

Managing Biodiversity and Environmental Risks Associated with the Safer Salvage Operation in the Red Sea

Basic Information

GEF ID

11056

Countries

Yemen

Project Title

Managing Biodiversity and Environmental Risks Associated with the Safer Salvage Operation in the Red Sea

GEF Agency(ies)

UNDP

Agency ID

UNDP: 9483

GEF Focal Area(s)

Biodiversity

Program Manager

Ulrich Apel

GEF-8 PROJECT IDENTIFICATION FORM (PIF) REVIEW SHEET

1. General Project Information / Eligibility

a) Does the project meet the criteria for eligibility for GEF funding?

b) Is the General Project Information table correctly populated?

Secretariat's Comments

10/17/2022:

a) Yes.

b) - On the project title: there is difference between the title provided in the LoE and the one in the Portal. Please update the title in the portal to match what is stipulated in the LoE.

- General project information data: please correct entry for the Region in the portal. "Yemen" is currently entered as the region. (There should be drop down menu allowing to select "ECA-ME" or Middle East).

10/24/2022: Addressed & corrected.

Cleared

Agency's Comments

UNDP response – 24 October 2022

b) - The project title has been adjusted as per the LoE.

- There is a technical issue in GEF Portal that prevents us to correct the "Region" from our side which will be updated by GEF Helpdesk internally.

2. Project Summary

Does the project summary concisely describe the problem to be addressed, the project objective and the strategies to deliver the GEBs or adaptation benefits and other key expected results?

Secretariat's Comments

10/17/2022: Yes.

Cleared

Agency's Comments

3 Indicative Project Overview

3.1 a) Is the project objective presented as a concise statement and clear?

b) Are the components, outcomes and outputs sound, appropriate and sufficiently clear to achieve the project objective and the core indicators per the stated Theory of Change?

Secretariat's Comments

10/17/2022: Yes.

Cleared

Agency's Comments

3.2 Are gender dimensions, knowledge management and monitoring and evaluation included within the project components

3.2 Are gender dimensions, knowledge management, and monitoring and evaluation included within the project components and appropriately funded?

Secretariat's Comments

10/17/2022: Gender has been adequately addressed. However, it is noted that gender equality and women's empowerment is described in a separate paragraph, instead of weaving it into the project description and inclusion in project components.

During project preparation and in preparing the gender analysis and gender action plan, the Agency is reminded of the requirement to weave in / mainstream gender considerations in the description of the project components (for example, in preparedness plans, knowledge and information products, training and capacity-building, etc.) and disaggregate data or include gender-related indicators.

Cleared

Agency's Comments

3.3 a) Are the components adequately funded?

b) Are the GEF Project Financing and Co-Financing contributions to PMC proportional?

c) Is the PMC equal to or below 5% of the total GEF grant for FSPs or 10% for MSPs? If the requested PMC is above the caps, has an exception (e.g. for regional projects) been sufficiently substantiated?

Secretariat's Comments

10/17/2022: Yes.

Cleared

Agency's Comments

4 Project Outline

A. Project Rationale

4.1 SITUATION ANALYSIS

a) is the current situation (including global environmental problems, key contextual drivers of environmental degradation, climate vulnerability) clearly and adequately described from a systems perspective?

b) Are the key barriers and enablers identified?

Secretariat's Comments

10/17/2022: Yes, within the specific context.

Cleared

Agency's Comments

4.2 JUSTIFICATION FOR PROJECT

a) Is there an indication of why the project approach has been selected over other potential options?

b) Does it ensure resilience to future changes in the drivers?

c) Is there a description of how the GEF alternative will build on ongoing/previous investments (GEF and non-GEF), lessons and experiences in the country/region?

d) are the relevant stakeholders and their roles adequately described?

Secretariat's Comments

Secretariat's Comments

10/17/2022: Yes, within the given context.

Cleared

Agency's Comments

5 B. Project Description

5.1 THEORY OF CHANGE

a) Is there a concise theory of change that describes the project logic, including how the project design elements will contribute to the objective, the expected causal pathways, and the key assumptions underlying these?

b) Are the key outputs of each component defined (where possible)?

