Innovation: No

Project Summary

Provide a brief summary description of the project, including: (i) what is the problem and issues to be addressed? (ii) what are the project objectives, and if the project is intended to be transformative, how will this be achieved? iii), how will this be achieved (approach to deliver on objectives), and (iv) what are the GEBs and/or adaptation benefits, and other key expected results. The purpose of the summary is to provide a short, coherent summary for readers. The explanation and justification of the project should be in section B "project description".(max. 250 words, approximately 1/2 page)

GEF-8 ICI is being launched at a time of profound ecological degradation and biodiversity loss driven by land conversion for agricultural and urban use, intensifying climate change-related events, direct exploitation of natural resources, pollution, and invasive species[1]. These factors are exacerbating pressures and threats to Indigenous Peoples and local communities (IPs and LCs), their territories, lands, waters, resources, and the biodiversity they conserve (referred to hereon as "territories, lands, waters and resources"). In tandem, recognition of IPs' and LCs' contributions to Global Environmental Benefits (GEBs), commitments to support them in securing land and tenure rights, and the use of their traditional knowledge to steward their territories, lands, waters and resources, are growing yet remain insufficient. This is resulting in IPs and LCs being under-supported at this important time as threats continue to grow and when their solutions are critical to achieving global biodiversity and climate goals. The GEF-8 ICI seeks to directly address this nexus of issues.

Specifically, the project's objective is to: Increase resources, organizational strength, and recognition for IPs and LCs governance and stewardship of territories, lands, waters and resources to deliver global environmental benefits. This will be achieved by directing eighty percent of the project grant's support to IPs, LCs and their organizations, including for their self-determined priorities and self-strengthening activities under Components 1 and 2. Taking a systems change approach, GEF-8 ICI will 1. enhance the capacities of key actors within the ecosystem of IPs and LCs-led organizations, as well as 2. help strengthen the overall functioning of the system of funding for IP and LC organizations, which together will promote the long-term durability of the project results.

The project is comprised of five components. **Component 1:** On-the-ground IPs and LCs-led projects deliver GEBs. **Component 2:** Self-Strengthening of IPs and LCs organizations to govern territories, lands, waters and resources. **Component 3:** IPs and LCs representation and recognition at global and large-scale decision-making levels. **Component 4:** Experience and lessons learned to support and enhance broader IPs and LCs initiatives. **Component 5:** Monitoring and evaluation.

Based on initial consultations with IPs and LCs, allied organization representatives, and refined with the GEF Indigenous Peoples Advisory Group (IPAG), the GEF-8 ICI will have two Executing Partner categories that will receive direct grants from the GEF Agency to self-strengthen and to deliver GEBs based on self-determined plans: 1. Impact Partners - IPs and LCs organizations with adequate institutional capacity (including administrative, project management and M&E capacities) who will receive direct grants to execute local work and be supported with organizational strengthening and networking, as requested. 2. Accelerator Partners - IPs and LCs-led funding mechanisms who will receive direct grants to strengthen their institutional and technical capabilities and make sub-grants to IPs and LCs organizations of various sizes that are well

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positioned to deliver significant biodiversity outcomes, but not yet on the level of Impact Partners. Additional partners will also be engaged, for example, for strengthening partners, policy leadership, and knowledge and learning.

The project activities will be driven by the self-determined priorities of the selected Impact Partners and Accelerator Partner sub-grantee organizations to enhance stewardship of their territories, lands, waters and resources. This document outlines the framework and processes that will guide the GEF-8 ICI, however the specific details will be directed by the IPs and LCs ICI Partners in their planning.

The project benefits as reported against the GEF Core Indicators will be further refined after the Partners are selected and begin to develop their plans in the PPG stage. At the PIF stage, it is estimated that there will be 125,000 beneficiaries; 150,000 ha of terrestrial protected areas and 100,000 ha of marine protected areas created or under improved management; 10,000 ha of land and ecosystems under restoration; and 2.5M ha of landscape and 150,000 ha of marine habitat under improved practices. Carbon benefits are also anticipated and will be quantified once partners are selected and their plans developed.

[1] Five Drivers of the Nature Crisis (2023) https://www.unep.org/news-and-stories/story/five-drivers-nature-crisis

Indicative Project Overview

Project Objective

Increase resources, organizational strength, and recognition for IPs and LCs governance and stewardship of territories, lands, waters and resources to deliver global environmental benefits.

Project Components

Component 1: On-the-ground IPs and LCs-led projects deliver global environmental benefits

Component Type	Trust Fund
Investment	GET
GEF Project Financing (\$)	Co-financing (\$)
18,000,000.00	66,933,483.00

Outcome:

- **1.1** A diverse, global portfolio of ICI sub-projects led by IPs and LCs organizations[1]² enhances stewardship of territories, lands, waters and resources and delivers global environmental benefits
- **1.2** Increased recognition, where relevant, of IPs and LCs contributions in national plans and strategies (e.g. NBSAPs, NDCs) following FPIC and in locally-appropriate ways

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[1] IPs and LCs organizations encompass Impact and Accelerator Partners (collectively called "Partners" in this proposal) which are organizations that are led and governed by IPs and LCs

Output:

- 1.1.1 Impact and Accelerator Partners contracted
- 1.1.2 Plans developed and approved for IPs and LCs-led sub-projects
- **1.1.3** Sub-project Plans (1.1.2) implemented by IPs and LCs to improve conservation, sustainable use and restoration of natural ecosystems
- **1.2.1** Support toward self-designation of IPs and LCs contributions to national strategies and plans (e.g. NBSAPs, NDCs), including land and territory designations (e.g., Protected Areas, Other Effective Area-Based Conservation Measures, Indigenous and Traditional Territories)

2. Self-Strengthening of IPs and LCs organizations to govern territories, lands, waters and resources

Component Type	Trust Fund
Technical Assistance	GET
GEF Project Financing (\$)	Co-financing (\$)
2,000,000.00	7,437,054.00

Outcome:

2.1 IPs and LCs Partners have self-strengthened to steward territories, lands, waters and resources, and scale support to IPs and LCs organizations

2.2 IPs and LCs organizations and networks self-strengthened

Output:

- **2.1.1** Institutional, technical and operational strength assessment of Partners and development or update of institutional strategic development plans
- 2.1.2 Identification of and support to existing IPs and LCs strengthening resources and mechanisms
- **2.1.3** Implement self-strengthening plans to support institutional, technical and/or operational capabilities (indicatively, as needed): leadership, governance, fundraising and financial sustainability, organizational strategy, project management, grantmaking, local to

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national legal empowerment, land and tenure security, self-designation of appropriate conservation pathways, communications, monitoring, evaluation and learning (MEL)

2.2.1 Collective strengthening through learning exchanges between IPs and LCs project partners, including GEF-7 ICI executing agencies, and external organizations delivered

Component 3: 3. IPs and LCs representation and recognition at global and large-scale decision-making levels

Component Type	Trust Fund
Technical Assistance	GET
	Co-financing (\$)
505,169.00	1,878,484.00

Outcome:

3.1 Increased representation and influence of IPs and LCs in national to global level decision-making processes, with focus on where there is less representation, e.g. Rio Conventions subsidiary and technical bodies and other fora (e.g. World Economic Forum).

Output:

- 3.1.1 Strategy developed to increase IPs and LCs representation and influence in global level and within large-scale decision-making processes, based on a gap analysis.
- 3.1.2 ICI Policy Leadership program (with a focus on women and youth) established

Component 4: Experience and lessons learned to enhance broader IPs and LCs initiatives

Component Type	Trust Fund
Technical Assistance	GET
GEF Project Financing (\$)	Co-financing (\$)
500,000.00	1,859,263.00

Outcome:

- 4.1 Body of knowledge and practice on IPs and LCs-led stewardship, land, water, territory, and resource rights and security is advanced.
- 4.2 Increased awareness of key project findings and lessons by broader IPs and LCs community and allied people and organizations.

Output:

- 4.1.1 ICI Knowledge Platform(s) identified.
- 4.1.2 ICI Knowledge Products developed with ICI Partner organizations.

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- 4.1.3 Proven, replicable models for advancing IPs and LCs rights and tenure security, governance, strength building, sustainable finance and increased participation of women and youth disseminated.
- 4.2.1 Effective Communication Strategy developed and delivered to disseminate project lessons to target audiences.
- 4.2.2 Dialogues with key project stakeholders.

Trust Fund
GET
Co-financing (\$)
1,683,437.00
_

Outcome:

5.1 Monitoring and Evaluation plan finalized with data collection, reflection and reporting on time to aid in results-based decision-making and adaptive management

Output:

- 5.1.1 Project monitored regularly at all levels through multi-source knowledge collection (for annual work plans, results framework, project progress reports, core indicators).
- 5.1.2 Completion of MTE and Terminal Evaluation.

Component Balances

Project Components	GEF Project Financing (\$)	Co-financing (\$)
Component 1: On-the-ground IPs and LCs-led projects deliver global environmental benefits	18,000,000.00	66,933,483.00
2. Self-Strengthening of IPs and LCs organizations to govern territories, lands, waters and resources	2,000,000.00	7,437,054.00
Component 3: 3. IPs and LCs representation and recognition at global and large-scale decision-making levels	505,169.00	1,878,484.00
Component 4: Experience and lessons learned to enhance broader IPs and LCs initiatives	500,000.00	1,859,263.00
M&E	457,479.00	1,683,437.00

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Subtotal	21,462,648.00	79,791,721.00
Project Management Cost	1,073,132.00	4,008,181.00
Total Project Cost (\$)	22,535,780.00	83,799,902.00

Please provide justification

PROJECT OUTLINE

A. PROJECT RATIONALE

Briefly describe the current situation: the global environmental problems and/or climate vulnerabilities that the project will address, the key elements of the system, and underlying drivers of environmental change in the project context, such as population growth, economic development, climate change, sociocultural and political factors, including conflicts, or technological changes. Describe the objective of the project, and the justification for it. (Approximately 3-5 pages) see guidance here

Context and Background

There is a growing body of evidence demonstrating that Indigenous Peoples and local communities (IPs and LCs) are effective stewards of nature [1]3, [2]4, [3]5 including maintaining intact forests [4]6, terrestrial mammal habitats [5]7, vertebrate diversity, and often with better outcomes than protected areas [6]8. Their application of traditional knowledge and cultural practices, as determined through their systems of governance and stewardship is critical to conserving biodiversity. However, if IPs and LCs do not have support for their stewardship of territories, lands, waters and resources and adequate funding, they will not be able to effectively defend against increasing threats to their territories, lands, waters, biodiversity, resources, cultures, spiritual beliefs, languages, traditional knowledge, and practices [7]9 (referred to hereon collectively as "territories, lands, waters and resources"). More communities will lose the fight to protect [8]10 and conserve their territories and lands and maintain their sustainable ways of life that contribute to Global Environmental Benefits (GEBs). The world will not reach its climate and biodiversity goals in the absence of fully financed partnerships that deliver the necessary resources to IPs and LCs

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organizations to implement their self-determined priorities to enhance stewardship of territories, lands, waters and resources $[9]^{11}$, $[10]^{12}$.

