



# Strengthening national capacities for implementing the Enhanced Transparency Framework in Caribbean countries.

Review PIF and Make a recommendation

## Basic project information

**GEF ID**

11675

**Countries**

Regional (Dominica, Grenada, St. Kitts and Nevis, St. Lucia, Suriname)

**Project Name**

Strengthening national capacities for implementing the Enhanced Transparency Framework in Caribbean countries.

**Agencies**

UNEP

**Date received by PM**

9/16/2024

**Review completed by PM**

10/16/2024

**Program Manager**

William Holness Carrasco

**Focal Area**

Climate Change

**Project Type**

FSP

**GEF-8 PROJECT IDENTIFICATION FORM (PIF) REVIEW SHEET**

**1. General Project Information / Eligibility**

a) Does the project meet the criteria for eligibility for GEF funding?

b) Is the General Project Information table correctly populated?

Secretariat's Comments

WHC 09-17-2024

1. The Agency fee for the grant is 9.5% of the GEF Project Grant. The PPG Agency Fee is 9.5% of the PPG Amount. Cleared.

Agency's Comments

**2. Project Summary**

**Does the project summary concisely describe the problem to be addressed, the project objective and the strategies to deliver the GEBs or adaptation benefits and other key expected results?**

Secretariat's Comments

WHC 10-16-2024:

1. The number of people benefiting from GEF-financed investments (Core Indicator 11) has been added to this section accordingly. Cleared.

WHC 09-17-2024. Please address the following comment:

1. Kindly indicate the number of people benefitting from GEF-financed investments (Indicator 11) in the summary section, including its intended disaggregation by gender. Please amend accordingly.

Agency's Comments

**Response08/10/2024**

This detail has now been added to the Project Summary section.

### 3 Indicative Project Overview

**3.1 a) Is the project objective presented as a concise statement and clear?**

**b) Are the components, outcomes and outputs sound, appropriate and sufficiently clear to achieve the project objective and the core indicators per the stated Theory of Change?**

#### Secretariat's Comments

WHC 09-17-2024: The project objective as well as its components, outcomes, and outputs are presented in a clear and concise way aligned with the Theory of Change. Cleared.

#### Agency's Comments

**3.2 Are gender dimensions, knowledge management, and monitoring and evaluation included within the project components and appropriately funded?**

#### Secretariat's Comments

WHC 10-16-2024:

1. Explicit gender considerations have been added to Output 1.4 and Outcome 2, including references to the hiring of a Gender Expert. Comment cleared.
2. Brief explicit references to knowledge management have been made to Outputs 1.2 and 1.5 to be further developed at the CEO Endorsement Document stage. Comment cleared.

WHC 09-17-2024: Please address the following comments:

1. If possible, please include explicit gender considerations for Outputs 1.4, 2.1, 2.2, 2.3, and 2.4, particularly for its training / capacity-building schemes, emphasizing the representation of gender experts, when appropriate. Please amend as appropriate.
2. Please include knowledge management explicit references within the relevant output(s) as part of the project structure, as applicable. Please amend as appropriate.

#### Agency's Comments

##### **Response08/10/2024**

1. Additional wording has been added under Output 1.4, and under Component 2 (covering Outputs 2.1-4) to be more explicit around gender considerations.
2. Further reference to knowledge management has been included under Outputs 1.2 and 1.5, the Stakeholder Communication and Consultation Strategy. It should also be noted that an explicit Knowledge Management Plan will be developed at the PPG phase, as identified in the final annex of the PPF List of Key Requirements Leading to CEO Endorsement Submission.

**3.3 a) Are the components adequately funded?**

**b) Are the GEF Project Financing and Co-Financing contributions to PMC proportional?**

**c) Is the PMC equal to or below 5% of the total GEF grant for FSPs or 10% for MSPs? If the requested PMC is above the caps, has an exception (e.g. for regional projects) been sufficiently substantiated?**

#### Secretariat's Comments

WHC 09-17-2024: The PMC is below 5% (4.53%) of the total GEF grant and the GEF Project Financing and Co-Financing contributions to PMC are 4.53% and 13.33% respectively. Cleared.

