Seventh Operational Phase of the GEF Small Grants Programme in Peru

Review CEO Endorsement and Make a recommendation

Basic project information

GEF ID

10592
Countries

Peru
Project Name

Seventh Operational Phase of the GEF Small Grants Programme in Peru
Agencies

UNDP
Date received by PM

4/16/2021
Review completed by PM

4/20/2021
Program Manager

Mark Zimsky
Focal Area

Biodiversity
Project Type

MSP

PIF □
CEO Endorsement □

Part I ? Project Information

Focal area elements

1. Does the project remain aligned with the relevant GEF focal area elements as presented in PIF (as indicated in table A)?

Secretariat Comment at CEO Endorsement Request
4/19/2021

Cleared.

Agency Response
Project description summary

2. Is the project structure/design appropriate to achieve the expected outcomes and outputs as in Table B and described in the project document?

Secretariat Comment at CEO Endorsement Request
4/19/2021

Cleared.

Agency Response
3. If this is a non-grant instrument, has a reflow calendar been presented in Annex D?

Secretariat Comment at CEO Endorsement Request
4/19/2021

NA.

Agency Response

Co-financing

4. Are the confirmed expected amounts, sources and types of co-financing adequately documented, with supporting evidence and a description on how the breakdown of co-financing was identified and meets the definition of investment mobilized, and a description of any major changes from PIF, consistent with the requirements of the Co-Financing Policy and Guidelines?

Secretariat Comment at CEO Endorsement Request

4/19/2021

UNDP'S in-kind contribution should be labeled "recurrent expenditures"; please revise.

4/26/2021

The translated co-financing letters from the Provincial governments of Candarave and Melgar indicate that these are cash investments to execute certain project-relevant activities, hence co-financing should be categorized as cash/investment mobilized in Table C. Please revise.

4/29/2021

Cleared.

Agency Response

UNDP Agency Response to GEF Sec Comments from 4/19/2021

Thanks. This has been revised in the GEF portal.

UNDP Agency Response to GEF Sec Comments from 4/26/2021

Thanks. Co-financing from the Provincial governments of Candarave and Melgar has been categorized as cash/investment mobilized in Table C of the portal, and reflected in the CEO (Table C) and ProDoc (Pages 53 and 57).

GEF Resource Availability

5. Is the financing presented in Table D adequate and does the project demonstrate a cost-effective approach to meet the project objectives?

Secretariat Comment at CEO Endorsement Request
4/19/2021

Cleared.

Agency Response
Project Preparation Grant

6. Is the status and utilization of the PPG reported in Annex C in the document?

Secretariat Comment at CEO Endorsement Request
4/19/2021

Cleared.

Agency Response
Core indicators

7. Are there changes/adjustments made in the core indicator targets indicated in Table E? Do they remain realistic?

Secretariat Comment at CEO Endorsement Request
4/19/2021

Cleared.

Agency Response

Part II ? Project Justification

1. Is there a sufficient elaboration on how the global environmental/adaptation problems, including the root causes and barriers, are going to be addressed?

Secretariat Comment at CEO Endorsement Request
4/19/2021

Cleared.

Agency Response

2. Is there an elaboration on how the baseline scenario or any associated baseline projects were derived?

Secretariat Comment at CEO Endorsement Request

4/19/2021

Cleared.

Agency Response

3. Is the proposed alternative scenario as described in PIF/PFD sound and adequate? Is there sufficient clarity on the expected outcomes and components of the project and a description on the project is aiming to achieve them?

Secretariat Comment at PIF/Work Program Inclusion

4/19/2021

Cleared.

Agency Response

4. Is there further elaboration on how the project is aligned with focal area/impact program strategies?

Secretariat Comment at CEO Endorsement Request

4/19/2021

Cleared.

Agency Response

5. Is the incremental reasoning, contribution from the baseline, and co-financing clearly elaborated?

Secretariat Comment at CEO Endorsement Request

4/19/2021

Cleared.

Agency Response

6. Is there further and better elaboration on the project?s expected contribution to global environmental benefits or adaptation benefits?

Secretariat Comment at CEO Endorsement Request
4/19/2021

Cleared.

Agency Response
7. Is there further and better elaboration to show that the project is innovative and sustainable including the potential for scaling up?

Secretariat Comment at CEO Endorsement Request
4/19/2021

Cleared.

Agency Response
Project Map and Coordinates

Is there an accurate and confirmed geo-referenced information where the project intervention will take place?