This project builds on the GEF-7 ICI and other key initiatives, with the understanding that comprehensively addressing the resource-related challenges faced by local IPs and LCs organizations requires interventions at multiple strategic points within the broader ecosystem that facilitates finances reaching the ground - where they are most needed and most effective. This project proposes to increase resources, organizational strength, and recognition for IPs and LCs governance and stewardship of territories, lands, waters and resources to deliver global environmental benefits.

It is estimated that IPs and LCs own or govern over 43.5 million km² of global land and associated inland waters, of which 65% have zero to low levels of human modification and 27% have moderate levels. In total, 91% of their territory is estimated to be in good or moderate ecological condition[11]¹³. An analysis of 73 countries identified 1,264.6 Mha of land owned by Indigenous Peoples, Afro-descendant Peoples (ADPs), and local communities in 2020, with 1,375 Mha of communities' lands that were still unrecognized[12]¹⁴,[13]¹⁵.

Enhanced stewardship of their territories, lands, waters and resources improves the ability of IPs and LCs to protect their ways of life, resulting in enhanced biodiversity outcomes, [14]¹⁶ and derive customary sustainable livelihoods. However, rights are often weak, unrecognized or not enforced.

The global commitment and recognition of the urgent need to equitably and effectively partner with IPs and LCs to support their security and successful stewardship of nature has not yet resulted in the delivery of financial resources to fund necessary actions at the local level. For example, the findings of a recent report by the Forest Tenure Funders Group (FTFG) on the disbursement of the \$1.7b pledge made in 2021 highlighted that despite the strong commitment demonstrated by the pledge, of the \$493m provided in 2022 to support IPs and LCs forest tenure, only 2.1% went to IPs and LCs-led organizations [15] 17.

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Analysis [16] 18, [17] 19, [18] 20, [19] 21 undertaken to understand and address the obstacles to delivering funding to IPs and LCs organizations has identified key recommendations, which inform the design of GEF-8 ICI. These include:

- Taking an ecosystem, holistic approach to strengthening IPs and LCs organizations.
- Supporting the development of IPs and LCs-led funding mechanisms to meet the needs of a wide variety of IPs and LCs organizations.
- Respecting and following the lead of IPs and LCs leadership and worldviews.
- Engaging in on-going dialogue, regional consultations, and a strong Free Prior and Informed Consent (FPIC)
 process to develop strategies that support the self-determined priorities of IPs and LCs.
- Ensuring inclusion and effective participation of women, youth, LGBTQ, and other socially marginalized groups.
- Tailoring organizational strengthening to the self-determined priorities of IPs and LCs.
- Incorporating flexibility and adaptability.
- Ensuring mutual accountability and transparency.
- Documenting and sharing the lessons learned and best practices to support the development of the field and advocacy efforts.
- Building capacity of donors to adjust complex funding requirements and better partner with IPs and LCs.
- Providing financial and political support for effective participation of IPs and LCs in regional and global decision-making processes.

Future Scenarios

There are several scenarios that could emerge across key factors that could influence the project's implementation and durability of outcomes. The degree to which IPs and LCs have government and private sector support for their stewardship of territories, lands, waters and resources will impact on the respective communities' ability to deliver GEBs, with greater support enabling increased conservation outcomes[20]²². Additionally, there are potential variations in the extent to which IPs and LCs are remaining on their

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traditional lands and territories versus moving to more urban settings due to economic pressure, social trends, insecurity, climate change, lack of education, health or other factors. Population trends could further affect project implementation. Finally, access to existing or new funding sources (additional to this project funding) could affect the long-term durability of the project outcomes. These are three significant factors that have the potential to be drivers in the future scenarios of inclusive conservation.

Baseline

Global and regional IPs and LCs networks and funding mechanisms: IPs and LCs are currently represented by local, sub-national, and national networks. Many of them coordinate to form some of the largest regional and global networks of IPs and LCs. The networks include a variety of women's, youth, and other organizations. Through these networks, IPs and LCs are calling for the recognition and full realization of their rights and greater access to direct funding to support their local activities that result in stronger, healthier communities and in turn, GEBs. For example, the Global Alliance for Territorial Communities (GATC) represents 35 million people in 24 countries through five regional organizations and hosts the Shandia Platform to support direct finance to IPs and LCs. There are additional networks in Asia, Africa and Latin America. Many of the networks have already established, or are in the process of establishing, IPs and LCs-led funding mechanisms to support IPs and LCs in their networks and regions.

Allied Organizations:

There are a number of allied organizations dedicated to partnering with IPs and LCs organizations and providing increased access to funding, such as:

Rights and Resources Initiative (RRI) <u>Strategic Response Mechanism</u> enables timely, flexible responses to unforeseen opportunities and threats related to land rights, allowing IPs, ADPs, and LCs to effectively respond to changes in the national, regional or global political landscape, providing grants of \$10,000-\$100,000 in Africa, Asia and Latin America.

RRI and Campaign for Nature <u>The Community Land Rights and Conservation Finance Initiative</u> (CLARIFI) provides grants for self-determined priorities of IPs and LCs to achieve land tenure and conservation goals in Africa, Asia and Latin America.

The <u>Tenure Facility</u> works in partnership with Indigenous Peoples and local communities to strengthen their tenure and ability to preserve, protect and enjoy the benefits of their traditional lands, territories, and resources, providing grants in Africa, Asia and Latin America.

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<u>Nia Tero</u> works in solidarity with Indigenous Peoples who sustain thriving territories and cultures to strengthen guardianship of Earth and all beings, providing grants primarily in the Amazon and Pacific.

Conservation organizations and funds supporting IPs and LCs as part of their strategy include, but are not limited to:

Conservation International leads the <u>Indigenous Leaders Conservation Fellowship Program</u> and has managed <u>The FIP Dedicated Grant Mechanism for Indigenous Peoples and Local Communities</u> with projects through 2026.

IUCN has partnered with the International Indigenous Forum on Biodiversity (IIFB) on the Podong initiative

to increase funding and capacity-building for Indigenous peoples and organizations and the <u>ACT30</u> initiative to bring together governments, IPs and LCs to map diverse and effective pathways to conservation.

The Wildlife Conservation Society (WCS) and Rainforest Foundation Norway (RFN) launched a <u>fund</u> in 2023 for IPs and LCs to protect forests in the Democratic Republic of Congo.

Conservation Trust Funds such as the <u>Micronesia Conservation Trust</u> are well established with systems and processes to support IPs and LCs by providing funding and technical support.

WWF continues to build on a long history of working with IPs and LCs, including in the context of the Namibian communal conservancies, launching the Inclusive Conservation Academy and co-authoring the <u>State of Indigenous Peoples' and Local Communities' Lands and Territories</u> report. WWF has numerous ongoing inclusive conservation projects, including: 1. The People Protecting Landscapes and Seascapes (PPLS) initiative which aims to counter biodiversity loss, climate change and environmental decline through supporting IPs and LCs in advocacy, securing and strengthening their rights to land and occupied territories. 2. Through the Coastal Communities Initiative (CCI), WWF works with more than 1,000 coastal Indigenous and small-scale fisheries communities engaging around 300,000 rightsholders. The Initiative scaled to 128 sites in 29 countries and a recent report, based on a rigorous monitoring, evaluation and learning (MEL) framework, demonstrates impact at local, national and regional level. 3. The Stewarding Landscapes and Seascapes work was co-designed with IPs and LCs in select landscapes and seascapes in Peru, Boliva and Chile. 4. At their invitation, WWF International is working with the Global Alliance of Territorial Communities (GATC) to build a collaborative agenda of action. 5. Recent global research, guidance, sharing and thought leadership on Inclusive Conservation including: a. Launch of a Network-wide inclusive conservation action plan, which aims to support WWF organizational change to strengthen inclusive approaches in learning, project management, communications, partnerships, etc., b. WWF is a lead author on the Site-level Tool for Identifying OECMs and the forthcoming OECM guidelines which also explicitly make the linkages with "Indigenous and traditional territories" (GBF Target 3).

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Multilateral institutions supporting IPs and LCs as part of their strategy include, but is not limited to:

UNDP <u>Small Grants Program</u> supports the <u>ICCA Consortium</u>, a membership-based organization supporting IPs and LCs to protect, conserve and defend their territories of life.

International Fund for Agricultural Development (IFAD) <u>The Indigenous Peoples Assistance Facility</u> (IPAF) provides small grants (\$20,000-50,000) to IPs and LCs in Africa, Asia and the Pacific, Latin America and the Caribbean.

World Bank <u>Forest Carbon Partnership</u> provides capacity building for Indigenous Peoples and civil society and the <u>Enable</u> program designed to enhance the inclusion of IPs and LCs and other disadvantaged and marginalized groups, such as women, youth, and people with disabilities in emissions reduction programs (ERPs) under the Climate Emissions Reduction Facility (CERF).

Donors:

Private philanthropic foundations, such as those represented in the <u>Forest Tenure Funders Group</u> (FTFG) and the <u>Protecting Our Planet Challenge</u>, are also supporting IPs and LCs alongside donor governments such as Germany, Norway, the Netherlands, the UK, the US, and Sweden.

The GEF-8 ICI will build on the work of the above networks and organizations operating in the regions of the selected ICI Partners on multiple levels. Informal dialogues with representatives from several of the IPs and LCs organizations and funding mechanisms have begun to inform the development of the project concept. Further dialogues and consultations will be conducted during the PPG stage. Additionally, once the IPs and LCs ICI Partner organizations are selected, regional collaboration will be pursued to minimize duplication, maximize sharing of effort and learnings, and harmonize activities with the ICI Partner organization.

Barriers to Action

Despite the growing number of donors and organizations working to support IPs and LCs, the funding is not reaching the ground at the pace and scale commensurate with their role as biodiversity stewards[21]²³. There are a number of interconnected barriers that disrupt the flow of funds to IPs and LCs that limit their ability to access the resources necessary to advance legal recognition and implement their self-determined plans for organizational strengthening and management of their territories, lands, waters and resources that result in GEBs.

Barrier 1: Under-developed funding ecosystem that lacks the ability to deliver adequate direct funding to IPs and LCs

While large multilateral donors are making an effort to support IPs and LCs, their structures and bureaucracies are complex and require lengthy processes to change to effectively provide direct support to IPs and LCs. Access to funds requires specialist knowledge and extensive experience, which then takes a long

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time to reach the ground. While regional and international organizations may play an intermediary role, their structures to meet the demands of donors adds another layer between IPs and LCs and the donors and thus dilutes the funding that reaches the ground. IPs and LCs-led funding mechanisms have been established to deliver more timely, flexible, and predictable funding, however most are newly established (less than five years old) and need institutional strengthening to access more complex funding and deliver it to IPs and LCs organization at the scale of the demands. GEF-7 ICI was indicative of this barrier of the unmet demand, receiving over 400 expressions of interest for 10 grants.