#### Agency's Comments

#### 4 Project Outline

##### A. Project Rationale

##### 4.1 SITUATION ANALYSIS

**a) is the current situation (including global environmental problems, key contextual drivers of environmental degradation, climate vulnerability) clearly and adequately described from a systems perspective?**

**b) Are the key barriers and enablers identified?**

#### Secretariat's Comments

WHC 10-16-2024:

1. Thanks for the provision of indication of relevant subsections within Project Rationale. For upcoming project submissions and following the structure of the GEF-8 template, kindly explicitly indicate subsections with the names of ?Situation Analysis?, and ?Justification of Project?, for ease of reference to the structure. Cleared.
2. Figures 2, 3, and 4 are now visible in the GEF Portal. Comment cleared.

WHC 09-17-2024: Please address the following comments:

1. In order to better align with the structure of the GEF-8 PIF Template, please include differentiated subsections for 4.1 Situation Analysis and 4.2 Justification for Project within section A. Project Rationale. Please amend the content of this section to have these two clearly differentiated subsections.
2. For Grenada, Figure 2, and for Saint Lucia, Figure 3, under the GHG Trends and Projections Module have not loaded correctly on the Portal. The same applies for Figure 4. Project Problem Tree, right after Barrier 3 of this section. Please retry uploading them accordingly so that they could be further analyzed in the next round of review. Please amend accordingly.



In 2014, the Energy subsector (including domestic transport) accounted for 70.22%; the Industrial Processes sub-sector accounted for 14.34%; the Waste sub-sector accounted for 10.94% and the agriculture sub-sector accounted for 4.49% of GHG emissions when expressed as CO<sub>2</sub>e.

## Agency's Comments

### Response08/10/2024

1. Differentiated subsections for Situation Analysis and Justification for Project were added within section A. Project Rationale for more clarity.

2. Problems arise when uploading images to the GEF Portal, as it freezes and does not allow to save changes anymore. Workarounds were implemented and now all images are visible in the Portal.

#### **4.2 JUSTIFICATION FOR PROJECT**

**a) Is there an indication of why the project approach has been selected over other potential options?**

**b) Does it ensure resilience to future changes in the drivers?**

**c) Is there a description of how the GEF alternative will build on ongoing/previous investments (GEF and non-GEF), lessons and experiences in the country/region?**

**d) are the relevant stakeholders and their roles adequately described?**

## Secretariat's Comments

WHC 10-31-2024:

Potential areas of synergies have been added to Table 20. Comment cleared.

WHC 10-16-2024: Please address the following comment:

Brief references to potential areas for synergies for each of the cited projects on tables 3, 7, 12, and 16. However, references for potential areas for synergies have not been documented for Table 20 for Suriname. Kindly include references accordingly.

WHC 09-17-2024: Please address the following comment:

For Dominica (Table 3), Grenada (Table 7), Saint Kitts and Nevis (Table 12), Saint Lucia (Table 16), and Suriname (Table 20), whenever possible, for all the projects cited, kindly complete the sections related to duration, estimated value in USD million to better understand the scale of the projects and the potential interactions with this CBIT Regional project, considering potential timeframes in common. In addition, please provide brief descriptions of potential areas of synergies considering the current CBIT project design in order to ensure that the regional project is responding to the current level of development of transparency systems for each of the participating countries. Given the current PIF stage, brief indicative references would suffice. Please complete accordingly.

#### Agency's Comments

##### **Response 25-Oct-2024**

Table 20 for Suriname has been completed with brief references to potential areas for synergies.

##### **Response08/10/2024**

Potential areas of synergies for the projects cited have now been added to Tables 3, 7, 21, 16, and 20. It should be noted that further engagement with each country, as well as programme managers wherever possible, will be sought during the PPG phase to refine these descriptions.

More detailed information will be completed during PPG phase to better understand scale of the projects and potential interactions.

#### 5 B. Project Description

##### 5.1 THEORY OF CHANGE

**a) Is there a concise theory of change that describes the project logic, including how the project design elements will contribute to the objective, the expected causal pathways, and the key assumptions underlying these?**

**b) Are the key outputs of each component defined (where possible)?**

#### Secretariat's Comments

WHC 10-31-2024:

3. Article 6 references have been removed from working of output 3.1. Comment cleared.

WHC 10-16-2024: Please address the following comment:

1. Thanks for the explanation and further reference on the PIF. Considering the implications of this decision of having either one integrated or five individual transparency platforms from technical, institutional, and budgetary points of view, kindly make sure to indicate at the CEO Endorsement Document stage a detailed explanation of the rationale for its selection, as well as their foreseen interlinkages, functionalities, and sustainability to guarantee its correct operation even after project intervention. Comment cleared at the PIF stage.

