GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

Strengthening the national capacity for the management of POPs in Costa Rica

Basic Information

GEF ID
11015

Countries
Costa Rica

Project Title
Strengthening the national capacity for the management of POPs in Costa Rica

GEF Agency(ies)
UNDP

Agency ID
UNDP: 6496

GEF Focal Area(s)
Chemicals and Waste

Program Manager
Evelyn Swain

PIF

art I – Project Informatic

Focal area elements

1. Is the project/program aligned with the relevant GEF focal area elements in Table A, as defined by the GEF 7 Programming Directions?

Secretariat Comment at PIF/Work Program Inclusion

Yes.

Agency Response

Indicative project/program description summary

2. Are the components in Table B and as described in the PIF sound, appropriate, and sufficiently clear to achieve the project/program objectives and the core indicators?

Secretariat Comment at PIF/Work Program Inclusion Table B is appropriate. There is also sufficient justification for the PCB components.

Agency Response

Co-financing

3. Are the indicative expected amounts, sources and types of co-financing adequately documented and consistent with the requirements of the Co-Financing Policy and Guidelines, with a description on how the breakdown of co-financing was identified and meets the definition of investment mobilized?

Secretariat Comment at PIF/Work Program Inclusion

The source of co-financing is missing for one of the sources (Fonds Français pour l'Environnement Mondial - Project : Repenser la consommation de plastique au Costa Rica : mettre les idées en pratique). Please add the funding source.

ES, 5/9/22: Co-financing source has been corrected. Comment cleared.

Agency Response

UNDP Response 6 May 2022: Corrected. Thank you!

GEF Resource Availability

4. Is the proposed GEF financing in Table D (including the Agency fee) in line with GEF policies and guidelines? Are they within the resources available from (mark all that apply):

Secretariat Comment at PIF/Work Program Inclusion Yes.

Agency Response

Agency Response

The STAR allocation?

Secretariat Comment at PIF/Work Program Inclusion NA

Agency Response

The focal area allocation?

Secretariat Comment at PIF/Work Program Inclusion Yes.

Agency Response

The LDCF under the principle of equitable access?

Secretariat Comment at PIF/Work Program Inclusion NA

Agency Response

The SCCF (Adaptation or Technology Transfer)?

The SCCF (Adaptation or Technology Transfer)?

Secretariat Comment at PIF/Work Program Inclusion NA

Agency Response

Focal area set-aside?

Secretariat Comment at PIF/Work Program Inclusion NA

Agency Response

Impact Program Incentive?

Secretariat Comment at PIF/Work Program Inclusion NA

Agency Response

Project Preparation Grant

5. Is PPG requested in Table E within the allowable cap? Has an exception (e.g. for regional projects) been sufficiently substantiated? (not applicable to PFD)

Secretariat Comment at PIF/Work Program Inclusion Yes, PPG is within the cap.

Agency Response

Core indicators

6. Are the identified core indicators in Table F calculated using the methodology included in the corresponding Guidelines? (GEF/C.54/11/Rev.01)

Secretariat Comment at PIF/Work Program Inclusion

The core indicator table needs to be completed. Indicator 9.6 is missing, please add the quantity of POPs containing material that this project will address.

Also please add the beneficiaries to core indicator 11.

Also, please add the methodology used for the indicator calculations.

ES, 5/9/22: Core indicator 9 and 9.1 are now missing. Please update.

ES, 5/10/22: The core indicators have been corrected. Comment cleared.

Agency Response

UNDP Response 6 May 2022:

Indicators 9.6 and 11 have been updated.

Methodologies for calculations have been added.

UNDP Response 9 May 2022: DIGECA and UNDP carried out consultations during the preparation of the PIF. Based on the information available, it was determined pure PCBs continue to be found in the country. Based on available information from other UNDP projects in the region, it can be established that the quantity of pure PCBs to be eliminated under these assumptions is 1 Ton of pure PCBs (and 30 Ton of PCB-contaminated equipment). UNDP has updated the performance indicators 9.1 and 9.6 in the Portal.