Barrier 2: Gaps in technical knowledge resulting from mainstream models of conservation and development aid that are inconsistent with IPs and LCs' culturally-rooted accountability and administrative practices used to govern and steward their territories, lands, waters and resources

IPs and LCs have systems of governance, accountability, and administration to manage their activities that align with their worldviews, knowledge systems, spiritual beliefs and cultural practices. These systems differ from those used by most funders. The gap between these two systems has to be bridged from both sides with mutual respect and strength building to meet in the middle. This includes access to technology and technical expertise, if it is of interest and requested by IPs and LCs, to support their activities.

Barrier 3: Limited financial and technical support for activities that advance territorial, and resource security

Advancing the security of IPs and LCs can be a non-linear process that requires sustained, multifaceted effort to achieve and maintain progress. Funding is often not "fit-for-purpose" which includes being led by IPs and LCs, mutually accountable, flexible, long-term, gender inclusive, timely and accessible [22]²⁴. Although support for IPs and LCs is increasing, the scale of the threats requires a matching level of fit-for-purpose funding.

Barrier 4: Inadequate support for holistic long-term planning for financial sustainability

Project-based funding that is focused on immediate activities and preferences short-term outcomes is necessary, but often does not adequately address the long-term financial needs of IPs and LCs organizations to maintain the progress made during the project cycle. A holistic approach that includes both project activities and long-term planning is necessary to ensure durable results.

Barrier 5: Limited participation, influence, and decision-making authority in national, regional, and global environment and development arenas

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IPs and LCs have historically been marginalized, excluded, and subjected to a myriad of human rights abuses within the context of global power structures. Although progress has been made^{[23]25}, for instance the recognition of the rights of IPs and LCs in the Kunming-Montreal Global Biodiversity Framework, in many national and global decision-making bodies, IPs and LCs still lack equitable influence on the decisions that affect their lives and that could benefit the world.

Barrier 6: Limited external recognition and understanding of Indigenous and traditional knowledge and successful solutions for achieving GEBs

Due to the marginalization and exclusion noted above, there is also limited recognition and understanding of IPs and LCs traditional knowledge and practices that have been used to successfully protect and live sustainably as a part of nature. More examples and best practices are needed to advance the transition to a human-rights based approach and inclusive conservation.

Without the GEF Scenario

The urgency of the biodiversity and climate crisis calls for a significant increase in funding by all parties to meet this moment wherein IPs and LCs leadership is desperately needed. The GEF-8 ICI will contribute to dismantling the barriers outlined above by providing financial and organizational strengthening to support the ecosystem of IPs and LCs of organizations to enhance their stewardship of territories, lands, waters and resources and deliver their solutions for the benefit of their communities and for GEBs. Additionally, it will provide lessons learned to enhance partnerships with IPs and LCs and achieve the goals of inclusive conservation.

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^[1] Dawson, NM et al. (2021) The role of Indigenous peoples and local communities in effective and equitable conservation. Ecology and Society 26(3):19. https://conbio.onlinelibrary.wiley.com/doi/10.1111/cobi.13620

^[2] Indicators of Social and Governance Issues: Indigenous and Community Forests (2024) WRI Global Forest Review https://research.wri.org/gfr/social-governance-issues-indicators/indigenous-community-forests

^[3] IPBES. (2019) Global Assessment Report of the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services

^[4] Fa JE et al. (2020) The importance of indigenous peoples' lands for the conservation of intact forest landscapes. Frontiers in Ecology and the Environment.18(3):135-140. https://esajournals.onlinelibrary.wiley.com/doi/10.1002/fee.2148

^[5] O'Bryan, C.J. et al. (2021) The importance of Indigenous Peoples' lands for the conservation of terrestrial mammals. Conservation Biology, 35: 1002-1008. https://doi.org/10.1111/cobi.13620

^[6] Sze, J. S., et al. (2024) Indigenous Peoples' Lands are critical for safeguarding vertebrate diversity across the tropics. *Global Change Biology*, 30, e16981. https://onlinelibrary.wiley.com/doi/10.1111/gcb.16981

^[7] Camino, M. (2023) Indigenous Lands with secure land-tenure can reduce forest-loss in deforestation hotspots, Global Environmental Change. https://doi.org/10.1016/j.gloenvcha.2023.102678.



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B. PROJECT DESCRIPTION

Project description

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This section asks for a theory of change as part of a joined-up description of the project as a whole. The project description is expected to cover the key elements of good project design in an integrated way. It is also expected to meet the GEF's policy requirements on gender, stakeholders, private sector, and knowledge management and learning (see section D). This section should be a narrative that reads like a joined-up story and not independent elements that answer the guiding questions contained in the PIF guidance document. (Approximately 3-5 pages) see guidance here

The overarching purpose of GEF-8 ICI is to advance an inclusive and transformational approach to conservation that strengthens the rights of IPs and LCs and increases their ability to advance their self-determined pathways for a sustainable future and defend against the threats that seek to undermine their ways of life, natural stewardship, and their well-being (which is inextricably linked to the well-being of their territories, lands, and waters). The self-determined priorities of IPs and LCs, identified through a consultative and grassroots process, will drive the design and implementation of project activities. The governance and leadership of IPs and LCs (with special attention to women and youth) is integrated at every stage of the project and is a fundamental requirement to achieving the project's objectives of increasing resources, building strength and recognition for IPs and LCs governance and stewardship of territories, lands, waters and resources to deliver GEBs. This project description outlines the process through which IPs and LCs will take the lead role in developing the specific activities, which will be supported by eighty percent of project funds being directed to IPs, LCs and their organizations. As specific sites and activities have not been selected yet, LOEs will be required prior to initiating project activities and first disbursement by the Agency.

In alignment with consultations with the GEF IPAG (2-3 September 2024), the GEF-8 ICI will take a <u>systems</u> <u>change</u> approach to deploy finances to IPs and LCs organizations and support self-strengthening that enhances their ability to deliver the project outcomes and increase long-term sustainability beyond this project. A key strategy to accomplish this is the inclusion of two Partner categories that serve different, but complementary functions within an ecosystem supportive of IPs and LCs self-determined priorities. Partners include:

Impact Partners are IPs and LCs organizations with significant institutional capacity that will receive direct grants to execute self-determined local work to deliver GEBs. They will be supported with tailored organizational strengthening and a broad range of networking opportunities. This partner category corresponds to the "IPLC Executing Agencies" who received direct grants under GEF-7 ICI.

Accelerator Partners are IPs and LCs-led funding mechanisms, distinct from other IPs and LCs organizations in that their primary mission is to deploy finance to other IPs and LCs organizations. Accelerator Partners will receive direct grants to strengthen their institutional and technical capabilities and make sub-grants to IPs and LCs organizations. The sub-grantees will be IPs and LCs organizations that do not yet have the institutional capabilities and/or interest to be Impact Partners but are well positioned to work with communities to deliver GEBs.

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IPs and LCs-led funding mechanisms represent an emerging field that has significant potential for bridging a gap in the current system of delivering finance to IPs and LCs organizations, and scaling up the delivery of more flexible, consistent, and predictable funding to support the IPs and LCs self-determined priorities. They have deep connections to communities based on cultural understanding and trust; close relationships with local, national, and regional IPs and LCs coalitions and networks; the ability to convene local partners; and the ability to harmonize donor requirements to better meet the needs of IPs and LCs organizations of various sizes, especially women's and youth organizations [1]²⁶.

Thus far, the primary source of funding for IPs and LCs-led funding mechanisms has been private philanthropy. As ICI Accelerator Partners, they will build a track record and implement the policies, systems, and practices necessary to secure additional funding from bilateral and multilateral funders. Thus, GEF-8 ICI will be a catalyst to 'accelerate' the IPs and LCs funding mechanisms' development to the next level, which will in turn catalyze greater funding for the IPs and LCs organizations they support.

The following Project Foundations section describes the cross-cutting elements underpinning the project. This is followed by the Theory of Change and each project Technical Component, including what the Outputs are and why they will lead to the Outcomes. The issues of how relevant stakeholders will contribute to developing and implementing the project, how GEBs will be generated and be enduring, the impact on policy, how knowledge will be generated and shared, and the way in which the Project is transformative is addressed throughout the description. Critical assumptions and risks to the project are assessed. The inclusion, participation, rights, and well-being of women, youth and other marginalized social groups will be of particular focus and integrated in every aspect of the project.

Project Foundations

Based on consultations with the GEF IPAG, GEF Secretariat and other key partners, the following cross-cutting guidelines and processes have been established to support an inclusive and equitable process that is designed to build trust and result in a high level of confidence in achieving outcomes, while also allowing for a deeply consultative process that is responsive to existing and emerging on-the-ground realities of IPs and LCs across a wide geographical scope.

Governance: During the project development (PPG) stage, an Interim Steering Committee (ISC) made up of representatives from the GEF IPAG, GEF Secretariat, and IPs and LCs communities, will govern and guide project development, including the selection of Impact and Accelerator Partners. The WWF Project Development Team (PDT) will be responsible for the day-to-day work and delivery of the final project package under the oversight of the ISC. During project implementation, the ISC will transition to become the Global Project Steering Committee (GPSC).

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The ISC will be convened by WWF. Potential characteristics may include the following:

- Role Inform and advise the project development and the structure and membership of the GPSC, including relating to:
 - Guiding principles (to be refined with GPSC)
 - Selection of executing agencies (Impact and Accelerator Partners), including the selection criteria and process
 - IPs and LCs and other stakeholder engagement plan for the project development, ensuring gender and social inclusion and equity
 - IPs and LCs and other stakeholder engagement plan for the project implementation, ensuring gender and social inclusion and equity
 - o Environmental and Social Management Framework and other safeguards related documents
 - Conflict Mitigation Strategies (including conflicts of interest and recusal protocols during Partner selection and recommendations to minimize conflict and build solidarity in the selected regions)
 - Policy Adoption and/or Development (Grievance Mechanism, Gender Mainstreaming, others as recommended by the ISC)
 - Selection criteria and terms of reference for the Global Project Steering Committee
- Composition The ISC composition will be driven by the following considerations:
 - o Majority representation from IPs and LCs
 - Regional balance
 - o Gender balance
 - Technical expertise
 - o GEF Secretariat representation
 - GEF IPAG representation
- Convening (in person or virtually): beginning, midpoint and end of the PPG phase

During project implementation, GPSC will take over governance responsibilities from the ISC and provide advice and oversight to the WWF PMU, ICI Partners and other contributing organizations on the implementation of sub-projects in Component 1 and the implementation of Components 2-5 of the project.

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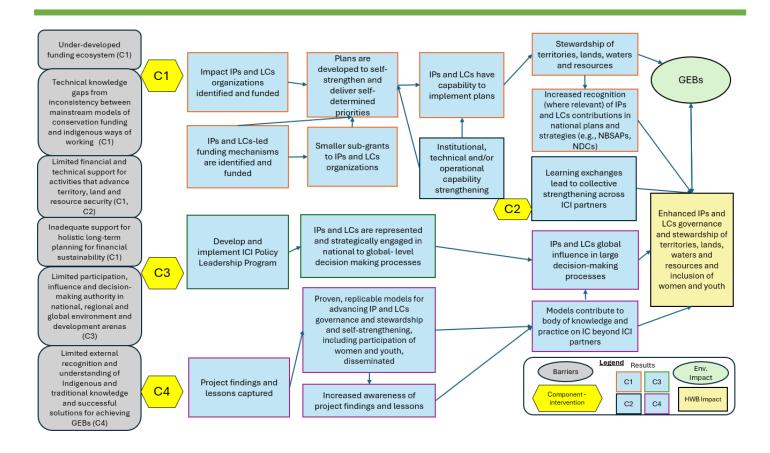
Stakeholder Engagement: Once ICI Partners are selected, they will conduct more extensive consultations and dialogues in ways that represent the diversity of their respective regions and ecosystems, to gather additional feedback on the identified barriers and interventions, and inform the development of their project plans. Special attention will be paid to ensuring the participation of IPs and LCs women and youth in these dialogues, which in some cases may be best achieved through separate designated spaces for women and youth.