2. Thanks for confirming that a prioritization of pilot modules will take place for each of the five countries at the CEO Endorsement Approval stage. Comment cleared at PIF stage.
3. Thanks for providing clarification of the focus on Article 13 for the CBIT project, including its output 3.1. Nevertheless, explicit references to Article 6 and ITMOs are still part of the output wording. To avoid confusion, kindly remove the reference until a potential specific approach for addressing Article 13 considerations on ITMOs and Article 6 is discussed and assessed during the CEO Endorsement Approval stage.

WHC 09-17-2024: Please address the following comments:

In general terms,

1. Kindly confirm if the Climate Transparency Systems to be designed, built, and put in use will be 5 independent system, one for each of the participating countries, or if there will be interconnected systems and kindly express the rationale for the selection of the approach. Please indicate the approach and further elaborate on its rationale appropriately.

For component 2,

2. Considering limited funding and that the project expects to cover the creation of modules for GHG Inventories, Risks and Vulnerability Analysis, NDC Contribution Action for Mitigation and Adaptation, as well as Tracking for Means of implementation (including financial, technology, and capacity), please indicate if a prioritization of sectors and / or categories will be done for each of the modules for the development of ?pilot? sub-modules that could then be complemented by other sources of funding / development projects. If a prioritization of sectors / categories is expected to take place for each of the modules, briefly explain what aspects (such as mitigation or adaptation potentials, institutional arrangements already /or soon-to-be in place, national priorities detected on official documents and further national consultation processes) will be taken into consideration for the selection of the pilot modules. Please indicate the approach and elaborate on its rationale appropriately.

For Output 3.1,

3. Taking into account the specific focus of the CBIT on meeting the provisions of the ETF, Article 13 of the Paris Agreement, kindly describe how the requirements for Article 6 and ITMOs, as specified on the scope of work of the output, is related to the objectives of the CBIT. Kindly make specific technical linkages to assess the feasibility of covering this portion of the output with CBIT funding.

Agency's Comments

**Response 25-Oct-2024**

3. References to Article 6 have been removed from Output working.

**Response08/10/2024**

1. This is an excellent comment and a key question that will guide the development in the PPG phase as it cannot be answered lightly. While we want to benefit from scale economies and shared

challenges, we also need to adapt the system(s) to the national context and priorities and respect sovereignty. We added a reference in the PIF doc that it will be addressed in the PPG phase.

2. We have clarified in the Project Design that a detailed discussion with each country to determine their individual sector priorities will take place during the PPG phase.

3. To avoid confusion, references to Article 6 have been removed, as the primary focus of this project is addressing Article 13. The need to address Article 6 and opportunities for synergies will be discussed with countries during the PPG phase to determine its priority for each country.

## **5.2 INCREMENTAL/ADDITIONAL COST REASONING**

**Is the incremental/additional cost reasoning properly described as per the Guidelines provided in GEF/C.31/12?**

Secretariat's Comments  
WHC 09-17-2024: Cleared.

Agency's Comments

## **5.3 IMPLEMENTATION FRAMEWORK**

**a) Is the institutional setting, including potential executing partners, outlined and a rationale provided?**

**b) Comments to proposed agency execution support (if agency expects to request exception).**

**c) is there a description of potential coordination and cooperation with ongoing GEF-financed projects/programs and other bilateral/multilateral initiatives in the project area**

**d) are the proposed elements to capture and disseminate knowledge and learning outputs and strategic communication adequately described?**

Secretariat's Comments  
WHC 10-31-2024:

Potential areas of synergies have been added to Table 20. Comment cleared.

WHC 10-16-2024: Please address the following comment:

1. Implementation Framework and coordination with other GEF-financed projects have been included in the PIF. Comment cleared.
2. Brief reference to the CBIT Regional project's interactions with the CBIT-GSP has been added under the Implementation Framework. Comment cleared.



3. Information included on reference tables for ongoing initiatives for four of the five countries, except for Suriname on Table 20 as expressed above. Kindly include references of potential interactions with ongoing initiatives in Suriname on Table 20.