Project/Program taxonomy

7. Is the project/program properly tagged with the appropriate keywords as requested in Table G?

Secretariat Comment at PIF/Work Program Inclusion

Agency Response

art II – Project Justification

1. Has the project/program described the global environmental/adaptation problems, including the root causes and barriers that need to be addressed?

Secretariat Comment at PIF/Work Program Inclusion

Yes, the project has well described the environmental problems and root causes and barriers.

Agency Response

2. Is the baseline scenario or any associated baseline projects appropriately described?

Secretariat Comment at PIF/Work Program Inclusion

Yes, the baseline is adequately described.

Agency Response

3. Does the proposed alternative scenario describe the expected outcomes and components of the project/program?

Secretariat Comment at PIF/Work Program Inclusion

Please include a theory of change.

ES, theory of change has been added. Comment cleared.

Agency Response

UNDP Response 6 May 2022: Theory of Change has been pasted into PIF

4. Is the project/program aligned with focal area and/or Impact Program strategies?

Secretariat Comment at PIF/Work Program Inclusion

Yes, this is aligned with the CW strategy.

Agency Response

5. Is the incremental/additional cost reasoning properly described as per the Guidelines provided in GEF/C.31/12?

Secretariat Comment at PIF/Work Program Inclusion

Yes.

Agency Response

6. Are the project's/program's indicative targeted contributions to global environmental benefits (measured through core indicators) reasonable and achievable? Or for adaptation benefits?

Secretariat Comment at PIF/Work Program Inclusion

Will PBDE be addressed through this project? It is not listed in the GEBs or core indicators. If it will be addressed please add it to both sections.

ES, 5/9/22: Comment cleared.

Agency Response

UNDP Response 6 May 2022:

Output D3) Pilot #3 on Management of vehicle plastics at the end of their life cycle seeks to generate knowledge on challenges on this topic. Some plastics contained in vehicles may have PBDEs and other new industrial POPs. This information will be quantified upon PPG implementation.

7. Is there potential for innovation, sustainability and scaling up in this project?

Secretariat Comment at PIF/Work Program Inclusion

Yes, innovation, sustainability, and scaling are addressed in the project.

Agency Response

Project/Program Map and Coordinates

Is there a preliminary geo-reference to the project's/program's intended location?

Secretariat Comment at PIF/Work Program Inclusion

Coordinates are provided.

Agency Response

Stakeholders

Does the PIF/PFD include indicative information on Stakeholders engagement to date? If not, is the justification provided appropriate? Does the PIF/PFD include information about the proposed means of future engagement?

Secretariat Comment at PIF/Work Program Inclusion

Yes, stakeholder list is provided.

Agency Response

Gender Equality and Women's Empowerment

Is the articulation of gender context and indicative information on the importance and need to promote gender equality and the empowerment of women, adequate?

Secretariat Comment at PIF/Work Program Inclusion

Gender is included and an assessment will be conducted during PPG stage.

Agency Response

Private Sector Engagement

Is the case made for private sector engagement consistent with the proposed approach?

Secretariat Comment at PIF/Work Program Inclusion

yes, private sector is a key partner.

Agency Response

Risks to Achieving Project Objectives

Does the project/program consider potential major risks, including the consequences of climate change, that might prevent the project objectives from being achieved or may be resulting from project/program implementation, and propose measures that address these risks to be further developed during the project design?

Secretariat Comment at PIF/Work Program Inclusion

Please include any climate risks.

ES, 5/9/22: Climate risk analysis will be performed during PPG. Comment cleared.

Agency Response

UNDP Response 6 May 2022: No Climate risks have been identified during the PIF Stage. Climate Risk Analysis will be performed and documented during the PPG phase.

Coordination

Is the institutional arrangement for project/program coordination including management, monitoring and evaluation outlined? Is there a description of possible coordination with relevant GEF-financed projects/programs and other bilateral/multilateral initiatives in the project/program area?

Secretariat Comment at PIF/Work Program Inclusion

Yes, the institutional arrangements are appropriate with the government as the execution partner.

Agency Response

Consistency with National Priorities

Has the project/program cited alignment with any of the recipient country's national strategies and plans or reports and assessments under relevant conventions?