Guiding Principles: As noted above, during the PPG stage the ISC will establish an initial set of guiding principles to direct the process and activities of the project development. The GEF-7 ICI Principles and Values will be a starting point, which may be adapted by the ISC. In the implementation stage, the Guiding Principles may be further adapted to reflect the realities and perspectives of the GEF-8 ICI IPs and LCs Partners. This approach is aligned with the overall counsel received from the GEF IPAG, which emphasized self-determined priorities emerging from the ground up, through dialogues with a strong process of Free, Prior and Informed Consent (FPIC), IPs and LCs governance, recognition of traditional and cultural knowledge and practices, and mutual respect.

Theory of Change

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The high-level theory of change of this project is that <u>if</u> IPs and LCs organizations are provided direct funding and self-strengthening support needed to develop and implement their self-determined plans for strengthening their governance and stewardship of their territories, lands, waters and resources; and <u>if</u> IPs and LCs-led funding mechanisms are provided funding to self-strengthen and make sub-grants to IPs and LCs organizations; and <u>if</u> IPs and LCs effectively engage in national to global decision making processes; and <u>if</u> the knowledge of their successful models is disseminated to key audiences; <u>then</u> IPs and LCs organizations will 1. have enhanced long-term delivery of GEBs in biodiversity conservation, land restoration, and climate change mitigation and increased recognition of these contributions in national plans and strategies; 2. be self-strengthened for governance of territories, lands, waters and resources; 3. have increased influence on global and high-impact decision-making processes; and the broader ecosystem of IPs and LCs initiatives will be strengthened and advance their self-determined priorities.

Assumptions

- 1. IPs and LCs organizations' and funding mechanisms' aspirations are aligned with GEF ICI goals
- 2. IPs and LCs organizations and funding mechanisms are interested in partnering with the GEF and the GEF Agency
- 3. IPs and LCs funding mechanisms are interested in accessing funding from potentially complex multilateral and bilateral funding sources

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- 4. IPs and LCs organizations have the interest and ability (or can develop the ability) to absorb increased resources
- 5. ICI Partners' self-determined planned activities will result in enduring GEBs
- 6. IPs and LCs organizations value increased collaboration and dialogue within and beyond ICI
- 7. Lessons from ICI will be applicable to other IPs and LCs organizations and regions
- Enhanced representation and engagement of IPs and LCs in global decision-making bodies will influence outcomes in ways that are viewed as beneficial by IPs and LCs
- International policy achievements translate to positive national and local policy achievements for IPs and LCs
- 10. Evidence of successful IPs and LCs-led initiatives resulting in GEBs will be an effective advocacy tool to increase funding for human rights-based and inclusive conservation
- 11. Funding to maintain increased organizational capacity and sustain initiatives is attainable
- 12. IPs and LCs Partner communities will continue the sustainable use of natural resources and maintain GEBs achieved
- 13. Investments in livelihoods will lead to long-term social and environmental benefits

Component 1 – On-the-ground IPs and LCs-led projects deliver global environmental benefits

In Component 1, IPs and LCs partner organizations (including funding mechanisms) will be selected and funded to design and implement projects based on their self-determined priorities that advance land and tenure rights, guard against territorial land conversion and degradation threats, and include strategies for long-term sustainable livelihoods based on Indigenous and local traditional knowledge.

Outcome 1.1 A diverse, global portfolio of ICI sub-projects led by IPs and LCs organizations supporting IPs and LCs-led stewardship of territories, lands, waters and resources and delivers global environmental benefits

The diversity across the portfolio of sub-projects will result from various stages in process, starting with global scope of the ICI, which includes diverse ecosystems, unique threats and pressures, and a variety of national political, economic and social contexts. The diversity of Partner categories will also support sub-projects at different scales - Impact Partners may include larger scale sub-projects and Accelerator Partners will support an aggregate of small to medium size sub-projects. Each Partner will contribute to the global ICI portfolio to achieve goals related to governance and stewardship of territories, lands, waters and resources,

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sustainable finance and GEBs in biodiversity conservation, and associated benefits in land restoration, and climate change mitigation.

Outputs

1.1.1 Impact and Accelerator Partners contracted

WWF will sign agreements with and provide direct grants to selected Impact and Accelerator Partners.

Selection of Partners: The process for selecting Impact and Accelerator Partners will be initiated in the PPG phase with guidance from and oversight by the ISC.

- *Criteria*: Incorporating lessons learned from the GEF-7 ICI process and criteria (See Annex I for GEF-7 ICI criteria), the criteria considerations may include elements such as:
 - Organization is registered in a GEF eligible country, including MICs, LDCs and SIDS (required)
 - Diversity of regions and ecosystems important for biodiversity (required)
 - o Vulnerability of IPs and LCs lands, territories, and/or waters to threats
 - Complementarity to GEF-7 ICI projects, including:
 - Significant or unique opportunities for the application of lessons learned through GEF-7
 ICI projects
 - Landscape connectivity
 - Regional or context scaling of successful projects or models

Based on consultations with the GEF IPAG, criteria for Accelerator Partners (IPs and LCs funding mechanisms) may include factors such as:

- IPs and LCs hold decision making authority
- Funding priorities are determined by IPs and LCs at the community level
- Experience deploying funds directly to IPs and LCs organizations to advance their self-determined rights and resource stewardship goals
- Experience supporting IPs and LCs organizations to increase their capacity to manage funds
- Have co-finance from other sources

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- Communication: GEF-8 ICI will share information on criteria, tools, and templates for each stage of the selection process, including who the decision makers are and how they were chosen. This information will also be proactively sent to national, regional, and global IPs and LCs networks, including women-led and youth organizations. Every effort will be made to include historically marginalized communities, such as Afro-descendants in Latin America. Broad communication will serve to share the information and enhance inclusivity. However, given the limited number of organizations the GEF ICI-8 can support, every effort will be made to clearly communicate the criteria to enable IPs and LCs organizations to determine their interest in becoming a partner in the GEF-8 ICI. This transparent process and the tools created will not only support the GEF-8 ICI communications and selection process, but also adapt lessons from and provide a template for other funders seeking to build greater transparency in their processes.
- Call for Expression of Interest (EOI): For the purposes of transparency and fairness, there will be a call during the PPG stage for interested IP and LC organizations that meet the selection criteria for Impact Partners or Accelerator Partners to submit an Expression of Interest (EOI). A self-assessment checklist with the selection criteria and information on GEF-eligible activities will be provided to prospective applicants to ensure a high percentage of applicants have a high likelihood for selection, thereby eliminating unnecessary work and disappointment for potential applicants that do not meet the minimum requirements.
- Due Diligence/Needs Assessments: ICI Partners will go through a tailored due diligence process to assess
 and ensure fiduciary capacity. This assessment will feed into the PMU's needs assessments of each
 organization to establish the baseline for organizational and safeguards and gender mainstreaming. Results
 of these assessments will inform the development of self-strengthening plans customized to the specific
 needs of each organization (in Component 2).
- Accelerator Partner Sub-Grantees: Accelerator Partners, with the guidance of the ISC and support of the PMU, will determine the best approach for selecting their sub-grantees based on the regional context, the Accelerator Partners' established approach to funding, the ability to achieve the goals and meet the minimum requirements of the GEF-8 ICI.

1.1.2 Plans developed and approved for IPs and LCs-led sub-projects

Sub-project plans to deliver GEBs will be developed by Partners, supported by the PMU as requested, through community consultations to determine on-the-ground activities. Partners will determine the extent to which they will engage with local and national government bodies, IPs and LCs networks, private sector, and other stakeholders, to most effectively achieve the project goals. Accelerator Partners will be the primary source of support for the development of their grantees' Plans.

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Sub-project Plans will be unique in their approach to achieving their self-determined goals for stewardship of territories, lands, waters and resources, GEBs, land and tenure rights and may include some combination of the following:

- Activities to strengthen traditional governance practices
- Activities to improve management of natural and cultural resources in IPs and LCs Lands and Territories
- Activities to address the drivers of environmental degradation affecting IPs and LCs sustainable development
- Activities to enhance IPs and LCs land, territory and natural resources rights
- Activities to support sustainable economies, livelihoods, and well-being
- Activities to support cultural revitalization and intergenerational transfer of knowledge
- Activities to support the long-term economic and financial sustainability of IPs and LCs-led livelihoods and conservation activities
- Activities to share knowledge and build solidarity with other communities
- Activities to protect territorial rights and environmental human rights defenders
- Activities to engage in local, national, or regional biodiversity and climate policy decisions, strategies and implementation activities
- Activities to engage the with the private sector
- Political Economic Analysis and conflict sensitivity analysis, with a conflict prevention and resolution plan (required)
- Policy and activities related to advancing the inclusion and equitable participation of women, youth and other marginalized groups, including gender-responsive actions, processes and decision-making related to biodiversity (required)
- Policy and activities for environmental and social safeguards (required)
- A monitoring and evaluation (M&E) plan, inclusive of IPs and LCs developed biocultural indicators, to track achievement of project objectives (required)

1.1.3 Sub-project Plans (1.1.2) implemented by IPs and LCs to improve conservation, sustainable use and restoration of natural ecosystems

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Impact Partners (or their sub-grantees) and Accelerator Partner sub-grantees will execute activities outlined in their Plans. They will benefit from self-strengthening as outlined in Component 2 and will share lessons learned along the way as outlined in Component 4.

Outcome 1.2 Increased recognition, where relevant, of IPs and LCs contributions in national plans and strategies (e.g. NBSAPs, NDCs) following FPIC and in locally-appropriate ways

IPs and LCs are critical partners in achieving global goals for biodiversity, climate change mitigation, and sustainable development, however they often are not consulted in national planning, their contributions are often not included, or conversely their lands and territories are included without an FPIC process and without recognition of their traditional knowledge and stewardship. Where the IPs and LCs Partner communities deem it feasible and desirable within the national context, their project plan will include efforts for inclusion in the national planning and reporting consultations and processes.

Outputs

1.2.1 Support toward self-designation of IPs and LCs contributions to national strategies and plans (e.g. NBSAPs, NDCs), including land and territory designations (e.g., Protected Areas, Other Effective Area-Based Conservation Measures, Indigenous and Traditional Territories)

Where desired, the ICI IPs and LCs Partners may plan and engage in activities such as community workshops, dialogues with government, participation in national consultations, etc., to determine if and how their traditional practices (e.g. sustainable agriculture and resource stewardship) and their lands and territories could contribute to national and global conservation and climate planning (e.g. NBSAPs and NDCs) and designations (e.g. PAs, OECMs, ICCAs, Indigenous and Traditional Territories, also referred to as the three "transformative pathways") or other reporting databases (e.g. Protected Planet Database).