WHC 09-17-2024: Please address the following comments:

1. Following GEF-8 PIF Template, please include a differentiated section on the Implementation Framework in order to elaborate on details regarding the institutional settings for this project, including the selected executing partner, a brief description of potential coordination with other GEF-financed projects (i.e., BTR elaboration), and knowledge, learning outputs and communication strategy. Please include these details in a differentiated section accordingly.
2. In addition, please briefly describe the mechanism that the project will have with CBIT Global Support Programme, via the Climate Transparency Platform, through its regional network for the Anglophone Caribbean and at a global level. Please provide a brief description on this coordination mechanism accordingly.
3. For the country tables on the preliminary mapping of the on-going transparency-related initiatives, if possible, kindly include a brief reference to a particular set of components, outcomes, or outputs of this CBIT project that each of the mapped initiatives will work most closely with. Please provide the information of the potential linkages between these projects and the CBIT at the PIF stage, reasonably.

#### Agency's Comments

##### **Response 25-Oct-2024**

3. Table 20 for Suriname has been completed with brief references to potential areas for synergies.

##### **Response08/10/2024**

1. A brief overview of the Implementation Framework has now been provided in the PIF, although it should be noted this will be developed further during the PPG stage. Coordination with other GEF-financed projects is provided in the ongoing transparency initiatives tables for each country - Dominica (Table 3), Grenada (Table 7), Saint Kitts and Nevis (Table 12), Saint Lucia (Table 16), and Suriname (Table 20) - which includes BTR projects. Further clarification has been added as to how the CBIT project will coordinate with these initiatives within each table, and this will be discussed in detail with each country during the PPG phase.

2. Reference to the GSP were added under the Implementation Framework subheading.

3. Within the ongoing transparency initiatives tables for each country, we have now included indicative areas for synergies with the CBIT project. During the PPG phase, we will further explore the CBIT components, outcomes and/or outputs that each of the mapped initiatives will work most closely with. This will involve detailed consultation with each country, as well as the programme managers of initiatives wherever possible (depending on their availability).

**5.4 a) Are the identified core indicators calculated using the methodology included in the corresponding Guidelines (GEF/C.54/11/Rev.01)?**

**b) Are the project's indicative targeted contributions to GEBs (measured through core indicators)/adaptation benefits reasonable and achievable?**

#### Secretariat's Comments

WHC 10-16-2024: Comment cleared.

WHC 09-17-2024: If possible, please consider increasing the number of people benefiting from this CBIT project disaggregated by gender, considering the inclusion of not only State but also non-State actors that could directly or indirectly benefit from project activities in the 5 countries, taking into account the broad scope of work of the project for actors working on mitigation, adaptation, means of implementation, and NDC tracking areas of climate transparency. Please adjust accordingly, if feasible.

#### Agency's Comments

##### Response 08/10/2024

The number of indirect beneficiaries has now been included within the PIF.

**5.5 NGI Only: Is there a justification of financial structure and use of financial instrument with concessionality levels?**

#### Secretariat's Comments

WHC 09-17-2024: N/A

#### Agency's Comments

##### **5.6 RISKS**

**a) Is there a well-articulated assessment of risk and identification of mitigation measures under each relevant risk category?**

**b) Is the rating provided reflecting the residual risk to the likely achievement of intended outcomes after accounting for the expected implementation of mitigation measures?**

**c) Are environmental and social risks, impacts and management measures adequately screened and rated at this stage and consistent with requirements set out in SD/PL/03?**

#### Secretariat's Comments

WHC 10-31-2024: The financial and business model risk has been complemented by elaborating on its description and mitigation measures. Comment cleared.

WHC 10-16-2024: Please address the following comments:

1. The Financial and Business Model risk presents the same information as that from the previous version of the PIF. Kindly include the requested information in the Portal, including a

description of the risk and its mitigation measures, considering that the maintenance, operations, and update of a platform can pose burden on resources from national institutions.