Secretariat Comment at PIF/Work Program Inclusion

Yes, this project is consistent with national priorities including the Stockholm Convention NIP.

Agency Response

Knowledge Management

Is the proposed "knowledge management (KM) approach" in line with GEF requirements to foster learning and sharing from relevant projects/programs, initiatives and evaluations; and contribute to the project's/program's overall impact and sustainability?

Secretariat Comment at PIF/Work Program Inclusion

Yes.

Agency Response

Environmental and Social Safeguard (ESS)

Are environmental and social risks, impacts and management measures adequately documented at this stage and consistent with requirements set out in SD/PL/03?

Secretariat Comment at PIF/Work Program Inclusion

Yes.

Agency Response

art III – Country Endorsements

Has the project/program been endorsed by the country's GEF Operational Focal Point and has the name and position been checked against the GEF data base?

Secretariat Comment at PIF/Work Program Inclusion

Yes.

Agency Response

Termsheet, reflow table and agency capacity in NGI Projects

Does the project provide sufficient detail in Annex A (indicative termsheet) to take a decision on the following selection criteria: co-financing ratios, financial terms and conditions, and financial additionality? If not, please provide comments. Does the project provide a detailed reflow table in Annex B to assess the project capacity of generating reflows? If not, please provide comments. After reading the questionnaire in Annex C, is the Partner Agency eligible to administer concessional finance? If not, please provide comments.

Secretariat Comment at PIF/Work Program Inclusion

NA

Agency Response

UNDP Response to PPO Comments: 6 May 2022

1. Co-financing:

- 8 yellow highlighted entities: Please remove these entries since no entitieshave been identified. At CEO endorsement request submission, report these amount as ?confirmed? co-financing and submit co-financing letters from each source.

UNDP Response 6 May 2022: The cofinancing figures provided are tentative from the consultations held with Government Entities and other potential co-financiers. The figures will be confirmed during the PPG phase and validated through cofinancing letters.

- Fonds Francais pour l?Environment Mondial: source ? select ?Donoragency?.

UNDP Response 6 May 2022: Corrected. Thank you!

2. Other Executing Partner is blank ? per the LoE, it must be the Ministry ofEnvironment and Energy (then the ?type? Government makes sense)

UNDP Response 6 May 2022: Corrected. Thank you!

3. Core Indicators: Please include the methodology used for computing theindicators.

UNDP Response 6 May 2022: Included.

4. Stakeholder engagement: It is well noted that the project includes a list ofstakeholder to be consulted. The submission, however, does not include any information on stakeholder consultations/engagement during project design. Please ask Agency to provide information on the consultations to date (whenand with whom) as well as a synopsis of the main findings

UNDP Response 6 May 2022: UNDP confirms that meetings have taken place with the main stakeholders.

Meetings between DIGECA and the Ministries of Agriculture and Livestock as well as the Ministry of Health took place during the preparation of the PIF.

The list provided on the PIF includes information of stakeholders that have been consulted through the Established National Workgroup to address Single-use plastics in Costa Rica. Furthermore, through the FFEM project, additional consultations with stakeholders have taken place, especially with regards to Plastics Management (Component 3).

The indicative stakeholder list provided on the PIF includes parties that will be consulted during the PPG phase.

5. Gender equality: It is well note that the project plans to carry out a genderassessment and develop a gender action plan during PPG stage. The agency should have however at this stake be able to provide some indicative information on key gender dimensions related to project objective andcomponents. Please ask Agency to elaborate further on this.

UNDP Response 6 May 2022: Addressed on Section 3. Gender Equality and Women's Empowerment.

PPO Comments 11 May 2022

On Co-financing: to the request of "Please remove these entries since no entities have been identified. At CEO endorsement request submission, report these amount as ?confirmed? co-financing and submit co-financing letters from each source", the Agency response is "UNDP Response 6 May 2022: The cofinancing figures provided are tentative from the consultations held with Government Entities and other potential co-financiers. The figures will be confirmed during the PPG phase and validated through cofinancing letters". Per Guidelines, "Project Identification Forms and Program Framework Documents submitted for Work Program entry or CEO Approval, Agencies provide indicative information regarding the expected amounts, sources and types of Co-Financing". Please ask the Agency to include the identified sources (which means Names). Please ask the Agency to either provide the names or remove those lines from Table C

UNDP response 11 May: names of available indicative sources of co-financing included.