<u>Component 2</u> – Self-Strengthening of IPs and LCs organizations to govern territories, lands, waters and resources

Component 2 is designed to enable Impact and Accelerator Partners to strengthen their systems to be increasingly effective, enable their sub-grantees to enhance their stewardship of territories, lands, waters and resources and deliver GEBs, and support sharing of the strengthening activities with neighboring or regional communities and networks (where appropriate and feasible). It is anticipated that both the ICI Partner specific strengthening and the collective activities will provide valuable lessons to be shared as outlined in Component 4.

Outcome 2.1 IPs and LCs Partners have self-strengthened to steward territories, lands, waters and resources, and scale support to IPs and LCs organizations

Robust plans tailored to community-identified needs for self-strengthening will be implemented to enable Partners to execute their project activities and achieve continued development and sustainability beyond the project duration. This includes unique plans for each Partner - Impact, Accelerator, and the Accelerator sub-grantees.

Outputs

2.1.1 Institutional, technical, and operational strengths assessment of Partners and development or update of institutional strategic development plans

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Institutional, technical, and operational strength assessments, developed with the support of WWF and led by project Partners, will be carried out to inform the development or update of their institutional strategic development plans to ensure each Partner has a roadmap to implement self-strengthening that will enable them to successfully implement the GEF-8 ICI and future projects. Areas of strengthening might include, for example, governance, administration and financial management, consultation and FPIC processes, safeguards, gender mainstreaming, and monitoring and evaluation.

2.1.2 Identification of and support to existing IPs and LCs strengthening resources and mechanisms

The PMU will identify where there are existing resources (e.g. the GEF-7 ICI Learning Academy) and mechanisms to support the strengthening requirements identified through the assessment. Where there are gaps, new resources will be developed as needed. Based on the findings, the PMU will work closely with the Partners to ensure resources and activities that are selected or co-developed for self-strengthening are appropriate for the local context and include the full participation of women and youth. Opportunities will be explored to provide options for more advanced training including university degree programs or certificates (e.g. WWF Russell E. Train Education for Nature Program and the Fonseca Leadership Program), fellowship programs, and other requested learning modalities.

2.1.3 Implement self-strengthening plans to support institutional, technical and/or operational capabilities (indicatively, as needed): leadership, governance, fundraising and financial sustainability, organizational strategy, project management, grantmaking, cultural due diligence, local to national legal empowerment (land and tenure rights, selection of appropriate conservation pathways), communications, monitoring, evaluation and learning (MEL)

Each Partner will implement their unique self-strengthening plan with support, as requested. Indicative support may include technical assistance, consultancies to develop internal guidelines or tools, training, systems development, technology and software integrations, etc. Some elements of each plan may contribute to the collective capacity building as outlined under Outcome 2.2.

Outcome: 2.2 IPs and LCs organizations and networks self-strengthened

Aligned with the systems approach, the GEF-8 ICI Partners will identify opportunities to share self-strengthening activities with other IPs and LCs organizations to share some of the benefits of the ICI Partners to equip more organizations to advance their priorities and be better prepared to participate in similar initiatives to the ICI in the future.

Outputs

2.2.1 Collective strength building learning exchanges between IPs and LCs project partners, including GEF-7 ICI executing agencies, and external organizations delivered

Learning exchanges between ICI Partners, including GEF-7 ICI executing agencies and other IPs and LCs organizations will be carried out. Examples of how this could work include, but are not limited to:

 The Accelerator Partners could, if desired, be supported by the PMU to organize themselves into a learning cohort and identify areas of strengthening to address collectively. They could learn from each other's experience and share ideas on the best ways to integrate external resources in local contexts.

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- Opportunities could be identified at the sub-national, national, or regional level for joint strength building and sharing of lessons learned. For instance, if an Accelerator Partner identifies a training topic that is required for one of their grantees to execute their project activities, they could host the training and invite their other grantees in the region that are not ICI funding recipients, but who will benefit from the training.
- Partners can be supported to invite other communities or organizations to participate in their capacity building activities.
- Partners could develop strength building in partnership with national or regional IPs and LCs bodies, whereby ICI Partners receive the training they need, but other communities also benefit.

Component 3 – IPs and LCs representation and recognition at global and large-scale decision-making levels

Component 3 seeks to support IPs and LCs to overcome centuries of marginalization and exclusion, and to help ensure the traditional knowledge and perspectives of IPs and LCs are respected and can influence policy at the national to global levels.

Outcome 3.1 Increased representation and influence of IPs and LCs at national to global level decision-making processes, with focus on where there is less representation, e.g. Rio Conventions subsidiary and technical bodies and other fora (e.g. World Economic Forum)

Increased representation and influence of IPs and LCs across decision-making processes will be prioritized in accordance with a strategic plan that identifies the convenings that have the highest potential for impact and influence, and where IPs and LCs representation is lacking.

Output

3.1.1 Strategy developed to increase IPs and LCs representation and influence in global level and within large-scale decision-making processes, based on a gap analysis

A strategy for enhanced representation and influence will be co-developed by ICI Partners and subject experts, with guidance from the GPSC, based on an analysis of the level of representation and opportunities for influence of IPs and LCs, especially women and youth, looking across:

- UN global convenings, including the technical and advisory bodies that inform higher level decision making at the Rio Conventions and Ramsar
- Private sector convenings that influence government and international policies, such as the World Economic Forum
- The nations and regions of the ICI Partners (e.g. national consultative processes, regional bodies etc.)

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3.1.2 ICI Policy Leadership program (with a focus on women and youth) established

The ICI Policy Leadership program will be developed under the guidance of the GPSC and implemented to achieve the objectives in the strategy for representation and influence (developed under 3.1.1). With a focus on gender equity and youth inclusion, the Policy Leadership program will complement other strengthening activities, and curate a plan with the necessary training, guidance, and mentoring based on the specific needs of the participants.

Component 4 – Experience and lessons learned to enhance broader IPs and LCs initiatives

In Component 4, the body of knowledge and practice on IPs and LCs-led stewardship and funding will be advanced. Documenting and disseminating lessons learned from the ICI will target four objectives:

- Provide IPs and LCs outside of the ICI projects with practical information to advance their own selfdetermined priorities and strengthen their local, regional, and global movements.
- Share lessons learned for partnering with IPs and LCs organizations with other organizations to advance and mainstream rights-based approaches to inclusive conservation and climate mitigation strategies to achieve GEBs
- Share best practices regionally and globally as a part of advocacy efforts that create the enabling conditions for IPs and LCs to support IP and LC-led stewardship and sustainable livelihood goals
- Share models to support the development of IPs and LCs-led funding mechanisms.

Outcome 4.1 Body of knowledge and practice on IPs and LCs-led stewardship is advanced

The project will document and disseminate demonstrated successes of the GEF-8 ICI IPs and LCs-led conservation and stewardship and the interconnected issues of land, tenure and resource management rights. Identifying, incorporating and sharing lessons learned will be an ongoing practice, integrated with the Monitoring and Evaluation framework outlined in Component 5.

Outputs

4.1.1 ICI Knowledge Platform(s) Identified

A project knowledge management platform(s) will be identified to house the project materials and knowledge products developed. It is important that the platform is easily accessible and user friendly for IPs and LCs, including multilingual functionality. To the extent possible, knowledge products will be shared across multiple relevant Platforms, such as:

- GEF-7 ICI Knowledge Management hosted on <u>UNDP Learning for Nature Platform</u>
- High Ambition Coalition for Nature and People Online Toolkit
- Global Alliance for Territorial Communities Shandia Platform

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4.1.2 ICI Knowledge Products developed with Partner IPs and LCs organizations

The approach to documenting knowledge, including gender dimensions (e.g., best practices, lessons learned, challenges and constraints in advancing gender equality and women's empowerment, successful models, etc.) will be developed under the guidance of the GPSC and ICI Partners to ensure it is driven from the community up and meets the needs of IPs and LCs organizations. Materials developed will be made available in multiple languages.

4.1.3 Proven, replicable models for advancing IPs and LCs governance and stewardship, strength building, sustainable finance and increased participation of women and youth disseminated It is expected that the GEF-8 ICI will result in the development of proven, replicable models that will contribute to enhancing broader IPs and LCs initiatives and advance a human rights-based approach and inclusive conservation within government processes to better respond to IPs and LCs priorities beyond the life of the project. This could include a wide variety of subject areas, including:

- Examples of the recognition of Indigenous and Traditional Territories as a "third pathway" (in addition to PAs and OECMs) in achieving T3 of the GBF could support IPs and LCs engagement with national governments to support IP and LC stewardship of natural resources. This builds on the GEF-7 ICI's Global Biodiversity Framework (GBF) Briefing Document[2]²⁷, which highlights opportunities to demonstrate how a rights-based approach can contribute to achieving the GBF targets (Targets 1, 3, 5, 9, 19, 21 and Goal C).
- Demonstrating how investing in IPs and LCs-led funding mechanisms to support small and medium size organizations, including women and youth led organizations, supports the achievement of GEBs.
- Providing examples of mechanisms to support IPs and LCs' management of territories, lands,
 waters and resources, intergenerational knowledge transfer to preserve traditional cultural
 practices and language, strengthen governance (including best practices, lessons learned,
 challenges and constraints in advancing gender equality and women's empowerment in IPs and LCs
 natural resource governance), and promote sustainable livelihoods could garner more funding for
 similar activities.
 - Addressing gaps in knowledge on IPs and LCs conservation and stewardship where the research to inform sector-wide approaches is most lacking, such as: [3]²⁸
 - The role of IPs and LCs as custodians of coastal, marine and freshwater resources and associated habitats (building on initial research on this topic by WWF Canada)

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 Technological mechanisms that might support enhanced monitoring and appropriate enforcement measures for IPs and LCs lands (for example, as related to preventing illegal resource extraction).

Outcome 4.2 Increased awareness of key project findings and lessons by broader IPs and LCs community and allied people and organizations

Key project findings and lessons will be effectively disseminated to increase awareness by broader IPs and LCs community and allied people and organizations, and national and global governing and convening bodies.

Outputs

4.2.1 Effective Communications Strategy developed and delivered to disseminate project lessons to target audiences

To achieve increased awareness of key project findings and lessons learned, the project will develop and deliver an effective Communications Strategy to reach key audiences with the most relevant project findings.

4.2.2 Dialogues with key project stakeholders

Opportunities to share findings through dialogues with key stakeholders will be identified and/or created with guidance from and oversight of the GPSC. This could include participating in existing events or creating side events on the sidelines of large convenings (for example organizing an IPs and LCs financing summit at a convening such as the GEF Assembly or events on specific high-profile targets such as IPs' and LCs' contributions to the UN CBD GBF Target 3).

Component 5 – Monitoring and Evaluation

In Component 5, the project's Monitoring and Evaluation (M&E) plan will be developed and implemented to aid in result-based decision-making, adaptive management, and effective project execution, as well as to monitor positive results that lead to impact on the ground.