Financial and Business Model	Low	The products developed during the project require minimal budget for maintenance.
------------------------------	-----	---

2. Comment cleared.

WHC 09-17-2024: Please address the following comments:

1. The Financial and Business Model risk is set as Low with an explanation or risk stating that the products developed during the project require minimal budget for maintenance, and there are no mitigation measures outlined. Kindly further elaborate on the minimal budget expected at this PIF stage for the operation and maintenance costs of the Climate Transparency Systems that will be put in place for the 5 countries and include a mitigation measure to ensure that such systems will be sustained throughout and ideally after project execution to provide continuous improvements to the transparency systems in each of the countries.
2. Please provide a brief explanation of risk and mitigation measures for the Overall Risk Rating, which is currently blank.

Overall Risk Rating	Low	
---------------------	-----	--

## Agency's Comments

### Response 25-Oct-2024

1. Further elaboration on mitigation measures and the sustainability of the Transparency Systems was included in the Financial and Business Model section. No highlights allowed in this section in the Portal.

### Response08/10/2024

1. Further elaboration on financial sustainability of the Transparency Management System has now been included within the Risk table, with appropriate mitigation measures in place.

2. Elaboration of overall risk rating has now been completed.

#### **5.7 Qualitative assessment**

**a) Does the project intend to be well integrated, durable, and transformative?**

**b) Is there potential for innovation and scaling-up?**

**c) Will the project contribute to an improved alignment of national policies (policy coherence)?**

## Secretariat's Comments

WHC 09-17-2024: Cleared.

## Agency's Comments

## 6 C. Alignment with GEF-8 Programming Strategies and Country/Regional Priorities

**6.1 Is the project adequately aligned with focal area and integrated program strategies and objectives, and/or adaptation priorities?**

### Secretariat's Comments

WHC 09-17-2024: Yes, the project is in line with the Pillar II (Foster enabling conditions to mainstream mitigation concerns into sustainable development strategies) and objective 2.1 (Support capacity-building needs for transparency under the Paris Agreement through the CBIT) of GEF-8 Climate Change Focal Area Strategy and Associated Programming. Cleared.

### Agency's Comments

**6.2 Is the project alignment/coherent with country and regional priorities, policies, strategies and plans (including those related to the MEAs and to relevant sectors)**

### Secretariat's Comments

WHC 09-17-2024: Yes, cleared.

### Agency's Comments

**6.3 For projects aiming to generate biodiversity benefits (regardless of what the source of the resources is - i.e. BD, CC or LD), does the project clearly identify which of the 23 targets of the Kunming-Montreal Global Biodiversity Framework the project contributes to and how it contributes to the identified target(s)?**

### Secretariat's Comments

WHC 09-17-2024: N/A

### Agency's Comments

## 7 D. Policy Requirements

**7.1 Is the Policy Requirements section completed?**

### Secretariat's Comments

WHC 09-17-2024: Yes, cleared.

### Agency's Comments

**7.2 Is a list of stakeholders consulted during PIF development, including dates of these consultations, provided?**

### Secretariat's Comments

WHC 10-16-2024: Comment cleared.

WHC 09-17-2024: a comprehensive list of the stakeholders of the project has been provided for each of the countries but the list does not provide any information on consultations with such stakeholders during project design. Please clarify and describe activities to consult these groups during project development, including dates of such consultations for reference, as applicable. Please amend accordingly.

In addition, for Dominica and St Lucia youth groups are considered as relevant stakeholder groups and this may be something that can be considered for the other three countries as well. If possible, kindly indicate if youth groups will also be relevant stakeholders for the other three countries and include them accordingly.

### Agency's Comments

#### **Response08/10/2024**

We have now clarified that the comprehensive list of stakeholders within each country baseline are the stakeholders to be engaged at the PPG stage. We have added further details on the engagement activities to be undertaken. The list of stakeholders engaged during the PIF stage is provided in Table 22.

The relevant youth groups in Grenada, St Kitts and Nevis and Suriname will be identified during the PPG phase and included in stakeholder consultations.

### **8 Annexes**

#### **Annex A: Financing Tables**

**8.1 Is the proposed GEF financing (including the Agency fee) in line with GEF policies and guidelines? Are they within the resources available from (mark all that apply):**

**STAR allocation?**

Secretariat's Comments WHC 09-17-2024: N/A

### Agency's Comments

**Focal Area allocation?**

### Secretariat's Comments

WHC 09-17-2024: N/A

### Agency's Comments

**LDCF under the principle of equitable access?**

Secretariat's Comments

WHC 09-17-2024: N/A

Agency's Comments

**SCCF A (SIDS)?**

Secretariat's Comments

WHC 09-17-2024: N/A

Agency's Comments

**SCCF B (Tech Transfer, Innovation, Private Sector)?**

Secretariat's Comments

WHC 09-17-2024: N/A

Agency's Comments

**Focal Area Set Aside?**

Secretariat's Comments

WHC 09-17-2024: Yes, cleared.