Secretariat Comment at CEO Endorsement Request
4/19/2021

Cleared.

Agency Response
Child Project

If this is a child project, is there an adequate reflection of how it contributes to the overall program impact?

Secretariat Comment at CEO Endorsement Request
4/19/2021

NA.

Agency Response

Stakeholders

Does the project include detailed report on stakeholders engaged during the design phase? Is there an adequate stakeholder engagement plan or equivalent documentation for the implementation phase, with information on Stakeholders who will be engaged, the means of engagement, and dissemination of information?

Secretariat Comment at CEO Endorsement Request

4/19/2021

Cleared.

Agency Response

Gender Equality and Women?s Empowerment

Has the gender analysis been completed? Did the gender analysis identify any gender differences, gaps or opportunities linked to project/program objectives and activities? If so, does the project/program include gender-responsive activities, gender-sensitive indicators and expected results?

Secretariat Comment at CEO Endorsement Request

4/19/2021

Cleared.

Agency Response

Private Sector Engagement

If there is a private sector engagement, is there an elaboration of its role as a financier and/or as a stakeholder?

Secretariat Comment at CEO Endorsement Request

4/19/2021

Cleared.

Agency Response

Risks to Achieving Project Objectives

Has the project elaborated on indicated risks, including climate change, potential social and environmental risks that might prevent the project objectives from being achieved? Were there proposed measures that address these risks at the time of project implementation?

Secretariat Comment at CEO Endorsement Request

4/19/2021

Cleared. Includes plan to manage for COVID-19 implications and risks to implementation.

Agency Response

Coordination

Is the institutional arrangement for project implementation fully described? Is there an elaboration on possible coordination with relevant GEF-financed projects and other bilateral/multilateral initiatives in the project area?

Secretariat Comment at CEO Endorsement Request

4/19/2021

Cleared.

Agency Response

Consistency with National Priorities

Has the project described the alignment of the project with identified national strategies and plans or reports and assessments under the relevant conventions?

Secretariat Comment at CEO Endorsement Request

4/19/2021

Cleared.

Agency Response

Knowledge Management

Is the proposed ?Knowledge Management Approach? for the project adequately elaborated with a timeline and a set of deliverables?

Secretariat Comment at CEO Endorsement Request

4/19/2021

Cleared.

Agency Response

Environmental and Social Safeguard (ESS)

Are environmental and social risks, impacts and management measures adequately documented at this stage and consistent with requirements set out in SD/PL/03?

Secretariat Comment at CEO Endorsement Request

4/19/2021

Cleared.

Agency Response

Monitoring and Evaluation

Does the project include a budgeted M&E Plan that monitors and measures results with indicators and targets?

Secretariat Comment at CEO Endorsement Request

4/19/2021

Cleared.

Agency Response

Benefits

Are the socioeconomic benefits at the national and local levels sufficiently described resulting from the project? Is there an elaboration on how these benefits translate in supporting the achievement of GEBs or adaptation benefits?

Secretariat Comment at CEO Endorsement Request
4/19/2021

Cleared.

Agency Response
Annexes

Are all the required annexes attached and adequately responded to?

Secretariat Comment at CEO Endorsement Request
4/19/2021

Cleared.

Agency Response
Project Results Framework

Secretariat Comment at CEO Endorsement Request
4/19/2021

Cleared.

Agency Response
GEF Secretariat comments

Secretariat Comment at CEO Endorsement Request
4/19/2021

NA.

Agency Response
Council comments

Secretariat Comment at CEO Endorsement Request
4/19/2021

NA.

Agency Response
STAP comments

Secretariat Comment at CEO Endorsement Request
4/19/2021

NA.

Agency Response
Convention Secretariat comments

Secretariat Comment at CEO Endorsement Request
4/19/2021

NA.

Agency Response
Other Agencies comments

Secretariat Comment at CEO Endorsement Request
4/19/2021

NA.

Agency Response
CSOs comments

Secretariat Comment at CEO Endorsement Request
4/19/2021

NA.

Agency Response

Status of PPG utilization

Secretariat Comment at CEO Endorsement Request
4/19/2021

Cleared.

Agency Response
Project maps and coordinates

Secretariat Comment at CEO Endorsement Request
4/19/2021

Cleared.

Agency Response
Does the termsheet in Annex F provide finalized financial terms and conditions? Does the termsheet and financial structure address concerns raised at PIF stage and that were pending to be resolved ahead of CEO endorsement? (For NGI Only)

Secretariat Comment at CEO Endorsement Request
4/19/2021

NA.