Outcome 5.1 Monitoring and Evaluation plan finalized with data collection, reflection and reporting on time to aid in results-based decision-making and adaptive management

The PMU and Partners will develop and follow an M&E plan that is tailored to bridge community practices and GEF requirements to monitor and report on project progress and to adapt as experience grows. Where

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appropriate and desired by Partners, technology will be incorporated, while also supporting traditional and preferred forms of narrative, oral, visual, or other methods of reporting. ICI Partners will report yearly to the PMU on progress against the project level and core indicators, and in addition, will determine the most relevant additional indicators to best share their progress, including biocultural indicators emerging from Indigenous and traditional knowledge and practices.

The project will implement a robust IPs and LCs-informed gender responsive Monitoring and Evaluation plan that collects both gender and sex-disaggregated data with gender sensitive collection methods and will include gender-specific indicators to record progress in gender mainstreaming efforts and women's empowerment. All Partner reports will include information on the implementation of the gender mainstreaming plan.

Outputs

5.1.1 Project monitored regularly at all levels through multi-source knowledge collection (for annual work plans, results framework, project progress reports, core indicators)

M&E data will be collected and reported through methods identified as most appropriate for IPs and LCs Partners to support monitoring at all levels. The following reports will be provided by Impact and Accelerator Partners: Annual Work Plan and Budget; Bi-annual Project Progress Report; Quarterly Financial Report; Annual adaptive management workshop; and all partners will participate in independent, external Mid-term and Terminal Evaluations of the project.

5.1.2 Completion of MTE and Terminal Evaluation

Independent mid-term and terminal evaluations of the project will be completed.

Coordination and Cooperation with Ongoing Initiatives and Project.

Does the GEF Agency expect to play an execution role on this project?

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^[1] Forging Resilient Pathways: Scaling up Funding in Support of Indigenous Peoples' and Local Communities' Tenure and Forest Guardianship in the Global South. Prepared by Indufor for the Ford Foundation on behalf of the Forest Tenure Funders Group.

(2023) https://landportal.org/library/resources/forging-resilient-pathways

^[2] Figueroa, V., Batzin, R. (2023) Achieving the Global Biodiversity Framework Through Guaranteeing the Roles, Rights, and Contributions of Indigenous Peoples and Local Communities https://inclusiveconservationinitiative.org/wp-content/uploads/2024/04/IPLC-Brief English .pdf

^[3] The State of Indigenous Peoples' and Local Communities Lands and Territories (2021) https://wwfint.awsassets.panda.org/downloads/report the state of the indigenous peoples and local communities lands and territor.pdf



Yes

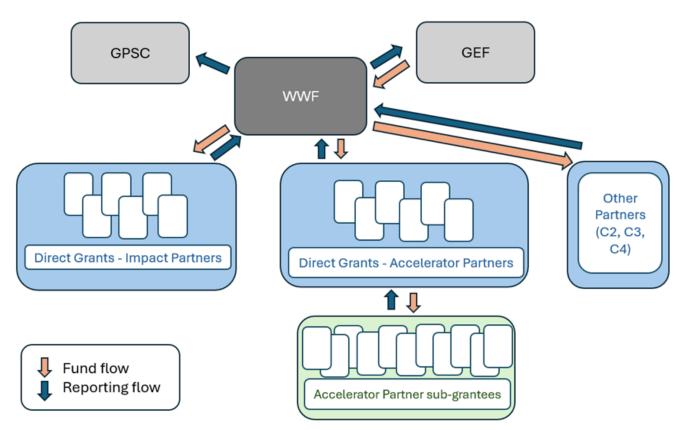
If so, please describe that role here. Also, please add a short explanation to describe cooperation with ongoing initiatives and projects, including potential for co-location and/or sharing of expertise/staffing

Executing Role: The proposed implementation arrangements include WWF as GEF Agency and with a role in execution to host the Project Management Unit (PMU), issue direct grants to Impact and Accelerator Partners, support their strength building, and to provide partner coordination, project management, and overall project monitoring and reporting. It is the GEF Agency's responsibility to approve any organization that may serve as an Executing Agency (EA) (providing the required financial systems and support) for their ability to ensure that the Minimum Fiduciary Standards Requirements are met at all levels of project implementation. Over the course of project development, WWF US will be reviewing and revising its policies, procedures and templates around grant-making to ensure that they appropriately respond to the IPs and LCs context.

The PMU will be governed by the Global Project Steering Committee (GPSC) and housed in WWF's Conservation Areas team and have day-to-day responsibility for project execution, including overall financial and programmatic progress oversight, monitoring and reporting. It will share expertise and staffing in the areas of legal counsel, operations, finance, M&E, social and environmental safeguards, stakeholder engagement, and gender mainstreaming. The PMU will oversee grants to IPs and LCs organizations; prepare the overall project annual workplans and oversee the development of annual workplans of the individual sub-projects; manage project expenditure in line with annual budgets and workplans; recruit and contract partner institutions and specialist support services as requested by IPs and LCs partners to deliver project outputs and activities; ensure technical quality of products, outputs and deliverables; produce quarterly expenditure and cash advance requests from project partners; report to the GPSC and WWF GEF Agency on project delivery and impact via semi-annual Project Progress Reports; coordinate with the GEF-7 ICI and other partner institutions to link the project with complementary local, national and regional programs and initiatives. The PMU will also manage the overall project M&E system and Knowledge Management Platform. The GPSC is anticipated to be comprised of leadership from the selected Impact and Accelerator Partners, GEF Secretariat, and WWF, pending input from the ISC.

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Coordination with GEF-7 ICI: WWF will work with CI and IUCN (GEF-7 ICI Agencies) during project development to find ways to ensure ongoing collaboration and coordination between GEF-7 ICI and GEF-8 ICI throughout implementation of both projects, as well as to maintain the ICI identity. Some of the specific lessons from the GEF-7 ICI that the GEF-8 ICI will consider and seek to build on include:

- Simplification and integration of GEF and WWF project requirements (such as Stakeholder Engagement Plans, Gender Action Plans, Environmental and Social Safeguards screens and management plans) into unified and customized templates.
- Tailored training (in culturally appropriate formats) related to implementation of sub-project specific Gender Action Plans and Environmental and Social Safeguards mitigation plans for their effective implementation.
- Evaluating financial and legal language, systems and processes to maximize flexibility while maintaining fiduciary and programmatic requirements.
- The CEPF-adapted GEF-7 ICI Organizational Capacity Assessment tool which includes a Financial Capacity Questionnaire, Organizational Capacity Tracking Tool and Safeguards Capacity Assessment Tool.
- Early messaging regarding ICI programming and objectives and amplifying project leadership voices.
- Ensuring the global governance systems of initiatives like ICI have IPs and LCs governance structures and systems at the core to ensure a bottom-up governance that respects and advances indigenous and local governance principles.
- Planning inclusively around time zones and different languages.
- Co-creation of gender-responsive and youth-oriented cultural indicators can help ensure equity, support intergenerational transmission of Indigenous and traditional knowledge and provide evidence of how IPs and LCs-led initiatives and rights-based approaches to conservation contribute to global environmental benefits.

The GEF-8 ICI will also seek to collaborate on knowledge, learning, and organizational strengthening initiatives with GEF-7 ICI at the global level and in project geographies and territories. This includes coordinating with the ICI Knowledge Management Platform and ICI Community of Practice and learning from the GEF-7 ICI

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Learning Academy curricula, modules and learning exchanges, as well as the ICI International Environmental Policy Fellows Program to avoid duplication of efforts.

WWF GEF-funded Projects: WWF has several GEF-funded projects currently underway with which the GEF-8 ICI will coordinate with and build on.

- National and Regional Projects: Several national WWF GEF projects that include focus on IPs and LCs biodiversity conservation: 1. Integrated Management of Cameroon's Forest Landscapes in the Congo Basin (GEF ID 10287). 2. Promoting Integrated Sustainable Management of the Peruvian Amazonian landscape Madre de Dios (GEF ID 11203). 3. Securing a Living Amazon through Landscape Connectivity in southern Guyana (GEF ID 10288). 4. Biodiversity Conservation and Sustainable Management of Two Priority Landscapes in the Ecuadorian Amazon Region (GEF ID 10259). 5. Caatinga Protected Areas Program ARCA (GEF ID 11509). 6. Addressing Outstanding Barriers and Leveraging Durable Financial Mechanisms to achieve Target 3 in Gabon (GEF ID 11512).
- Global research, guidance, sharing and thought leadership on Inclusive Conservation: Recent work includes: 1. Development of a GBF Target 3 Guide, supported by the GEF (ID 10916), that puts an emphasis on "inclusive, equitable and effective" approaches to the 30x30 target, clearly drawing attention to important considerations regarding IPs and LCs, and co-produced the 30x30 solutions website both of which were launched at the GEF Assembly in August 2023. 2. WWF is one of the GEF Agencies implementing the Fonseca Leadership Program through the Russell E. Train Education for Nature Program.

Additional Coordination: GEF-8 ICI will also coordinate and collaborate with other programs and initiatives including the GEF Small Grants Program (providing small grants of up to \$150,000 for strategic projects). During project development, potential collaboration will be explored with the IFAD Indigenous People's Assistance Facility (providing small grants (\$20,000-50,000)) in addition to international and regional organizations supporting IPs and LCs in the nations or regions where the selected ICI Impact and Accelerator Partners operate. The GEF-8 ICI will work in a complementary manner to other small grants programs in its flexible grant size that can serve as follow-on funding. It's further distinct in that Accelerator Partners can apply a "portfolio approach" whereby they look beyond individual grantees and support multiple grantees of varying sizes as a collective who will work synergistically to achieve greater impact in aggregate. Where there is overlap with Partner organizations, GEF-8 ICI will seek opportunities for harmonizing requirements, building on previous strengthening and project activities, and co-convening whenever possible as preferred by the IPs and LCs partners.