Agency's Comments

**8.2 Is the PPG requested within the allowable cap (per size of project)? If requested, has an exception (e.g. for regional projects) been sufficiently substantiated?**

Secretariat's Comments

WHC 09-17-2024: Yes, for a regional FSP, the Agency is requesting a PPG of USD 100,000. Cleared.

Agency's Comments

**8.3 Are the indicative expected amounts, sources and types of co-financing adequately documented and consistent with the requirements of the Co-Financing Policy and Guidelines?**

Secretariat's Comments

WHC 09-17-2024: Yes, the Agency is indicating a total of USD 357,000 as in-kind co-financing amount as recurrent expenditures, equally distributed in each of the five countries. Cleared.

## Agency's Comments

### Annex B: Endorsements

**8.4 Has the project been endorsed by the country?(ies) GEF OFP and has the OFP at the time of PIF submission name and position been checked against the GEF database?**

## Secretariat's Comments

WHC 10-31-2024: A new LoE from Suriname has been submitted with reference to the footnote as part of the GEF-8 template. Comment cleared.

WHC 10-16-2024: A new LoE for Saint Lucia has been provided signed by Mr. Eulampius Frederik. In addition, the name of the project in the Portal has been changed to 'Strengthening national capacities for implementing the Enhanced Transparency Framework in Caribbean countries' to match the name reflected in the LoEs. Nevertheless, the LoE for Suriname lacks the footnote that conditions the selection of the executing partner to the following: 'Subject to the capacity assessment carried out by the GEF Implementing Agency, as appropriate?'. Please get an email from the OFP accepting this footnote to be part of the LoE (this is an alternative to request a new LoE).

WHC 09-17-2024: At the time of the review, this regional project is correctly endorsed by:

- ? Mr. Edgar Hunter, GEF Operational Focal Point for Dominica.
- ? Ms. Nicole Clarke, GEF Operational Focal Point for Grenada
- ? Ms. Colincia Levine, GEF Operational Focal Point for St. Kitts and Nevis
- ? Ms. Vanuessa Gefferie, GEF Operational Focal Point for Suriname

Nevertheless, the LoE presented for St. Lucia is not signed by Mr. Eulampius Frederik, its GEF Operational Focal Point. Kindly resubmit an LoE for St. Lucia with its current GEF Operational Focal Point as signatory.

In addition, all LoEs have a title ('Strengthening national capacities for implementing the Enhanced Transparency Framework in Caribbean countries?'), which is different from the title in Portal ('Strengthening national capacities for implementing the Enhanced Transparency Framework in Dominica, Grenada, St. Kitts and Nevis, Saint Lucia, and Suriname?'). Please either modify the title in Portal to match that in the LoEs, or to get new LoEs to match the title in Portal.

## Agency's Comments

### Response 25-Oct-2024

A new Letter of Endorsement for Suriname was submitted, and uploaded to the platform in a new compiled file.

### **Response08/10/2024**

New Letter of Endorsement for St. Lucia is submitted, signed by Mr. Eulampius Frederik.

The title in the portal and PIF document has been adjusted to match that in the LoEs.

**Are the OFP endorsement letters uploaded to the GEF Portal (compiled as a single document, if applicable)?**

#### Secretariat's Comments

WHC 10-31-2024: A new LoE from Suriname has been submitted with reference to the footnote as part of the GEF-8 template. Comment cleared.

WHC 10-16-2024: A new LoE for Saint Lucia has been provided signed by Mr. Eulampius Frederik. Nevertheless, please address the comment related to the LoE for Suriname as it relates to the footnote expressed in the review section above.

WHC 09-17-2024: the OFP endorsement letters are uploaded to the Portal, but the letter for St. Lucia needs to be amended as expressed above. Please amend accordingly and compile them in a single document, if possible.