Secretariat's Comments

10/17/2022: A theory of change is not required in the given context; the project logic and rationale for action has been adequately described.

Cleared

Agency's Comments

5.2 INCREMENTAL/ADDITIONAL COST REASONING

Is the incremental/additional cost reasoning properly described as per the Guidelines provided in GEF/C.31/12?

Secretariat's Comments

10/17/2022: Yes, within the given context.

Cleared

Agency's Comments

5.3 IMPLEMENTATION FRAMEWORK

- a) Is the institutional setting, including potential executing partners, outlined and a rationale provided?**
- b) Comments to proposed agency execution support (if agency expects to request exception).**
- c) is there a description of potential coordination and cooperation with ongoing GEF-financed projects/programs and other bilateral/multilateral initiatives in the project area**
- d) are the proposed elements to capture and disseminate knowledge and learning outputs and strategic communication adequately described?**

Secretariat's Comments

10/17/2022: Yes, specifically:

- a) yes.
- b) the intended UNDP execution support through DIM project execution modality is considered justified. I will be considered at CEO endorsement stage for approval, based on a formal OFP request.
- c) yes: Potential cooperation with PERGA described.
- d) yes, within the given context.

Cleared

Agency's Comments

5.4 a) Are the identified core indicators calculated using the methodology included in the corresponding Guidelines (GEF/C.54/11/Rev.01)?

b) Are the project's indicative targeted contributions to GEBs (measured through core indicators)/adaptation benefits reasonable and achievable?

Secretariat's Comments

10/17/2022: Yes.

Cleared

Agency's Comments

5.5 NGI Only: Is there a justification of financial structure and use of financial instrument with concessionality levels?

Secretariat's Comments n/a

Agency's Comments

5.6 RISKS

a) Are climate risks and other main risks relevant to the project described and addressed within the project concept design?

b) Are the key risks that might affect the project preparation and implementation phases identified and adequately rated?

c) Are environmental and social risks, impacts and management measures adequately screened and rated at this stage and consistent with requirements set out in SD/PL/03?

Secretariat's Comments

10/17/2022: Key risks to the project have been described. The project is a high risk operation, despite the mitigation measures being in place. Further risks and safeguard assessments will be conducted during the PPG, with additional funding being requested due to the specific context.

Program manager approves justification for increased PPG budget.

Cleared

Agency's Comments

5.7 Qualitative assessment

a) Does the project intend to be well integrated, durable, and transformative?

b) Is there potential for innovation and scaling-up?

c) Will the project contribute to an improved alignment of national policies (policy coherence)?

Secretariat's Comments

10/17/2022: Yes, within the specific context to a certain extent.

Cleared

Agency's Comments

6 C. Alignment with GEF-8 Programming Strategies and Country/Regional Priorities

6.1 Is the project adequately aligned with focal area and integrated program strategies and objectives, and/or adaptation priorities?

Secretariat's Comments

10/17/2022: Yes, within the specific project context.

Cleared

Agency's Comments

6.2 Is the project alignment/coherent with country and regional priorities, policies, strategies and plans (including those related to the MEAs and to relevant sectors)

Secretariat's Comments

10/17/2022: Yes, fully in line and further supported by email from the OFP to GEF CEO (see uploaded in document).

Cleared

Agency's Comments

7 D. Policy Requirements

7. Policy Requirements

7.1 Is the Policy Requirements section completed?

Secretariat's Comments

10/17/2022: Yes.

Cleared

Agency's Comments

7.2 Is a list of stakeholders consulted during PIF development, including dates of these consultations, provided?

Secretariat's Comments

10/17/2022: Yes.

Cleared

Agency's Comments

8 Annexes

Annex A: Financing Tables

8.1 Is the proposed GEF financing (including the Agency fee) in line with GEF policies and guidelines? Are they within the resources available from (mark all that apply):

..... available from which all must apply.