On Stakeholder Engagement: it was not possible to find out any answer to Gabriella's query on this – please ask the Agency to address the comment below.

UNDP response 11 May: comments included on the previous response (May 6) have been included in the PIF for additional clarity.

EFSEC DECISION

RECOMMENDATION

Is the PIF/PFD recommended for technical clearance? Is the PPG (if requested) being recommended for clearance?

Secretariat Comment at PIF/Work Program Inclusion

Not at this time. Some issues remain above and PPO has the following comments:

1. Co-financing:

- 8 yellow highlighted entities: Please remove these entries since no entities have been identified. At CEO endorsement request submission, report these amount as ‘confirmed’ co-financing and submit co-financing letters from each source.

- Fonds Francais pour I‘Environment Mondial: source – select ‘Donor agency’.

2. Other Executing Partner is blank – per the LoE, it must be the Ministry of Environment and Energy (then the ‘type’ Government makes sense)

3. Core Indicators: Please include the methodology used for computing the indicators.

4. Stakeholder engagement: It is well noted that the project includes a list of stakeholder to be consulted. The submission, however, does not include any information on stakeholder consultations/engagement during project design. Please ask Agency to provide information on the consultations to date (when and with whom) as well as a synopsis of the main findings

5. Gender equality: It is well note that the project plans to carry out a gender assessment and develop a gender action plan during PPG stage. The agency should have however at this stake be able to provide some indicative information on key gender dimensions related to project objective and components. Please ask Agency to elaborate further on this.

ES, 5/1/22: PPO has the following comments:

1. On Co-financing: to the request of “Please remove these entries since no entities have been identified. At CEO endorsement request submission, report these amount as ?confirmed? co-financing and submit co-financing letters from each source”, the Agency response is “UNDP Response 6 May 2022: The cofinancing figures provided are tentative from the consultations held with Government Entities and other potential co-financiers. The figures will be confirmed during the PPG phase and validated through cofinancing letters”. Per Guidelines, “Project Identification Forms and Program Framework Documents submitted for Work Program entry or CEO Approval, Agencies provide indicative information regarding the expected amounts, sources and types of Co-Financing”. Please ask the Agency to include the identified sources (which means Names). Please ask the Agency to either provide the names or remove those lines from Table C

4. On Stakeholder Engagement: it was not possible to find out any answer to Gabriella’s query on this – please ask the Agency to address the comment below.

ES, 5/13/22: PPO comments have been addressed. The PIF is recommended for technical clearance.

ADDITIONAL COMMENTS

ADDITIONAL COMMENTS

Additional recommendations to be considered by Agency at the time of CEO endorsement/approval.

Secretariat Comment at PIF/Work Program Inclusion

/iew Dates

	PIF Review	Agency Response
First Review	5/5/2022	
Additional Review (as necessary)	5/9/2022	
Additional Review (as necessary)	5/10/2022	
Additional Review (as necessary)	5/11/2022	
Additional Review (as necessary)	5/13/2022	

PIF Recommendation to CEO

Brief reasoning for recommendations to CEO for PIF Approval

This project aims to reduce emissions and releases of toxic chemicals, minimize exposure of humans to unintentionally produced POPs (UPOPs) in strategic sectors including plastics, and advance the Stockholm Convention in Costa Rica. The project will strengthen institutional capacities, and the policy and regulatory framework to address POPs-containing chemicals, products and waste, including plastics. It will demonstrate through 3 pilot projects for the reduction of UPOP emissions from uncontrolled and/or open burning of biomass (sugarcane, pineapple, and rice), agrochemicals, and other waste. The project will also improve plastics management in POPs generating sectors such as agriculture and end of life vehicles. This project will result in several global environmental benefits, including

generating sectors such as agriculture and end of life vehicles. This project will result in several global environmental benefits, including addressing 30 tons of POPs containing martials, 1 ton of PCBs, and 34 gTEQ UPOPs.