#### Agency's Comments

### **Response 25-Oct-2024**

A new Letter of Endorsement for Suriname was submitted, and uploaded to the platform in a new compiled file.

### **Response08/10/2024**

A new Letter of Endorsement for St. Lucia is submitted as expressed above. LoEs have been compiled in a single document.

**Do the letters follow the correct format and are the endorsed amounts consistent with the amounts included in the Portal?**

#### Secretariat's Comments

WHC 09-17-2024: Yes, cleared.

#### Agency's Comments

**8.5 For NGI projects (which may not require LoEs), has the Agency informed the OFP(s) of the project to be submitted?**



#### Secretariat's Comments

WHC 09-17-2024: N/A.

#### Agency's Comments

##### **Annex C: Project Location**

#### **8.6 Is there preliminary georeferenced information and a map of the project's intended location?**

#### Secretariat's Comments

WHC 10-16-2024: A georeferenced maps has been included for the 5 countries. Comment cleared.

WHC 09-17-2024: Kindly provide geo-referenced maps for the 5 participating countries of this project. Please include accordingly.

#### Agency's Comments

##### **Response08/10/2024**

A map was added together with the geocoordinates in section ?ANNEX C: PROJECT LOCATION?.

##### **Annex D: Safeguards Screen and Rating**

#### **8.7 If there are safeguard screening documents or other ESS documents prepared, have these been uploaded to the GEF Portal?**

Secretariat's CommentsWHC 09-17-2024: Yes, cleared.

#### Agency's Comments

##### **Annex E: Rio Markers**

#### **8.8 Are the Rio Markers for CCM, CCA, BD and LD correctly selected, if applicable?**

#### Secretariat's Comments

WHC 09-17-2024: Yes, cleared.

Agency's Comments

**Annex F: Taxonomy Worksheet**

**8.9 Is the project properly tagged with the appropriate keywords?**

Secretariat's Comments

WHC 09-17-2024: Yes, cleared.

Agency's Comments

**Annex G: NGI Relevant Annexes**

**8.10 Does the project provide sufficient detail (indicative term sheet) to take a decision on the following selection criteria: co-financing ratios, financial terms and conditions, and financial additionality? If not, please provide comments. Does the project provide a detailed reflow table to assess the project capacity of generating reflows? If not, please provide comments. Is the Partner Agency eligible to administer concessional finance? If not, please provide comments.**

Secretariat's Comments

WHC 09-17-2024: N/A

Agency's Comments

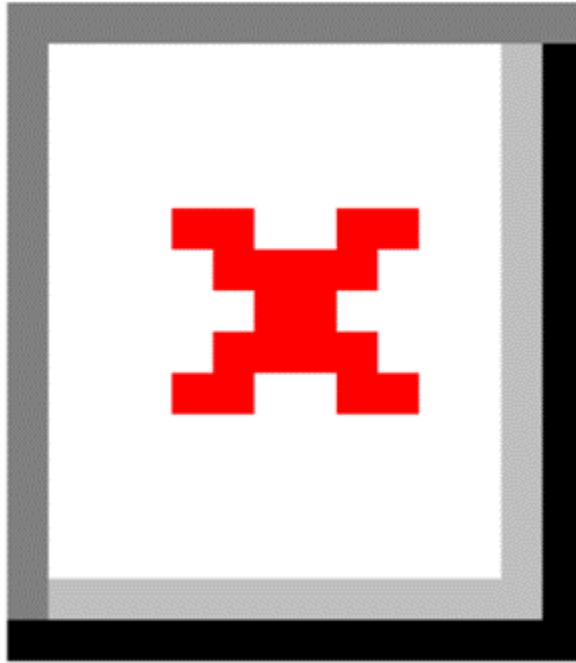
**9 GEFSEC Decision**

**9.1 Is the PIF and PPG (if requested) recommended for technical clearance?**

Secretariat's Comments

WHC 11-07-2024: References to the images have been made to the Agency Project Document as the technical issue could not be solved. The issue with the format / styling of the text not being homogeneous across the document could not be solved either, and exceptionally, the CEO Endorsement Document is recommended to move forward this way.

WHC 10-31-2024: Some images, particularly those on Page 32, the summary of Grenada's GHG Emissions, and Figure 4 on Page 82 have not been correctly loaded on the GEF Portal and are displayed with an X, as shown below. Kindly adjust the image size and quality so that they are correctly populated in the GEF Portal.