Core Indicators

Indicator 1 Terrestrial protected areas created or under improved management

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
150000	0	0	0

Indicator 1.1 Terrestrial Protected Areas Newly created

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
0	0	0	0

Name of the Protected Area	IUCN Category	Total Ha (Expected at	Total Ha (Expected at CEO Endorsement)		Total Ha (Achieved at
		PIF)	,	MTR)	TE)

Indicator 1.2 Terrestrial Protected Areas Under improved Management effectiveness

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Ha (Expected at	Ha (Expected at CEO	Total Ha (Achieved at	Total Ha (Achieved at
PIF)	Endorsement)	MTR)	TE)
150000	0	0	0

Name	WDP	IUCN	На	На	Total Ha	Total Ha	METT	METT	METT
of the	A ID	Categor	(Expecte	(Expected at	(Achieve	(Achieve	score	score	score
Protecte		У	d at PIF)	CEO	d at	d at TE)	(Baseline at	(Achieve	(Achieve
d Area				Endorsemen	MTR)		CEO	d at	d at TE)
				t)			Endorsemen	MTR)	
							t)		
			150,000.0						
			0						

Indicator 2 Marine protected areas created or under improved management

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
100000	0	0	0

Indicator 2.1 Marine Protected Areas Newly created

Total Ha (Expected at	Total Ha (Expected at CEO	Total Ha (Achieved at	Total Ha (Achieved at
PIF)	Endorsement)	MTR)	TE)
0	0	0	0

Name of the	WDPA	IUCN	Total Ha	Total Ha (Expected at	Total Ha	Total Ha
Protected Area	ID	Category	(Expected at	CEO Endorsement)	(Achieved at	(Achieved at
			PIF)		MTR)	TE)

Indicator 2.2 Marine Protected Areas Under improved management effectiveness

Total Ha (Expected at	Total Ha (Expected at CEO	Total Ha (Achieved at	Total Ha (Achieved at
PIF)	Endorsement)	MTR)	TE)
100000	0	0	0

Name of	WDP	IUCN	Total Ha	Total Ha	Total Ha	Total Ha	METT score	METT	METT
the	AID	Categor	(Expected	(Expected at	(Achieve	(Achieve	(Baseline at	score	score
Protecte		У	at PIF)	CEO	d at	d at TE)	CEO	(Achieve	(Achieve
d Area				Endorsemen	MTR)		Endorsemen	d at	d at TE)
				t)			t)	MTR)	
			100,000.0						
			0						

Indicator 3 Area of land and ecosystems under restoration

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
10000	0	0	0

Indicator 3.1 Area of degraded agricultural lands under restoration

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Disaggregation	Ha (Expected at	Ha (Expected at CEO	Ha (Achieved at	Ha (Achieved at
Туре	PIF)	Endorsement)	MTR)	TE)

Indicator 3.2 Area of forest and forest land under restoration

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
8,000.00			

Indicator 3.3 Area of natural grass and woodland under restoration

Disaggregation	Ha (Expected at	Ha (Expected at CEO	Ha (Achieved at	Ha (Achieved at
Туре	PIF)	Endorsement)	MTR)	TE)

Indicator 3.4 Area of wetlands (including estuaries, mangroves) under restoration

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
2,000.00			

Indicator 4 Area of landscapes under improved practices (hectares; excluding protected areas)

Ha (Expected at CEO Endorsement)		Ha (Achieved at MTR)	Ha (Achieved at TE)
1250000	0	0	0

Indicator 4.1 Area of landscapes under improved management to benefit biodiversity (hectares, qualitative assessment, non-certified)

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
1,250,000.00			

Indicator 4.2 Area of landscapes under third-party certification incorporating biodiversity considerations

Ha (Expected at PIF) Ha (Expected at CEO Endorsement)		Ha (Achieved at MTR)	Ha (Achieved at TE)

Type/Name of Third Party Certification

Indicator 4.3 Area of landscapes under sustainable land management in production systems

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)

Indicator 4.4 Area of High Conservation Value or other forest loss avoided

Disaggregation	Ha (Expected at	Ha (Expected at CEO	Ha (Achieved at	Ha (Achieved at
Туре	PIF)	Endorsement)	MTR)	TE)

Indicator 4.5 Terrestrial OECMs supported

Name of the	WDPA-	Total Ha (Expected	Total Ha (Expected at CEO	Total Ha	Total Ha
OECMs	ID	at PIF)	Endorsement)	(Achieved at MTR)	(Achieved at TE)
		1,250,000.00			

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Documents (Document(s) that justifies the HCVF)

Title	

Indicator 5 Area of marine habitat under improved practices to benefit biodiversity (excluding protected areas)

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
150,000.00			

Indicator 5.1 Fisheries under third-party certification incorporating biodiversity considerations

Number (Expected at	Number (Expected at CEO	Number (Achieved at	Number (Achieved at
PIF)	Endorsement)	MTR)	TE)

Type/name of the third-party certification

Indicator 5.2 Large Marine Ecosystems with reduced pollution and hypoxia

Number (Expected at PIF)	Number (Expected at CEO Endorsement)	Number (Achieved at MTR)	Number (Achieved at TE)
LME at PIF	LME at CEO Endorsement	LME at MTR	LME at TE

Indicator 5.3 Marine OECMs supported

Name of the	WDPA-	Total Ha	Total Ha (Expected at CEO	Total Ha	Total Ha
OECMs	ID	(Expected at PIF)	Endorsement)	(Achieved at MTR)	(Achieved at TE)

Indicator 11 People benefiting from GEF-financed investments

	Number (Expected at PIF)	Number (Expected at CEO Endorsement)	Number (Achieved at MTR)	Number (Achieved at TE)
Female	62,500			
Male	62,500			
Total	125,000	0	0	0

Explain the methodological approach and underlying logic to justify target levels for Core and Sub-Indicators (max. 250 words, approximately 1/2 page)

GEF-8 ICI is proposing ambitious GEBs in biodiversity conservation, land degradation, and climate change mitigation.

Understanding that the figures may change significantly once the Partners are selected and their self-determined plans developed, it is estimated the GEBs will contribute to the core indicators outlined in table above. The figures associated with the indicators are estimated based on WWF's field experience co-creating projects with IPs and LCs and reviewing data from other organizations delivering direct funding to IPs and LCs organizations. The target for greenhouse gas emissions mitigated will be calculated once

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the ICI Partners are selected in the PPG stage. The total number of terrestrial and marine hectares estimated at the PIF stage is 2,910,000.

A 2021 report indicates that 91% of global land and associated inland waters owned or governed by IPs and LCs are in good or moderate ecological condition. Thus, less focus is anticipated on restoration (Indicator 3) and more on improved conservation practices (Indicators 4 and 5) inclusive of OECMs, ICCAs, and other IPs and LCs-led conservation categories depending on the national contexts. Given 13% of IPs and LCs lands overlap with protected areas (PAs), there is possible - but likely the smallest - impact on PAs (Indicators 1 and 2) with a focus on improved management rather than creation of new PAs.

Beneficiaries (Indicator 11) will include Impact and Accelerator Partners, their sub-grantees and their communities who directly benefit from the project activities and capacity building. Additionally, the collective capacity building model and events to disseminate lessons learned will further extend the number of beneficiaries. With a focus on increasing the participation of women and youth, the estimated total direct beneficiaries include the following categories: 1. Direct beneficiaries of Impact and Accelerator Partner grantees' sub-project activities (including improved management of terrestrial or marine protected areas, land and ecosystem restoration, landscapes under improved practices, tenure rights, job creation, improved livelihoods, capacity building for community-based activities, etc. (Component 1). 2. Impact and Accelerator Partners and their grantees' organizational strengthening specific to administrative, financial, operational, and strategic strength building (Component 2). 3. Collective strength building participants not directly involved in the projects, but invited to participate in strength building events, and events to disseminate lessons learned (Component 2 and 4). 4. Policy Leadership Program participants (Component 3). 5. IPs and LCs leaders (including women and youth) supported to participate in global convenings (Component 3).

Key Risks

	Rating	Explanation of risk and mitigation measures
CONTEXT		
Climate	Moderate	Extreme weather events coupled with the high vulnerability of many IPs and LCs territories could hamper implementation progress or eliminate some activities. Project planning will include a Climate Change Risk Screen and related guidance for climate resilience.
		If project work or a community is heavily impacted by an extreme weather event, the PMU will work with the GPSC and the Partner to assess options and revise plans to support delivery/recovery of GEBs to the extent possible.
Environmental and Social	Moderate	Extreme weather events coupled with the high vulnerability of many IPs and LCs territories could hamper implementation progress or eliminate some activities. Project planning will include a Climate Change Risk Screen and related guidance for climate resilience. If project work or a community is heavily impacted by an extreme weather event, the PMU will work with the GPSC and the Partner to assess options and revise plans to support delivery/recovery of GEBs to the extent possible.
Political and Governance	Moderate	Across the possible countries, government support of and relationship with IPs and LCs will vary. There is a risk of low government support or of a negative

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		change in support with a change in government during the project cycle. Early outreach to governments will be conducted to build support. Projects will be fully owned and managed by IPs and LCs to mitigate the effects of changing governments or their support.
INNOVATION		governments of their support.
Institutional and Policy	Moderate	The project is global in nature and no specific countries have yet been identified, and the project strategy does not currently include policy innovation so at this stage the risk is considered low.
Technological	Low	The strategy is not focused on technological innovation, however if an IPs and LCs activity includes the use of new technology, there is a low risk that the technology will not work in the setting. For plans that include the integration of technology, an assessment of the most appropriate options for the setting will be conducted to inform the selection of the specific technology to be used.
Financial and Business Model	Low	As a part of organizational strengthening, ICI Partners may adopt new financial or business models. This will be undertaken based on a strengths analysis and any new models will be derived from the IPs and LCs culturally rooted approach to ensure successful adoption of the new models.
EXECUTION		
Capacity	Moderate	By issuing a broad call for Expressions of Interest with clear criteria, the project will be able to identify organizations with the basic level of required capacity. Selected ICI Partners will have varying levels of capacity, which will be assessed in the due diligence, and addressed in their project planning stage through the organizational strength assessment and tailored strengthening plan. Partners will also have varied absorptive capacity, which will be addressed by modulating the grant design and size accordingly.
Fiduciary	Moderate	There is a risk that ICI Partners receiving direct funding do not have the processes in place to meet the GEF fiduciary requirements. This will be addressed in the same manner as the above. In some cases, the Partner may choose to work with a fiscal sponsor chosen by the Partner and trusted by both parties to manage the fiduciary responsibilities. In any circumstance of noncompliance with the WWF-US GEF Fiduciary Standards, project funding to that sub-project will be paused until there is an acceptable resolution.
Stakeholder	Moderate	There is a risk that stakeholder expectations may be higher than what the project can deliver. The project will work through the channels outlined above to clearly communicate the project criteria and timeline and support organizations to do self-assessments on their ability to meet the basic criteria. There is a risk of elite capture and inequitable benefit sharing on multiple levels, such as: 1. The organizations that are not connected at the global level will not be aware of the ICI and not apply, resulting in the selected ICI Partners being the same IPs and LCs organization that already have the greatest support. This will be mitigated through the Accelerator Partners that have a broad network of IPs and LCs at the regional, national and local levels. 2. At the local level, women, youth or other minority or vulnerable groups

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	might be excluded, thus potentially resulting in inequitable participation and/or sharing of project benefits. This will be mitigated through the inclusion of safeguards, stakeholder engagement and Gender Action Plan protocols in the planning phase. In the event that exclusionary practices are discovered, the GPSC will work with the Partner organization to develop and implement a corrective action plan. There is also a risk that the project is not able to reach all project stakeholders (selected Partners) due to remote locations, or unreliable internet and cell service of some IPs and LCs organizations. Information will be shared through IPs and LCs networks, including those of women and youth, using email, WhatsApp, and other channels as recommended by regional experts.
Other	

Overall Risk Rating	Moderate	
		(Climate, Environment and Social, Political and Governance, Capacity for Implementation, Fiduciary, and Stakeholder) whilst three categories are rated
		low (Institutional and Policy, Technological, Financial and Business model).
		This also aligns with the moderate Environmental and Social Safeguards Risks
		rating. The project will track risks throughout and identify mitigation and
		management approaches as risks arise.