Agency Response

Do the Reflow Table Annex G and the Trustee Excel Sheet for reflows provide accurate reflow expectations of the project submitted? Assumptions for Reflows can be submitted to explain expected reflows. (For NGI Only)

Secretariat Comment at CEO Endorsement Request
4/19/2021

NA.

Agency Response
Did the agency Annex H provided with information to assess the Agency Capacity to generate and manage reflows? (For NGI Only)

Secretariat Comment at CEO Endorsement Request
4/19/2021

NA.

Agency Response

GEFSEC DECISION

RECOMMENDATION

Is CEO endorsement recommended? (applies only to projects and child projects)

Secretariat Comment at CEO Endorsement Request
4/19/2021

Please revised the characterization of the UNDP cofinance as indicated above and resubmit.

4/26/2021

Please revise Table C as indicated above in the comments on cofinancing. Resubmit the revised document as soon as possible.

4/29/2021

Yes, project is recommended for CEO endorsement.

Review Dates

	Secretariat Comment at CEO Endorsement	Response to Secretariat comments
First Review	**4/19/2021**	
Additional Review (as necessary)	**4/20/2021**	
Additional Review (as necessary)	**4/26/2021**	

	Secretariat Comment at CEO Endorsement	Response to Secretariat comments
Additional Review (as necessary)	**4/29/2021**	
Additional Review (as necessary)		

CEO Recommendation

Brief reasoning for CEO Recommendations

During GEF-7, the Small Grants Programme in Peru will support initiatives on community-based natural resources management and biodiversity conservation in three target landscapes in the Peruvian Andes: (i) Cusco, (ii) Puno, and (iii) Tacna-Capaso. The most characteristic ecosystems in these landscapes are high-altitude grasslands (*puna*), wetlands (*bofedales*), glacial and periglacial formations, and Andean forest relicts of trees of the *Polylepis* genus (*que?uales*). Biodiversity in these ecosystems is threatened by unsustainable land-use practices, fuelwood extraction, poaching, the introduction of invasive alien species, and unsustainable mining activities. The programme will support participatory planning processes at the landscape level, continuing the activities started during GEF-6 that convened multi-stakeholder governance platforms in the target landscapes and supported the adoption on landscape management strategies. These strategies identified and prioritized actions to restore and conserve biodiversity in each landscape while improving the livelihoods and wellbeing of the inhabitants of these territories. During GEF-7, the programme will build on the results from these participatory planning processes, and support community-led initiatives on (i) sustainable use and conservation of biodiversity and natural resources, (ii) sustainable agricultural production systems, and (ii) sustainable livelihoods. Community-led initiatives will be supported by the programme with small grants and technical assistance. Technical assistance will be provided by programme-funded strategic initiatives in each target landscape, and through partnerships with government agencies, education and research institutions, non-governmental organizations, and other development partners. Initiatives supported during GEF-7 will focus on upscaling initiatives to address the priorities identified in landscape strategies, especially successful technologies, practices, and production models that had been demonstrated during GEF-6. The programme is expected to benefit directly approximately 3,000 inhabitants of the target landscapes, restore 8,000 hectares of degraded land (especially grasslands), and improve land use management in 30,000 additional hectares.

The risks associated with the COVID-19 pandemic, which coincided with the project preparation phase, are relevant with respect to operational, financial, and community

safety aspects. Bringing together cross-sectoral and multiple stakeholders into participatory processes will help enhance the knowledge of the risks associated with zoonotic diseases like COVID-19 and how landscape management approaches can help mitigate the risks and build social and ecological resilience of local communities. The project will also promote on-farm diversification and improved agro-ecological farming practices, which will contribute to increased food and income security of local communities, strengthening their coping capacities in response to the COVID-19 pandemic and other socioeconomic disruptions.

Safeguards have been designed for implementing adaptive stakeholder engagement measures if the COVID-19 pandemic is prolonged or recurrent during SGP?s implementation phase (Annex 12 of the project document describes the COVID-19 Analysis and Action Framework). For example, virtual meetings will be held where feasible, and as needed, developing skills and facilitating Internet access through local NGOs, etc. SGP Standard Operating Procedures (SOPs) will be reviewed and updated to address risk of virus exposure. Hazard assessments will be required for project proposals involving gatherings of multiple people, and mitigation measures will be implemented accordingly, e.g., ensuring physical distancing, providing personal protective equipment, avoiding non-essential travel, delivering training on risks and recognition of symptoms, etc.