In addition, as shown below some font sizes and paragraph styles are still not homogenized throughout the document. Kindly adjust the font size and paragraph styles of the texts presented, and please remove all highlights / colors in the fonts of the edited texts throughout the revisions for the document to be circulated with GEF Council and revert. Please adjust accordingly.

St. Kitts and Nevis ratified the UNFCCC in 1993. The National Climate Change Policy (2017) provides the policy framework for climate action in St. Kitts and Nevis. It provides overarching guidance for the creation of institutional mechanisms to enable low carbon and climate resilient development, in harmony with other sectoral policies, and for the identification and implementation of adaptation and mitigation measures. The National Climate Change Adaptation Strategy (2018) operationalizes the National Climate Change Policy.

The Climate Action Unit has overall responsibility for coordinating implementation of this National Climate Change Adaptation Strategy. The climate change policy calls for the establishment of a National Climate Change Committee (NCCC), whose main objective will be to provide oversight. The NCCC will act as an oversight body responsible for facilitating the implementation of St. Kitts and Nevis National Climate Change Policy and climate change related projects (inclusive of international reporting obligations). It is a multi-disciplinary and multisectoral body with representative stakeholders selected from private and civil agencies as well as government entities. St Kitts and Nevis are currently in the process of refining their governance structures around climate change. Climate Change Focal Points will be appointed from the relevant ministries and agencies to serve on the NCCC. In addition, three working groups are proposed under the NCCC. These include Adaptation, Mitigation and Finance. The working groups are intended to incorporate various disciplines and stakeholders from both Island to ensure that the unique needs of each Island is adequately captured. Representation from relevant CSO and private will also be included.

The composition of the working groups will be based upon the priority sectors identified with national reports including the Climate Change Policy, Adaptation Strategy as well as revised NDC. The working groups will be required to report to the NCCC and will support it in monitoring implementation of sectoral vulnerability and risk assessments, mitigation and adaptation actions as well as mobilizing climate financing.

WHC 10-16-2024: Please address the comments above.

- The CEO Endorsement Request Portal view has different fonts and sizes, please unify the font types and sizes throughout the CEO Endorsement Document. In addition, kindly remove the yellow shadows in between some paragraphs.

- This Project Identification Form (PIF) is proposing a multi-country project with five Caribbean countries: Dominica, Grenada, St. Kitts and Nevis, Saint Lucia and Suriname. All five Caribbean countries under this CBIT project are classified as SIDS and members of the Alliance of Small Island States (AOSIS).

- The Commonwealth of Dominica has been classified among the world's most vulnerable countries, given its susceptibility to natural hazards – hydrometeorological and geophysical; as well as its ecological and economic fragility. Dominica is particularly vulnerable to the adverse effects of climate change and extreme

\*\* Please highlight in magenta the changes made on the portal version of the CEO approval document for ease of reference, while harmonizing the font and size of the CEO Endorsement request Portal view.\*\*

WHC 09-17-2024: Please address the comments above.

\*\* Please highlight in green the changes made on the portal version of the CEO approval document for ease of reference, while harmonizing the font and size of the CEO Endorsement request Portal view.\*\*

Agency's Comments

**Response: 04-Nov-2024**

New efforts have been made to upload the mentioned images to the portal. The correct display of the images has been verified using the 'Review' functionality and by exporting as PDF. Font styles have also been standardized, and all highlights have been removed.

**Response 25-Oct-2024**

Font types and sizes were unified throughout the CEO Endorsement Document. Yellow shadows in between some paragraphs were removed.

Changes were highlighted in magenta whenever the Portal allowed.

**Response08/10/2024**

Changes were highlighted in green whenever the Portal allowed. Green highlights were also kept in the new Word version of the CEO Document.

**9.2 Additional Comments to be considered by the Agency at the time of CEO Endorsement/ Approval**

Secretariat's Comments

Agency's Comments

Review Dates

	PIF Review	Agency Response
<b>First Review</b>	9/17/2024	
<b>Additional Review (as necessary)</b>	10/16/2024	
<b>Additional Review (as necessary)</b>	10/31/2024	
<b>Additional Review (as necessary)</b>	11/7/2024	
<b>Additional Review (as necessary)</b>		