STAR allocation?

Secretariat's Comments

10/17/2022: Yes.

Cleared

Agency's Comments

Focal Area allocation?

Secretariat's Comments

10/17/2022: Yes.

Cleared

Agency's Comments

LDCF under the principle of equitable access?

Secretariat's Comments n/a

Agency's Comments

SCCF A (SIDS)?

Secretariat's Comments n/a

Agency's Comments

SCCF B (Tech Transfer, Innovation, Private Sector)?

Secretariat's Comments n/a

Agency's Comments

Focal Area Set Aside?

Secretariat's Comments n/a

Agency's Comments

8.2 Is the PPG requested within the allowable cap (per size of project)? If requested, has an exception (e.g. for regional projects) been sufficiently substantiated?

Secretariat's Comments

10/17/2022: Justification has been provided for the requested exception for an increase in the PPG budget. Program manager considers the justification adequate and recommends CEO approval.

Cleared

Agency's Comments

8.3 Are the indicative expected amounts, sources and types of co-financing adequately documented and consistent with the requirements of the Co-Financing Policy and Guidelines?

Secretariat's Comments

10/17/2022: Yes.

Cleared

Agency's Comments

Annex B: Endorsements

8.4 Has the project been endorsed by the country's(ies) GEF OFP and has the OFP at the time of PIF submission name and position been checked against the GEF database?

Secretariat's Comments

10/17/2022: Yes.

Cleared

Agency's Comments

Are the OFP endorsement letters uploaded to the GEF Portal (compiled as a single document, if applicable)?

Secretariat's Comments

10/17/2022: Yes.

Cleared

Agency's Comments

Do the letters follow the correct format and are the endorsed amounts consistent with the amounts included in the Portal?

Secretariat's Comments

10/17/2022: Yes.

Cleared

Agency's Comments

8.5 For NGI projects (which may not require LoEs), has the Agency informed the OFP(s) of the project to be submitted?

Secretariat's Comments n/a

Agency's Comments

Annex C: Project Location

8.6 Is there preliminary georeferenced information and a map of the project's intended location?

Secretariat's Comments

10/17/2022: Yes.

Cleared

Agency's Comments

Annex D: Safeguards Screen and Rating

8.7 If there are safeguard screening documents or other ESS documents prepared, have these been uploaded to the GEF Portal?

Secretariat's Comments

10/17/2022: A first screening has been done. Further ESS documents will be prepared during PPG and presented at CEO endorsement.

Cleared

Agency's Comments

Annex E: Rio Markers

8.8 Are the Rio Markers for CCM, CCA, BD and LD correctly selected, if applicable?

Secretariat's Comments

10/17/2022: Yes.

Cleared

Agency's Comments

Annex F: Taxonomy Worksheet

8.9 Is the project properly tagged with the appropriate keywords?

Secretariat's Comments

10/17/2022: Yes.

Cleared

Agency's Comments

Annex G: NGI Relevant Annexes

8.10 Does the project provide sufficient detail (indicative term sheet) to take a decision on the following selection criteria: co-financing ratios, financial terms and conditions, and financial additionality? If not, please provide comments. Does the project provide a detailed reflow table to assess the project capacity of generating reflows? If not, please provide comments. Is the Partner Agency eligible to administer concessional finance? If not, please provide comments.

Secretariat's Comments n/a

Agency's Comments

9 GEFSEC Decision

9.1 Is the PIF and PPG (if requested) recommended for technical clearance?

Secretariat's Comments

10/17/2022: No. Please address comments made in this review.

10/24/2022: Yes. Program Manager recommends PIF and PPG clearance.

Agency's Comments

9.2 Additional Comments to be considered by the Agency at the time of CEO Endorsement/ Approval

Secretariat's Comments

Agency's Comments

Review Dates

	PIF Review	Agency Response
First Review	10/17/2022	10/24/2022
Additional Review (as necessary)	10/24/2022	
Additional Review (as necessary)		
Additional Review (as necessary)		
Additional Review (as necessary)		