C. ALIGNMENT WITH GEF-8 PROGRAMMING STRATEGIES AND COUNTRY/REGIONAL PRIORITIES

Describe how the proposed interventions are aligned with GEF- 8 programming strategies and country and regional priorities, including how these country strategies and plans relate to the multilateral environmental agreements.

Confirm if any country policies that might contradict with intended outcomes of the project have been identified, and how the project will address this.

For projects aiming to generate biodiversity benefits (regardless of what the source of the resources is - i.e., BD, CC or LD), please identify which of the 23 targets of the Kunming-Montreal Global Biodiversity Framework the project contributes to and explain how. (max. 500 words, approximately 1 page)

The GEF-8 ICI is aligned with GEF- 8 programming directions and global priorities as outlined below. Given the broad scope of possible locations for project Partners, it is not possible to determine alignment or conflict with country priorities at this stage. Where feasible and desirable by the IPs and LCs partners and the country governments, opportunities to contribute IPs and LCs to national NBSAP and NCDs will be pursued.

The project will contribute to the GEF-8 Biodiversity Focal Area Objective 1 "To improve conservation, sustainable use, and restoration of natural ecosystems" by:

- Assisting IPs and LCs organizations to have the financial and strength building resources to develop and implement plans to achieve their self-determined priorities for biodiversity conservation, land restoration, and climate change mitigation in the territories, lands and waters.
- · Enabling greater access to funding for IPs and LCs-led conservation, sustainable use and restoration by strengthening IPs and LCs-led funding mechanisms

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· Advancing knowledge of lessons learned to increase the adoption of effective inclusive conservation models led by IPs and LCs.

The project is aligned to, amongst others, the following multilateral agreements:

- · Convention on Biodiversity (UNCBD): The project is directly aligned to contributing to a significant number of the targets in Kunming-Montreal Global Biodiversity Framework because of the variety of anticipated IPs and LCs projects that will be developed. Additionally, it is anticipated that projects will integrate multiple targets as part of holistic approach to achieving the self-determined priorities of IPs and LCS organizations. The targets that are expected to be integrated in one or more projects include:
 - o <u>Target 1</u>: Plan and Manage All Areas to Reduce Biodiversity Loss
 - o <u>Target 2</u>: Restore 30% of All Degraded Ecosystems
 - o Target 3: Conserve 30% of Land, Waters and Seas
 - o <u>Target 4</u>: Halt Species Extinction, Protect Genetic Diversity, and Manage Human-Wildlife Conflicts
 - o <u>Target 5</u>: Ensure Sustainable, Safe and Legal Harvesting and Trade of Wild Species
 - o <u>Target 9</u>: Manage Wild Species Sustainably to Benefit People
 - o <u>Target 10</u>: Enhance Biodiversity and Sustainability in Agriculture, Aquaculture, Fisheries, and Forestry
 - o <u>Target 11</u>: Restore, Maintain and Enhance Nature's Contributions to People
 - o <u>Target 13</u>: Increase the Sharing of Benefits from Genetic Resources, Digital Sequence Information and Traditional Knowledge
 - o <u>Target 14</u>: Integrate Biodiversity in Decision-Making at Every Level
 - o <u>Target 19</u>: Mobilize \$200 Billion Per Year for Biodiversity from All Sources, Including \$30 Billion Through International Finance
 - o <u>Target 20</u>: Strengthen Capacity-Building, Technology Transfer, and Scientific and Technical <u>Cooperation for Biodiversity</u>
 - o <u>Target 21</u>: Ensure That Knowledge Is Available and Accessible to Guide Biodiversity Action
 - o <u>Target 22</u>: Ensure Participation in Decision-Making and Access to Justice and Information Related to Biodiversity for All
 - o <u>Target 23</u>: Ensure Gender Equality and a Gender-Responsive Approach for Biodiversity Action
- The United Nations Framework Convention on Climate Change (UNFCCC)
- The Convention to Combat Desertification (UNCCD)

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• The Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES)

D. POLICY REQUIREMENTS

Gender Equality and Women's Empowerment:

We confirm that gender dimensions relevant to the project have been addressed as per GEF Policy and are clearly articulated in the Project Description (Section B).

Yes

Stakeholder Engagement

We confirm that key stakeholders were consulted during PIF development as required per GEF policy, their relevant roles to project outcomes and plan to develop a Stakeholder Engagement Plan before CEO endorsement has been clearly articulated in the Project Description (Section B).

Yes

Were the following stakeholders consulted during project identification phase:

Indigenous Peoples and Local Communities: Yes

Civil Society Organizations: Yes

Private Sector:

Provide a brief summary and list of names and dates of consultations

Initial informal consultations were conducted with representatives from IPs and LCs networks, IPs and LCs funding mechanisms, and allied organizations to inform the development of the concept note. An inperson consultation with the GEF IPAG was also conducted (2-3 September 2024) to refine the concept for the PIF submission. More extensive formal consultations and dialogues will be held during the project development.

(Please upload to the portal documents tab any stakeholder engagement plan or assessments that have been done during the PIF development phase.)

Private Sector

Will there be private sector engagement in the project?

And if so, has its role been described and justified in the section B project description?

Environmental and Social Safeguard (ESS) Risks

We confirm that we have provided indicative information regarding Environmental and Social risks associated with the proposed project or program and any measures to address such risks and impacts (this information should be presented in Annex D).

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Yes

Overall Project/Program Risk Classification

PIF	CEO	MTR	TE
	Endorsement/Approval		
Madium/Madarata			

Medium/Moderate

E. OTHER REQUIREMENTS

Knowledge management

We confirm that an approach to Knowledge Management and Learning has been clearly described in the Project Description (Section B)

Yes

ANNEX A: FINANCING TABLES

GEF Financing Table

Indicative Trust Fund Resources Requested by Agency(ies), Country(ies), Focal Area and the Programming of Funds

GEF Agency	Trust Fund	Country/ Regional/ Global	Focal Area	Programming of Funds	Grant / Non- Grant	GEF Project Grant(\$)	Agency Fee(\$)	Total GEF Financing (\$)
WWF- US	GET	Global	Biodiversity	BD Global/Regional Set-Aside	Grant	22,535,780.00	2,028,220.00	24,564,000.00
Total GEF Resources (\$)				22,535,780.00	2,028,220.00	24,564,000.00		

Project Preparation Grant (PPG)

Is Project Preparation Grant requested?

true

PPG Amount (\$)

400000

PPG Agency Fee (\$)

36000

GEF Agency	Trust Fund	Country/ Regional/ Global	Focal Area	Programming of Funds	Grant / Non-Grant	PPG(\$)	Agency Fee(\$)	Total PPG Funding(\$)
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Total PPG Amount (\$)					400,000.00	36,000.00	436,000.00	
WWF- US	GET	Global	Biodiversity	BD Global/Regional Set-Aside	Grant	400,000.00	36,000.00	436,000.00

Please provide justification

Additional funding needed for travel; the consultation process; due diligence process on partners; and for supporting development of the Impact and Accelerator plans.

Sources of Funds for Country Star Allocation

al GEF Resource	es				0.00
		Regional/ Global			
GEF Agency	Trust Fund	Country/	Focal Area	Sources of Funds	Total(\$)

Indicative Focal Area Elements

Programming Directions	Trust Fund	GEF Project Financing(\$)	Co-financing(\$)
BD-1-5	GET	22,535,780.00	83799902
Total Project Cost		22,535,780.00	83,799,902.00

Indicative Co-financing

Sources of Co-financing	Name of Co-financier	Type of Co-financing	Investment Mobilized	Amount(\$)
Donor Agency	The Tenure Facility	Grant	Investment mobilized	30000000
Donor Agency	The Tenure Facility	In-kind	Recurrent expenditures	10000000
GEF Agency	WWF-US	Grant	Investment mobilized	33002382
GEF Agency	WWF-US	In-kind	Recurrent expenditures	10797520
Total Co-financing				83,799,902.00

Describe how any "Investment Mobilized" was identified

The Tenure Facility investment mobilized is identified as \$30M in grant disbursements to IP and LC organizations during the period 2026-2031. WWF investment mobilized is indicatively identified as \$33M from a variety of bilateral and philanthropic grant sources to WWF.

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Funding from IPs and LCs-led funds have not been included as not to influence the selection process. Co-financing will be further refined during the project development stage after the selection of Impact and Accelerator Partners.

ANNEX B: ENDORSEMENTS

GEF Agency(ies) Certification

GEF Agency Type	Name	Date	Project Contact Person	Phone	Email
GEF Agency Coordinator	Renae Stenhouse	10/23/2024	Astrid Breuer	202-696- 0623	astrid.breuer@wwfus.org

Record of Endorsement of GEF Operational Focal Point (s) on Behalf of the Government(s):

Name	Position	Ministry	Date (MM/DD/YYYY)

ANNEX C: PROJECT LOCATION

Please provide geo-referenced information and map where the project interventions will take place

ANNEX D: ENVIRONMENTAL AND SOCIAL SAFEGUARDS SCREEN AND RATING

(PIF level) Attach agency safeguard screen form including rating of risk types and overall risk rating.

Title

GEF8 ICI_ESS pre-screen_102324

ANNEX E: RIO MARKERS

Climate Change Mitigation	Climate Change Adaptation	Biodiversity	Land Degradation
Significant Objective 1	Significant Objective 1	Principal Objective 2	Significant Objective 1

ANNEX F: TAXONOMY WORKSHEET

Level 1	Level 2	Level 3	Level 4
Focal Areas/Theme			
	Biodiversity		
		Protected Areas and Landscapes	
			Productive Seascapes
			Productive Landscapes

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	1	1	
			Coastal and Marine Protected Areas Community Based Natural Resource
			Management Management
			Terrestrial Protected Areas
		i	
		Financial and Accounting	
			Conservation Trust Funds
<u> </u>			
Influencing models			
	Strengthen institutional capacity and decision-		
	making		
	Demonstrate innovative approaches	i	
Ctalcal ald and			
Stakeholders	<u> </u>		
	Type of Engagement		
	Type of Engagement	Partnership	
		- armersinp	
	ļ	Consultation Information Dissemination	
	Civil Society	Information Dissemination	
	Civil Society	Community Based Organization	
 	_	Community Based Organization	
	Communications	1	
	1	Awareness Raising	
		Strategic Communications	
	Indigenous Peoples		
	Beneficiaries		
	Local Communities		
Gender Equality			
	Gender Mainstreaming	l W	
		Women groups Sex-disaggregated indicators	
		Gender-sensitive indicators	
 		Beneficiaries	
 	Gender results areas	Beneficiaries	
	T Strike Tesaris areas	Capacity development	
		Access and control over natural	
		resources	
		Awareness raising	
		Access to benefits and services	
		Participation and leadership	
		Knowledge generation and exchange	
	 	Cachange	
	<u> </u>		
Capacity, Knowledge and Research			
	Knowledge Generation		
	Knowieuge Generation		
	 	Training	
1	<u> </u>	1	
		1	I
	Consider December 1	<u> </u>	
	Capacity Development Knowledge Exchange		

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